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ECMS User Handbook Counterparties

UHB v1.1

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1 Introduction to the ECMS

This chapter serves as an introduction to the ECMS, which is developed by the Eurosystem as a harmonised and standardised pan-European service with common functionality across different countries and jurisdictions. This chapter is intended as a general description which includes all the main features of the ECMS.

Section ECMS Overview [► 5] describes the features of the ECMS.

1.1 ECMS Overview

The Eurosystem Collateral Management System (ECMS) is a harmonised and standardised pan-European service with common functionality across different countries and jurisdictions.

The key function of the ECMS is to manage collateral in Eurosystem credit operations and to support the handling of Monetary Policy Operations.

The ECMS scope has been defined in line with the legal framework included in the guideline on the Implementation of the Eurosystem Monetary Policy Framework (General Documentation), the CCBM Agreements (CCBM Main Agreement and CCBM Out Agreement) and the Governing Council decisions that specify the elements and principles of Eurosystem Monetary Policy.

The ECMS functional scope covers the general processing of marketable and non-marketable assets which are considered as eligible, and therefore accepted by the Eurosystem as collateral susceptible of being mobilised. The ECMS also supports the settlement of Monetary Policy Operations (MPOs) including liquidity providing reverse transactions and fixed term deposits. The ECMS also supports the settlement of collateralized monetary policy operations, as well as the handling of intraday credit and marginal lending. The collateral management in the ECMS will apply the valuation methods and risk control measures defined by the Eurosystem.

The collateral pooling system is supported in the ECMS. The core of the ECMS Pool is the credit & collateral position which is fed on one hand via the Eurosystem Credit Operations and on the other hand via posted collateral.

The ECMS design permits a multi-pooling service per Counterparty, i.e. the handling of different dedicated pools of collateral for different purposes or specific types of operations.

Regardless of the fact that some collateral such as Emergency Liquidity Assistance (ELA) are held and managed externally, the ECMS provides a mechanism for recording the total amount of credit and collateral provided for each Counterparty.

1.1.1 ECMS Interface

The ECMS Interface handles all incoming and outgoing communications with ESMIG and other services and infrastructures. It manages the use of the appropriate communication and undertakes the relevant technical entry checks. ECMS Actors have to comply with the formats and specifications defined for the ECMS.

In particular, the ECMS Interface is responsible for receiving instructions from different ECMS Actors and transferring those instructions to the relevant functional modules (inbound and outbound communication).

In addition, the ECMS Interface receives information from the relevant ECMS functional modules and structures the data, creates the message, determines the recipients and the associated communication means.

The system can be accessed through:

- User to application mode (U2A): allowing activities performed manually by users via a Graphical User Interface.
- Application to application (A2A): allowing direct communication between software applications via XML messages.

In the ECMS GUI, mandatory fields are signaled, being underlined in red. If mandatory fields are not filled in, data cannot be saved and a warning window pops up.

In this UHB, mandatory fields are marked with an asterisk after the field name. If the asterisk appears between brackets (*), it means the field is mandatory if the dedicated section of the screen it belongs to is populated, but not for the input of the data in the main part of the screen (normally, the General Information section). A field marked with a hash (#), indicates that the respective field is a read-only field, which is prefilled and does not allow data changes. Only input screens, e.g. Create and Edit screens, have fields marked with a hash.

1.1.1.1 U2A Access

Each application is linked one-to-one to a special system privilege (stored in CRDM), e.g. CRDM_Access, CLM_Access, RTGS_Access, TIPS_Access, DMT_Access, ECMS_Access. Several web applications can be opened in parallel, sharing the same Single Sign-On session.

After authentication by ESMIG, the person chooses the logical “user” (linked to the data scope) the person wants to impersonate, selecting it among a set of user-IDs that have been previously linked to the user’s DN. This selection is done in the ESMIG Portal.






The ESMIG portal allows and guides the person accessing the system to:

- choose the service among the authorised services accessible by at least one user-ID linked to the DN of the user;
- choose the component/application among the authorised components and applications accessible by at least one user-ID linked to the DN of the user;
- choose the user to impersonate when accessing such an application.

After this process, the ESMIG Portal redirects the user to the homepage of the application selected (e.g. CRDM, DMT, TIPS, ECMS, etc).

In order to access the ECMS via U2A, first the user needs to insert the smartcard or USB token (or the remote Hardware Security Module is used) containing the certificate for accessing ESMIG. The pincode associated with the token needs to be entered by the user.

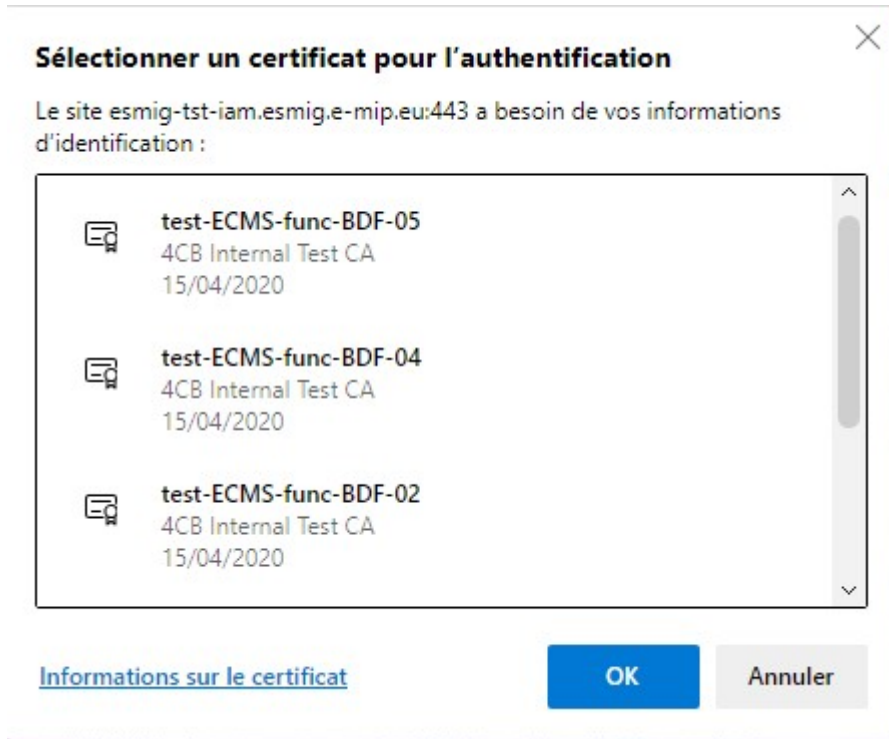
The user needs to store the ESMIG-ECMS digital certificates on his/her PC, and then import them on to the PC. See below an example of 5 ESMIG-ECMS digital certificates on the PC.

 test-ECMS-func-BDF-01	10/06/2020 15:07	Échange d'inform...	5 Ko
 test-ECMS-func-BDF-02	10/06/2020 15:07	Échange d'inform...	5 Ko
 test-ECMS-func-BDF-03	10/06/2020 15:07	Échange d'inform...	5 Ko
 test-ECMS-func-BDF-04	10/06/2020 15:07	Échange d'inform...	5 Ko
 test-ECMS-func-BDF-05	10/06/2020 15:07	Échange d'inform...	5 Ko

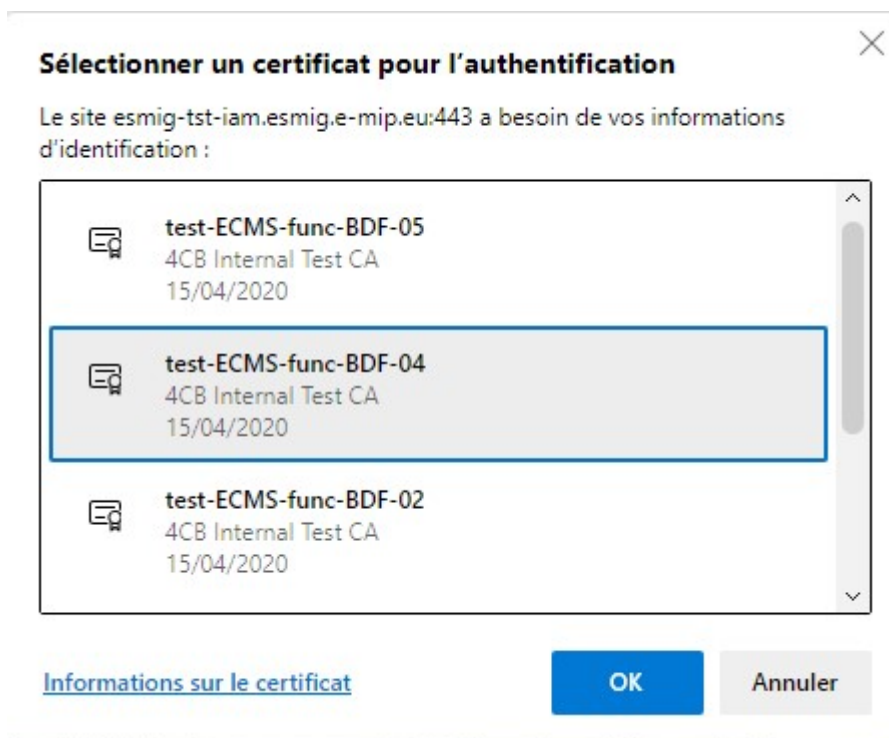
Note: Screenshots are taken from real users based on their PC language settings (French in this case).

Then the user can access the URL for the ESMIG portal, via the ECMS supported web-browser. The ESMIG portal is the initial page (landing page) that ensures proper routing to the web applications according to the user’s access rights profile.

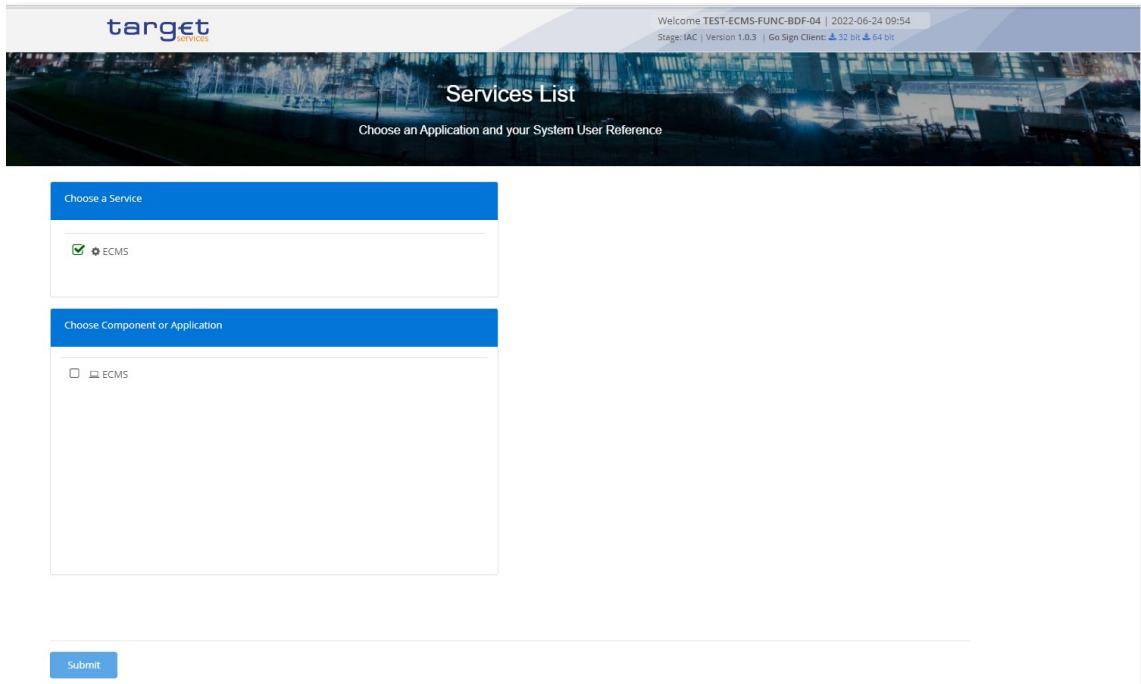
The imported ESMIG-ECMS digital certificates are then displayed.



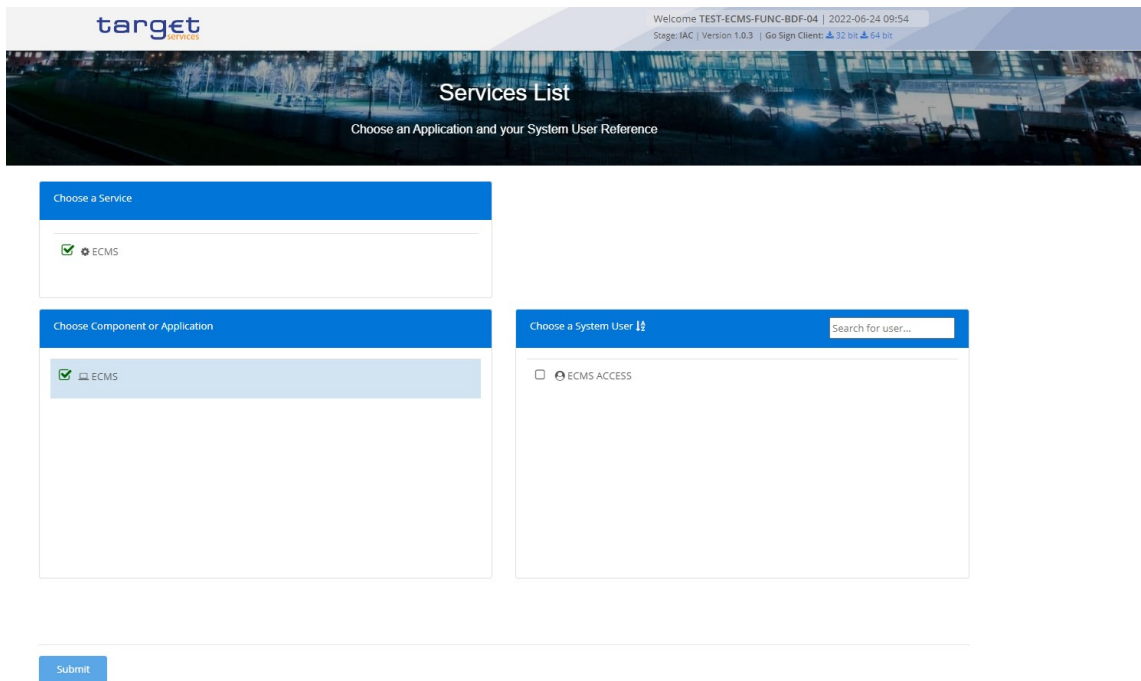
The user is prompted to select the ESMIG-ECMS digital certificate to connect to ESMIG and ECMS.



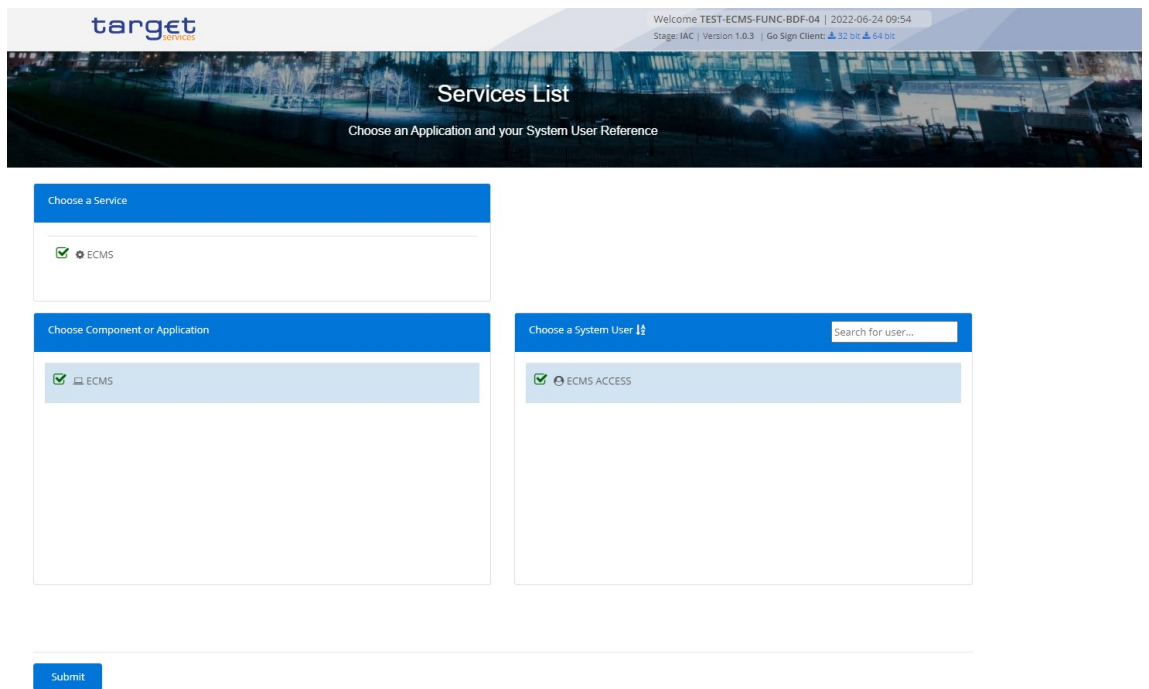
If the user is not authenticated by ESMIG, the user is redirected to the IAM page to authenticate the access validating the user's distinguished name (DN). After entering the ESMIG portal, the user can select a Service and then one application to be opened using a specific System User. In this case, the user selects "ECMS" Service. Note that the user only has access to the ECMS.



Then the user selects "ECMS" Application.



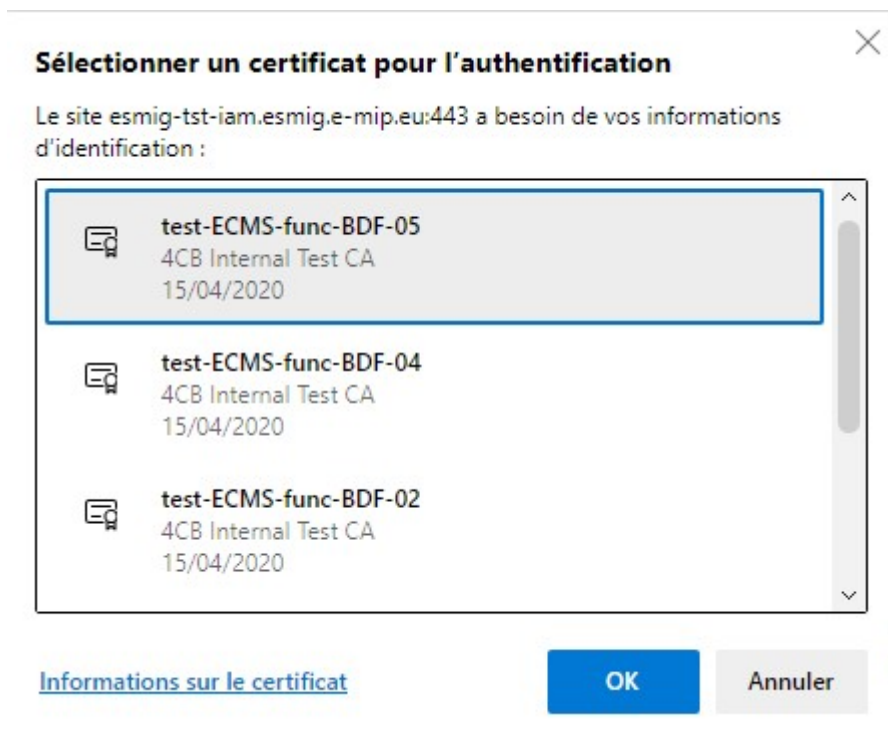
And then the user selects "ECMS Access" System User.



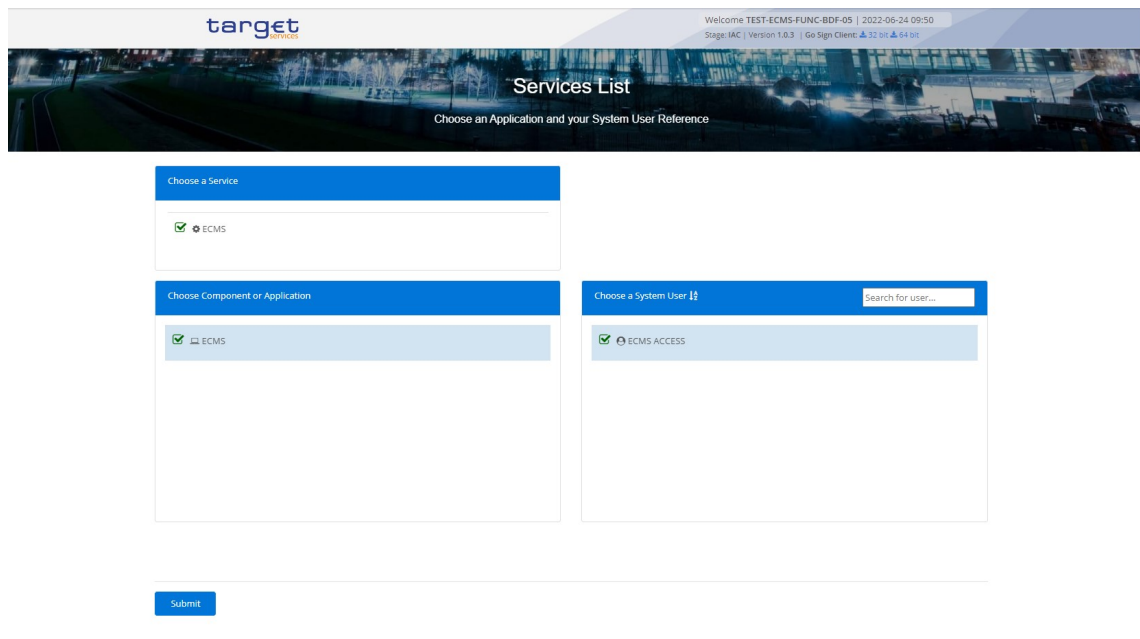
For more details, see the TARGET Services Connectivity Guide.

1.1.1.1 Counterparty User Access

For the connection to the ECMS GUI landing page (and the Counterparty user can access the menu corresponding to the Counterparty user's roles), in this case the digital certificate "TEST-ECMS-FUNC-BDF-05" is selected, , as well as information on the selected environment, the system date and time.

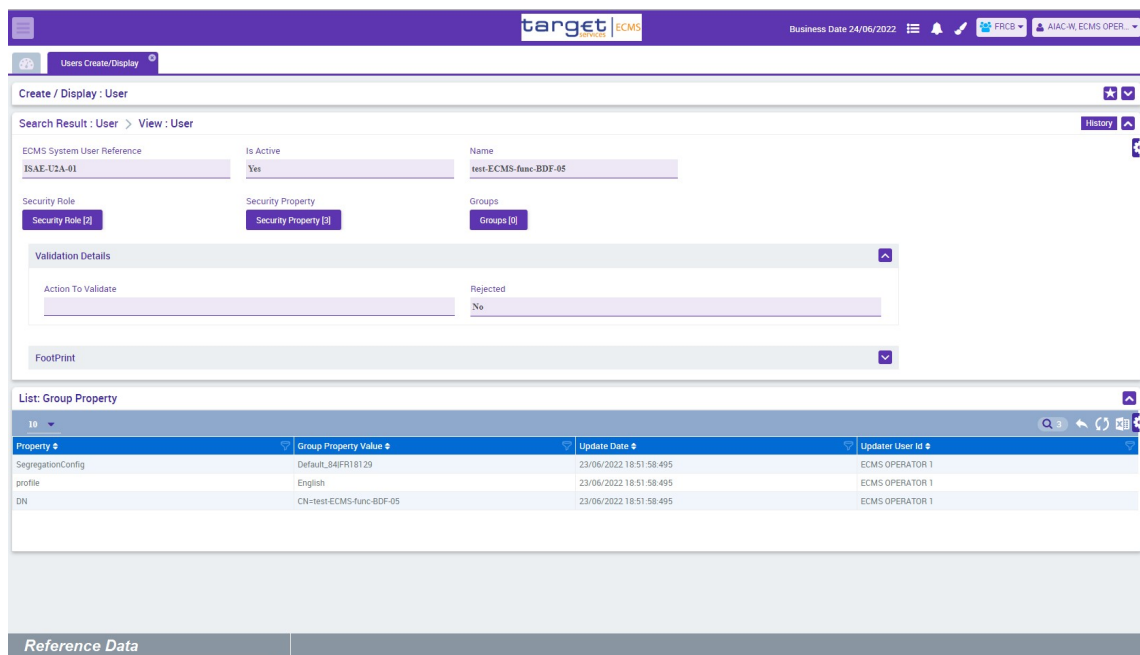


The following is the ESMIG page for the user who has selected the digital certificate for a Counterparty user. The selected digital certificate "TEST-ECMS-FUNC-BDF-05" is displayed in the title of the ESMIG page.

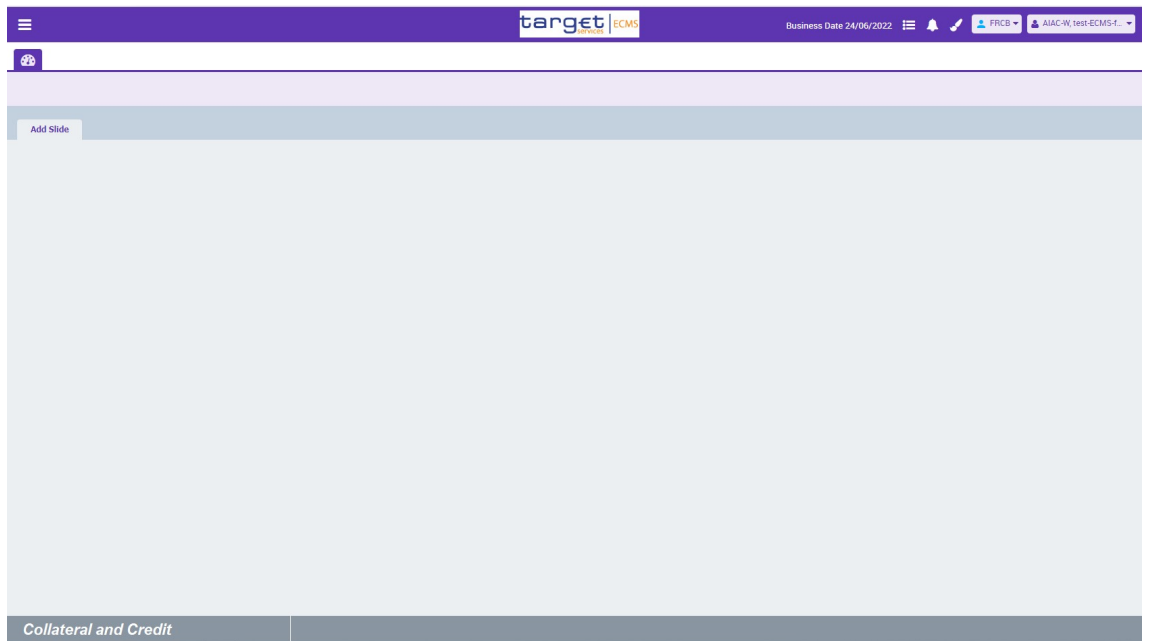


In this case, the Counterparty user only has access to the ECMS TARGET Service and has only one System User associated with the digital certificate. The reference data configuration is as follows: there is one 1 Counterparty user "ISAE-U2A-01" configured with the DN certificate: "TEST-ECMS-FUNC-BDF-05".

Reference data for User ISAE-U2A-01:



After submitting the request to access the ECMS, the Counterparty user is directed by default to the ECMS Collateral and Credit module.



From the ECMS Collateral and Credit module, the Counterparty user has access to the different functionalities on the Collateral and Credit module and can also access the other ECMS modules shown under the menu heading ECMS Core.

2 Overview of the User Handbook



The ECMS User Handbook, hereinafter called UHB, aims at facilitating the use of the Graphical User Interface (GUI) of the ECMS. The GUI component includes all the necessary elements to provide the authorised users with the possibility to query, manage and amend reference and transactional data, according to their roles and privileges. The UHB provides detailed reference information on all GUI screens (3 Screen Reference Guide [▶ 25]- and step-by-step user actions for typical workflows (4 Screen User Guide [▶ 871]).

2.1 UHB Methodology

Several symbols and methodological elements are used throughout the ECMS UHB to ease orientation and help the reader to find the desired information quickly. Every page of the main UHB parts has a similar page layout. The reader can find four different elements:

- The header, which shows the chapter and sub-chapter title;
- The margin column on the left side of each page, which is used for subheadings and information signs;
- The text column, which contains the main information, tables and screenshots;
- The footer, which shows the page number.

2.1.1 Information Signs

Name	Icon	Description
Notice sign		Notice signs are used to highlight useful information. Read its content to find out about hints, action alternatives or other details helping you to improve your usage of the ECMS GUI.
Example sign		Example signs are used to highlight examples, which illustrate the corresponding text, especially when a verbal description is difficult or too extensive.

2.1.2 Tables

Tables are used to present information in a clearly arranged format. They consist of a table header and a table body. The body is divided into a left and a right column. The left column contains keywords, for example field names, which are explained in the right column.

Subsections within a table are preceded by a heading. The order of the description follows the appearance on the screen from left to right and from top to bottom.

2.1.3 Type set


Regular text in the UHB is written in Arial font with a size of 11pt. Screen and button names are written in italic letters, GUI paths use the Courier New font. Field names and field values are put in quotation marks if they appear in a context other than their dedicated description.

Element	Format	Example
---------	--------	---------

Screen Name	Arial font size 11pt. Italic letters	<i>Data changes – search/list</i> screen
Button Name	Arial font size 11pt. Italic letters	<i>New</i>
GUI path	Courier New font size 11pt.	Reference Data >> ECMS Parties
Field name or value quotation	'...'	'Activation Date', 'Active'

2.1.4 Action Steps

Business scenarios are divided into single action steps. These action steps are numbered sequentially. Intermediate results are described where appropriate and marked with an indented arrow. Each business scenario ends with a final result, indicated by an arrow.



Example

- Action step 1
- Action step 2
- Intermediate result
- Action step 3

Result

2.1.5 Screenshots

Screenshots are used to illustrate the corresponding text. Note that there might be minor deviations between the screenshot and your screen appearance, according to your access rights or a specific selection you have made.

2.2 Overview of the Graphical User Interface

The GUI is a browser-based application for communication with the ECMS in U2A mode. It is based on the ISO nom 9241 “Ergonomics of human system interaction”.

The ECMS provides a Graphical User Interface offering basic functionalities to access information and controls (e.g. U2A queries, reference data maintenance).

2.2.1 Sitemap

This section provides you with formalised illustration of screens and their related actions. The illustration helps you to get an overview of all GUI screens and their interrelation, indicated by the arrows.

The header indicates the GUI menu item pictured by the sitemap. The single GUI screens are pictured following their business logic, starting with search/list screens, following with details or enter.

The following figure explains the structure and description of each object:

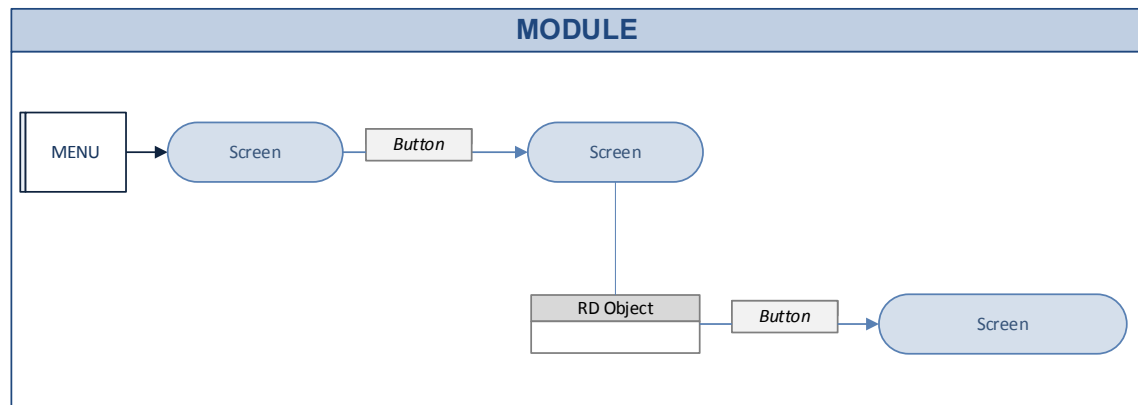


Illustration 1: Example of the sitemap

2.2.2 Setup and login process

Before entering the GUI, make sure that your workstation complies with the supported Web-Browsers described below.

Such requirements/preparations may be subject to periodical review/update to comply with changing technical/regulatory scenario.

2.2.2.1 Hardware Requirements

The following PC requirements are needed for a trouble-free working environment:

- Processor: Minimum of one Gigahertz (GHz), supporting streaming SIMD extensions (SSE2)
- RAM: Minimum of 8 Gigabyte (GB)
- CPU: Minimum of 4 cores
- Hard disc space: Minimum of 200 Megabyte (MB)
- Graphics Adapter: Support of Microsoft DirectX 9 graphics device with Windows display driver model (WDDM)
- Screen Settings: Minimum screen resolution of 1280*720 pixels at a colour depth of min. 24-bit true colour. A higher colour depth of 32-bit is supported. Higher resolutions are recommended; using lower screen resolutions can result in an inconvenient display of the GUI.

2.2.2.2 Software Requirements

No specific operating system (OS) or other important software is required. In general, every OS is possible that supports the designated web-browsers.

2.2.2.3 Supported Web-Browsers

The following web-browsers are supported:

- Microsoft Internet Explorer (11)
- Microsoft Edge
- Google Chrome (40+)
- Firefox (32+).

Java script and java applets are used for validations on the client side therefore 'JavaScript' and 'Cookies' have to be set to 'enabled'. No additional plug-in based internet applications such as flash or silverlight are necessary. The recommended cache size is one to two MB.

The complete definition of the qualified browsers' set and their related versions and the full replacement of the applet technology are defined in the context of ESMIG, see ESMIG UDFS document.

2.2.2.4 GUI Access

Users are directed to an initial page named ESMIG portal that allows the user to login to ESMIG. After the successful ESMIG login, a landing page is displayed offering all TARGET services, including the ECMS, according to the access rights of the user. Then the user chooses to connect to the ECMS GUI.

2.2.2.5 Firewall Settings

The firewall settings provided by the VAN Network Service Providers are described in their respective user documentation.

Access to the ECMS GUI uses the Transport Layer Security (TLS) protocol.

2.2.2.6 Authentication

Authentication takes place on the ESMIG portail login screen provided by the VAN Network Service Providers. On this login screen you are requested to authenticate yourself via the certificate used together with your password. Both pieces of information (choosing a certificate and typing in a password) are only required once at the beginning of every session and the authentication remains active for the entire session. After this process, the ESMIG Portal redirects the user to the homepage of the ECMS, if selected by the user.

2.2.2.7 System User Reference

After successful login to the ESMIG, you can choose the one of the ECMS system user reference on the welcome screen according to the following principles:

- You can select only one of the system users related to your certificate;
- You cannot choose an ECMS system user that is already locked or inactive.

2.2.2.8 User Administration

Prior to the first GUI access, for each Central Bank a system administrator has to be created. The respective system administrator creates the users which can subsequently access the GUI. Only registered users have access to the ECMS GUI.

The system administrator can only assign predefined sets of access rights and roles to its users, single privileges cannot be assigned.

2.2.3 GUI Structure

This chapter explains the basic elements of the ECMS GUI structure (i.e. structure of the module, menu and the screens) helping you to navigate through the system and to use it quickly and efficiently.

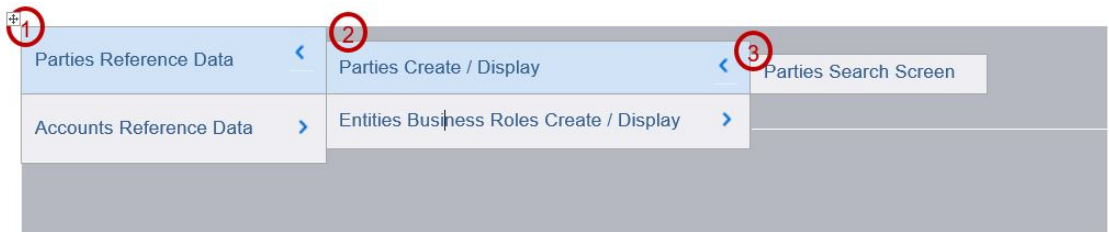
The first subsection describes the module and menu structure where screens are grouped hierarchically. Then, the second subsection explains the layout structure common to all screens. The following subsections provide details on the different screen types and on recurring elements, such as common field types or buttons and icons.

2.2.3.1 Module and Menu Structure Counterparties

The GUI is structured into different modules and each module is structured into three hierarchical levels. The first level is presented as a menu bar containing the first-level items:

Module	First-Level
Reference Data	Parties Reference Data
	Accounts Reference Data
	Settlement Possibilities Reference Data
	Eligible CSD Link
	Banking Group Manager
	Counterparty-ISIN Close Links
	Internal Asset Account – External Cash Account Links
	External Asset Account – External Cash Account Links
	Other ECMS Reference Data
	Reports Reference Data
Instructions and Positions	Counterparty Marketable Asset Instructions
	NCBs Marketable Asset Instructions
	Marketable Asset Settlement Instructions
	Client Marketable Asset Position by Position Type
	Client Marketable Asset Movement
	Market Marketable Asset Position by Position Type
	Market Marketable Asset Movement
Collateral and Credit	Pool Setup Reference Data
	Global Collateral Position Management
	Monetary Policy Operations
	Global Credit Position Management
	Credit Claims
	Multi-Pooling
	ELA Operations
	Payments
	Triparty
	Cash as Collateral
Corporate Actions	CA Events Reference Data
	CA Announcements
	CA Positions
	CA Instructions
	CA Entitlements
	CA Movements
	CA Cash Payments
	CA Message Monitoring

The second and third level items are accessible via mouse-over on the first and second level items respectively. All entries on the second menu level are GUI menus and all entries on the third level are screens.



ECMS Menu levels

Number	Description
1	Level 1
2	Level 2
3	Level 3

For ease of use, some screens are accessible via different paths according to your business preferences.

Example

The *Parties - History* screen can be accessed by the following two paths:

- Reference Data >> Parties Reference Data >> Parties Create/Display >> Click on the *search* button (after inputting the relevant Reference Data, if needed) >> Select a Party >> Click on the *View* button (*Parties -Details* Screen >> Click on the *History* Button
- Reference Data >> Parties Reference Data >> Parties Create/Display >> Click on the *search* button (after inputting the relevant Reference Data, if needed) >> Select a Party >> Click on the *History* button

2.2.3.2 Screen Structure

In general, each screen of the ECMS GUI follows the same layout containing a header (section 2.3.3.2.1 Header [▶ 18]) and a content area (section 2.3.3.2.2. Content areas [▶ 19]).

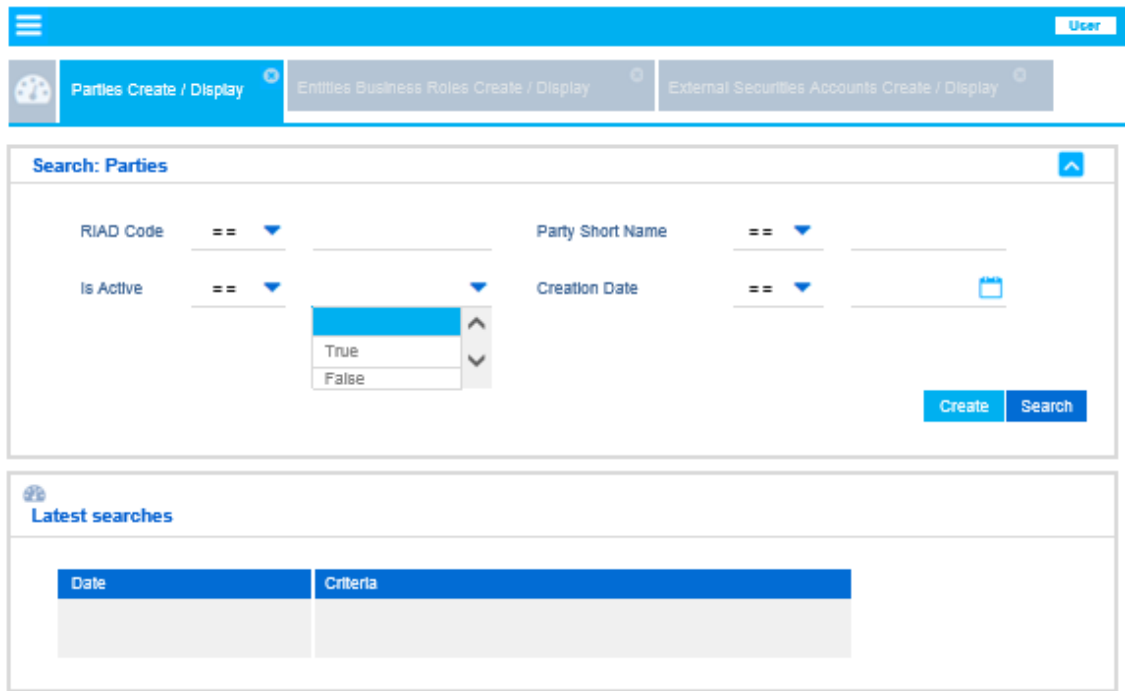
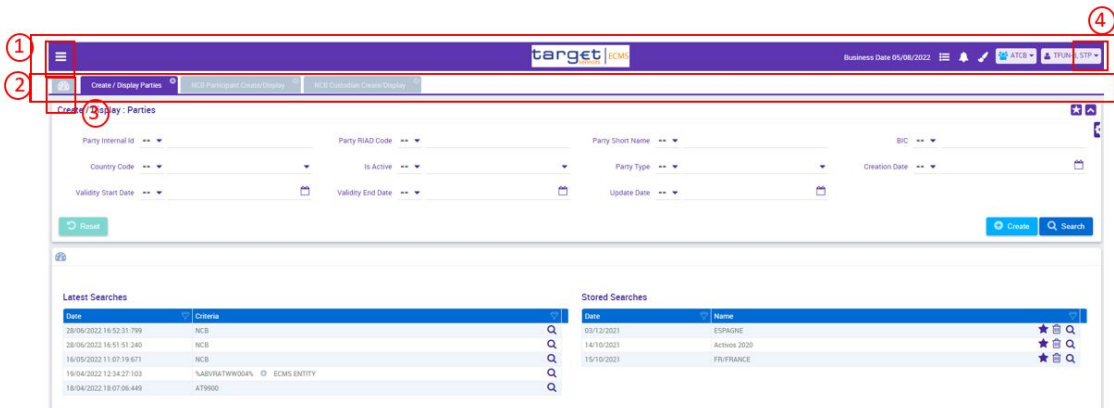


Illustration 2: Screen Structure

It is possible for a user to have up to a maximum of 10 tabs (screens) open simultaneously.

2.2.3.2.1 Header

The header appears at the top of every screen and it is flexible as it is adapted to the navigation path chosen by the user, thus it facilitates coming back to the menus visited during the session.



Number	Element	Description
1	Menu Icon	It displays the menu levels.
2	Home Icon	It displays the white page where the user will only see the menu Icon.
3	Screen Bar	It presents every menu option chosen by the user as a Screen Tab, only 10 screen tabs at once are allowed.
4	Information Panel	It shows the environment, the tenant, the business date, the alerts and your login name. When clicking on the login name button, some information related to the user is displayed.

2.2.3.2.2 Content Areas

The content area is the part of the GUI where the user can trigger all business actions. It is organised by six main elements which help to interact properly with the GUI as shown in the illustration below.

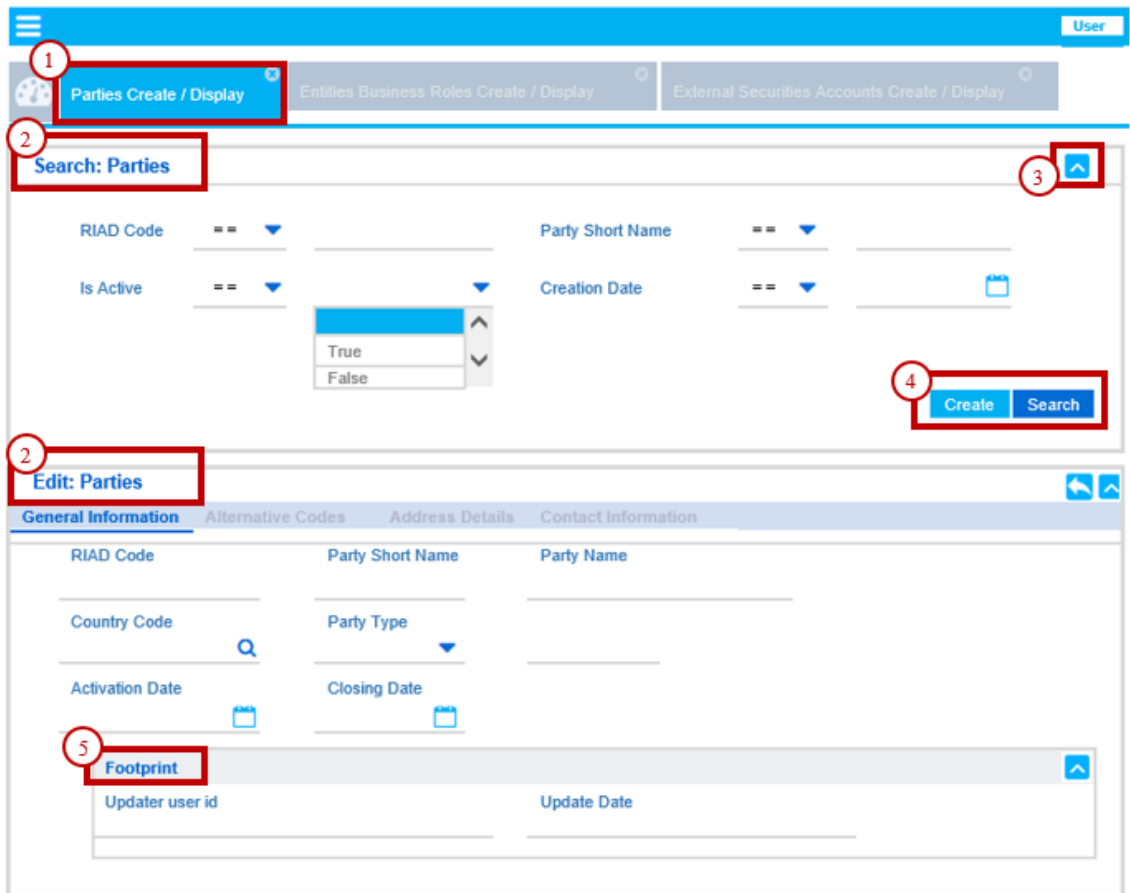


Illustration 3: Content area elements

Position	Element	Description
1	Menu Name	It shows the name of the menu in which the user is currently placed.
2	Screen Name	It shows the name of the screen.
3	Minimize Icon	It minimizes the screen.
4	Button bar	It shows the available buttons for the current screen.
5	Subsection Name	It shows the name of the subsection

2.2.3.3 Screen types

The ECMS GUI consists of the following types of screens, each with a different function:

- Welcome screen: The welcome screen is the entrance into the ECMS GUI.
- Search screen: The search screen allows the user to query the ECMS data using a predefined set of search criteria. Searches are case-sensitive.
- Historical depth for "latest searches" is 5.

- Selection screen: The selection screen allows the user to look for a specific value to inform in a field and select it. It is shown when you click on the magnifier icon and the fields shown in the screen varies depending on the information that applies for the field where the magnifier icon is.
- List screen: The list screen allows the user to query the ECMS data by inserting search criteria. After executing a search, a list of data records matching the search criteria is displayed in a table. It is also possible not to enter any search criteria, in this case no record will be excluded from the results. The user can sort the search result by clicking on the description of any column displayed in the list screen.
- Maximum size results recovered by the application is 10.000 records.
- User can configure the number of records to be listed (10, 15, 20, 25, 100 and 150).
- Details screen: The user can only access the details screens via the list screen. The user can find all possible ways of accessing the screen via other screens in the respective screen description within the screen reference part.
- Create / Edit screen: The create / edit screens allow the user to enter data in new or edit mode respectively. In general, both modes contain the same fields. In the case of the new mode, all fields are blank. In the case of the edit mode, existing data are prefilled in the fields.
- History screen: This screen displays historical information that has changed on the selected record. It allows the user to check the information that have been updated since the creation of the record.

You can find all possible ways of accessing the screen directly in the respective screen description within chapter 3 Screen Reference Guide [▶ 25].

2.2.3.4 Field types

On search and create / edit screens, users can enter information via input fields and select fields.

- Input fields: In input fields the user can enter text and/or numeric content. The format requirements, which are part of each field/screen description, shall be fulfilled.
- Select fields: Select fields are either select boxes, radio buttons or check boxes.
- Select boxes: Functionally a select box is a way to enter data from a limited list of possible values. You can select only one value at a time.

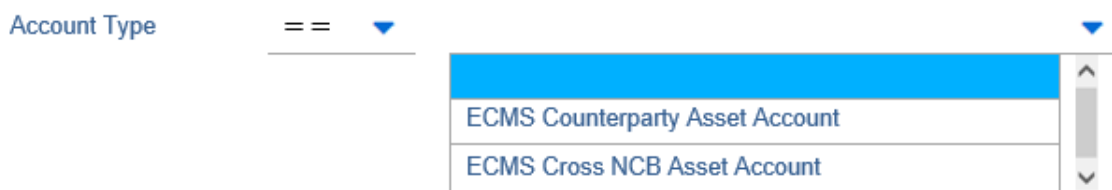


Illustration 4: Select box

- Radio buttons: Enable you to make exact selections using one value from a set of options. You can select only one value at the same time. Click on the icon corresponding to the option you would like to select.

Intraday Credit Facility

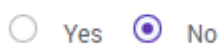


Illustration 5: Radio button

- Check boxes: Enable you to select more than one value at the same time. Click on the boxes corresponding to the options you would like to select. Selected check boxes contain a check mark.

Notification Information	
Event Confirmation Status (CONF - Box Checked / UCON - Box Unchecked)	Event Completeness Status (COMP - Box checked / INCO Box Unchecked)
<input checked="" type="checkbox"/>	<input type="checkbox"/>

In the ECMS GUI, mandatory fields are signaled, being underlined in red. If mandatory fields are not filled in, data cannot be saved and a warning window pops up.

In this UHB, mandatory fields are marked with an asterisk after the field name. If the asterisk appears between brackets (*), it means the field is mandatory if the dedicated section of the screen it belongs to is populated, but not for the input of the data in the main part of the screen (normally, the General Information section). A field marked with a hash (#), indicates that the respective field is a read-only field, which is prefilled and does not allow data changes. Only input screens, e.g. Create and Edit screens, have fields marked with a hash.

Input and select fields without an asterisk are always optional.

For screens that allow the input of data, the button “Reset” is available for the user to clean up the entire form and re-start the editing process. In this case, a pop up screen will be displayed for the user to confirm or not the cleaning of data. Click on the Button “Yes” to confirm or “No” to cancel.

Field Properties:

The ECMS fully supports UTF-8 Character Set. The character set is restricted for references and identifiers to support the Latin characters which are commonly used in international communication.


- The complete list is as follows:



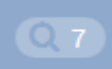










Character	Description
a-z	26 small characters of the Latin alphabet
A-Z	26 capital characters of the Latin alphabet
0-9	10 numeric characters
-	Hyphen
:	Colon
(Opening Parenthesis
)	Closing Parenthesis
.	Full Stop
,	Comma
'	Apostrophe
	Space (blank)

2.2.3.5 Common Icons and Buttons

While working with the ECMS GUI you will find that some buttons and icons appear regularly.

The buttons contained in the button bar are variable and therefore explained in the screen descriptions. The icons are described in the table below.

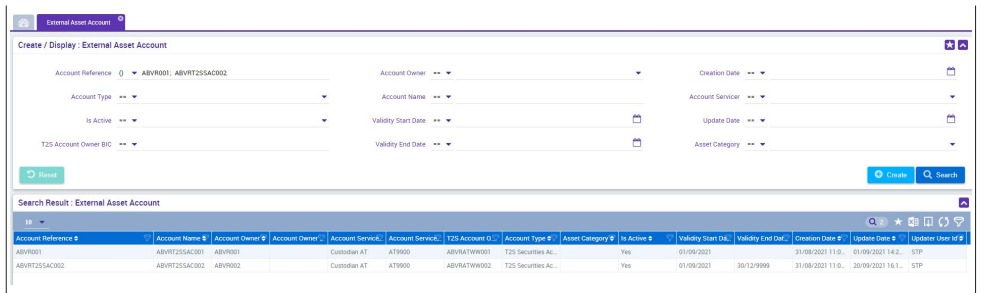
Icon	Description
	This icon enables you to go to the previous screen.

	This icon enables you to minimize the screen.
	This icon enables you to maximize the screen.
	This icon shows the number of records displayed in the list. Maximum size results recovered by the application is 10.000 records.
	This icon enables you to export the results to an Excel file.
	This icon enables you to export the results in different formats (pdf, csv and xml)
	This icon enables you to filter the results.
	This icon enables you to delete the record selected.
	This icon enables you to save the current search. When you click on the Star Icon in Search Screen you have the possibility of saving current search or to select a previous saved search. When you click on the Star Icon in List Screen you have the possibility of saving current search or to select a previous saved search.
	This icon enables you to refresh the current search.
	This icon enables you to save the reason to deactivate the Reference Data element
	This icon enables you to edit the selected record
	This icon enables you to select a date from a calendar.
	This icon enables you to search for data from a selection screen

2.2.3.6 Search screen operators

While working with the ECMS GUI display screen you will find, depending on the fields, the following search operators.

Operator	Description
==	Equal to
!=	Different from
“	Field is null (empty)
!”	Field is not null (not empty)
>	Superior to
<	Inferior to
>=	Superior or equal to
<=	Inferior or equal to
[]	Between two values e.g. [1000;2000]
()	In a list of values separated by ;

	 <p>The screenshot shows a form for creating or displaying an external asset account. The form includes fields for Account Reference, Account Owner, Account Name, Creation Date, Account Type, Account Servicer, Update Date, Is Active, Validity Start Date, T2S Account Owner BIC, Validity End Date, and Asset Category. Below the form is a search results table with columns: Account Reference, Account Name, Account Owner, Account Servicer, T2S Account O., Account Type, Asset Category, Is Active, Validity Start Date, Validity End Date, Creation Date, Update Date, and Update User.</p>
!()	Not in the list of values separated by ;
~	Looks like the text, using % to replace any character
^	Text starts with

2.2.4 Validation

2.2.4.1 Front-end Validation

For the first part of the validation process, the front-end validation takes place without communication to the back-end. The front-end validation includes both the field validation and the cross-field validation. It is carried out after clicking on a button.

The field validation verifies that all entries comply with the required format.

The cross-field validation checks the data consistency between two or more fields/buttons in relation to each other.

2.2.4.2 Confirmation Prompt

In the case of business actions in 2-eyes mode, a confirmation prompt is shown after successful front-end validation to give you the opportunity to submit or reject the business action. This confirmation prompt does not appear in 4-eyes mode.

2.2.4.3 Back-end Validation

The back-end validation is the second part of the validation process. After successful front-end validation, your request is submitted to the back-end and checked for compliance with the business validation rules. In case of failure an error message is displayed.

2.2.4.4 Result

After each validation, the ECMS GUI informs you about the result. There are two different message types available, the error message and the success message.

2.2.4.5 Four-Eyes Mode

Depending on the access rights setup, you can use the ECMS in 2-eyes or in 4-eyes mode. The 2-eyes mode and the 4-eyes mode apply for enter screens (new and edit mode) and for any kind of deletion processes. If you are a 4-eyes mode user, your actions have to be confirmed by a second user in order to be processed.

First User

In 4-eyes mode the first user enters, changes or deletes the data on a screen and afterwards submits the action by clicking on the submit button in the button bar. The success message appearing after successful validation includes a 4-eyes principle. For the final execution a second user is needed to confirm the action.

Second User

After the first user has entered, changed or deleted the data, a second user (with the required privilege) has to approve or revoke this action via the relevant screen either using the 4-eyes mode or the search functionality. As soon as the data changes are positively approved, the ECMS marks these data as approved and they are forwarded to further processing.

2.2.4.6 Digital signature-NRO

In order to ensure non-repudiation of origin (NRO) for critical transactions, the use of a digital signature has been implemented for specified screens. This means that the user will be asked to enter a PIN code for signature purposes whenever an instruction is initiated. With the entry of the PIN, the ECMS attaches a digital signature to the instruction entered by the ECMS actor.

The ECMS users will have to install the Ascertia Go>Sign Desktop client on their workstation in order to be able to use ECMS via U2A for the ECMS screens subject to a digital signature. Ascertia is the unique U2A NRO solution for TARGET services. Only one version of the Go>Sign Desktop client will thus be used and distributed across the different TARGET services.

2.2.5 Communication Network and Services

Refer to the Common TARGET Services Connectivity Guide and the Connectivity Guide specified in the Eurosystem Single Market Infrastructure Gateway (ESMIG) UDFS document for details on the communication network and services.

2.2.6 Security and Administration Service

In order to guarantee a secure and safe handling of the information and to protect customer data provided via the ECMS GUI, various security elements have been put into place:

Each action requires system or human validation as described in the validation chapter.

The scope of available data and functions is controlled via the management of access rights.

The security features provided by the VAN Network Service Providers and described in their respective user documentation prevent unauthorised access to the ECMS GUI.

3 Screen Reference Guide

3.1 Reference Data

3.1.1 Parties Reference Data

Parties that interact with the ECMS application either directly or indirectly (i.e. through an Instructing Party) are generally known as ECMS Parties.

Legal relationships between parties in the ECMS determine a hierarchical Party model based on a three-level structure. The ECMS Operator is the only Party on the top level of the hierarchy and it is responsible for the setup of each Party of the second level, i.e. each NCB in the ECMS. Similarly, each Party belonging to the second level (i.e. a NCB) is responsible for the setup of all entities of its community represented by parties of the third level.

The possibility for users of each ECMS Actor to access their respective functions and fulfil their roles and responsibilities is enabled by setting up an adequate access rights configuration.

National Central Banks

NCBs are responsible for setting up and maintaining reference data in the ECMS for all its ECMS Parties belonging to their community. NCBs are responsible for monitoring the operations related to their ECMS Entities and any other operational task related to the collateral management, and they can act on behalf of one of their entities in case of need. Each NCB is in charge of maintaining the business and legal relationship with its entities. An NCB cannot access data related to another NCB or to the Counterparties of that NCB.

Non-euro area Central Banks

NCB outside the Eurosystem that participated in the CCBM out agreement.

Entities

The function of an **ECMS Entity** depends on its business roles. Each ECMS Entity may play different role in the ECMS depending on the need. The following table lists the defined business roles in the ECMS.

ECMS Entity Roles	Business Roles description
Counterparty	Counterparty using the ECMS to mobilise collateral and/or to participate on open market operations (e.g. obtain credit from their respective NCB) or only accessing intraday credit.
CSD	Central Securities Depository participating in T2S used as custodian or depository only by an NCB and Counterparties for marketable asset collateral.
TPA	Eligible Triparty Agent that provides triparty collateral management services.
Cash correspondent	Cash correspondent used for the settlement of non-euro payment.

3.1.1.1 Parties – Create / Display

3.1.1.1.1 Parties – Search Screen

Context of Usage	<p>This screen contains a number of search fields and shows the latest and stored searches. By inputting the relevant data, you can search for your own NCB and Counterparties, as well as all the CSDs, TPAs and Non-euro area CBs.</p> <p>The search results will be displayed in a list. After selecting an entry, you can proceed further by clicking on the buttons that are shown.</p>
Screen Access	<p>Depending how the screen is accessed, it can be used to search the valid information of the Parties or the information that requires 4 eyes validation before they can be considered valid in the system.</p> <p><i>For valid information:</i></p> <p>Reference Data >> Reference Data >> Parties Reference Data >> Parties Create/Display</p> <p><i>For information subject to 4 eyes validation:</i></p> <p>Reference Data >> Reference Data >> Validation / Rejection >> Validate / Reject Parties Reference Data >> Parties</p>
Screenshot	

Parties – Search screen	
Field	Description
Party Internal Id	Enter the Internal unique identifier of the Party automatically assigned by the system
Party RIAD Code	Enter/Select the RIAD Code that uniquely identifies the Party in the ECMS. Required format is: Max. 30 characters.
Party Short Name	Enter the short name of the Party. Required format is: Max. 35 characters.
BIC	Enter the BIC Code of the Party in the ECMS. Required format is: Max. 11 characters
Country Code	Enter the country of location of the Party between those stored in the ECMS (Generic Data). The magnifier icon can also be used. Required format: Max. 2 characters (SWIFT-x).
Party Type	Select the type of the Party from the possible values: – ECMS Entity
Is Active	Select whether the Party is active or not from the possible values: – Yes – No
Creation Date	Enter the reference date of the creation of the Party. The calendar icon can also be used. Required format is: DD/MM/YYYY hh:mm:ss:µs.

Validity Start Date	Enter the reference date from which the Party is valid. The calendar icon can also be used. Required format is: DD/MM/YYYY.
Validity End Date	Enter the date from which the Party is not valid anymore or use the calendar icon. Required format is: DD/MM/YYYY. The Validity End Date must be equal to or later than the Validity Start Date. If the Party is active, this field is empty.
Update Date	Enter the reference date of the last update of the Party. The calendar icon can also be used. Required format is: DD/MM/YYYY hh:mm:ss:µs.
Button	Function
Reset	This function enables you to clear the form.
Create	This function enables you to create a new Party. Next screen: – Parties – Create Screen.
Search	This function enables you to start a search according to the filled in criteria. The results are displayed in a list on the List Screen. Next screen: – Parties – List Screen.

3.1.1.1.1 Parties – List screen

Context of Usage	This screen contains the search results displayed in a list, which is sorted by the values of the 'Update Date' column in descending order (default setting). After selecting an entry, you can proceed further by clicking on the buttons below.
Screen Access	Depending how the screen is accessed, it can be used to list the valid information of the Parties or the information that requires 4 eyes validation before they can be considered valid in the system. <i>For valid information:</i> Reference Data >> Reference Data >> Parties Reference Data >> Parties Create/Display >> Click on the <i>search</i> button (after inputting the relevant Reference Data, if needed) <i>For information subject to 4 eyes validation:</i> Reference Data >> Reference Data >> Validation / Rejection >> Validate / Reject Parties Reference Data >> Parties >> Click on the <i>search</i> button (after inputting the relevant Reference Data, if needed)
Screenshot	
Parties – List Screen	
Field	Description

Party Internal Id	Internal unique identifier automatically assigned by the system
Party RIAD Code	Shows the RIAD Code that uniquely identifies the Party in the ECMS. Displayed format is: Max. 30 characters.
BIC	Shows the BIC Code of the Party in the ECMS. Displayed format is: Max. 11 characters
Party Short Name	Shows the short name of the Party. Displayed format is: Max. 35 characters.
Party Name	Shows the name of the Party. Displayed format is: Max. 350 characters.
Country Code	Shows the ISO-2 Code and the description of the country of location of the Party. Displayed: Max. 255 characters.
Party Type	Shows the type of the Party from the possible values: – ECMS Entity
Is Active	Shows whether the Party is active or not. The possible values are: – Yes – No
Validity Start Date	Shows the date from which the Party is valid. Displayed format is: DD/MM/YYYY.
Validity End Date	Shows the date from which the Party is not valid anymore. Displayed format is: DD/MM/YYYY. The Validity End Date must be equal to or later than the Validity Start Date.
Creation Date	Shows the date and time of the creation of the Party. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Update Date	Shows the date and time of the last update of the Party. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Updater User Id	Shows the identification of the user that made the last update of the Party.
After selecting a record, the following buttons are displayed	
Button	Function
History	This function enables you to access to the historical information of a Party. Next screen: – <i>Parties – History</i> Screen.
Edit	This function enables you to edit a Party. Next screen: – <i>Parties – Edit</i> Screen.
View	This function enables you to access to the detailed information of a Party. Next screen: – <i>Parties – Details</i> Screen.
If the screen is used in the 4 eyes validation context, the following buttons are also displayed when a record is selected	

Button	Function
Compare Draft	In case the 4 eyes validation is required because of an update of the record, this function enables the user to display the change that needs to be validated. Next screen: – <i>Parties – History</i> Screen.
View Draft	This function enables the user to view the draft record to be validated. Next screen: – <i>Parties – Details</i> Screen.
Edit	This function allows the user to edit and modify the draft. Next screen: – <i>Parties – Edit</i> Screen.
Validate	This function allows the user to validate the creation or upate of the record so that they can be considered valid in the system
Reject	This function allows the user to reject the creation or update of the record. The draft will be deleted.

3.1.1.1.1.1 Parties – History Screen

Context of Usage	This screen displays historical information that has changed on the selected Party. You can check the data, including the user who made the changes, and proceed further by clicking on the buttons that are shown.
Screen Access	<ul style="list-style-type: none"> – Reference Data >> Reference Data >> Parties Reference Data >> Parties Create/Display >> Click on the <i>search</i> button (after inputting the relevant Reference Data, if needed) >> Select a Party >> Click on the <i>History</i> button – Reference Data >> Reference Data >> Parties Reference Data >> Parties Create/Display >> Click on the <i>search</i> button (after inputting the relevant Reference Data, if needed) >> Select a Party >> Click on the <i>View</i> button (<i>Parties –Details</i> Screen) >> <i>Click on the History Button</i>
Screenshot	<p>The screenshot shows a web application window titled 'History : Parties'. It displays a table with two columns of data. The first column is for Party ID '611002240126142427476P' and the second is for Party ID '611002108301775051405I'. The table lists various fields and their values, such as Party Short Name (ABR012 - CAMBIO), Creation Date (26/07/2021 14:24:27.876), Party ID (ABR012), Party Name (ABR012), Country Code (AT), Party Type (ECMS ENTITY), Party Internal Id (61), Validity Start Date (26/07/2021), Validity End Date (26/07/2021), Creation User Id (STP), and SIC (ABRATW012). At the bottom, it indicates '(1) difference(s) found'.</p>

Parties – History Screen	
Field	Description
Fields	Shows the fields whose information have been updated since the creation of the selected Party.
Timestamp	Shows the date and time when the fields have been updated. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Button	Description
Compare	Shows the differences between the selected records whose data have been updated.
Expand All	Shows the expanded information of the fields whose information have been updated since the creation of the selected Party.

Show All	Shows the information that existed before each modification of all the fields regarding the selected Party.
Collapse All	Collapse the expanded information of the fields that have been updated since the creation of the selected Party.
Show Differences Only	Shows only the fields whose information have been updated since the creation of the selected Party

3.1.1.1.1.2 Parties – Details Screen

Context of Usage	This screen displays detailed information of the selected Party.
Screen Access	<p>Depending how the screen is accessed, it can be used to show the valid information of the Parties or the information that requires 4 eyes validation before they can be considered valid in the system.</p> <p><i>For Valid Information:</i></p> <p>Reference Data >> Reference Data >> Parties Reference Data >> Parties Create/Display >> Click on the <i>search</i> button (after inputting the relevant Reference Data, if needed) >> Select a Party >> Click on the <i>View</i> button</p> <p><i>For information subject to 4 eyes validation:</i></p> <p>Reference Data >> Reference Data >> Validation / Rejection >> Validate / Reject Parties Reference Data >> Parties >> Click on the search button (after inputting the relevant Reference Data, if needed) >> Select a Party >> Click on the View button</p>
Screenshot	<p>The screenshot shows a web interface for viewing a Party's details. It is divided into several sections: <ul style="list-style-type: none"> GENERAL INFORMATION: Includes fields for Party RIID Code (E5000), Party Name (PARTY), Party Short Name (PARTYNAMEEHEH002), County Code (NLN0000000000), Party State (ECHO EXIST), and Party Internal Id (00). Activation Details: Includes fields for Is Active (Yes), Validity Start Date (2016/01/01), and Validity End Date. Validation Details: Includes fields for Action To (190000) and Migrated (No). Foot Print: Includes fields for Creation Date (2016/01/01 09:44:45), Creation User Id (STP), Update Date (2016/01/01 09:44:45), and Update User Id (STP). </p>

Parties – Details screen	
Button	Description
History	This function enables you to access to the historical information of a Party. Next screen: – <i>Parties – History Screen.</i>
Address Details	This function enables you to display the subsection Address Details
Additional Codes	This function enables you to display the subsection Additional Code
Contact Information	This function enables you to display the subsection Contact Information
General Information	
Field	Description
Party Internal Id	Internal unique identifier automatically assigned by the system

Party RIAD Code	Shows the RIAD Code that uniquely identifies the Party in the ECMS. Displayed format is: Max. 30 characters.
Party Short Name	Shows the short name of the Party. Displayed format is: Max. 35 characters.
Party Name	Shows the name of the Party. Displayed format is: Max. 350 characters.
BIC	Shows the BIC Code of the Party in the ECMS. Displayed format is: Max. 11 characters
Country Code	Shows the ISO-2 Code and the description of the country of location of the Party between those stored in the ECMS (Generic Data). Displayed: Max. 255 characters.
Party Type	Shows the type of the Party from the possible values: – ECMS-Entity
Validation details	
Action To Validate	Shows if there is any action waiting for validation.
Rejected	Shows if any action subject to validation has been rejected. The possible values are: – Yes – No
Activation details	
Is Active	Shows whether the Party is active or not. The possible values are: – Yes – No
(De)Activation Reason	Shows the reason informed when the Party was (de)activated.
Validity Start Date	Shows the date from which the Party is active. Displayed format is: DD/MM/YYYY. If the Party is not active (Is Active field = “false”), this field is empty.
Validity End Date	Shows the date from which the Party is not valid anymore. Displayed format is: DD/MM/YYYY. The Validity End Date must be equal to or later than the Validity Start Date. If the Party is active, this field is empty.
Footprint	
Creation Date	Shows the date and time of the creation of the Party. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Creation User Id	Shows the identification of the user that created the Party.
Update Date	Shows the date and time of the update of the Party. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Updater User Id	Shows the identification of the user that made the last update of the Party.
Additional Codes	
The existing additional codes of the Party are displayed.	

Field	Description
Provider	Shows the provider of the Additional Code from the possible values: <ul style="list-style-type: none"> - LEI - TPA Id
Additional Code	Shows the code assigned by the provider. Displayed format is: Max. 35 characters.
Footprint	
Creation Date	Shows the date and time of the creation of the additional code. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Creation User Id	Shows the identification of the user that created the additional code.
Update Date	Shows the date and time of the update of the additional code. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Updater User Id	Shows the identification of the user that made the last update of the additional code.
Address Details	
The existing addresses of the Party are displayed.	
To view the details of an existing mail address, select it and click on the View button.	
Field	Description
Purpose	Shows the Purpose of the Address from the possible values: <ul style="list-style-type: none"> - Official
Street Address	Shows the street name of the address of the Party. Displayed format is: Max. 70 characters.
House Number	Shows the house number of the address of the Party. Displayed format is: Max. 16 characters.
Postal Code	Shows the postal code of the address of the Party. Displayed format is: Max. 16 characters.
City	Shows the city of the address of the Party. Displayed format is: Max. 70 characters.
State or Province	Shows the state or province of the address of the Party. Displayed format is: Max. 35 characters.
Country Code	Shows the ISO-2 Code and the description of the country code of the address of the Party. Displayed format is: Max. 255 characters.
To view the details of an existing technical address, select it and click on the View button.	
Field	Description
Purpose	Shows the Purpose of the Address from the possible values: <ul style="list-style-type: none"> - Securities Settlement - Securities Management - Payment - Cash Management - Corporate Actions

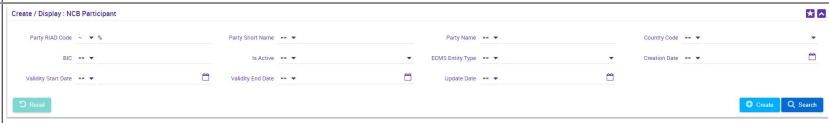
	<ul style="list-style-type: none"> - Margin Call - Credit Claims - Credit Freezing - Collateral and Credit
Network	Shows the network from the possible values: <ul style="list-style-type: none"> - Swift - SIA Colt
Technical Address	Shows the technical address for the purpose and network selected. Required format is: Max. 256 characters
To view the details of an existing BIC address, select it and click on the View button.	
Field	Description
Purpose	Shows the Purpose of the Address from the possible values: In case Party Type is "NCB": <ul style="list-style-type: none"> - Official - T2S Eligible assets BIC - T2S CSD BIC - T2S CSD Participant BIC - Additional In case Party Type is "ECMS Entity": <ul style="list-style-type: none"> - Official - Additional
BIC Address	Shows the BIC address of the Party. Required format is: Max. 11 characters
To view the details of an existing email address, select it and click on the View button.	
Field	Description
Purpose	Shows the Purpose of the Address from the possible values: <ul style="list-style-type: none"> - Official
Email	Shows the Email address for the purpose selected. Displayed format is: Max. 255 characters
Footprint	
Creation Date	Shows the date and time of the creation of the address. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Creation User Id	Shows the identification of the user that created the address.
Update Date	Shows the date and time of the update of the address. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Updater User Id	Shows the identification of the user that made the last update of the address.
Contact Information	
The existing contact information of the Party are displayed.	
Field	Description
Contact Name	Shows the name of the contact of the Party. Displayed format is: Max. 255 characters

Function / Business area	Shows the Function and/or the business area of the Contact of the Party. Displayed format is: Max. 255 characters
Email	Shows the email address of the contact of the Party. Displayed format is: Max. 255 characters
Email2	Shows the email address of the contact of the Party. Displayed format is: Max. 255 characters
Telephone	Shows the telephone number of the contact of the Party. Displayed format is: Max. 16 characters
Fax	Shows the Fax number of the Contact of the Party Displayed format is: Max. 255 characters
Footprint	
Creation Date	Shows the date and time of the creation of the contact information. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Creation User Id	Shows the identification of the user that created the contact information.
Update Date	Shows the date and time of the update of the contact information. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Updater User Id	Shows the identification of the user that made the last update of the contact information.

3.1.1.2 Entities Business Roles Create / Display

3.1.1.2.1 NCB Participant Create / Display

3.1.1.2.1.1 NCB Participant - Search Screen

Context of Usage	<p>This screen contains a number of search fields and shows the latest and stored searches. By inputting the relevant data, you can search for NCB Participants.</p> <p>The search results will be displayed in a list. After selecting an entry, you can proceed further by clicking on the buttons that are shown.</p>
Screen Access	<p>Depending how the screen is accessed, it can be used to search the valid information of the NCB Participant or the information that requires 4 eyes validation before they can be considered valid in the system.</p> <p><i>For valid information:</i></p> <p>Reference Data >> Reference Data >> Parties Reference Data >> Entities Business Roles Create/Display >> NCB Participant Create / Display</p> <p><i>For information subject to 4 eyes validation:</i></p> <p>Reference Data >> Reference Data >> Validation / Rejection >> Validate / Reject Parties Reference Data >> NCB Participant</p>
Screenshot	

NCB Participants – Search screen	
Field	Description
Party RIAD Code	Enter/Select the RIAD Code that uniquely identifies the Party in the ECMS. Required format is: Max. 30 characters
Party Short Name	Enter the short name of the Party. Required format is: Max. 35 characters
Party Name	Enter the name of the Party. Required format is: Max. 350 characters.
Country Code	Enter/Select the country of location of the Party between those stored in the ECMS (Generic Data). The magnifier icon can also be used. Required format: Max. 2 characters (SWIFT-x)
BIC	Enter the BIC Code of the Party in the ECMS. Required format is: Max. 11 characters
ECMS Entity Type	Enter / Select the ECMS Entity Type from the possible values: <ul style="list-style-type: none"> – Counterparties – Cross NCB – Non-euro Area CB
Is Active	Enter/Select whether the NCB Participant is active or not from the possible values: <ul style="list-style-type: none"> – Yes – No
Creation Date	Enter the reference date of the creation of the NCB Participant or use the calendar icon. Required format is: DD/MM/YYYY hh:mm:ss:µs
Validity Start Date	Enter the reference date of the activation of the NCB Participant or use the calendar icon. Required format is: DD/MM/YYYY
Validity End Date	Enter the date from which the NCB Participant is not valid anymore or use the calendar icon. Required format is: DD/MM/YYYY The Validity End Date must be equal to or later than the Validity Start Date. If the NCB Participant is active, this field is empty.
Update Date	Enter the reference date of the last update of the NCB Participant or use the calendar icon. Required format is: DD/MM/YYYY hh:mm:ss:µs
Button	Function
Reset	This function enables you to clear the form.
Create	This function enables you to create a new NCB Participant. Next screen: <ul style="list-style-type: none"> – <i>NCB Participant – Create Screen.</i>

Search	<p>This function enables you to start a search according to the filled in criteria. The results are displayed in a list on the NCB Participant - List Screen.</p> <p>Next screen:</p> <ul style="list-style-type: none"> - NCB Participant – List Screen.
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3.1.1.2.1.1.1 NCB Participant – List Screen

Context of Usage	<p>This screen contains the search results displayed in a list, which is sorted by the values of the 'Update Date' column in descending order (default setting). After selecting an entry, you can proceed further by clicking on the buttons below.</p>
Screen Access	<p>Depending how the screen is accessed, it can be used to list the valid information of the NCB Participants or the information that requires 4 eyes validation before they can be considered valid in the system.</p> <p><i>For valid information:</i></p> <p>Reference Data >> Reference Data >> Parties Reference Data >> Entities Business Roles Create/Display >> NCB Participant Create/Display >> Search Button</p> <p><i>For information subject to 4 eyes validation:</i></p> <p>Reference Data >> Reference Data >> Validation / Rejection >> Validate / Reject Parties Reference Data >> NCB Participant >> Search Button</p>
Screenshot	

NCB Participants – List Screen	
Field	Description
Party RIAD Code	Shows the RIAD Code that uniquely identifies the Party in the ECMS. Displayed format is: Max. 30 characters
Party Short Name	Shows the short name of the Party. Displayed format is: Max. 35 characters
Party Name	Shows the name of the Party. Displayed format is: Max. 350 characters
Country Code	Shows the ISO-2 Code and the description of the country of location of the Party between those stored in the ECMS (Generic Data). Displayed format: Max. 255 characters.
BIC	Shows the BIC Code of the Party in the ECMS. Displayed format is: Max. 11 characters
ECMS Entity Type	Shows the ECMS Entity Type from the possible values: <ul style="list-style-type: none"> - Counterparties - Cross NCB

	– Non-euro Area CB
Is Active	Shows whether the NCB Participant is active or not from the possible values: – Yes – No
Validity Start Date	Shows the date from which the NCB Participant is active. Displayed format is: DD/MM/YYYY
Validity End Date	Shows the date from which the NCB Participant is not valid anymore. Displayed format is: DD/MM/YYYY The Validity End Date must be equal to or later than the Validity Start Date. If the NCB Participant is active, this field is empty.
Creation Date	Shows the date and time of the creation of the NCB Participant. Displayed format is: DD/MM/YYYY hh:mm:ss:µs
Update Date	Shows the date and time of the last update of the NCB Participant. Displayed format is: DD/MM/YYYY hh:mm:ss:µs
After selecting a record, the following buttons are displayed	
Button	Function
History	This function enables you to access to the historical information of an NCB Participant. Next screen: – <i>NCB Participant – History</i> Screen.
Edit	This function enables you to edit an NCB Participant. Next screen: – <i>NCB Participant – Edit</i> Screen.
View	This function enables you to access to the detailed information of an NCB Participant. Next screen: – <i>NCB Participant – Details</i> Screen.
If the screen is used in the 4 eyes validation context, the following buttons are also displayed when a record is selected	
Button	Function
Compare Draft	In case the 4 eyes validation is required because of an update of the record, this function enables the user to display the change that needs to be validated. Next screen: – <i>NCB Participant – History</i> Screen.
View Draft	This function enables the user to view the draft record to be validated. Next screen: – <i>NCB Participant – Details</i> Screen.
Edit	This function allows the user to edit and modify the draft. Next screen: – <i>NCB Participant – Edit</i> Screen.

Validate	This function allows the user to validate the creation or update of the record so that they can be considered valid in the system
Reject	This function allows the user to reject the creation or update of the record. The draft will be deleted.

3.1.1.2.1.1.1. NCB Participant – History screen

1

Context of Usage	This screen displays historical information that has changed on the selected NCB Participant. You can check the data, including the user who made the changes, and proceed further by clicking on the buttons that are shown.
Screen Access	<ul style="list-style-type: none"> – Reference Data >> Reference Data >> Parties Reference Data >> Entities Business Roles Create/Display Entities >> NCB Participant Create/Display >> Search Button (after inputting the relevant Reference Data, if needed) >> Select an NCB Participant >> Click on the History button – Reference Data >> Reference Data >> Parties Reference Data >> Entities Business Roles Create/Display Entities >> NCB Participant Create/Display >> Search Button (after inputting the relevant Reference Data, if needed) >> Select an NCB Participant >> Click on the View button (NCB Participant – Details Screen) >> Click on the History Button
Screenshot	

NCB Participants – History Screen	
Field	Description
Fields	Shows the fields whose information have been updated since the creation of the selected NCB Participant.
Timestamp	Shows the date and time when the fields have been updated Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Button	Function
Compare	Shows the differences between the selected records whose data have been updated.
Expand All	Shows the expanded information of the fields whose information have been updated since the creation of the selected NCB Participant.
Show All	Shows the information that existed before each modification of all the fields regarding the selected NCB Participant.
Collapse All	Collapse the expanded information of the fields that have been updated since the creation of the selected NCB Participant.
Show Differences Only	Shows only the fields whose information have been updated since the creation of the selected NCB Participant

3.1.1.2.1.1.2 NCB Participant – Details screen

Context of Usage	This screen displays detailed information on the selected NCB Participant. You can check the data and proceed further by clicking on the buttons.
Screen Access	<p>Depending how the screen is accessed, it can be used to show the valid information or the information that requires 4 eyes validation before they can be considered valid in the system.</p> <p><i>For valid information:</i></p> <p>Reference Data >> Reference Data >> Parties Reference Data >> Entities Business Roles Create/Display >> NCB Participant Create/Display >> Click on the <i>Search</i> button >> Select an NCB Participant >> Click on the <i>View</i> button.</p> <p><i>For information subject to 4 eyes validation:</i></p> <p>Reference Data >> Reference Data >> Validation/Rejection >> Validate/Reject Parties Reference Data >> NCB Participant >> Click on the <i>Search</i> button (after inputting the relevant Reference Data, if needed) >> Select an NCB Participant >> Click on the <i>View</i> button.</p>
Screenshot	

NCB Participant – Details Screen	
Button	Function
History	This function enables you to access to the historical information of an NCB Participant. Next screen: – NCB Participant – History Screen.
Address Details	This function enables you to display the subsection Address Details
Additional Codes	This function enables you to display the subsection Additional Code
Tax Documentation	This function enables you to display the subsection Tax Documentation
General Information	

Field	Description
Party Internal Id	Internal unique identifier automatically assigned by the system
Party RIAD Code	Shows the RIAD Code that uniquely identifies the Party in the ECMS. Displayed format is: Max. 30 characters.
Party Short Name	Shows the short name of the Party. Displayed format is: Max. 35 characters.
Party Name	Shows the name of the Party. Displayed format is: Max. 350 characters.
Country Code	Shows the ISO-2 Code and the description of the country of location of the Party between those stored in the ECMS (Generic Data). Displayed: Max. 255 characters.
BIC	Shows the BIC Code of the Party in the ECMS. Displayed format is: Max. 11 characters
ECMS Entity Type	Shows the ECMS Entity Type from the possible values: <ul style="list-style-type: none"> - Counterparties - Cross NCB - Non-euro Area CB
Banking Group Manager	Shows the RIAD Code and the ISO-2 Code of the Country of the Manager of the Banking Group to whom the Counterparty belongs to.
Add-on haircut	Shows the add-on haircut to be applied to the collateral mobilised by the Counterparty due to discretionary measures.
Country code of Banking Group Manager	Shows the country code of the Banking Group Manager.
Banking Group Name	For counterparty belonging to a banking group, shows the name Banking Group Manager. Displayed format is: Max. 350 characters.
Externally covered OMO parameter	Shows whether externally covered OMOs are authorised for the Counterparty or not from the possible values: <ul style="list-style-type: none"> - Yes - No
US Taxpayer Certification Status	Shows the NCB Participant Certification Status from the possible values: <ul style="list-style-type: none"> - Beneficial Owner - Qualified Intermediary A (QI-A) - Qualified Intermediary B. - Qualified Intermediary C. - Non-qualified Intermediary - Unknown Recipient

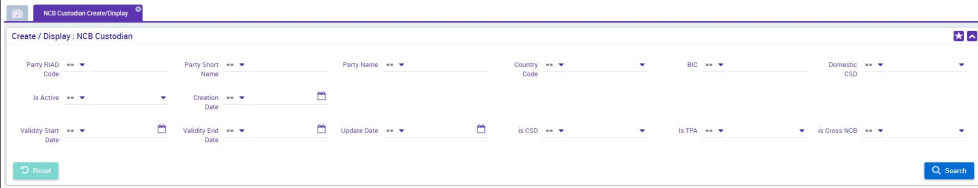
US Corporate action taxation	Shows the tax Rate that the ECMS will apply to the NCB Participant when "INTR" CA Event on an Asset flagged as "QI-A asset" by an NCB having QI-A status from the possible values: – Normal – Reduced
Is QI Certified	Shows whether the NCB Participant is or is not authorised to mobilise assets flagged as "QI-A asset" from the possible values: – Yes – No
Eligibility Attributes	
Intraday Credit facility	The possible values are: – Yes – No
Accounts	
Button	Function
Internal Asset Account	Shows the list of Internal Asset Account that belong to the NCB Participant. After selecting one of the Accounts you can view its details. Next screen: – <i>Internal Asset Account – Details</i> Screen.
External Cash Account	Shows the list of External Cash Account that belong to the NCB Participant. After selecting one of the Accounts you can view its details. Next screen: – <i>External Cash Account – Details</i> Screen.
Blocking Status	Shows the information of the blocking period of the NCB Participant. After selecting one record, you can view its details: Next screen: – <i>Counterparty Blocking Setup – Details</i> Screen
Validation details	
Action To Validate	Shows if there is any action waiting for validation.
Rejected	Shows if any action subject to validation has been rejected. The possible values are: – Yes – No
Activation details	
Is Active	Shows whether the NCB Participant is active or not. The possible values are: – Yes – No
(De)Activation Reason	Shows the reason informed when the NCB Participant was (de)activated.
Validity Start Date	Shows the date from which the NCB Participant is active. Displayed format is: DD/MM/YYYY.

	If the Party is not active (Is Active field = "false"), this field is empty.
Validity End Date	Shows the date from which the NCB Participant is not valid anymore. Displayed format is: DD/MM/YYYY The Validity End Date must be equal to or later than the Validity Start Date. If the NCB Participant is active, this field is empty.
Footprint	
Creation Date	Shows the date and time of the creation of the NCB Participant. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Creation User Id	Shows the identification of the user that created the NCB Participant.
Update Date	Shows the date and time of the update of the NCB Participant. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Updater User Id	Shows the identification of the user that made the last update of the NCB Participant.
Additional codes	
The existing additional codes to identify the NCB Participant are displayed.	
Field	Description
Provider	Shows the provider of the Additional Code from the possible values: – LEI – TPA Id
Additional Code	Shows the code assigned by the provider. Displayed format is: Max. 35 characters.
Footprint	
Creation Date	Shows the date and time of the creation of the additional code. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Creation User Id	Shows the identification of the user that created the additional code.
Update Date	Shows the date and time of the update of the additional code. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Updater User Id	Shows the identification of the user that made the last update of the additional code.
Address Details	
The existing addresses of the NCB Participant are displayed.	
To view the details of an existing mail address, select it and click on the View button.	
Field	Description
Purpose	Shows the Purpose of the Address from the possible values: – Official
Street Address	Shows the street name of the address of the NCB Participant. Displayed format is: Max. 70 characters.
House Number	Shows the house number of the address of the NCB Participant. Displayed format is: Max. 16 characters.
Postal Code	Shows the postal code of the address of the NCB Participant.

	Displayed format is: Max. 16 characters.
City	Shows the city of the address of the NCB Participant. Displayed format is: Max. 70 characters.
State or Province	Shows the state or province of the address of the NCB Participant. Displayed format is: Max. 35 characters.
Country Code	Shows the ISO-2 Code and the description of the country code of the address of the NCB Participant. Displayed format is: Max. 255 characters.
To view the details of an existing technical address, select it and click on the View button.	
Field	Description
Purpose	Shows the Purpose of the Address from the possible values: <ul style="list-style-type: none"> - Securities Settlement - Securities Management - Payment - Cash Management - Corporate Actions - Margin Call - Credit Claims - Collateral and Credit
Network	Shows the network from the possible values: <ul style="list-style-type: none"> - Swift - SIA Colt
Technical Address	Shows the technical address for the purpose and network selected. Displayed format is: Max. 256 characters
To view the details of an existing "BIC Address", select it and click on the View button	
Field	Description
Purpose	Shows the Purpose of the Address from the possible values: <ul style="list-style-type: none"> - Official
BIC Address	Shows the BIC address of the Party. Required format is: Max.11 characters
To view the details of an existing email address, select it and click on the View button.	
Field	Description
Purpose	Shows the Purpose of the Address from the possible values: <ul style="list-style-type: none"> - Official
Email	Shows the Email address for the purpose selected. Displayedformat is: Max. 255 characters
Footprint	
Creation Date	Shows the date and time of the creation of the record. Displayed format is: DD/MM/YYYY hh:mm:ss:µs
Creation User Id	Shows the identification of the user that created the record.

Update Date	Shows the date and time of the update of the record. Displayed format is: DD/MM/YYYY hh:mm:ss:µs
Updater User Id	Shows the identification of the user that made the last update of the record.
Tax Documentation	
The existing Tax Documentation is displayed	
Field	Description
Business Date	Business date of ECMS
Document ID	Shows the Id Code that identifies the document
Document	Upload the Tax document
Jurisdiction	Shows the Jurisdiction from the possible non-EEA G10 countries: <ul style="list-style-type: none"> - United States of America - Canada - Japan - Switzerland - United Kingdom
Validity Start Date	Shows the first day when the Tax Documentation is valid
Validity End Date	Shows the last day when the Tax Documentation is valid
Validity Period	Shows the validity period of the tax Documentation
Validity Period Type	Shows the validity period type of the Tax Documentation

3.1.1.2.2 NCB Custodian – Search Screen

Context of Usage	This screen contains a number of search fields and shows the latest and stored searches. By inputting the relevant data, you can search for NCB Custodian. The search results will be displayed in a list. After selecting an entry, you can proceed further by clicking on the buttons that are shown.
Screen Access	Reference Data >> Reference Data >> Parties Reference Data >> Entities Business Roles Create/Display >> NCB Custodian Create/Display
Screenshot	

NCB Custodian – Search Screen	
Field	Description

Party RIAD Code	Enter/Select the RIAD Code that uniquely identifies the Party in the ECMS. Required format is: Max. 30 characters
Party Name	Enter the name of the Party. Required format is: Max. 350 characters.
Party Short Name	Enter the short name of the Party. Required format is: Max. 35 characters
Country Code	Enter/Select the country of location of the CSD between those stored in the ECMS (Generic Data). The magnifier icon can also be used. Required format: Max. 2 characters (SWIFT-x)
BIC	Enter the BIC Code of the Party in the ECMS. Required format is: Max. 11 characters
Is CSD	Enter/Select whether the NCB Custodian is a domestic CSD or not considering the possible values: <ul style="list-style-type: none"> - Yes - No
Is Cross NCB	Enter/Select whether the NCB Custodian is a Cross NCB or not considering the possible values: <ul style="list-style-type: none"> • Yes • No
Is TPA	Enter/Select whether the NCB Custodian is a TPA or not considering the possible values: <ul style="list-style-type: none"> • Yes • No
Is Active	Enter/Select whether the NCB Custodian is active or not from the possible values: <ul style="list-style-type: none"> - Yes - No
Creation Date	Enter the reference date of the creation of the NCB Custodian or use the calendar icon. Required format is: DD/MM/YYYY hh:mm:ss:µs
Validity Start Date	Enter the date from which the NCB Custodian is active or use the calendar icon. Required format is: DD/MM/YYYY
Validity End Date	Enter the date from which the NCB Custodian is not active anymore or use the calendar icon. Required format is: DD/MM/YYYY The Validity End Date must be equal to or later than the Validity Start Date. If the NCB Custodian is active, this field is empty.
Update Date	Enter the reference date of the last update of the NCB Custodian or use the calendar icon. Required format is: DD/MM/YYYY hh:mm:ss:µs
Button	Function
Reset	This function enables you to clear the form.
Search	This function enables you to start a search according to the filled in criteria. The results are displayed in a list on the List Screen.

Next screen:
 – NCB Custodian – List Screen.

3.1.1.2.2.1 NCB Custodian – List Screen

Context of Usage	This screen contains the search results displayed in a list, which is sorted by the values of the 'Update Date' column in descending order (default setting). After selecting an entry, you can proceed further by clicking on the buttons below.
Screen Access	Reference Data >> Reference Data >> Parties Reference Data >> Entities Business Roles Create/Display >> NCB Custodian Create/Display >> Click on the <i>search</i> button
Screenshot	

NCB Custodian – List Screen	
Field	Description
Party RIAD Code	Shows the RIAD Code that uniquely identifies the Party in the ECMS. Displayed format is: Max. 30 characters
Party Name	Shows the name of the Party. Displayed format is: Max. 350 characters.
Party Short Name	Shows the short name of the Party. Displayed format is: Max. 35 characters
Country Code	Shows the ISO-2 Code and the description of the country of location of the NCB Custodian between those stored in the ECMS (Generic Data). Displayed format: Max. 255 characters.
BIC	Shows the BIC Code of the Party in the ECMS. Displayed format is: Max. 11 characters
Is CSD	Shows whether the NCB Custodian is a domestic CSD or not considering the possible values: <ul style="list-style-type: none"> – Yes – No
Is Cross NCB	Shows whether the NCB Custodian is a Cross NCB or not considering the possible values: <ul style="list-style-type: none"> • Yes • No
Is TPA	Shows whether the NCB Custodian is a TPA or not considering the possible values: <ul style="list-style-type: none"> • Yes • No
Is Active	Shows whether the NCB Custodian is active or not from the possible values:

	<ul style="list-style-type: none"> - Yes - No
Validity Start Date	Shows the date from which the NCB Custodian is active. Displayed format is: DD/MM/YYYY
Validity End Date	Shows the date from which the NCB Custodian is not active anymore. Displayed format is: DD/MM/YYYY The Validity End Date must be equal to or later than the Validity Start Date. If the NCB Custodian is active, this field is empty.
Creation Date	Shows the date and time of the creation of the NCB Custodian . Displayed format is: DD/MM/YYYY hh:mm:ss:µs
Update Date	Shows the date and time of the last update of the NCB Custodian. Displayed format is: DD/MM/YYYY hh:mm:ss:µs
Updater User Id	Shows the identification of the user that made the last update of the NCB Custodian.
After selecting a record, the following buttons are displayed	
Button	Function
History	This function enables you to access to the historical information of an NCB Custodian. Next screen: - <i>NCB Custodian – History Screen.</i>
View	This function enables you to access to the detailed information of an NCB Custodian. Next screen: - <i>NCB Custodian – Details Screen.</i>

3.1.1.2.2.1.1 NCB Custodian – History screen

Context of Usage	This screen displays historical information that has changed on the selected NCB Custodian. You can check the data, including the user who made the changes, and proceed further by clicking on the buttons that are shown.
Screen Access	<ul style="list-style-type: none"> - Reference Data >> Reference Data >> Parties Reference Data >> Entities Business Roles Create/Display >> NCB Custodian Create/Display >> Click on the <i>search</i> button (after inputting the relevant Reference Data, if needed) >> Select an NCB Custodian >> Click on the <i>History</i> button - Reference Data >> Reference Data >> Parties Reference Data >> Entities Business Roles Create/Display Entities >> NCB Custodian Create/Display >> <i>Search</i> Button (after inputting the relevant Reference Data, if needed) >> Select an NCB Custodian >> Click on the <i>View</i> button (NCB Custodian –<i>Details Screen</i>) >> <i>Click on the History Button</i>

Fields	892021-11-11 14:30:20.6103	892021-09-23 13:16:28.2782	892021-09-23 13:13:32.8951
Is CSD	Yes	Yes	No
Update Date	11/11/2021 14:30:20.6103	23/09/2021 13:16:28.2782	23/09/2021 13:13:32.8951
Is CSD	No	No	No
Is Cross NCB	No	No	No
Is TPA	Yes	Yes	Yes
Party Internal Id	89	89	89
Validity Start Date	23/09/2021	23/09/2021	23/09/2021
IBC	TPAQTPPXXXX	TPAQTPPXXXX	TPAQTPPXXXX
Issuer CSD Code	TPAQF01	TPAQF01	TPAQF01
Creation User Id	STP	STP	STP
Validity End Date			
(2) reference(s) found.			

NCB Custodian – History Screen

Field	Description
Fields	Shows the fields whose information have been updated since the creation of the selected NCB Custodian.
Timestamp	Shows the date and time when the fields have been updated. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Button	Description
Compare	Shows the differences between the selected records whose data have been updated.
Expand All	Shows the expanded information of the fields whose information have been updated since the creation of the selected NCB Custodian.
Show All	Shows the information that existed before each modification of all the fields regarding the selected NCB Custodian.
Collapse All	Collapse the expanded information of the fields that have been updated since the creation of the selected NCB Custodian.
Show Differences Only	Shows only the fields whose information have been updated since the creation of the selected NCB Custodian.

3.1.1.2.2.2 NCB Custodian – Details screen

Context of Usage	This screen displays detailed information on the selected NCB Custodian. You can check the data and proceed further by clicking on the buttons.
Screen Access	Reference Data >> Reference Data >> Parties Reference Data >> Entities Business Create/Display >> NCB Custodian Create/Display >> Click on the <i>Search</i> button >> Select an NCB Custodian >> Click on the <i>View</i> button
Screenshot	

NCB Custodian – Details Screen

Button	Description
History	This function enables you to access to the historical information of an NCB Custodian.

	Next screen: – NCB Custodian – History Screen.
Address Details	This function enables you to display the subsection Address Details
Additional Codes	This function enables you to display the subsection Additional Code
General Information	
Field	Description
Party Internal Id	Internal unique identifier automatically assigned by the system
Party RIAD Code	Shows the RIAD Code that uniquely identifies the Party in the ECMS. Displayed format is: Max. 30 characters.
Party Name	Shows the name of the Party. Displayed format is: Max. 350 characters.
Party Short Name	Shows the short name of the Party. Displayed format is: Max. 35 characters.
Issuer CSD Code (as in C2D/EA)	Shows the country of location of the CSD between those stored in the ECMS (Generic Data). Displayed format: Max. 6 characters (SWIFT-x).
Country Code	Shows the ISO-2 Code and the description of the country code of the address of the NCB Custodian. Displayed format: Max. 255 characters.
BIC	Shows the BIC Code of the Party in the ECMS. Displayed format is: Max. 11 characters
Is CSD	Shows whether the NCB Custodian is a domestic CSD or not considering the possible values: – Yes – No
Is Cross NCB	Shows whether the NCB Custodian is a Cross NCB or not considering the possible values: • Yes • No
Is TPA	Shows whether the NCB Custodian is a TPA or not considering the possible values: • Yes • No
Validation details	
Action To Validate	Shows if there is any action waiting for validation.
Rejected	Shows if any action subject to validation has been rejected. The possible values are: – Yes – No

Activation details	
Is Active	Shows whether the NCB Custodian is active or not. The possible values are: <ul style="list-style-type: none"> - Yes - No
(De)Activation Reason	Shows the reason informed when the NCB Custodian was (de)activated.
Validity Start Date	Shows the date from which the NCB Custodian is active. Displayed format is: DD/MM/YYYY. If the NCB Custodian is not active (Is Active field = "No"), this field is empty.
Validity End Date	Shows the date from which the NCB Custodian is not active anymore. Displayed format is: DD/MM/YYYY. <ul style="list-style-type: none"> - The Validity End Date must be equal to or later than the Validity Start Date. - If the NCB Custodian is active, this field is empty.
Footprint	
Creation Date	Shows the date and time of the creation of the NCB Custodian. Displayed format is: DD/MM/YYYY hh:mm:ss:µs
Creation User Id	Shows the identification of the user that created the NCB Custodian.
Update Date	Shows the date and time of the update of the NCB Custodian. Displayed format is: DD/MM/YYYY hh:mm:ss:µs
Updater User Id	Shows the identification of the user that made the last update of the NCB Custodian.
Additional codes	
The existing additional codes to identify the NCB Custodian are displayed.	
Field	Description
Provider	Shows the provider of the Additional Code from the possible values: <ul style="list-style-type: none"> - LEI - TPA Id
Additional Code	Shows the code assigned by the provider. Displayed format is: Max. 35 characters.
Footprint	
Creation Date	Shows the date and time of the creation of the additional code. Displayed format is: DD/MM/YYYY hh:mm:ss:µs
Creation User Id	Shows the identification of the user that created the additional code.
Update Date	Shows the date and time of the update of the additional code. Displayed format is: DD/MM/YYYY hh:mm:ss:µs
Updater User Id	Shows the identification of the user that made the last update of the additional code.
Address Details	
The existing addresses of the NCB Custodian are displayed.	
To view the details of an existing mail address, select it and click on the View button.	


Field	Description
Purpose	Shows the Purpose of the Address from the possible values: – Official
Street Address	Shows the street name of the address of the NCB Custodian. Displayed format is: Max. 70 characters.
House Number	Shows the house number of the address of the NCB Custodian. Displayed format is: Max. 16 characters.
Postal Code	Shows the postal code of the address of the NCB Custodian. Displayed format is: Max. 16 characters.
City	Shows the city of the address of the NCB Custodian. Displayed format is: Max. 70 characters.
State or Province	Shows the state or province of the address of the NCB Custodian. Displayed format is: Max. 35 characters.
Country Code	Shows the ISO-2 Cod and the description of the country code of the address of the NCB Custodian. Displayed format is: Max. 255 characters.
To view the details of an existing technical address, select it and click on the View button.	
Field	Description
Purpose	Shows the Purpose of the Address from the possible values: – Official
Network	Shows the network from the possible values: – Swift – SIA Colt
Technical Address	Shows the technical address for the purpose and network selected. Displayed format is: Max. 256 characters
To view the details of an existing email address, select it and click on the View button.	
Field	Description
Purpose	Shows the Purpose of the Address from the possible values: – Official
Email	Shows the Email address for the purpose selected. Displayed format is: Max. 255 characters
To view the details of an existing “BIC Address”, select it and click on the View button	
Field	Description
Purpose	Shows the Purpose of the Address from the possible values: – Official – Securities Settlement
BIC Address	Shows the BIC address for the purpose selected.. Displayed format is: Max. 11 characters
Footprint	
Creation Date	Shows the date and time of the creation of the record. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.

Creation User Id	Shows the identification of the user that created the record.
Update Date	Shows the date and time of the update of the record. Displayed format is: DD/MM/YYYY hh:mm:ss:µs
Updater User Id	Shows the identification of the user that made the last update of the record.

3.1.1.3 Banking Group Manager

3.1.1.3.1 Banking Group Manager Create / Display

3.1.1.3.1.1 Banking Group Manager – Search Screen

Context of Usage	This screen contains a number of search fields. By inputting the relevant data, you can search for the Banking Group Managers. The search results will be displayed in a list. After selecting an entry, you can proceed further by clicking on the buttons that are shown.
Screen Access	Depending how the screen is accessed, it can be used to search the valid information of the Banking Group Managers or the information that requires 4 eyes validation before they can be considered valid in the system. <i>For valid information:</i> Reference Data >> Reference Data >> Parties Reference Data >> Entities Business Roles Create/Display >> Banking Group Manager Create/Display <i>For information subject to 4 eyes validation:</i> Reference Data >> Reference Data >> Validation / Rejection >> Validate / Reject Parties Reference Data >> Banking Group Manager
Screenshot	

Banking Group Manager – Search screen	
Field	Description
Party RIAD Code	Enter / Select the RIAD Code that identifies in the ECMS the Party with role Banking Group Manager. Required format is: Max. 30 characters.
Party Short Name	Enter the short name of the Party with the role Banking Group Manager. Required format is: Max. 35 characters.
Party Name	Enter the name of the Party. Required format is: Max. 350 characters.
Country Code	Enter / Select the country of the Banking Group Manager between those stored in the ECMS (Generic Data). The magnifier icon can also be used. Required format: Max. 2 characters (SWIFT-x)
Is Active	Enter / Select whether the Banking Group Manager is active or not from the possible values: – Yes

	- No
Creation Date	Enter the reference date of the creation of the Banking Group Manager or use the calendar icon. Required format is: DD/MM/YYYY hh:mm:ss:µs
Validity Start Date	Enter the date from which the Banking Group Manager is active or use the calendar icon. Required format is: DD/MM/YYYY
Validity End Date	Enter the date from which the Banking Group Manager is not active anymore or use the calendar icon. Required format is: DD/MM/YYYY The Validity End Date must be equal to or later than the Validity Start Date. If the Banking Group Manager is active, this field is empty.
Update Date	Enter the reference date of the last update of the Banking Group Manager or use the calendar icon. Required format is: DD/MM/YYYY hh:mm:ss:µs
Button	Function
Reset	This function enables you to clear the form.
Create	This function enables you to create a new Banking Group Manager. Next screen: - <i>Banking Group Manager – Create Screen.</i>
Search	This function enables you to start a search according to the filled in criteria. The results are displayed in a list on the List Screen. Next screen: - <i>Banking Group Manager – List Screen.</i>

3.1.1.3.1.1.1 Banking Group Manager – List Screen

Context of Usage	This screen contains the search results displayed in a list, which is sorted by the values of the 'Update Date' column in descending order (default setting). After selecting an entry, you can proceed further by clicking on the buttons below.
Screen Access	Depending how the screen is accessed, it can be used to list the valid information of the Banking Group Managers or the information that requires 4 eyes validation before they can be considered valid in the system. <i>For valid information:</i> Reference Data >> Reference Data >> Parties Reference Data >> Entities Business Roles Create/Display >> Banking Group Manager Create/Display >> Click on the search button (after inputting the relevant Reference Data, if needed) <i>For information subject to 4 eyes validation:</i> Reference Data >> Reference Data >> Validation / Rejection >> Validate / Reject Parties Reference Data >> Banking Group Manager >> Click on the search button

Screenshot

Party RIAD Code #	Party Short Name #	Party Name #	Country Code #	Creation Date #	Validity Start Date #	Validity End Date #	Update Date #	Updater User Id #	Is Active #
NL101	NL.CPTY.1	NL.CPTY.1	NL.NETHERLANDS	27/10/2021 16:32:51.724	27/10/2021		27/10/2021 16:32:51.724	DNBTESTINGBLUSER	Yes
UB001	UB001	Luxembourg cooperator	LU.LUXEMBOURG	28/10/2021 12:39:49.193	28/10/2021		28/10/2021 12:39:49.193	UBGLASS01	Yes
EC000	EC000	EC000	EC	14/04/2022 14:45:27.746	14/04/2022		14/04/2022 14:45:27.746	ATC0002	Yes
ABR002	ABR002 - CAMB	ABR002	AT.AUSTRIA	11/04/2022 14:45:27.746	11/04/2022		11/04/2022 14:45:27.746	ATC0002	Yes
PTCPY001	PTCPY001	PTCPY001	PT.PORTUGAL	30/09/2021 12:49:56.261	30/09/2021		30/09/2021 12:49:56.261	PTC0003	Yes
AT0000000093175	Raffesensidobank	Raffesensidobank Oberort	AT.AUSTRIA	24/09/2021 11:17:18.945	24/09/2021		24/09/2021 11:17:18.945	STP	Yes

Banking Group Manager – List Screen

Field	Description
Party RIAD Code	Shows the RIAD Code that uniquely identifies the Party in the ECMS. Displayed format is: Max. 30 characters
Party Short Name	Shows the short name of the Party with the role Banking Group Manager. Displayed format is: Max. 35 characters.
Party Name	Shows the name of the Party. Displayed format is: Max. 350 characters.
Country Code	Shows the ISO-2 Code and the description of the country of the Party between those stored in the ECMS (Generic Data). Displayed format: Max. 255 characters.
Is Active	Shows whether the Banking Group Manager is active or not from the possible values: – Yes – No
Validity Start Date	Shows the date from which the Banking Group Manager is active. Displayed format is: DD/MM/YYYY
Validity End Date	Shows the date from which the Banking Group Manager is not active anymore. Displayed format is: DD/MM/YYYY The Validity End Date must be equal to or later than the Validity Start Date. If the Banking Group Manager is active, this field is empty.
Creation Date	Shows the date and time of the creation of the Banking Group Manager. Displayed format is: DD/MM/YYYY hh:mm:ss:µs
Update Date	Shows the date and time of the last update of the Banking Group Manager. Displayed format is: DD/MM/YYYY hh:mm:ss:µs
Updater User Id	Shows the identification of the user that made the last update of the Banking Group Manager

After selecting a record, the following buttons are displayed

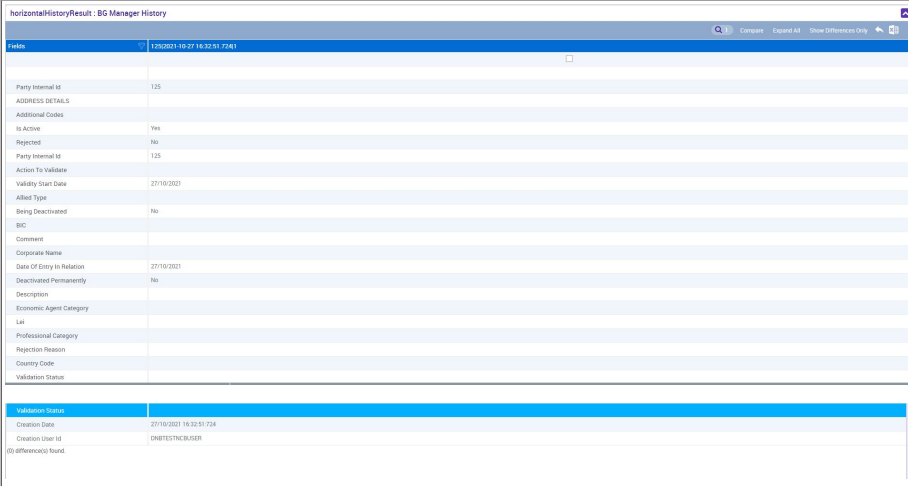
Button	Function
History	This function enables you to access to the historical information of a Banking Group Manager. Next screen: – <i>Banking Group Manager – History Screen.</i>
Edit	This function enables you to edit a Banking Group Manager. Next screen: – <i>Banking Group Manager – Edit Screen.</i>

View	This function enables you to access to the detailed information of a Banking Group Manager. Next screen: – <i>Banking Group Manager – Details</i> Screen.
If the screen is used in the 4 eyes validation context, the following buttons are also displayed when a record is selected	
Button	Function
Compare Draft	In case the 4 eyes validation is required because of an update of the record, this function enables the user to display the change that needs to be validated. Next screen: – <i>Banking Group Manager – History</i> Screen.
View Draft	This function enables the user to view the draft record to be validated. Next screen: – <i>Banking Group Manager – Details</i> Screen.
Edit	This function allows the user to edit and modify the draft. Next screen: – <i>Banking Group Manager – Edit</i> Screen.
Validate	This function allows the user to validate the creation or update of the record so that they can be considered valid in the system
Reject	This function allows the user to reject the creation or update of the record. The draft will be deleted.

3.1.1.3.1.1.1. Banking Group Manager – History Screen

1

Context of Usage	This screen displays historical information that has changed on the selected Banking Group Manager. You can check the data, including the user who made the changes, and proceed further by clicking on the buttons that are shown.
Screen Access	<ul style="list-style-type: none"> – Reference Data >> Reference Data >> Parties Reference Data >> Entities Business Roles Create/Display Entities >> Banking Group Manager Create/Display >> <i>Search</i> Button (after inputting the relevant Reference Data, if needed) >> Select a Banking Group Manager >> Click on the <i>History</i> button – Reference Data >> Reference Data >> Parties Reference Data >> Entities Business Roles Create/Display Entities >> Banking Group Manager Create/Display >> <i>Search</i> Button (after inputting the relevant Reference Data, if needed) >> Select a Banking Group Manager >> Click on the <i>View</i> button (<i>Banking Group Manager – Details</i> Screen) >> Click on the <i>History</i> Button

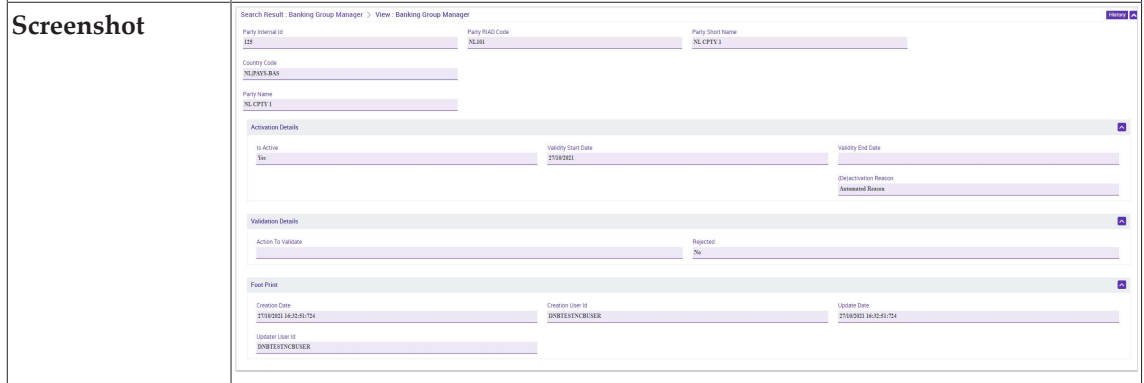
Screenshot	
	

Banking Group Manager – History Screen	
Field	Description
Fields	Shows the fields whose information have been updated since the creation of the selected Banking Group Manager.
Timestamp	Shows the date and time when the fields have been updated. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Button	Description
Compare	Shows the differences between the selected records whose data have been updated.
Expand All	Shows the expanded information of the fields whose information have been updated since the creation of the selected Banking Group Manager.
Show All	Shows the information that existed before each modification of all the fields regarding the selected Banking Group Manager.
Collapse All	Collapse the expanded information of the fields that have been updated since the creation of the selected Banking Group Manager.
Show Differences Only	Shows only the fields whose information have been updated since the creation of the selected Banking Group Manager

3.1.1.3.1.1.2 Banking Group Manager – Details Screen

Context of Usage	This screen displays detailed information on the selected Banking Group Manager. You can check the data and proceed further by clicking on the buttons.
Screen Access	<p>Depending how the screen is accessed, it can be used to show the valid information or the information that requires 4 eyes validation before they can be considered valid in the system.</p> <p><i>For valid information:</i></p> <p>Reference Data >> Reference Data >> Parties Reference Data >> Entities Business Roles Create/Display >> Banking Group Manager Create/Display >> Click on the <i>search</i> button (after inputting the relevant Reference Data, if needed) >> Select a Banking Group Manager >> Click on the <i>View</i> button</p> <p><i>For information subject to 4 eyes validation:</i></p>

Reference Data >> Reference Data >> Validation / Rejection >> Validate / Reject Parties Reference Data >> Banking Group Manager >> Click on the search button (after inputting the relevant Reference Data, if needed) >> Select a Banking Group Manager >> Click on the View button



Banking Group Manager – Details Screen

Field	Description
History	This function enables you to access to the historical information of a Banking Group Manager. Next screen: – <i>Banking Group Manager – History Screen.</i>

General Information

Object	Description
Party Internal Id	Internal unique identifier automatically assigned by the system
Party RIAD Code	Shows the RIAD Code that uniquely identifies the Party in the ECMS to whom the role is assigned. Displayed format is: Max. 30 characters
Party Short Name	Shows the short name of the Party. Displayed format is: Max. 35 characters
Party Name	Shows the name of the Party. Displayed format is: Max. 350 characters.
Country Code	Shows the ISO-2 Code and the description of the country of the Party between those stored in the ECMS (Generic Data). Displayed: Max. 255 characters.

Activation details

Is Active	Shows whether the Banking Group Manager is active or not. The possible values are: – Yes – No
(De)Activation Reason	Shows the reason informed when the Banking Group Manager was (de)activated.
Validity Start Date	Shows the date from which the Banking Group Manager is active. Displayed format is: DD/MM/YYYY. If the Banking Group Manager is not active (Is Active field = “false”), this field is empty.

Validity End Date	Shows the date from which the Banking Group Manager is not active anymore. Displayed format is: DD/MM/YYYY The Validity End Date must be equal to or later than the Validity Start Date. If the Banking Group Manager is active, this field is empty.
Validation details	
Action To Validate	Shows if there is any action waiting for validation.
Rejected	Shows if any action subject to validation has been rejected. The possible values are: – Yes – No
Foot Print	
Creation Date	Shows the date and time of the creation of the Banking Group Manager. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Creation User Id	Shows the identification of the user that created the Banking Group Manager.
Update Date	Shows the date and time of the update of the Banking Group Manager. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Updater User Id	Shows the identification of the user that made the last update of the Banking Group Manager.

3.1.2 Accounts Reference Data

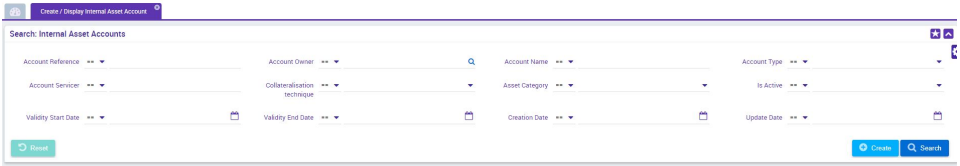
For bookkeeping collateral positions, the ECMS maintains **Internal Asset Accounts** used by Counterparties and NCBs. Those internal accounts are opened and operated directly in the ECMS.

For the settlement of marketable asset instructions, the ECMS makes use of **External Asset Accounts** opened or registered in CSD books and configured in T2S. Those securities accounts are not opened in the books of a National Central Bank in the ECMS, but it is just a reference to an account existing outside the ECMS. However, those accounts need to be configured in the ECMS reference data repository as they are used in the ECMS to mirror the market positions so that the ECMS can perform business checks on them, send settlement instructions for marketable assets and reconcile securities position towards CSDs and correspondent NCBs.

For the settlement of cash operations and for the provision of intraday liquidity, the ECMS also makes use of **External Cash Accounts** operated by external systems such as TARGET Services (CLM). Those cash accounts are not either opened directly in the ECMS but need to be setup as reference data. The ECMS uses them to send payment instructions but it does not record the cash balance on those accounts.

3.1.2.1 Internal Asset Account – Create Display

3.1.2.1.1 Internal Asset Account – Search Screen

Context of Usage	This screen contains a number of search fields and shows the latest and stored searches. By inputting the relevant data, you can search for Internal Asset Accounts. The search results will be displayed in the <i>Internal Asset Accounts – List Screen</i> .
Screen Access	Depending how the screen is accessed, it can be used to search the valid information of the Accounts or the information that requires 4 eyes validation before they can be considered valid in the system. <i>For valid information:</i> <i>Reference Data >> Reference Data >> Accounts Reference Data >> Create / Display Internal Asset Account</i> <i>For information subject to 4 eyes validation:</i> <i>Reference Data >> Reference Data >> Validation / Rejection >> Validate / Reject Accounts Reference Data >> Internal Asset Account</i>
Screenshot	

Internal Asset Account – Search Screen	
Field	Description
Account Owner	Enter/Select the RIAD Code that uniquely identifies the Party in the ECMS to whom the account belongs to and the Party Short Name from the possible values: – NCB Participant Required format is: Max. 70 characters.
Account Reference	Enter/Select the Internal Asset Account reference. Required format is: Max. 35 characters.
Account Name	Enter the name of the Internal Asset Account. Required format is: Max. 255 characters.
Account Servicer	Enter the RIAD Code that uniquely identifies the Party in the ECMS that is the servicer of the account and the Party Short Name from the possible values: – Tenant del NCB Required format is: Max. 70 characters.
Account Type	Enter/Select the type of the Internal Asset Account from the possible values: – ECMS Counterparty Asset Account – ECMS Cross NCB Asset Account – ECMS Non-euro area CB Asset Account – ECMS NCB Asset Account

Asset Category	Enter/Select the category of the assets hold by the Internal Asset Account from the possible values: – Marketable Assets Account – Credit Claims Account
Collateralisation Technique	Enter/Select the type of the assets hold by the Internal Asset Account from the possible values: – PLEDGE – REPO
Creation Date	Enter the reference date of the creation of the Account. The calendar icon can also be used. Required format is: DD/MM/YYYY.
Validity Start Date	Enter the reference date of the activation of the Account. The calendar icon can also be used. Required format is: DD/MM/YYYY.
Validity End Date	Enter the reference date of the closing of the Account or use the calendar icon. Required format is: DD/MM/YYYY. The Validity End Date must be equal to or later than the Validity Start Date.
Update Date	Enter the reference date of the last update of the Account. The calendar icon can also be used. Required format is: DD/MM/YYYY.
Is Active	Enter/Select whether the Internal Asset Account is active or not from the possible values: – Yes – No
Button	Function
Reset	This function enables you to clear the form.
Create	This function enables you to create a new Internal Asset Account. Next screen: – <i>Internal Asset Account – Create Screen.</i>
Search	This function enables you to start a search according to the criteria entered. The results are displayed in a list on the List Screen. Next screen: – <i>Internal Asset Account – List Screen.</i>

3.1.2.1.1 Internal Asset Account – List Screen

Context of Usage	This screen contains the search results regarding the Internal Asset Accounts displayed in a list, which is sorted by the values of the 'Update Date' column in descending order (default setting). After selecting an entry, you can proceed further by clicking on the buttons below.
Screen Access	Depending how the screen is accessed, it can be used to list the valid information of the Accounts or the information that requires 4 eyes validation before they can be considered valid in the system. <i>For valid information:</i>

Reference Data >> Reference Data >> Accounts Reference Data >> Create / Display Internal Asset Accounts Create/Display >> Click on the Search button (after inputting the relevant Reference Data, if needed)

For information subject to 4 eyes validation:

Reference Data >> Reference Data >> Validation / Rejection >> Validate / Reject Accounts Reference Data >> Internal Asset Accounts >> Click on the Search button


Screenshot

Account Reference #	Account Owner #	Account Servicer #	Account Name #	Asset Category #	Collateralization Tec.	In Active #	Validity Start Date #	Validity End Date #	Update User Id #	Update Date #	Creation Date #
ABV9022201	ABV9000ABV904	A7000000388151	ECMS Counterparty A.	ABV9022201	MARKETABLE ASSET - PLEDGE	No	09/03/2022	10/03/2022	ECMS/permissions...	09/03/2022 11:18:16...	09/03/2022 11:18:16...
ABV9022202	ABV9000ABV904	A7000000388151	ECMS Counterparty A.	ABV9022202	MARKETABLE ASSET - PLEDGE	Yes	11/03/2022		ATC2003	11/03/2022 09:58:28...	11/03/2022 09:58:28...
ABV901AA00	ABV901ABV9001	A7000000388151	ECMS Counterparty A.	ABV901AA00	MARKETABLE ASSET - PLEDGE	Yes	31/08/2021		STP	01/09/2021 09:18:28...	01/09/2021 09:18:28...
ABV901AA01	ABV901ABV9001	A7000000388151	ECMS Counterparty A.	ABV901AA01	MARKETABLE ASSET - PLEDGE	Yes	13/12/2021		STP	13/12/2021 11:06:34...	13/12/2021 11:06:34...
ABV901AA02	ABV901ABV9001	A7000000388151	ECMS Counterparty A.	ABV901AA02	MARKETABLE ASSET - PLEDGE	Yes	05/10/2021		STP	05/10/2021 14:46:11...	05/10/2021 14:46:11...
ABV901AA03	ABV901ABV9001	A7000000388151	ECMS Counterparty A.	ABV901AA03	MARKETABLE ASSET - PLEDGE	Yes	20/01/2022	31/12/9999	STP	20/01/2022 16:53:24...	20/01/2022 16:20:47...
ABV902AA01	ABV902ABV9002	A7000000388151	ECMS Counterparty A.	ABV902AA01	MARKETABLE ASSET - PLEDGE	Yes	31/08/2021		STP	01/09/2021 09:18:30...	01/09/2021 09:18:30...
ABV902AA02	ABV902ABV9002	A7000000388151	ECMS Counterparty A.	ABV902AA02	MARKETABLE ASSET - PLEDGE	Yes	20/09/2021		STP	20/09/2021 16:06:00...	20/09/2021 12:10:00...
ABV902AA03	ABV902ABV9002	A7000000388151	ECMS Counterparty A.	ABV902AA03	MARKETABLE ASSET - PLEDGE	Yes	24/09/2021		STP	24/09/2021 16:13:06...	24/09/2021 16:13:06...
ABV902AA04	ABV902ABV9002	A7000000388151	ECMS Counterparty A.	ABV902AA04	MARKETABLE ASSET - PLEDGE	Yes	14/01/2022		STP	14/01/2022 12:41:32...	14/01/2022 12:41:32...

Internal Asset Account – List Screen	
Field	Description
Account Reference	Shows the Internal Asset Account reference. Displayed format is: Max. 35 characters.
Account Owner	Shows the RIAD Code that uniquely identifies the Party in the ECMS to whom the account belongs to. Displayed format is: Max. 30 characters.
Account Owner (PSN)	Shows the Party Short Name of the Party in the ECMS to whom the account belongs to. Displayed format is: Max. 35 characters.
Account Name	Shows the name of the Internal Asset Account. Displayed format is: Max. 255 characters.
Account Servicer	Shows the RIAD Code that uniquely identifies the Party in the ECMS that is the servicer of the account. Displayed format is: Max. 30 characters.
Account Type	Shows the type of the Internal Asset Account from the possible values: <ul style="list-style-type: none"> – ECMS Counterparty Asset Account – ECMS Cross NCB Asset Account – ECMS Non-euro area CB Asset Account – ECMS NCB Asset Account
Asset Category	Shows the category of the assets hold by the Internal Asset Account from the possible values: <ul style="list-style-type: none"> – Marketable Assets Account – Credit Claims Account
Collateralisation Technique	Shows the type of the assets hold by the Internal Asset Account from the possible values: <ul style="list-style-type: none"> – PLEDGE – REPO
Validity Start Date	Shows the date from which the Internal Asset Account is active. Displayed format is: DD/MM/YYYY.

Is Active	Shows whether the Internal Asset Account is active or not from the possible values: – Yes – No
Validity End Date	Shows the date from which the Party is closed. Displayed format is: DD/MM/YYYY. If the Account is active, this field is empty. The Validity End Date must be equal to or later than the Validity Start Date.
Creation Date	Shows the date and time of the creation of the Account. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Update Date	Shows the date and time of the last update of the Account. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Updater User Id	Shows the identification of the user that made the last update of the Account.
After selecting a record, the following buttons are displayed	
Button	Function
History	This function enables you to access to the historical information of an Account. Next screen: – <i>Internal Asset Account – History Screen.</i>
Edit	This function enables you to edit an Account. Next screen: – <i>Internal Asset Account – Edit Screen.</i>
View	This function enables you to access to the detailed information of an Account. Next screen: – <i>Internal Asset Account– Details Screen.</i>
If the screen is used in the 4 eyes validation context, the following buttons are also displayed when a record is selected	
Button	Function
Compare Draft	In case the 4 eyes validation is required because of an update of the record, this function enables the user to display the change that needs to be validated. Next screen: – <i>Internal Asset Account – History Screen.</i>
View Draft	This function enables the user to view the draft record to be validated. Next screen: – <i>Internal Asset Account – Details Screen.</i>
Edit	This function allows the user to edit and modify the draft. Next screen: – <i>Internal Asset Account – Edit Screen.</i>
Validate	This function allows the user to validate the creation or update of the record so that they can be considered valid in the system
Reject	This function allows the user to reject the creation or update of the record. The draft will be deleted.

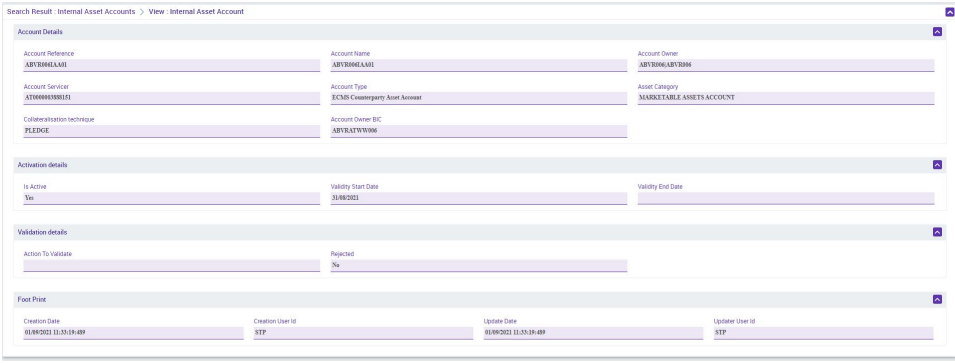
3.1.2.1.1.1 Internal Asset Account – History Screen

Context of Usage	This screen displays historical information on the selected Internal Asset Account. You can check the data and proceed further by clicking on the buttons below.																												
Screen Access	<ul style="list-style-type: none"> – Reference Data >> Reference Data >> Accounts Reference Data >> Create / Display Internal Asset Accounts Create/Display >> Click on the Search button (after inputting the relevant Reference Data, if needed) >> Select an Internal Asset Account >> Click on the History button – Reference Data >> Reference Data >> Accounts Reference Data >> Create / Display Internal Asset Accounts Create/Display >> Click on the Search button (after inputting the relevant Reference Data, if needed) >> Select an Internal Asset Account >> Click on the View button (Internal Asset Account – Details Screen) >> Click on the History Button 																												
Screenshot	 <p>The screenshot shows a web interface titled "History: Internal Asset Account". It features a search bar at the top with the value "ABV1906AAAI(2021-09-01 11:33:19.489)". Below the search bar is a table with the following data:</p> <table border="1"> <thead> <tr> <th>Field</th> <th>Timestamp</th> </tr> </thead> <tbody> <tr> <td>Account Reference</td> <td>ABV1906AAAI</td> </tr> <tr> <td>Account Name</td> <td>ABV1906AAAI</td> </tr> <tr> <td>Account Servicer</td> <td>AT000000388151</td> </tr> <tr> <td>Account Type</td> <td>ECMS Counterparty Asset Account</td> </tr> <tr> <td>Asset Category</td> <td>MARKETABLE ASSETS ACCOUNT</td> </tr> <tr> <td>Collateralisation technique</td> <td>PLEDGE</td> </tr> <tr> <td>Is Active</td> <td>Yes</td> </tr> <tr> <td>Validity Start Date</td> <td>31/08/2021</td> </tr> <tr> <td>Validity End Date</td> <td></td> </tr> <tr> <td>Action To Validate</td> <td></td> </tr> <tr> <td>Rejected</td> <td>No</td> </tr> <tr> <td>Update Date</td> <td>01/09/2021 11:33:19.489</td> </tr> <tr> <td>Creation User Id</td> <td>STP</td> </tr> </tbody> </table> <p>At the bottom of the table, it says "(5 difference(s) found)".</p>	Field	Timestamp	Account Reference	ABV1906AAAI	Account Name	ABV1906AAAI	Account Servicer	AT000000388151	Account Type	ECMS Counterparty Asset Account	Asset Category	MARKETABLE ASSETS ACCOUNT	Collateralisation technique	PLEDGE	Is Active	Yes	Validity Start Date	31/08/2021	Validity End Date		Action To Validate		Rejected	No	Update Date	01/09/2021 11:33:19.489	Creation User Id	STP
Field	Timestamp																												
Account Reference	ABV1906AAAI																												
Account Name	ABV1906AAAI																												
Account Servicer	AT000000388151																												
Account Type	ECMS Counterparty Asset Account																												
Asset Category	MARKETABLE ASSETS ACCOUNT																												
Collateralisation technique	PLEDGE																												
Is Active	Yes																												
Validity Start Date	31/08/2021																												
Validity End Date																													
Action To Validate																													
Rejected	No																												
Update Date	01/09/2021 11:33:19.489																												
Creation User Id	STP																												

Internal Asset Account – History Screen	
Field	Description
Fields	Shows the fields whose information have been updated since the creation of the selected Account.
Timestamp	Shows the date and time when the fields have been updated. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Button	Description
Compare	Shows the differences between the selected records whose data have been updated.
Expand All	Shows the expanded information of the fields whose information have been updated since the creation of the selected Account.
Show All	Shows the information that existed before each modification of all the fields regarding the selected Account.
Collapse All	Collapse the expanded information of the fields that have been updated since the creation of the selected Account.
Show Differences Only	Shows only the fields whose information have been updated since the creation of the selected Account.

3.1.2.1.1.2 Internal Asset Account – Details Screen

Context of Usage	This screen displays detailed information on the selected Internal Asset Accounts. You can check the data and proceed further by clicking on the buttons.
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<p>Screen Access</p>	<p>Depending how the screen is accessed, it can be used to show the valid information or the information that requires 4 eyes validation before they can be considered valid in the system.</p> <p><i>For valid information:</i></p> <p>Reference Data >> Reference Data >> Accounts Reference Data >> Create / Display Internal Asset Accounts Create/Display >> Click on the Search button (after inputting the relevant Reference Data, if needed) >> Select an Internal Asset Account >> Click on the View button</p> <p><i>For information subject to 4 eyes validation:</i></p> <p>Reference Data >> Reference Data >> Validation / Rejection >> Validate / Reject Accounts Reference Data >> Internal Asset Account >> Click on the search button (after inputting the relevant Reference Data, if needed) >> Select an Internal Asset Account >> Click on the View button</p>
<p>Screenshot</p>	 <p>The screenshot displays the 'Internal Asset Account' details screen. It is organized into several sections: 'Account Details' with fields for Account Reference (ABYB06LALH), Account Name (ABYB06LALH), Account Owner (ABYB06LALH), Account Servicer (AT0000000001), Account Type (ECMS Coemergency Asset Account), Asset Category (MARKETABLE ASSETS ACCOUNT), Collateralisation technique (PLEDGE), and Account Owner BIC (ABYB2133); 'Activation details' with 'Is Active' (Yes), 'Validity Start Date' (31/03/2021), and 'Validity End Date'; 'Validation details' with 'Action To Validate' and 'Rejected' (No); and a 'Foot Print' section at the bottom showing creation and update dates and user IDs.</p>

<p>Internal Asset Account – Details Screen</p>	
<p>Button</p>	<p>Description</p>
<p>History</p>	<p>This function enables you to access to the historical information of an Internal Asset Account.</p> <p>Next screen:</p> <p>– <i>Internal Asset Account – History Screen.</i></p>
<p>Address Details</p>	<p>This function enables you to display the subsection Address Details.</p>
<p>General Information</p>	
<p>Field</p>	<p>Description</p>
<p>Account Reference</p>	<p>Shows the unique Internal Asset Account reference. Displayed format is: Max. 35 characters.</p>
<p>Account Name</p>	<p>Shows the name of the Internal Asset Account. Displayed format is: Max. 255 characters</p>
<p>Account Owner</p>	<p>Shows the RIAD Code that uniquely identifies the Party in the ECMS to whom the account belongs to, and also the “Party Short Name”. Displayed format is: Max. 70 characters.</p>
<p>Account Owner BIC</p>	<p>Shows the BIC Code of the Account Owner. Displayed format is: Max. 11 characters.</p>
<p>Account Servicer</p>	<p>Shows the RIAD Code that uniquely identifies the Party in the ECMS that is the servicer of the account.</p>

	Displayed format is: Max. 30 characters.
Account Type	Shows the type of the Internal Asset Account from the possible values: <ul style="list-style-type: none"> - ECMS Counterparty Asset Account - ECMS Cross NCB Asset Account - ECMS Non-euro area CB Asset Account - ECMS NCB Asset Account
Asset Category	Shows the category of the assets hold by the Internal Asset Account from the possible values: <ul style="list-style-type: none"> - Marketable Assets Account - Credit Claims Account
Collateralisation Technique	Shows the type of the assets hold by the Internal Asset Account from the possible values: <ul style="list-style-type: none"> - PLEDGE - REPO
Validity Start Date	Shows the date from which the Internal Asset Account is active. Displayed format is: DD/MM/YYYY
Is Active	Shows whether the account is active or not. The possible values are: <ul style="list-style-type: none"> - Yes - No
Validity End Date	Shows the date from which the Account is closed. Displayed format is: DD/MM/YYYY The Validity End Date must be equal to or later than the Validity Start Date. If the Account is active, this field is empty.
Validation Details	
Action To Validate	Shows if there is any action waiting for validation.
Rejected	Shows if any action subject to validation has been rejected. The possible values are: <ul style="list-style-type: none"> - Yes - No
Footprint	
Updater User Id	Shows the identification of the user that made the last update.
Update Date	Shows the date and time of the Account last update. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Creation User Id	Shows the identification of the user that created the data.
Creation Date	Shows the date and time of the Account creation. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Address Details	
The existing addresses of the Party are displayed.	
To view the details of an existing mail address, select it and click on the View button.	

Field	Description
Purpose	Shows the Purpose of the Address from the possible values: – Official
Street Address	Shows the street name of the address of the Party. Required/Displayed format is: Max. 70 characters.
House Number	Shows the house number of the address of the Party. Required/Displayed format is: Max. 16 characters.
Postal Code	Shows the postal code of the address of the Party. Required/Displayed format is: Max. 16 characters-.
City	Shows the city of the address of the Party. Required/Displayed format is: Max. 70 characters.
State or Province	Shows the state or province of the address of the Party. Required/Displayed format is: Max. 35 characters.
Country Code	Shows the ISO-2 Code and the description of the country code of the address of the Party. The magnifier icon can also be used. Required/Displayed format is: Max. 2 characters.
To view the details of an existing technical address, select it and click on the View button.	
Field	Description
Purpose	Shows the Purpose of the Address from the possible values: – Securities Settlement – Securities Management – Corporate Actions
Network	Shows the network from the possible values: – Swift – SIA Colt
Technical Address	Shows the technical address for the purpose and network selected. Required format is: Max. 256 characters
To view the details of an existing BIC address, select it and click on the View button.	
Field	Description
Purpose	Shows the Purpose of the Address from the possible values: • Official
BIC Address	Shows the BIC address of the Party. Required format is: Max. 11 characters
To view the details of an existing email address, select it and click on the View button:	
Field	Description
Purpose	Shows the Purpose of the Address from the possible values: – Official
Email	Shows the Email address for the purpose selected. Required format is: Max. 255 characters
Footprint	

Updater User Id	Shows the identification of the user that made the last update.
Update Date	Shows the date and time of the address last update. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Creation User Id	Shows the identification of the user that created the data
Creation Date	Shows the date and time of the Address creation. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.

3.1.2.2 NCB Participant Cash Account – Create Display

3.1.2.2.1 NCB Participant Cash Account – Search Screen

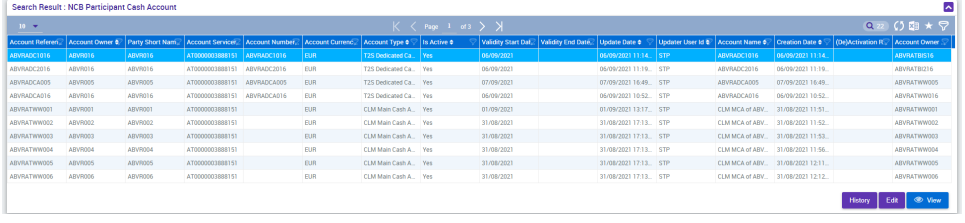
Context of Usage	This screen contains a number of search fields and shows the latest and stored searches. By inputting the relevant data, you can search for NCB Participant Cash Accounts. The search results will be displayed in the <i>NCB Participant Cash Accounts – List Screen</i> .
Screen Access	Depending how the screen is accessed, it can be used to search the valid information of the Accounts or the information that requires 4 eyes validation before they can be considered valid in the system. <i>For valid information:</i> Reference Data >> Reference Data >> Accounts Reference Data >> NCB Participant Cash Accounts Create/Display <i>For information subject to 4 eyes validation:</i> Reference Data >> Reference Data >> Validation / Rejection >> Validate / Reject Accounts Reference Data >> NCB Participant Cash Accounts
Screenshot	

NCB Participant Cash Account – Search Screen	
Field	Description
Account Reference	Enter/Select the unique NCB Participant Cash Account reference. Required format is: Max. 35 characters.
Account Name	Enter the name of the NCB Cash Account reference. Required format is: Max. 255 characters.
Account Owner	Enter/Select the RIAD Code that uniquely identifies the Party in the ECMS to whom the account belongs to from the possible values: – NCB Participant Required format is: Max. 30 characters.
Account Owner BIC	Enter the BIC Code of the Account Owner.

	Required format: Max. 11 characters
Account Ser- vicer	Enter/Select the RIAD Code that uniquely identifies the NCB or Cash Corres- pondent in the ECMS that is the servicer of the account from the possible values: <ul style="list-style-type: none"> - EUR -> Refinancing NCB - Non-EUR -> Cash Correspondent Required format is: Max. 30 characters.
Account Type	Enter/Select the type of the NCB Participant Cash Account from the possible val- ues: <ul style="list-style-type: none"> - CLM Main Cash Account - CLM CB Account - T2S Dedicated Cash Account - Non-euro Cash Account - Contingency Account
Account Cur- rency	Enter/Select the denomination currency of the account. The magnifier icon can also be used. Required: Max. 3 characters (SWIFT-x).
Account Number	Number of the Cash Account in CLM. Required: Max. 34 characters.
Is Active	Enter/Select whether the NCB Participant Cash Account is active or not from the possible values: <ul style="list-style-type: none"> - Yes - No
Creation Date	Enter the reference date of the creation of the Account. The calendar icon can also be used. Required format is: DD/MM/YYYY.
Validity Start Date	Enter the reference date of the activation of the Account. The calendar icon can also be used. Required format is: DD/MM/YYYY.
Validity End Date	Enter the reference date of the closing of the Account or use the calendar icon. Required format is: DD/MM/YYYY. The Validity End Date must be equal to or later than the Validity Start Date.
Update Date	Enter the reference date of the last update of the Account. The calendar icon can also be used. Required format is: DD/MM/YYYY.
Button	Function
Reset	This function enables you to clear the form.
Create	This function enables you to create a new External Cash Account. Next screen: <ul style="list-style-type: none"> - <i>NCB Participant Cash Account – Create Screen.</i>
Search	This function enables you to start a search according to the criteria entered. The results are displayed in a list on the List Screen. Next screen:

– NCB Participant Cash Account – List Screen

3.1.2.2.1.1 NCB Participant Cash Account – List Screen

Context of Usage	<p>This screen contains the search results regarding the NCB Participant Cash Accounts displayed in a list, which is sorted by the values of the 'Update Date' column in descending order (default setting).</p> <p>After selecting an entry, you can proceed further by clicking on the buttons below.</p>
Screen Access	<p>Depending how the screen is accessed, it can be used to list the valid information of the Accounts or the information that requires 4 eyes validation before they can be considered valid in the system.</p> <p><i>For valid information:</i></p> <p>Reference Data >> Reference Data >> Accounts Reference Data >> NCB Participant Cash Accounts Create/Display >> Click on the <i>Search</i> button (after inputting the relevant Reference Data, if needed)</p> <p><i>For information subject to 4 eyes validation:</i></p> <p>Reference Data >> Reference Data >> Validation / Rejection >> Validate / Reject Accounts Reference Data >> NCB Participant Cash Account >> Click on the <i>Search</i> button</p>
Screenshot	 <p>The screenshot shows a table titled 'Search Result: NCB Participant Cash Account'. The table has columns for Account Reference, Account Owner, Party Short Name, Account Servicer, Account Number, Account Currency, Account Type, Action, Validity Start Date, Validity End Date, Update Date, Update User, Account Name, Creation Date, and Deactivation Flag. The table contains 10 rows of data, including accounts like ABVRACC016, ABVRACC005, ABVRACC016, ABVRACC005, ABVRACC016, ABVRACC005, ABVRATW001, ABVRATW002, ABVRATW003, ABVRATW004, ABVRATW005, and ABVRATW006.</p>

NCB Participant Cash Account – List Screen	
Field	Description
Account Reference	Shows the NCB Participant Cash Account reference. Displayed format is: Max. 35 characters.
Account Name	Shows the name of the NCB Cash Account reference. Displayed format is: Max. 255 characters.
Account Servicer	Shows the RIAD Code that uniquely identifies the NCB or Cash Correspondent in the ECMS that is the servicer of the account from the possible values: <ul style="list-style-type: none"> – EUR -> Refinancing NCB – Non-EUR -> Cash Correspondent Displayed format is: Max. 30 characters.
Account Owner	Shows the RIAD Code that uniquely identifies the Party in the ECMS to whom the account belongs to from the possible values: <ul style="list-style-type: none"> – NCB Participant Displayed format is: Max. 30 characters.
Account Owner (PSN)	Shows the Party Short Name of the Party in the ECMS to whom the account belongs to. Displayed format is: Max. 35 characters.

Account Owner BIC	Shows the BIC Code of the Account Owner. Displayed format: Max. 11 characters
Account Type	Shows the type of the NCB Participant Cash Account from the possible values: <ul style="list-style-type: none"> - CLM Main Cash Account - CLM CB Account - T2S Dedicated Cash Account - Non-euro Cash Account - Contingency Account
Account Currency	Shows the denomination currency of the account. Displayed: Max. 3 characters (SWIFT-x).
Account Number	Number of the Cash Account in CLM. Required: Max. 34 characters.
Validity Start Date	Shows the date from which the NCB Participant Cash Account is active. Displayed format is: DD/MM/YYYY.
Validity End Date	Shows the date from which the NCB Participant Cash Account is closed. Displayed format is: DD/MM/YYYY. The Validity End Date must be equal to or later than the Validity Start Date. If the Account is active, this field is empty.
Update Date	Shows the date and time of the last update of the Account. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Updater User Id	Shows the identification of the user that made the last update of the Account.
Is Active	Shows whether the NCB Participant Cash Account is active or not from the possible values: <ul style="list-style-type: none"> - Yes - No
After selecting a record, the following buttons are displayed	
Button	Function
History	This function enables you to access to the historical information of an Account. Next screen: <ul style="list-style-type: none"> - <i>NCB Participant Cash Account – History Screen.</i>
Edit	This function enables you to edit an Account. Next screen: <ul style="list-style-type: none"> - <i>NCB Participant Cash Account– Edit Screen.</i>
View	This function enables you to access to the detailed information of an Account. Next screen: <ul style="list-style-type: none"> - <i>NCB Participant Cash Account – Details Screen.</i>
If the screen is used in the 4 eyes validation context, the following buttons are also displayed when a record is selected	
Button	Function
Compare Draft	In case the 4 eyes validation is required because of an update of the record, this function enables the user to display the change that needs to be validated.

	<p>Next screen:</p> <ul style="list-style-type: none"> - <i>NCB Participant Cash Account – History</i> Screen.
View Draft	<p>This function enables the user to view the draft record to be validated.</p> <p>Next screen:</p> <ul style="list-style-type: none"> - <i>NCB Participant Cash Account – Details</i> Screen.
Edit	<p>This function allows the user to edit and modify the draft.</p> <p>Next screen:</p> <ul style="list-style-type: none"> - <i>NCB Participant Cash Account – Edit</i> Screen.
Validate	<p>This function allows the user to validate the creation or update of the record so that they can be considered valid in the system</p>
Reject	<p>This function allows the user to reject the creation or update of the record. The draft will be deleted.</p>

3.1.2.2.1.1.1 NCB Participant Cash Account – History Screen

Context of Usage	This screen displays historical information on the selected NCB Participant Cash Account. You can check the data and proceed further by clicking on the buttons below.
Screen Access	<ul style="list-style-type: none"> - Reference Data >> Reference Data >> Accounts Reference Data >> NCB Participant Cash Accounts Create/Display >> Click on the <i>Search</i> button (after inputting the relevant Reference Data, if needed) >> Select an NCB Participant Cash Account >> Click on the <i>History</i> button - Reference Data >> Reference Data >> Accounts Reference Data >> NCB Participant Cash Accounts Create/Display >> Click on the <i>Search</i> button (after inputting the relevant Reference Data, if needed) >> Select an NCB Participant Cash Account >> Click on the <i>View</i> button (<i>NCB Cash Account – Details</i> Screen) >> <i>Click on the History</i> Button
Screenshot	

Bank Id			
Eban			
Being Deactivated	No	No	No
Beneficial Owner Type			
Account Owner BIC	ABR12433001	ABR12433001	ABR12433001
Billing Cash Account			
Billing Currency	EUR	EUR	EUR
Branch			
Business Activity			
Celling Amount			
Comment			
Control Digit			
Account Currency	EUR	EUR	EUR
Deactivated Permanently	No	No	No
External T2S Reference			
Floor Amount			
Account Number			
Immediate Bill Payment	No	No	No
Is Blocked	No	No	No
Notification Profile			
Opening Date	31/08/2021	31/08/2021	31/08/2021
Payment Method			
Private Key			
Regional Settings			
Rejection Reason			
Reporting Profile			
Repository			
Validation Status			
Account Name	CLM MCA of ABR12433001	CLM MCA of ABR12433001	CLM MCA of ABR12433001
Acc Long Name			
Creation User Id	STP	STP	STP
(1) difference(s) found			

NCB Participant Cash Account – History Screen	
Field	Description
Fields	Shows the fields whose information have been updated since the creation of the selected NCB Participant Cash Account.
Timestamp	Shows the date and time when the fields have been updated. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Button	Description
Compare	Shows the differences between the selected records whose data have been updated.
Expand All	Shows the expanded information of the fields whose information have been updated since the creation of the selected NCB Participant Cash Account.
Show All	Shows the information that existed before each modification of all the fields regarding the selected NCB Participant Cash Account.
Collapse All	Collapse the expanded information of the fields that have been updated since the creation of the selected NCB Participant Cash Account.
Show Differences Only	Shows only the fields whose information have been updated since the creation of the selected NCB Participant Cash Account.

3.1.2.2.1.2 NCB Participant Cash Account – Details Screen

Context of Usage	This screen displays detailed information on the selected NCB Participant Cash Accounts. You can check the data and proceed further by clicking on the buttons.
Screen Access	Depending how the screen is accessed, it can be used to show the valid information or the information that requires 4 eyes validation before they can be considered valid in the system. For valid information: Reference Data >> Reference Data >> Accounts Reference Data >> NCB Participant Cash Accounts Create/Display >> Click on the Search button (after inputting the relevant Reference Data, if needed) >> Select an NCB Participant Cash Account >> Click on the View button For information subject to 4 eyes validation:

	Reference Data >> Reference Data >> Validation / Rejection >> Validate / Reject Accounts Reference Data >> NCB Participant Cash Account >> Click on the search button (after inputting the relevant Reference Data, if needed) >> Select an NCB Participant Cash Account >> Click on the View button
Screenshot	<p>The screenshot shows the 'View' screen for an NCB Participant Cash Account. It is divided into several sections: <ul style="list-style-type: none"> Account Details: Includes fields for Account Reference (ABYKADCA488), Account Name (ABYKADCA488), Account Currency (EUR), Account Owner (ABYKADCA488), Account Servicer (ABYKADCA488), Account Type (EUR Refinanced Cash Account), Account Owner BIC (BGLA4333XXX), and Account Number. Activation details: Includes fields for Is Active (Yes), Validity Start Date (18/09/2015), Validity End Date, and Disactivation Reason (Advanced Release). Validation Details: Includes fields for Account To Validate and Validated (Yes). Floor Plan: Includes fields for Creation Date (18/09/2015 10:30:20Z), Update Date (18/09/2015 10:30:20Z), Creation User ID (STP), and Update User ID (STP). </p>

NCB Participant Cash Account – Details Screen	
Button	Description
History	<p>This function enables you to access to the historical information of an NCB Participant Cash Account.</p> <p>Next screen:</p> <ul style="list-style-type: none"> – NCB Participant Cash Account – History Screen.
General Information	
Field	Description
Account Reference	<p>Shows the unique NCB Participant Cash Account reference.</p> <p>It is unique per account servicer.</p> <p>Displayed format is: Max. 35 characters.</p>
Account Name	<p>Shows the name of the NCB Participant Cash Account.</p> <p>Displayed format is: Max. 255 characters.</p>
Account Owner	<p>Shows the Internal Identifier that uniquely identifies the Party in the ECMS to whom the account belongs to from the possible values:</p> <ul style="list-style-type: none"> – NCB Participant <p>Displayed format is: Max. 30 characters.</p>
Account Owner RIAD Code	<p>Shows the RIAD Code that uniquely identifies the Party in the ECMS to whom the account belongs</p> <p>Required format is: Max. 30 characters.</p>
Account Owner BIC	<p>Shows the BIC Code of the Account Owner.</p> <p>Displayed format is: Max. 11 characters.</p>
Account Servicer	<p>Shows the RIAD Code that uniquely identifies the NCB or Cash Correspondent in the ECMS that is the servicer of the account from the possible values:</p> <ul style="list-style-type: none"> – EUR -> Refinancing NCB – Non-EUR -> Cash Correspondent <p>Displayed format is: Max. 30 characters.</p>
Account Type	<p>Shows the type of the NCB Participant Cash Account from the possible values:</p>

	<ul style="list-style-type: none"> - CLM Main Cash Account - CLM CB Account - T2S Dedicated Cash Account - Non-euro Cash Account - Contingency Account
Account Number	Number of the Cash Account in CLM. Required: Max. 34 characters.
Account Currency	Shows the denomination currency of the account. Displayed: Max. 3 characters (SWIFT-x).
Activation Details	
Is Active	Shows whether the account is active or not. The possible values are: <ul style="list-style-type: none"> - Yes - No
(De)Activation Reason	Shows the reason informed when the NCB Participant Cash Account was (de)activated.
Validity Start Date	Shows the date from which the NCB Participant Cash Account is active. Displayed format is: DD/MM/YYYY
Validity End Date	Shows the date from which the Account is closed. Displayed format is: DD/MM/YYYY. The Validity End Date must be equal to or later than the Validity Start Date. If the Account is active, this field is empty.
Validation Details	
Action To Validate	Shows if there is any action waiting for validation.
Rejected	Shows if any action subject to validation has been rejected. The possible values are: <ul style="list-style-type: none"> - Yes - No
Footprint	
Updater User Id	Shows the identification of the user that made the last update.
Update Date	Shows the date and time of the Account last update. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Creation User Id	Shows the identification of the user that created the data.
Creation Date	Shows the date and time of the Account creation. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.

3.1.2.3 External Asset Account – Create Display

3.1.2.3.1 External Asset Account – Search Screen

Context of Usage	This screen contains a number of search fields and shows the latest and stored searches. By inputting the relevant data, you can search for External Asset Accounts. The search results will be displayed in the External Asset Accounts – List Screen.
Screen Access	<p>Depending how the screen is accessed, it can be used to search the valid information of the Accounts or the information that requires 4 eyes validation before they can be considered valid in the system.</p> <p><i>For valid information subject:</i></p> <p>Reference Data >> Reference Data >> Accounts Reference Data >> External Asset Account</p> <p><i>For information subject to 4 eyes validation:</i></p> <p>Reference Data >> Reference Data >> Validation / Rejection >> Validate / Reject Accounts Reference Data >> External Asset Account</p>
Screenshot	

External Asset Accounts – Search Screen	
Field	Description
Account Servicer	<p>Enter/Select the RIAD Code that uniquely identifies the CSD in the ECMS that is the servicer of the account from the possible values:</p> <ul style="list-style-type: none"> – A CSD flagged as local for the Refinancing NCB – An NCB acting as NCB Custodian <p>Required format is: Max. 30 characters.</p>
Account Owner	<p>Enter the RIAD Code that uniquely identifies the Party in the ECMS to whom the account belongs to, and also the “Party Short Name” from the possible values:</p> <ul style="list-style-type: none"> – The Refinancing NCB – A NCB Participant with Entity Type equal to Counterparty. <p>Required format is: Max. 70 characters.</p>
Account Reference	<p>Enter the External Asset Account reference.</p> <p>It is unique per account servicer.</p> <p>Required format is: Max. 35 characters.</p>
Account Name	<p>Enter the name of the External Asset Account.</p> <p>Required format is: Max. 255 characters.</p>
T2S Account Owner BIC	<p>Enter the BIC code of the T2S account owner in T2S system.</p> <p>Required format is: Max. 11 characters</p>
Account Type	<p>Enter/Select the type of the External Asset Account from the possible values:</p> <ul style="list-style-type: none"> – ECMS Cross NCB Asset Account (mirror) – T2S Securities Account for Regular Collateral – T2S Securities Account for Triparty Collateral

	– T2S Securities Account for Intraday Auto-Collateral
Asset Category	[Only for ECMS Cross NCB Asset Account (mirror)] Enter/Select the category of the assets held in the ECMS Cross NCB Asset Account (mirror) from the possible values: – Marketable Assets Account – Credit Claims Account
Is Active	Enter/Select whether the External Asset Account is active or not from the possible values: – Yes – No
Creation Date	Enter the reference date of the creation of the Account. The calendar icon can also be used. Required format is: DD/MM/YYYY.
Validity Start Date	Enter the reference date of the activation of the Account. The calendar icon can also be used. Required format is: DD/MM/YYYY.
Validity End Date	Enter the reference date of the closing of the Account or use the calendar icon. Required format is: DD/MM/YYYY. The Validity End Date must be equal to or later than the Validity Start Date.
Update Date	Enter the reference date of the last update of the Account. The calendar icon can also be used. Required format is: DD/MM/YYYY.
Button	Function
Reset	This function enables you to clear the form.
Create	This function enables you to create a new External Asset Account. Next screen: – <i>External Asset Account – Create</i> Screen.
Search	This function enables you to start a search according to the criteria entered. The results are displayed in a list on the List Screen. Next screen: – <i>External Asset Account – List</i> Screen

3.1.2.3.1.1 External Asset Account – List Screen

Context of Usage	This screen contains the search results regarding the External Asset Accounts displayed in a list, which is sorted by the values of the 'Update Date' column in descending order (default setting). After selecting an entry, you can proceed further by clicking on the buttons below.
Screen Access	Depending how the screen is accessed, it can be used to list the valid information of the Accounts or the information that requires 4 eyes validation before they can be considered valid in the system. <i>For valid information:</i>

	<p>Reference Data >> Accounts Reference Data >> External Asset Accounts Create/ Display >> Click on the <i>Search</i> button (after inputting the relevant Reference Data, if needed)</p> <p><i>For information subject to 4 eyes validation:</i></p> <p>Reference Data >> Validation / Rejection >> Validate / Reject Accounts Reference Data >> External Asset Accounts >> Click on the <i>Search</i> button</p>
Screenshot	

External Asset Account – List Screen	
Field	Description
Account Reference	Shows the External Asset Account reference. Displayed format is: Max. 35 characters.
Account Servicer	Shows the RIAD Code that uniquely identifies the CSD in the ECMS that is the servicer of the account. Displayed format is: Max. 30 characters.
Account Servicer (PSN)	Shows the Party Short Name of the Party in the ECMS to that is the servicer of the account. Displayed format is: Max. 35 characters.
Account Owner	Shows the RIAD Code that uniquely identifies the Party in the ECMS to whom the account belongs to, and also the “Party Short Name”.. Displayed format is: Max. 70 characters.
Account Owner (PSN)	Shows the Party Short Name of the Party in the ECMS to whom the account belongs to. Displayed format is: Max. 35 characters.
Account Name	Shows the name of the External Asset Account. Displayed format is: Max. 255 characters.
T2S Account Owner BIC	Shows the BIC code of the T2S account owner in T2S system. Displayed format is: Max. 11 characters
Account Type	Shows the type of the External Asset Account from the possible values: <ul style="list-style-type: none"> – ECMS Cross NCB Asset Account (mirror) – T2S Securities Account for Regular Collateral – T2S Securities Account for Triparty Collateral – T2S Securities Account for Intraday Auto-Collateral
Asset Category	[Only for ECMS Cross NCB Asset Account (mirror)] Shows the category of the assets held in the ECMS Cross NCB Asset Account (mirror) from the possible values: <ul style="list-style-type: none"> – Marketable Assets Account – Credit Claims Account

Validity Start Date	Shows the date from which the External Asset Account is active. Displayed format is: DD/MM/YYYY
Validity End Date	Shows the date from which the External Asset Account is closed. Displayed format is: DD/MM/YYYY. The Validity End Date must be equal to or later than the Validity Start Date. If the Account is active, this field is empty.
Creation Date	Shows the date and time of the creation of the Account. Displayed format is: DD/MM/YYYY hh:mm:ss:µs
Update Date	Shows the date and time of the last update of the Account. Displayed format is: DD/MM/YYYY hh:mm:ss:µs
Updater User Id	Shows the identification of the user that made the last update of the Account.
Is Active	Shows whether the External Asset Account is active or not from the possible values: <ul style="list-style-type: none"> - Yes - No
After selecting a record, the following buttons are displayed	
Button	Function
History	This function enables you to access to the historical information of an Account. Next screen: External Asset Account – History Screen.
Edit	This function enables you to edit an Account. Next screen: External Asset Account – Edit Screen.
View	This function enables you to access to the detailed information of an Account. Next screen: External Asset Account – Details Screen.
If the screen is used in the 4 eyes validation context, the following buttons are also displayed when a record is selected	
Button	Function
Compare Draft	In case the 4 eyes validation is required because of an update of the record, this function enables the user to display the change that needs to be validated. Next screen: External Asset Account – History Screen.
View Draft	This function enables the user to view the draft record to be validated. Next screen: External Asset Account – Details Screen.
Edit	This function allows the user to edit and modify the draft. Next screen: External Asset Account – Edit Screen.
Validate	This function allows the user to validate the creation or update of the record so that they can be considered valid in the system

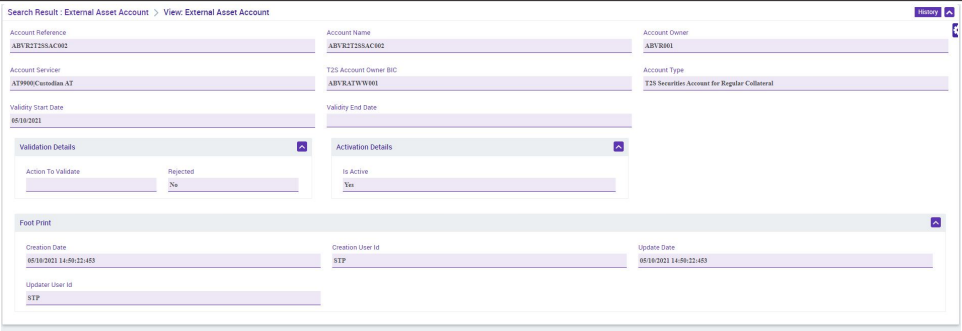
Reject	This function allows the user to reject the creation or update of the record. The draft will be deleted.
--------	--

3.1.2.3.1.1.1 External Asset Account – History Screen

Context of Usage	This screen displays historical information on the selected External Asset Account. You can check the data and proceed further by clicking on the buttons below.
Screen Access	<ul style="list-style-type: none"> – Reference Data >> Reference Data >> Accounts Reference Data >> External Asset Accounts Create/Display >> Click on the Search button (after inputting the relevant Reference Data, if needed) >> Select an External Asset Account >> Click on the History button – Reference Data >> Reference Data >> Accounts Reference Data >> External Asset Accounts Create/Display >> Click on the Search button (after inputting the relevant Reference Data, if needed) >> Select an External Asset Account >> Click on the View button (External Asset Account – Details Screen) >> Click on the History Button
Screenshot	<p>The screenshot shows a table titled 'History - External Asset Account' with columns for 'Fields', 'Timestamp', and 'Compare'. The table lists various fields such as 'Account Services', 'T2S Account Owner ID', 'Validity Start Date', 'Update Date', 'Account Reference', 'Account Name', 'Account Owner', 'Account Type', 'Validity End Date', 'Asset Category', and 'Creation User Id'. Each row represents a specific update event with its corresponding timestamp and a 'Compare' button.</p>

External Asset Account – History Screen	
Field	Description
Fields	Shows the fields whose information have been updated since the creation of the selected External Asset Account.
Timestamp	Shows the date and time when the fields have been updated. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Button	Description
Compare	Shows the differences between the selected records whose data have been updated.
Expand All	Shows the expanded information of the fields whose information have been updated since the creation of the selected External Asset Account.
Show All	Shows the information that existed before each modification of all the fields regarding the selected External Asset Account.
Collapse All	Collapse the expanded information of the fields that have been updated since the creation of the selected External Asset Account.
Show Differences Only	Shows only the fields whose information have been updated since the creation of the selected External Asset Account.

3.1.2.3.1.2 External Asset Account – Details Screen

Context of Usage	This screen displays detailed information on the selected External Asset Accounts. You can check the data and proceed further by clicking on the buttons.
Screen Access	<p>Depending how the screen is accessed, it can be used to show the valid information or the information that requires 4 eyes validation before they can be considered valid in the system.</p> <p><i>For valid information:</i></p> <p>Reference Data >> Reference Data >> Accounts Reference Data >> External Asset Accounts Create/Display >> Click on the Search button (after inputting the relevant Reference Data, if needed) >> Select an External Asset Account >> Click on the View button</p> <p><i>For information subject to 4 eyes validation:</i></p> <p>Reference Data >> Reference Data >> Validation / Rejection >> Validate / Reject Accounts Reference Data >> External Asset Account >> Click on the search button (after inputting the relevant Reference Data, if needed) >> Select an External Asset Account >> Click on the View button</p>
Screenshot	

External Asset Account – Details Screen	
Button	Description
History	<p>This function enables you to access to the historical information of an External Asset Account.</p> <p>Next screen:</p> <p>– External Asset Account – History Screen.</p>
General Information	
Field	Description
Account Reference	Shows the unique External Asset Account reference. Displayed format is: Max. 35 characters.
Account Name	Shows the name of the External Asset Account. Displayed format is: Max. 255 characters
Account Servicer	Shows the RIAD Code that uniquely identifies the CSD in the ECMS that is the servicer of the account. Displayed format is: Max. 30 characters.
Account Owner	Shows the RIAD Code that uniquely identifies the Party in the ECMS to whom the account belongs to, and also the “Party Short Name”. Displayed format is: Max. 70 characters.

T2S Account Owner BIC	Shows the BIC Code of the T2S Account Owner in T2S system. Displayed format is: Max. 11 characters.
Account Type	Shows the type of the External Asset Account from the possible values: <ul style="list-style-type: none"> - ECMS Cross NCB Asset Account (mirror) - T2S Securities Account for Regular Collateral - T2S Securities Account for Triparty Collateral - T2S Securities Account for Intraday Auto-Collateral
Asset Category	[Only for ECMS Cross NCB Asset Account (mirror)] Shows the category of the assets held in the ECMS Cross NCB Asset Account (mirror) from the possible values: <ul style="list-style-type: none"> - Marketable Assets Account - Credit Claims Account
Is Active	Shows whether the account is active or not. The possible values are: <ul style="list-style-type: none"> - Yes - No
Validity Start Date	Shows the date from which the External Asset Account is active. Displayed format is: DD/MM/YYYY
Validity End Date	Shows the date from which the Account is closed. Displayed format is: DD/MM/YYYY The Validity End Date must be equal to or later than the Validity Start Date. If the Account is active, this field is empty.
Validation Details	
Action To Validate	Shows if there is any action waiting for validation.
Rejected	Shows if any action subject to validation has been rejected. The possible values are: <ul style="list-style-type: none"> - Yes - No
Footprint	
Updater User Id	Shows the identification of the user that made the last update.
Update Date	Shows the date and time of the Account last update. Displayed format is: DD/MM/YYYY hh:mm:ss:µs
Creation User Id	Shows the identification of the user that created the data
Creation Date	Shows the date and time of the Account creation. Displayed format is: DD/MM/YYYY hh:mm:ss:µs

3.1.2.4 Asset - Cash Account Link

3.1.2.4.1 Internal Asset Account / External Cash Account Links

3.1.2.4.1.1 Internal Asset Account / External Cash Account Links Create / Display

3.1.2.4.1.1.1 Internal Asset Account / External Cash Account Link – Search Screen

Context of Usage	<p>This screen contains a number of search fields. By inputting the relevant data, you can search for the Internal Asset Account / External Cash Account Links.</p> <p>The search results will be displayed in a list. After selecting an entry, you can proceed further by clicking on the buttons that are shown.</p>
Screen Access	<p>Depending how the screen is accessed, it can be used to search the valid information of the Links or the information that requires 4 eyes validation before they can be considered valid in the system.</p> <p><i>For valid information:</i></p> <p>Reference Data >> Reference Data >> Accounts Reference Data >> Asset – Cash Accounts Link >> Internal Asset Account / External Cash Account Link Create/ Display</p> <p><i>For information subject to 4 eyes validation:</i></p> <p>Reference Data >> Reference Data >> Validation / Rejection >> Validate / Reject Accounts Reference Data >> Validate/Reject Asset-Cash Accounts Link/Internal Asset Account / External Cash Account Links</p>
Screenshot	

Internal Asset Account / External Cash Account Links – Search screen	
Field	Description
Internal Asset Account Ref.	<p>Enter / Select the Internal Asset Account reference that identifies the account to whom the link between Internal Asset Account and Cash Account applies.</p> <p>Required format is: Max. 35 characters.</p>
NCB Participant	<p>Enter / Select the RIAD Code that uniquely identifies the Party in the ECMS.</p>
Currency	<p>Enter / Select the currency of the cash payment. The magnifier icon can also be used.</p> <p>Required format is: Max. 3 characters (SWIFT-x).</p>
Creation Date	<p>Enter the reference date of the creation of the Internal Asset Account / External Cash Account Link or use the calendar icon.</p> <p>Required format is: DD/MM/YYYY hh:mm:ss:µs</p>
Validity Start Date	<p>Enter the date from which the Internal Asset Account / External Cash Account Link is valid. The calendar icon can also be used.</p> <p>Required format is: DD/MM/YYYY.</p>

Validity End Date	Enter the date from which the Internal Asset Account / External Cash Account Link is not valid anymore or use the calendar icon. Required format is: DD/MM/YYYY. The Validity End Date must be equal to or later than the Validity Start Date.
Update Date	Enter the reference date of the last update of the Internal Asset Account / External Cash Account Link or use the calendar icon. Required format is: DD/MM/YYYY hh:mm:ss:µs
Button	Function
Reset	This function enables you to clear the form.
Create	This function enables you to create a new Internal Asset Account / External Cash Account Link. Next screen: – Internal Asset Account / External Cash Account Link – Create Screen.
Search	This function enables you to start a search according to the filled in criteria. The results are displayed in a list on the List Screen. Next screen: – Internal Asset Account / External Cash Account Link – List Screen

3.1.2.4.1.1.1. Internal Asset Account / External Cash Account Link – List Screen

1

Context of Usage	This screen contains the search results displayed in a list, which is sorted by the values of the 'Update Date' column in descending order (default setting). After selecting an entry, you can proceed further by clicking on the buttons below.
Screen Access	Depending how the screen is accessed, it can be used to list the valid information of the Links or the information that requires 4 eyes validation before they can be considered valid in the system. <i>For valid information:</i> Reference Data >> Reference Data >> Accounts Reference Data >> Asset – Cash Accounts Link >> Internal Asset Account / External Cash Account Link Create/Display >> Click on the search button (after inputting the relevant Reference Data, if needed) <i>For information subject to 4 eyes validation:</i> Reference Data >> Reference Data >> Validation / Rejection >> Validate / Reject Accounts Reference Data >> Validate/reject Asset-Cash Accounts Link>>Internal Asset Account / External Cash Account Links >> Click on the search button
Screenshot	

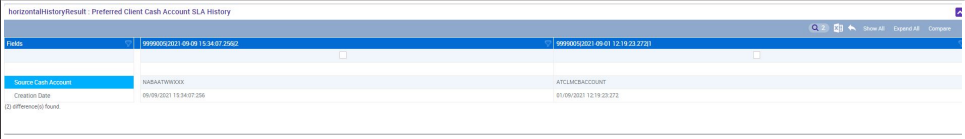
Internal Asset Account / External Cash Account Link – List Screen	
Field	Description

Internal Asset Account Ref.	Shows the Internal Asset Account reference that identifies the account to whom the link between Internal Asset Account and Cash Account applies. Displayed format is: Max. 35 characters.
NCB Participant	Shows the RIAD Code that uniquely identifies the Party in the ECMS.
Currency	Shows the currency of the cash payment. Displayed format is: Max. 3 characters (SWIFT-x).
Source Cash Account	Shows the reference of the NCB Cash Account from where the payment is made. Displayed format is: Max. 35 characters
Destination Cash Account	Shows the reference of the NCB Participant Cash Account where the payment is made. Displayed format is: Max. 35 characters
Validity Start Date	Shows the date from which the Internal Asset Account - External Cash Account Link is valid. Displayed format is: DD/MM/YYYY.
Validity End Date	Shows the date from which the Internal Asset Account - External Cash Account Link is not valid anymore. Displayed format is: DD/MM/YYYY. The Validity End Date must be equal to or later than the Validity Start Date.
Update Date	Shows the date and time of the last update of the Internal Asset Account - External Cash Account Link. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Updater User Id	Shows the identification of the user that made the last update of the Internal Asset Account / External Cash Account Link.
After selecting a record, the following buttons are displayed	
Button	Function
History	This function enables you to access to the historical information of an Internal Asset Account / External Cash Account Link. Next screen: – <i>Internal Asset Account / External Cash Account Link – History</i> Screen.
Edit	This function enables you to edit an Internal Asset Account / External Cash Account Link. Next screen: – <i>Internal Asset Account / External Cash Account Link – Edit</i> Screen.
View	This function enables you to access to the detailed information of an Internal Asset Account / External Cash Account Link. Next screen: – <i>Internal Asset Account / External Cash Account Link – Details</i> Screen.
If the screen is used in the 4 eyes validation context, the following buttons are also displayed when a record is selected	
Button	Function
Compare Draft	In case the 4 eyes validation is required because of an update of the record, this function enables the user to display the change that needs to be validated. Next screen:

	– <i>Internal Asset Account / External Cash Account Link – History</i> Screen.
View Draft	This function enables the user to view the draft record to be validated. Next screen: – <i>Internal Asset Account / External Cash Account Link – Details</i> Screen.
Edit	This function allows the user to edit and modify the draft. Next screen: – <i>Internal Asset Account / External Cash Account Link – Edit</i> Screen.
Validate	This function allows the user to validate the creation or update of the record so that they can be considered valid in the system
Reject	This function allows the user to reject the creation or update of the record. The draft will be deleted.

3.1.2.4.1.1.1.1. Internal Asset Account / External Cash Account Link – History Screen

1

Context of Usage	This screen displays historical information that has changed on the selected Internal Asset Account / External Cash Account Link. You can check the data, including the user who made the changes, and proceed further by clicking on the buttons that are shown.
Screen Access	<ul style="list-style-type: none"> – Reference Data >> Reference Data >> Accounts Reference Data >> Asset – Cash Accounts Link >> Internal Asset Account / External Cash Account Link Create/Display >> Click on the search button (after inputting the relevant Reference Data, if needed) >> Select an Internal Asset Account / External Cash Account Link >> Click on the <i>History</i> button – Reference Data >> Reference Data >> Accounts Reference Data >> Asset – Cash Accounts Link >> Internal Asset Account / External Cash Account Link Create/Display >> Click on the search button (after inputting the relevant Reference Data, if needed) >> Select an Internal Asset Account / External Cash Account Link >> Click on the <i>View</i> button (<i>Internal Asset Account / External Cash Account Link – Details</i> Screen) >> Click on the <i>History</i> Button
Screenshot	

Internal Asset Account / External Cash Account Link – History Screen

Field	Description
Fields	Shows the fields whose information have been updated since the creation of the selected Internal Asset Account / External Cash Account Link.
Timestamp	Shows the date and time when the fields have been updated. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Button	Description
Compare	Shows the differences between the selected records whose data have been updated.
Expand All	Shows the expanded information of the fields whose information have been updated since the creation of the selected Internal Asset Account / External Cash Account Link.

Show All	Shows the information that existed before each modification of all the fields regarding the selected Internal Asset Account / External Cash Account Link.
Collapse All	Collapse the expanded information of the fields that have been updated since the creation of the selected Internal Asset Account / External Cash Account Link.
Show Differences Only	Shows only the fields whose information have been updated since the creation of the selected Internal Asset Account / External Cash Account Link.

3.1.2.4.1.1.1. Internal Asset Account / External Cash Account Link – Details Screen

2

Context of Usage	This screen displays detailed information on the selected Internal Asset Account / External Cash Account Link. You can check the data and proceed further by clicking on the buttons.
Screen Access	<p>Depending how the screen is accessed, it can be used to show the valid information or the information that requires 4 eyes validation before they can be considered valid in the system.</p> <p>For validation information:</p> <p>Reference Data >> Reference Data >> Accounts Reference Data >> Asset – Cash Account Link >> Internal Asset Account / External Cash Account Link Create/ Display >> Click on the search button (after inputting the relevant Reference Data, if needed) >> Select an Internal Asset Account / External Cash Account Link >> Click on the <i>View</i> button</p> <p>For information subject to 4 eyes validation:</p> <p>Reference Data >> Reference Data >> Validation / Rejection >> Validate / Reject Accounts Reference Data >> Validate / Reject Asset – Cash Accounts link >> Internal Asset Account / External Cash Account Links >> Click on the search button >> Select an Internal Asset Account - External Cash Account Link >> Click on the View button</p>
Screenshot	

Internal Asset Account / External Cash Account Link – Details Screen	
Button	Description
History	<p>This function enables you to access to the historical information of an Internal Asset Account / External Cash Account Link.</p> <p>Next screen:</p> <ul style="list-style-type: none"> – <i>Internal Asset Account / External Cash Account Links – History</i> Screen.

Context	
Field	Description
Internal Asset Account Ref.	Shows the Internal Asset Account reference that identifies the account to whom the link between Internal Asset Account and Cash Account applies. Displayed format is: Max. 35 characters.
NCB Participant	Shows the RIAD Code that uniquely identifies the Party in the ECMS.
Currency	Shows the currency of the cash payment. Displayed format is: Max. 3 characters (SWIFT-x).
Result	
Source Cash Account	Shows the reference of the NCB Cash Account from where the payment is made. Displayed format is: Max. 35 characters
Destination Cash Account	Shows the reference of the NCB Participant Cash Account where the payment is made. Displayed format is: Max. 35 characters
Validity Start Date	Shows the date from which the Internal Asset Account / External Cash Account Link is valid. Displayed format is: DD/MM/YYYY.
Validity End Date	Shows the date from which the Internal Asset Account / External Cash Account Link is not valid anymore. Displayed format is: DD/MM/YYYY. The Validity End Date must be equal to or later than the Validity Start Date.
Validation details	
Action To Validate	Shows if there is any action waiting for validation.
Rejected	Shows if any action subject to validation has been rejected. The possible values are: <ul style="list-style-type: none"> - Yes - No
Foot Print	
Creation Date	Shows the date and time of the creation of the Internal Asset Account / External Cash Account Link. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Creation User Id	Shows the identification of the user that created the Internal Asset Account / External Cash Account Link.
Update Date	Shows the date and time of the update of the Internal Asset Account / External Cash Account Link. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Updater User Id	Shows the identification of the user that made the last update of the Internal Asset Account / External Cash Account Link.

3.1.2.4.2 External Asset Account / External Cash Account Links

3.1.2.4.2.1 External Asset Account / External Cash Account Links Create / Display

3.1.2.4.2.1.1 External Asset Account / External Cash Account Link – Search Screen

Context of Usage	<p>This screen contains a number of search fields. By inputting the relevant data, you can search for the External Asset Account / External Cash Account Links.</p> <p>The search results will be displayed in a list. After selecting an entry, you can proceed further by clicking on the buttons that are shown.</p>
Screen Access	<p>Depending how the screen is accessed, it can be used to search the valid information of the Links or the information that requires 4 eyes validation before they can be considered valid in the system.</p> <p><i>For valid information:</i></p> <p>Reference Data >> Reference Data >> Accounts Reference Data >> Asset – Cash Accounts Link >> External Asset Account / External Cash Account Link Create/ Display</p> <p><i>For information subject to 4 eyes validation:</i></p> <p>Reference Data >> Reference Data >> Validation / Rejection >> Validate / Reject Accounts Reference Data >> Validate / Reject Asset-Cash Accounts link >> External Asset Account / External Cash Account Link</p>
Screenshot	

External Asset Account / External Cash Account Link – Search screen	
Field	Description
External Asset Account Ref.	<p>Enter / Select the External Asset Account reference that identifies the account to whom the link between Internal Asset Account and Cash Account applies.</p> <p>Required format is: Max. 35 characters.</p>
Currency	<p>Enter / Select the currency of the cash payment. The magnifier icon can also be used.</p> <p>Required format is: Max. 3 characters (SWIFT-x).</p>
Destination Cash Account	<p>Enter / Select the identifier of the NCB Cash Account where the payment is made.</p> <p>Required format is: Max. 35 characters</p>
Creation Date	<p>Enter the reference date of the creation of the External Asset Account / External Cash Account Link or use the calendar icon.</p> <p>Required format is: DD/MM/YYYY hh:mm:ss:µs</p>
Validity Start Date	<p>Enter the date from which the External Asset Account - External Cash Account Link is valid. The calendar icon can also be used.</p> <p>Required format is: DD/MM/YYYY.</p>

Validity End Date	Enter the date from which the External Asset Account - External Cash Account Link is not valid anymore or use the calendar icon. Required format is: DD/MM/YYYY. The Validity End Date must be equal to or later than the Validity Start Date.
Update Date	Enter the reference date of the last update of the External Asset Account - External Cash Account Link or use the calendar icon. Required format is: DD/MM/YYYY hh:mm:ss:µs
Button	Function
Reset	This function enables you to clear the form.
Create	This function enables you to create a new External Asset Account / External Cash Account Link. Next screen: – External Asset Account / External Cash Account Link – Create Screen.
Search	This function enables you to start a search according to the filled in criteria. The results are displayed in a list on the List Screen. Next screen: – External Asset Account / External Cash Account Link – List Screen.

3.1.2.4.2.1.1. External Asset Account / External Cash Account Link – List Screen

1

Context of Usage	This screen contains the search results displayed in a list, which is sorted by the values of the 'Update Date' column in descending order (default setting). After selecting an entry, you can proceed further by clicking on the buttons below.
Screen Access	Depending how the screen is accessed, it can be used to list the valid information of the Links or the information that requires 4 eyes validation before they can be considered valid in the system. <i>For valid information:</i> Reference Data >> Reference Data >> Accounts Reference Data >> Asset – Cash Account Link >> External Asset Account / External Cash Account Link Create/ Display >> Click on the search button (after inputting the relevant Reference Data, if needed) <i>For information subject to 4 eyes validation:</i> Reference Data >> Reference Data >> Validation / Rejection >> Validate / Reject Accounts Reference Data >> Validate / Reject Asset – Cash Accounts link >> External Asset Account - External Cash Account Links >> Click on the search button
Screenshot	

External Asset Account / External Cash Account Link – List Screen	
Field	Description

External Asset Account Ref.	Shows the External Asset Account reference that identifies the account to whom the link between External Asset Account and Cash Account applies. Displayed format is: Max. 35 characters.
Currency	Shows the currency of the cash payment. Displayed format is: Max. 3 characters (SWIFT-x).
Destination Cash Account	Shows the identifier of the NCB Cash Account where the payment is made. Displayed format is: Max. 35 characters
Validity Start Date	Shows the date from which the External Asset Account / External Cash Account Link is valid. Displayed format is: DD/MM/YYYY.
Validity End Date	Shows the date from which the External Asset Account / External Cash Account Link is not valid anymore. Displayed format is: DD/MM/YYYY. The Validity End Date must be equal to or later than the Validity Start Date.
Update Date	Shows the date and time of the last update of the External Asset Account / External Cash Account Link. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Updater User Id	Shows the identification of the user that made the last update of the External Asset Account / External Cash Account Link.
After selecting a record, the following buttons are displayed	
Button	Function
History	This function enables you to access to the historical information of a External Asset Account/External Cash Account Link Next screen: – External Asset Account - External Cash Account Link – History Screen.
Edit	This function enables you to edit an External Asset Account - External Cash Account Link. Next screen: – External Asset Account / External Cash Account Link – Edit Screen.
View	This function enables you to access to the detailed information of an External Asset Account - External Cash Account Link. Next screen: – External Asset Account / External Cash Account Link – Details Screen.
If the screen is used in the 4 eyes validation context, the following buttons are also displayed when a record is selected	
Button	Function
Compare Draft	In case the 4 eyes validation is required because of an update of the record, this function enables the user to display the change that needs to be validated. Next screen: – External Asset Account /-External Cash Account Link – History Screen.
View Draft	This function enables the user to view the draft record to be validated. Next screen: – External Asset Account - External Cash Account Link – Details Screen.

Edit	This function allows the user to edit and modify the draft. Next screen: – <i>External Asset Account / External Cash Account Link – Edit</i> Screen.
Validate	This function allows the user to validate the creation or update of the record so that they can be considered valid in the system
Reject	This function allows the user to reject the creation or update of the record. The draft will be deleted.

3.1.2.4.2.1.1.1. External Asset Account / External Cash Account Link – History Screen

1

Context of Usage	This screen displays historical information that has changed on the selected External Asset Account / External Cash Account Link. You can check the data, including the user who made the changes, and proceed further by clicking on the buttons that are shown.
Screen Access	<ul style="list-style-type: none"> – Reference Data >> Reference Data >> Accounts Reference Data >> Asset – Cash Accounts Link >> External Asset Account / External Cash Account Link Create/Display >> Click on the search button (after inputting the relevant Reference Data, if needed) >> Select an External Asset Account / External Cash Account Link >> Click on the <i>History</i> button – Reference Data >> Reference Data >> Accounts Reference Data >> Asset – Cash Accounts Link >> External Asset Account / External Cash Account Link Create/Display >> Click on the search button (after inputting the relevant Reference Data, if needed) >> Select an External Asset Account / External Cash Account Link >> Click on the <i>View</i> button (<i>External Asset Account / External Cash Account Link – Details</i> Screen) >> <i>Click on the History Button</i>
Screenshot	

External Asset Account / External Cash Account Link – History Screen	
Field	Description
Fields	Shows the fields whose information have been updated since the creation of the selected External Asset Account / External Cash Account Link.
Timestamp	Shows the date and time when the fields have been updated. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Button	Description
Compare	Shows the differences between the selected records whose data have been updated.
Expand All	Shows the expanded information of the fields whose information have been updated since the creation of the selected External Asset Account / External Cash Account Link.

Show All	Shows the information that existed before each modification of all the fields regarding the selected External Asset Account / External Cash Account Link.
Collapse All	Collapse the expanded information of the fields that have been updated since the creation of the selected External Asset Account / External Cash Account Link.
Show Differences Only	Shows only the fields whose information have been updated since the creation of the selected External Asset Account / External Cash Account Link.

3.1.2.4.2.1.1. External Asset Account / External Cash Account Link – Details Screen

2

Context of Usage	This screen displays detailed information on the selected External Asset Account / External Cash Account Link. You can check the data and proceed further by clicking on the buttons.
Screen Access	<p>Depending how the screen is accessed, it can be used to show the valid information or the information that requires 4 eyes validation before they can be considered valid in the system.</p> <p>For valid information: Reference Data >> Reference Data >> Accounts Reference Data >> Asset – Cash Account Link >> External Asset Account / External Cash Account Link Create/Display >> Click on the search button (after inputting the relevant Reference Data, if needed) >> Select an External Asset Account / External Cash Account Link >> Click on the <i>View</i> button</p> <p>For information subject to 4 eyes validation: Reference Data >> Reference Data >> Validation / Rejection >> Validate / Reject Accounts Reference Data >> Validate / Reject Asset – Cash Accounts link >> External Asset Account - External Cash Account Links >> Click on the search button >> Select an External Asset Account – External Cash Account Link >> Click on the View button</p>
Screenshot	

External Asset Account / External Cash Account Link – Details Screen	
Field	Description
History	<p>This function enables you to access to the historical information of an External Asset Account / External Cash Account Link.</p> <p>Next screen: – <i>External Asset Account / External Cash Account Links – History</i> Screen.</p>
Context	

Object	Description
External Asset Account Ref.	Shows the External Asset Account reference that identifies the account to whom the link between External Asset Account and Cash Account applies. Displayed format is: Max. 35 characters.
Currency	Shows the currency of the cash payment. Displayed format is: Max. 3 characters (SWIFT-x).
Result	
Destination Cash Account	Shows the identifier of the NCB Cash Account where the payment is made. Displayed format is: Max. 35 characters
Validity Start Date	Shows the date from which the External Asset Account / External Cash Account Link is valid. Displayed format is: DD/MM/YYYY.
Validity End Date	Shows the date from which the External Asset Account / External Cash Account Link is not valid anymore. Displayed format is: DD/MM/YYYY. The Validity End Date must be equal to or later than the Validity Start Date.
Validation details	
Action To Validate	Shows if there is any action waiting for validation.
Rejected	Shows if any action subject to validation has been rejected. The possible values are: <ul style="list-style-type: none"> - Yes - No
Foot Print	
Creation Date	Shows the date and time of the creation of the External Asset Account / External Cash Account Link. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Creation User Id	Shows the identification of the user that created the External Asset Account / External Cash Account Link.
Update Date	Shows the date and time of the update of the External Asset Account / External Cash Account Link. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Updater User Id	Shows the identification of the user that made the last update of the External Asset Account / External Cash Account Link.
Destination Cash Account	
The existing Destination Cash accounts are displayed	
Field	Description
Destination Cash Account	Shows the identifier of the NCB Cash Account where the payment is made (in case of positive cash flow).

3.1.3 Settlement Possibilities and Eligible CSD Links

The ECMS maintains a list of **Settlement Possibilities** that is used to determine the T2S Securities Accounts that receives the asset for the processing of a Marketable Asset Mobilisation Instruction received from a Counterparty. In case this account is opened in a CSD not belonging to the Counterparty’s refinancing Central Bank community, the settlement possibility indicates also the Cross NCB Asset Account where the cross-border mobilisation is to be materialised.

3.1.3.1 Settlement Possibilities

3.1.3.1.1 Settlement Possibilities – Create / Display

3.1.3.1.1.1 Settlement Possibilities – Search Screen

Context of Usage	<p>This screen contains a number of search fields. By inputting the relevant data, you can search for the settlement possibilities.</p> <p>The search results will be displayed in a list. After selecting an entry, you can proceed further by clicking on the buttons that are shown.</p>
Screen Access	<p>Depending how the screen is accessed, it can be used to search the valid information of the Settlement Possibilities or the information that requires 4 eyes validation before they can be considered valid in the system.</p> <p><i>For valid information:</i></p> <p>Reference Data >> Reference Data >> Settlement Possibilities and Eligible Links >> Settlement Possibilities Reference Data Create/Display</p> <p><i>For information subject to 4 eyes validation:</i></p> <p>Reference Data >> Reference Data >> Validation / Rejection >> Validate / Reject Settlement Possibilities >> Settlement Possibilities</p>
Screenshot	

Settlement Possibilities – Search screen	
Field	Description
Internal Asset Account	Enter / Select the Internal Asset Account reference on which the collateral is supposed to be mobilised.
NCB Participant	Enter / Select the RIAD Code that identifies the NCB Participant in the ECMS to whom the settlement possibilities applies.
NCB Participant Type	Enter / Select the type of NCB Participant to whom the settlement possibilities applies. The possible values are: <ul style="list-style-type: none"> – Counterparties – Cross NCB – Non-euro area CB
CSD	Enter / Select the RIAD Code of the CSD that applies for the Settlement Possibility.
Creation Date	Enter the date and time of the creation of the Settlement Possibility.

	Required format is: DD/MM/YYYY
Validity Start Date	Enter the date from which the Settlement Possibility is active. The calendar icon can also be used. Required format is: DD/MM/YYYY.
Validity End Date	Enter the date from which the Settlement Possibility is not valid anymore or use the calendar icon. Required format is: DD/MM/YYYY. The Validity End Date must be equal to or later than the Validity Start Date.
Update Date	Enter the reference date of the last update of the Settlement Possibility. The calendar icon can also be used. Required format is: DD/MM/YYYY hh:mm:ss:µs..
Button	Function
Reset	This function enables you to clear the form.
Create	This function enables you to create a new Settlement Possibility. Next screen: – <i>Settlement Possibilities – Create Screen.</i>
Search	This function enables you to start a search according to the filled in criteria. The results are displayed in a list on the List Screen. Next screen: – <i>Settlement Possibilities – List Screen.</i>

3.1.3.1.1.1.1 Settlement Possibilities – List Screen

Context of Usage	This screen contains the search results displayed in a list, which is sorted by the values of the 'Update Date' column in descending order (default setting). After selecting an entry, you can proceed further by clicking on the buttons below.
Screen Access	Depending how the screen is accessed, it can be used to list the valid information of the Settlement Possibilities or the information that requires 4 eyes validation before they can be considered valid in the system. <i>For valid information:</i> Reference Data >> Reference Data >> Settlement Possibilities and Eligible Links >> Settlement Possibilities Reference Data Create/Display >> Click on the search button (after inputting the relevant Reference Data, if needed) <i>For information subject to 4 eyes validation:</i> Reference Data >> Reference Data >> Validation / Rejection >> Validate / Reject Settlement Possibilities >> Settlement Possibilities >> Click on the search button
Screenshot	

Settlement Possibilities – List Screen

Field	Description
Internal Asset Account	Shows the Internal Asset Account reference on which the collateral is supposed to be mobilised.
NCB Participant	Shows the RIAD Code that identifies the NCB Participant in the ECMS to whom the settlement possibilities applies.
NCB Participant Type	Shows the type of NCB Participant to whom the settlement possibilities applies. The possible values are: <ul style="list-style-type: none"> – Counterparties – Cross NCB – Non-euro area CB
CSD	Shows the RIAD Code of the receiving CSD that applies for the Settlement Possibility.
Validity Start Date	Shows the date from which the Settlement Possibility is valid. Displayed format is: DD/MM/YYYY.
Validity End Date	Shows the date from which the Settlement Possibility is not valid anymore. Displayed format is: DD/MM/YYYY. The Validity End Date must be equal to or later than the Validity Start Date.
Creation Date	Shows the date and time of the creation of the Settlement Possibility. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Update Date	Shows the date and time of the last update of the Settlement Possibility. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Updater User Id	Shows the identification of the user that made the last update of the Settlement Possibility.
After selecting a record, the following buttons are displayed	
Button	Function
History	This function enables you to access to the historical information of a Settlement Possibility. Next screen: – <i>Settlement Possibility – History</i> Screen.
Edit	This function enables you to edit a Settlement Possibility. Next screen: – <i>Settlement Possibility – Edit</i> Screen.
View	This function enables you to access to the detailed information of a Settlement Possibility. Next screen: – <i>Settlement Possibilities – Details</i> Screen.
If the screen is used in the 4 eyes validation context, the following buttons are also displayed when a record is selected	
Button	Function
Compare Draft	In case the 4 eyes validation is required because of an update of the record, this function enables the user to display the change that needs to be validated. Next screen:

	– <i>Settlement Possibilities – History</i> Screen.
View Draft	This function enables the user to view the draft record to be validated. Next screen: – <i>Settlement Possibilities – Details</i> Screen.
Edit	This function allows the user to edit and modify the draft. Next screen: – <i>Settlement Possibilities – Edit</i> Screen.
Validate	This function allows the user to validate the creation or update of the record so that they can be considered valid in the system
Reject	This function allows the user to reject the creation or update of the record. The draft will be deleted.

3.1.3.1.1.1.1. Settlement Possibilities – History Screen

1

Context of Usage	This screen displays historical information on the selected Settlement Possibility. You can check the data and proceed further by clicking on the buttons below.
Screen Access	<ul style="list-style-type: none"> – Reference Data >> Reference Data >> Settlement Possibilities and Eligible Links >> Settlement Possibilities Reference Data Create/Display >> Click on the <i>Search</i> button (after inputting the relevant Reference Data, if needed) >> Select a Settlement Possibility >> Click on the <i>History</i> button – Reference Data >> Reference Data >> Settlement Possibilities and Eligible Links >> Settlement Possibilities Reference Data Create/Display >> Click on the <i>Search</i> button (after inputting the relevant Reference Data, if needed) >> Select a Settlement Possibility >> Click on the <i>View</i> button (<i>Settlement Possibilities – Details</i> Screen) >> Click on the <i>History</i> Button
Screenshot	

Settlement Possibilities – History Screen	
Field	Description
Fields	Shows the fields whose information have been updated since the creation of the selected Settlement Possibility.
Timestamp	Shows the date and time when the fields have been updated. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Button	Description
Compare	Shows the differences between the selected records whose data have been updated.
Expand All	Shows the expanded information of the fields whose information have been updated since the creation of the selected Settlement Possibility.
Show All	Shows the information that existed before each modification of all the fields regarding the selected Settlement Possibility.

Collapse All	Collapse the expanded information of the fields that have been updated since the creation of the selected Settlement Possibility.
Show Differences Only	Shows only the fields whose information have been updated since the creation of the selected Settlement Possibility.

3.1.3.1.1.2 Settlement Possibilities – Details Screen

Context of Usage	This screen displays detailed information on the selected Settlement Possibility. You can check the data and proceed further by clicking on the buttons.
Screen Access	<p>Depending how the screen is accessed, it can be used to show the valid information or the information that requires 4 eyes validation before they can be considered valid in the system</p> <p>For valid information: Reference Data >> Settlement Possibilities and Eligible Links >> Settlement Possibilities Reference Data Create/Display >> Click on the <i>Search</i> button (after inputting the relevant Reference Data, if needed) >> Select a Settlement Possibility >> Click on the <i>View</i> button</p> <p>For information subject to 4 eyes validation: Reference Data >> Reference Data >> Validation / Rejection >> Validate / Reject Settlement Possibilities >> Settlement Possibilities >> Click on the search button (after inputting the relevant Reference Data, if needed) >> Select a Settlement Possibilities >> Click on the View button</p>
Screenshot	

Settlement Possibilities – Details Screen	
Button	Description
History	<p>This function enables you to access to the historical information of a Settlement Possibility.</p> <p>Next screen: – <i>Settlement Possibilities – History</i> Screen.</p>
Context	
Field	Description
Internal Asset Account	Shows the Internal Asset Account reference on which the collateral is supposed to be mobilised.
NCB Participant	Shows the RIAD Code that identifies the NCB Participant in the ECMS to whom the settlement possibilities applies.
NCB Participant Type	Shows the type of NCB Participant to whom the settlement possibilities applies. The possible values are:

	<ul style="list-style-type: none"> - Counterparties - Cross NCB - Non-euro area CB
CSD	Shows the RIAD Code of the CSD that applies for the Settlement Possibility.
Result	
Validity Start Date	Shows the date from which the Settlement Possibility is active. Displayed format is: DD/MM/YYYY
Validity End Date	Shows the date from which the Settlement Possibility is not active. Displayed format is: DD/MM/YYYY If the Settlement Possibility is active, this field is empty The Validity End Date must be equal to or later than the Validity Start Date.
Validation Details	
Action To Validate	Shows if there is any action waiting for validation.
Rejected	Shows if any action subject to validation has been rejected. The possible values are: <ul style="list-style-type: none"> - Yes - No
Footprint	
Updater User Id	Shows the identification of the user that made the last update.
Update Date	Shows the date and time of the Settlement Possibility last update. Displayed format is: DD/MM/YYYY hh:mm:ss:µs
Creation User Id	Shows the identification of the user that created the data
Creation Date	Shows the date and time of the Settlement Possibility creation. Displayed format is: DD/MM/YYYY hh:mm:ss:µs
External Asset Account	
The existing External Asset Account is displayed (it should be only one Mandatory).	
External Asset Account	Shows the External Asset Account linked.
Footprint	
Updater User Id	Shows the identification of the user that made the last update.
Update Date	Shows the date and time of the link to the External Asset Account last update. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Creation User Id	Shows the identification of the user that created the data.
Creation Date	Shows the date and time of the link to the External Asset Account creation. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.

3.1.3.2 Eligible CSD Link

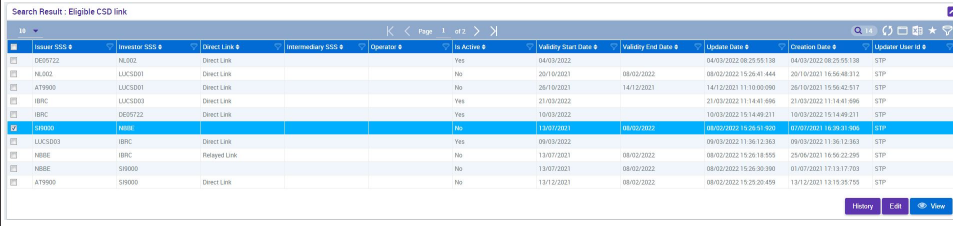
3.1.3.2.1 Eligible CSD Link – Search Screen

Context of Usage	<p>This screen contains a number of search fields. By inputting the relevant data, you can search for the Eligible CSD Links.</p> <p>The search results will be displayed in a list. After selecting an entry, you can proceed further by clicking on the buttons that are shown.</p>
Screen Access	Reference Data >> Reference Data >> Settlement Possibilities and Eligible Links >> Eligible CSD Links Create/Display
Screenshot	

Eligible CSD Link – Search screen	
Field	Description
Issuer SSS	Enter / Select the identifier of the issuer CSD, the Party RIAD Code of the entity with business role equal to NCB Custodian and the flag “Is CSD”.
Investor SSS	Enter / Select the identifier of the investor CSD , the Party RIAD Code of the entity with business role equal to NCB Custodian and the flag “Is CSD”.
Direct Link	Select whether the link between the issuer CSD and the investor CSD is direct or not (relayed) from the possible values: <ul style="list-style-type: none"> – Direct Link – Relayed Link
Intermediary SSS	Select the identifier(s) of the intermediary(ies) CSD (for relayed link only).
Is Active	Select whether the Eligible CSD Link is active or not from the possible values: <ul style="list-style-type: none"> – Yes – No
Validity Start Date	Enter the date from which the Eligible CSD is active. The calendar icon can also be used. Required format is: DD/MM/YYYY.
Validity End Date	Enter the date from which the Eligible CSD Link is not valid anymore or use the calendar icon. Required format is: DD/MM/YYYY. The Validity End Date must be equal to or later than the Validity Start Date. If the Eligible CSD is active, this field is empty.
Creation Date	Enter the reference date of the creation of the Eligible CSD Link. The calendar icon can also be used. Required format is: DD/MM/YYYY hh:mm:ss:µs
Update Date	Enter the reference date of the last update of the Eligible CSD Link. The calendar icon can also be used. Required format is: DD/MM/YYYY.
Button	Function

Reset	This function enables you to clear the form.
Search	This function enables you to start a search according to the filled in criteria. The results are displayed in a list on the List Screen. Next screen: – Eligible CSD Link – List Screen.

3.1.3.2.1.1 Eligible CSD Links – List Screen

Context of Usage	This screen contains the search results displayed in a list, which is sorted by the values of the 'Update Date' column in descending order (default setting). After selecting an entry, you can proceed further by clicking on the buttons below.
Screen Access	Reference Data >> Reference Data >> Settlement Possibilities and Eligible Links >> Eligible CSD Links Create/Display >> Click on the search button (after inputting the relevant Reference Data, if needed)
Screenshot	

Eligible CSD Links – List Screen	
Field	Description
Issuer SSS	Shows the identifier of the issuer CSD , the Party RIAD Code of the entity with business role equal to NCB Custodian and the flag "Is CSD".
Investor SSS	Shows the identifier of the investor CSD , the Party RIAD Code of the entity with business role equal to NCB Custodian and the flag "Is CSD".
Direct Link	Shows whether the link between the issuer CSD and the investor CSD is direct or not (relayed) from the possible values: – Direct Link – Relayed Link
Intermediary SSS	Shows the identifier(s) of the intermediary(ies) CSD (for relayed link only). Empty in case of Direct Link.
Is Active	Shows whether the Eligible CSD Link is active or not from the possible values: – Yes – No
Operator	Shows the name of the third party operating the account in the issuer SSS on behalf of the CSD of the investor SSS
Validity Start Date	Shows the date from which the Eligible CSD Link is active. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Validity End Date	Shows the date from which the Eligible CSD Link is not valid anymore. Displayed format is: DD/MM/YYYY hh:mm:ss:µs. If the Eligible CSD link is active, this field is empty. The Validity End Date must be equal to or later than the Validity Start Date.

Creation Date	Shows the date and time of the Eligible CSD creation. Displayed format is: DD/MM/YYYY hh:mm:ss:µs
Update Date	Shows the date and time of the last update of the Eligible CSD Link. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Updater User Id	Shows the identification of the user that made the last update of the Eligible CSD.
After selecting a record, the following buttons are displayed	
Button	Function
History	This function enables you to access to the historical information of an Eligible CSD Link. Next screen: – <i>Eligible CSD Link – History</i> Screen.
View	This function enables you to access to the detailed information of an Eligible CSD Link. Next screen: – <i>Eligible CSD Link – Details</i> Screen.

3.1.3.2.1.1.1 Eligible CSD Link – History Screen

Context of Usage	This screen displays historical information on the selected Eligible CSD Link. You can check the data and proceed further by clicking on the buttons below.
Screen Access	<ul style="list-style-type: none"> – Reference Data >> Reference Data >> Settlement Possibilities and Eligible Links >> Eligible CSD Links Create/Display >> Click on the search button (after inputting the relevant Reference Data, if needed) >> Select an Eligible CSD Link >> Click on the <i>History</i> button – Reference Data >> Reference Data >> Settlement Possibilities and Eligible Links >> Eligible CSD Links Create/Display >> Click on the search button (after inputting the relevant Reference Data, if needed) >> Select an Eligible CSD Link >> Click on the <i>View</i> button (<i>Eligible CSD Link – Details</i> Screen) >> <i>Click on the History</i> Button
Screenshot	

Eligible CSD Links – History Screen	
Field	Description
Fields	Shows the fields whose information have been updated since the creation of the selected Eligible CSD Link.
Timestamp	Shows the date and time when the fields have been updated. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Button	Description
Compare	Shows the differences between the selected records whose data have been updated.

Expand All	Shows the expanded information of the fields whose information have been updated since the creation of the selected Eligible CSD Link.
Show All	Shows the information that existed before each modification of all the fields regarding the selected Eligible CSD Link.
Collapse All	Collapse the expanded information of the fields that have been updated since the creation of the selected Eligible CSD Link.
Show Differences Only	Shows only the fields whose information have been updated since the creation of the selected Eligible CSD Link.

3.1.3.2.1.2 Eligible CSD Links – Details Screen

Context of Usage	This screen displays detailed information on the selected CSD Link. You can check the data and proceed further by clicking on the buttons.
Screen Access	Reference Data >> Reference Data >> Settlement Possibilities and Eligible Links >> Eligible CSD Links Create/Display >> Click on the <i>Search</i> button (after inputting the relevant Reference Data, if needed) >> Select an Eligible CSD Link >> Click on the <i>View</i> button
Screenshot	

Eligible CSD Links – Details Screen	
Button	Description
History	This function enables you to access to the historical information of an Eligible CSD Link. Next screen: – Eligible CSD Link – History Screen.
General Information	
Field	Description
Issuer SSS	Shows the identifier of the issuer CSD , the Party RIAD Code of the entity with business role equal to NCB Custodian and the flag “Is CSD”.
Investor SSS	Shows the identifier of the investor CSD , the Party RIAD Code of the entity with business role equal to NCB Custodian and the flag “Is CSD”.
Direct Link	Shows whether the link between the issuer CSD and the investor CSD is direct or not (relayed) from the possible values: – Direct Link – Relayed Link
Intermediary SSS	Shows the identifier(s) of the intermediary(ies) CSD (for relayed link only). Empty in case of Direct Link.

Operator	Shows the name of the third party operating the account in the issuer SSS on behalf of the CSD of the investor SSS
Activation Details	
Is Active	Shows whether the Eligible CSD Link is active or not from the possible values: – Yes – No
Validity Start Date	Shows the date from which the Eligible CSD Link is active. Displayed format is: DD/MM/YYYY
Validity End Date	Shows the date from which the Eligible CSD Link is closed. Displayed format is: DD/MM/YYYY If the Eligible CSD link is active, this field is empty. The Validity End Date must be equal to or later than the Validity Start Date.
Validation details	
Action To Validate	Shows if there is any action waiting for validation.
Rejected	Shows if any action subject to validation has been rejected. The possible values are: – Yes – No
Foot Print	
Updater User Id	Shows the identification of the user that made the last update.
Update Date	Shows the date and time of the Eligible CSD Link last update. Displayed format is: DD/MM/YYYY hh:mm:ss:µs
Creation User Id	Shows the identification of the user that created the data
Creation Date	Shows the date and time of the Eligible CSD creation. Displayed format is: DD/MM/YYYY hh:mm:ss:µs

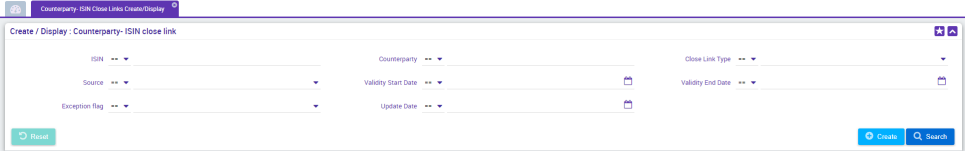
3.1.4 Counterparties Specific Reference Data

3.1.4.1 Counterparty-ISIN Close Links

3.1.4.1.1 Counterparty-ISIN Close Links Display

3.1.4.1.1.1 Counterparty-ISIN Close Link – Search Screen

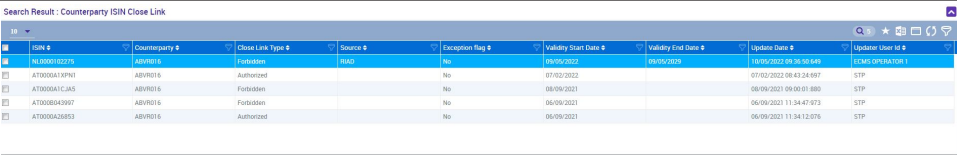
Context of Usage	This screen contains a number of search fields. By inputting the relevant data, you can search for the Counterparty-ISIN Close Links. The search results will be displayed in a list. After selecting an entry, you can proceed further by clicking on the buttons that are shown.
-------------------------	---

Screen Access	<p>Depending how the screen is accessed, it can be used to search the valid information of the Links or the information that requires 4 eyes validation before they can be considered valid in the system.</p> <p><i>For valid information:</i></p> <p>Reference Data >> Reference Data >> Counterparties Specific Reference Data >> Counterparty-ISIN Close Links Create/Display</p> <p><i>For information subject to 4 eyes validation:</i></p> <p>Reference Data >> Reference Data >> Validation / Rejection >> Validate / Reject Counterparty Specific reference Data >> Counterparty-ISIN Close Links</p>
Screenshot	

Counterparty-ISIN Close Link – Search screen	
Field	Description
ISIN	Enter / Select the ISIN that identifies the Marketable Asset.
Counterparty	Enter / Select the RIAD Code that identifies the counterparty in the ECMS affected by the close link. Required format is: Max. 30 characters.
Close Link Type	Enter / Select the Type of Close Link from the possible values: <ul style="list-style-type: none"> – Forbidden (i.e. the asset is not eligible for the given Counterparty) – Authorised (i.e. if the asset is mobilised by the Counterparty it is considered as own-used)
Source	Enter / Select whether the close link was generated from the processing of the RIAD file or manually created by an NCB user from the possible values: <ul style="list-style-type: none"> – RIAD – NCB
Exception flag	Enter / Select whether the Exception flag applies to the Counterparty-ISIN Close Link or not from the possible values: <ul style="list-style-type: none"> – Yes – No
Validity Start Date	Enter the date from which the Counterparty-ISIN Close Link is valid. The calendar icon can also be used. Required format is: DD/MM/YYYY.
Validity End Date	Enter the date from which the Counterparty-ISIN Close Link is not valid anymore or use the calendar icon. Required format is: DD/MM/YYYY. The Validity End Date must be equal to or later than the Validity Start Date.
Update Date	Enter the reference date of the last update of the Counterparty-ISIN Close Link or use the calendar icon. Required format is: DD/MM/YYYY hh:mm:ss:µs
Button	Function

Reset	This function enables you to clear the form.
Search	This function enables you to start a search according to the filled in criteria. The results are displayed in a list on the List Screen. Next screen: – Counterparty-ISIN Close Links – List Screen

3.1.4.1.1.1 Counterparty-ISIN Close Link – List Screen

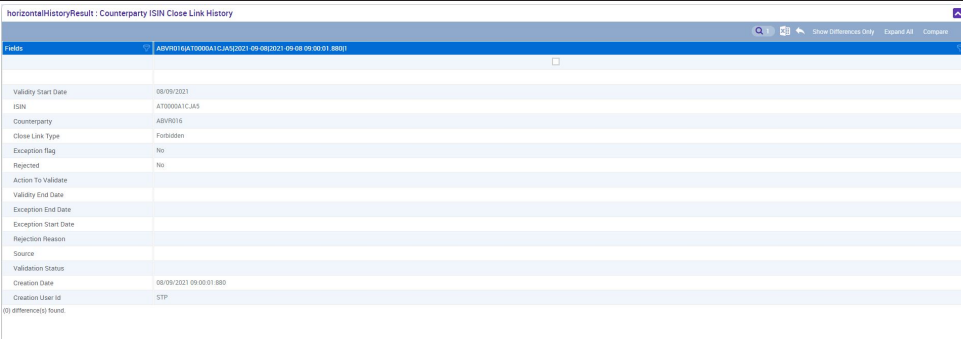
Context of Usage	This screen contains the search results displayed in a list, which is sorted by the values of the 'Update Date' column in descending order (default setting). After selecting an entry, you can proceed further by clicking on the buttons below.																																																						
Screen Access	Depending how the screen is accessed, it can be used to list the valid information of the Links or the information that requires 4 eyes validation before they can be considered valid in the system. <i>For valid information:</i> Reference Data >> Reference Data >> Counterparties Specific Reference Data >> Counterparty-ISIN Close Links Create/Display >> Click on the search button (after inputting the relevant Reference Data, if needed) <i>For information subject to 4 eyes validation:</i> Reference Data >> Reference Data >> Validation / Rejection >> Validate / Reject Counterparty Specific reference Data >> Counterparty-ISIN Close Links >> Click on the search button																																																						
Screenshot	 <p>Search Result : Counterparty ISIN Close Link</p> <table border="1"> <thead> <tr> <th>ISIN #</th> <th>Counterparty #</th> <th>Close Link Type #</th> <th>Source #</th> <th>Exception flag #</th> <th>Validity Start Date #</th> <th>Validity End Date #</th> <th>Update Date #</th> <th>Update User Id #</th> </tr> </thead> <tbody> <tr> <td>NL0000102275</td> <td>ABVR016</td> <td>Forbidden</td> <td>RIAD</td> <td>No</td> <td>06/09/2022</td> <td>06/09/2025</td> <td>10/05/2022 09:30:06:645</td> <td>ECMS OPERATOR 1</td> </tr> <tr> <td>AT0000129491</td> <td>ABVR016</td> <td>Authorized</td> <td></td> <td>No</td> <td>07/02/2022</td> <td></td> <td>07/02/2022 09:43:34:957</td> <td>STP</td> </tr> <tr> <td>AT0000123243</td> <td>ABVR016</td> <td>Forbidden</td> <td></td> <td>No</td> <td>06/09/2021</td> <td></td> <td>06/09/2021 09:00:01:880</td> <td>STP</td> </tr> <tr> <td>AT0000143097</td> <td>ABVR016</td> <td>Forbidden</td> <td></td> <td>No</td> <td>06/09/2021</td> <td></td> <td>06/09/2021 11:34:47:973</td> <td>STP</td> </tr> <tr> <td>AT0000138853</td> <td>ABVR016</td> <td>Authorized</td> <td></td> <td>No</td> <td>06/09/2021</td> <td></td> <td>06/09/2021 11:34:12:076</td> <td>STP</td> </tr> </tbody> </table>	ISIN #	Counterparty #	Close Link Type #	Source #	Exception flag #	Validity Start Date #	Validity End Date #	Update Date #	Update User Id #	NL0000102275	ABVR016	Forbidden	RIAD	No	06/09/2022	06/09/2025	10/05/2022 09:30:06:645	ECMS OPERATOR 1	AT0000129491	ABVR016	Authorized		No	07/02/2022		07/02/2022 09:43:34:957	STP	AT0000123243	ABVR016	Forbidden		No	06/09/2021		06/09/2021 09:00:01:880	STP	AT0000143097	ABVR016	Forbidden		No	06/09/2021		06/09/2021 11:34:47:973	STP	AT0000138853	ABVR016	Authorized		No	06/09/2021		06/09/2021 11:34:12:076	STP
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AT0000138853	ABVR016	Authorized		No	06/09/2021		06/09/2021 11:34:12:076	STP																																															

Counterparty-ISIN Close Link – List Screen	
Field	Description
ISIN	Shows the ISIN that identifies the Marketable Asset.
Counterparty	Shows the RIAD Code that identifies the counterparty in the ECMS affected by the close link. Displayed format is: Max. 30 characters.
Close Link Type	Shows the Type of Close Link from the possible values: – Forbidden – Authorised
Source	Shows whether the close link was generated from the processing of the RIAD file or manually created by an NCB user from the possible values: – RIAD – NCB
Exception flag	Shows whether the Exception flag applies to the Counterparty-ISIN Close Link or not from the possible values: – Yes – No

Validity Start Date	Shows the date from which the Counterparty-ISIN Close Link is valid. Displayed format is: DD/MM/YYYY.
Validity End Date	Shows the date from which the Counterparty-ISIN Close Link is not valid anymore. Displayed format is: DD/MM/YYYY. The Validity End Date must be equal to or later than the Validity Start Date.
Update Date	Shows the date and time of the last update of the Counterparty-ISIN Close Link. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Updater User Id	Shows the identification of the user that made the last update of the Counterparty-ISIN Close Link.
After selecting a record, the following buttons are displayed	
Button	Function
View	This function enables you to access to the detailed information of a Counterparty-ISIN Close Link. Next screen: – <i>Counterparty-ISIN Close Link – Details Screen.</i>
History	This function enables you to access to the historical information of a Counterparty-ISIN Close Link. Next screen: – <i>Counterparty-ISIN Close Link – History Screen.</i>
If the screen is used in the 4 eyes validation context, the following buttons are also displayed when a record is selected	
Button	Function
Compare Draft	In case the 4 eyes validation is required because of an update of the record, this function enables the user to display the change that needs to be validated. Next screen: – <i>Counterparty-ISIN Close Link – History Screen.</i>
View Draft	This function enables the user to view the draft record to be validated. Next screen: – <i>Counterparty-ISIN Close Link – Details Screen.</i>
Edit	This function allows the user to edit and modify the draft. Next screen: – <i>Counterparty-ISIN Close Link – Edit Screen.</i>
Validate	This function allows the user to validate the creation or update of the record so that they can be considered valid in the system
Reject	This function allows the user to reject the creation or update of the record. The draft will be deleted.

3.1.4.1.1.1.1. Counterparty-ISIN Close Link – History Screen

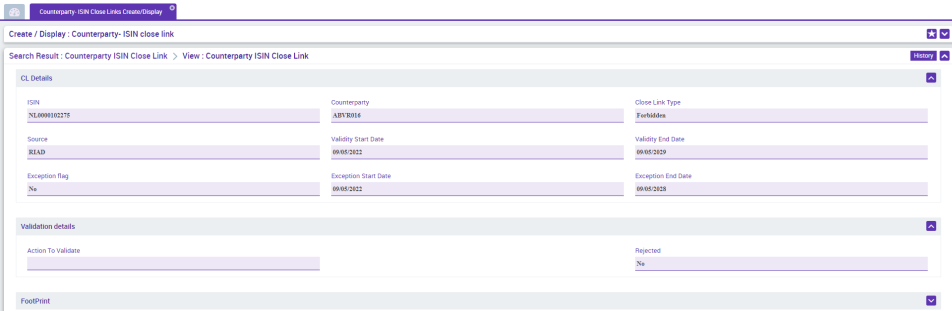
1

Context of Usage	This screen displays historical information that has changed on the selected Counterparty-ISIN Close Links. You can check the data, including the user who made the changes, and proceed further by clicking on the buttons that are shown.																														
Screen Access	<ul style="list-style-type: none"> – Reference Data >> Reference Data >> Counterparties Specific Reference Data >> Counterparty-ISIN Close Links Create/Display >> Click on the search button (after inputting the relevant Reference Data, if needed) >> Select a Counterparty-ISIN Close Link >> Click on the <i>History</i> button – Reference Data >> Reference Data >> Counterparties Specific Reference Data >> Counterparty-ISIN Close Links Create/Display >> Click on the search button (after inputting the relevant Reference Data, if needed) >> Select a Counterparty-ISIN Close Links >> Click on the <i>View</i> button (<i>Counterparty-ISIN Close Links – Details</i> Screen) >> <i>Click on the History Button</i> 																														
Screenshot	 <p>The screenshot shows a web application interface titled "horizontalHistoryResult : Counterparty ISIN Close Link History". It features a search bar with the text "ABX0016A10000A1C.AX2021-09-09:2021-09-09 09:00:01.P801". Below the search bar is a table with the following data:</p> <table border="1"> <tr><td>Validity Start Date</td><td>09/09/2021</td></tr> <tr><td>ISIN</td><td>A10000A1C.AX5</td></tr> <tr><td>Counterparty</td><td>ABX0016</td></tr> <tr><td>Close Link Type</td><td>Forbidden</td></tr> <tr><td>Exception flag</td><td>No</td></tr> <tr><td>Rejected</td><td>No</td></tr> <tr><td>Action To Validate</td><td></td></tr> <tr><td>Validity End Date</td><td></td></tr> <tr><td>Exception End Date</td><td></td></tr> <tr><td>Exception Start Date</td><td></td></tr> <tr><td>Rejection Reason</td><td></td></tr> <tr><td>Source</td><td></td></tr> <tr><td>Validation Status</td><td></td></tr> <tr><td>Creation Date</td><td>09/09/2021 09:00:01.880</td></tr> <tr><td>Creation User Id</td><td>STP</td></tr> </table> <p>At the bottom of the table, it says "(5) difference(s) found".</p>	Validity Start Date	09/09/2021	ISIN	A10000A1C.AX5	Counterparty	ABX0016	Close Link Type	Forbidden	Exception flag	No	Rejected	No	Action To Validate		Validity End Date		Exception End Date		Exception Start Date		Rejection Reason		Source		Validation Status		Creation Date	09/09/2021 09:00:01.880	Creation User Id	STP
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Validation Status																															
Creation Date	09/09/2021 09:00:01.880																														
Creation User Id	STP																														

Counterparty-ISIN Close Link – History Screen	
Field	Description
Fields	Shows the fields whose information have been updated since the creation of the selected Counterparty-ISIN Close Link.
Timestamp	Shows the date and time when the fields have been updated. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Button	Description
Compare	Shows the differences between the selected records whose data have been updated.
Expand All	Shows the expanded information of the fields whose information have been updated since the creation of the selected Counterparty-ISIN Close Link.
Show All	Shows the information that existed before each modification of all the fields regarding the selected Counterparty-ISIN Close Link.
Collapse All	Collapse the expanded information of the fields that have been updated since the creation of the selected Counterparty-ISIN Close Link.
Show Differences Only	Shows only the fields whose information have been updated since the creation of the selected Counterparty-ISIN Close Link.

3.1.4.1.1.1.2 Counterparty-ISIN Close Link – Details Screen

Context of Usage	This screen displays detailed information on the selected Counterparty-ISIN Close Links. You can check the data and proceed further by clicking on the buttons.
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<p>Screen Access</p>	<p>Depending how the screen is accessed, it can be used to show the valid information or the information that requires 4 eyes validation before they can be considered valid in the system.</p> <p>For valid information:</p> <p>Reference Data >> Reference Data >> Counterparties Specific Reference Data >> Counterparty-ISIN Close Links Create/Display >> Click on the search button (after inputting the relevant Reference Data, if needed) >> Select a Counterparty-ISIN Close Link >> Click on the <i>View</i> button</p> <p>For information subject to 4 eyes validation:</p> <p>Reference Data >> Reference Data >> Validation / Rejection >> Validate / Reject Counterparty Specific reference Data >> Counterparty-ISIN Close Link >> Click on the search button >> Select a Counterparty-ISIN Close Link >> Click on the View button</p>
<p>Screenshot</p>	

Counterparty-ISIN Close Links – Details Screen

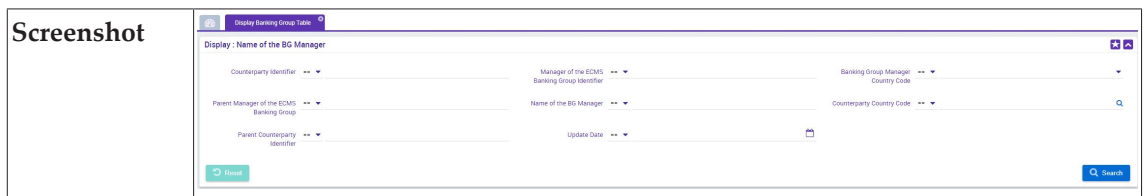
Button	Description
History	<p>This function enables you to access to the historical information of a Counterparty-ISIN Close Link.</p> <p>Next screen:</p> <ul style="list-style-type: none"> – Counterparty-ISIN Close Links – History Screen.
CL Details	
Field	Description
ISIN	Shows the ISIN that identifies the Marketable Asset.
Counterparty	<p>Shows the RIAD Code that identifies the counterparty in the ECMS affected by the close link.</p> <p>Displayed format is: Max. 30 characters.</p>
Close Link Type	<p>Shows the Type of Close Link from the possible values:</p> <ul style="list-style-type: none"> – Forbidden – Authorised
Source	<p>Shows whether the close link was generated from the processing of the RIAD file or manually created by an NCB user from the possible values:</p> <ul style="list-style-type: none"> – RIAD – NCB
Validity Start Date	Shows the date from which the Counterparty-ISIN Close Link is valid. Displayed format is: DD/MM/YYYY.

Validity End Date	Shows the date from which the Counterparty-ISIN Close Link is not valid anymore. Displayed format is: DD/MM/YYYY. The Validity End Date must be equal to or later than the Validity Start Date.
Exception flag	Shows whether the Exception flag applies to the Counterparty-ISIN Close Link or not from the possible values: – Yes – No
Exception start date	Shows the date from which the exception of the Counterparty-ISIN Close Link is valid. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Exception end date	Shows the date from which the exception of the Counterparty-ISIN Close Link is not valid anymore. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Validation details	
Action To Validate	Shows if there is any action waiting for validation.
Rejected	Shows if any action subject to validation has been rejected. The possible values are: – Yes – No
Foot Print	
Creation Date	Shows the date and time of the creation of the Counterparty-ISIN Close Link. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Creation User Id	Shows the identification of the user that created the Counterparty-ISIN Close Link.
Update Date	Shows the date and time of the update of the Counterparty-ISIN Close Link. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Updater User Id	Shows the identification of the user that made the last update of the Counterparty-ISIN Close Link.

3.1.4.2 Display Banking Group Table

3.1.4.2.1 Banking Group Table – Search Screen

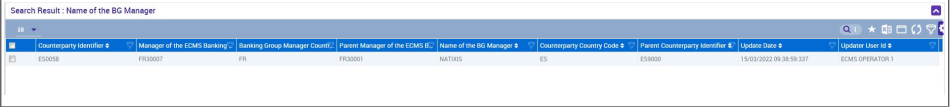
Context of Usage	This screen contains a number of search fields. By inputting the relevant data, you can search for the Banking Groups. The search results will be displayed in a list. After selecting an entry, you can proceed further by clicking on the buttons that are shown.
Screen Access	Reference Data >> Reference Data >> Counterparties Specific Reference Data >> Display Banking Group Table



Banking Group Table – Search screen

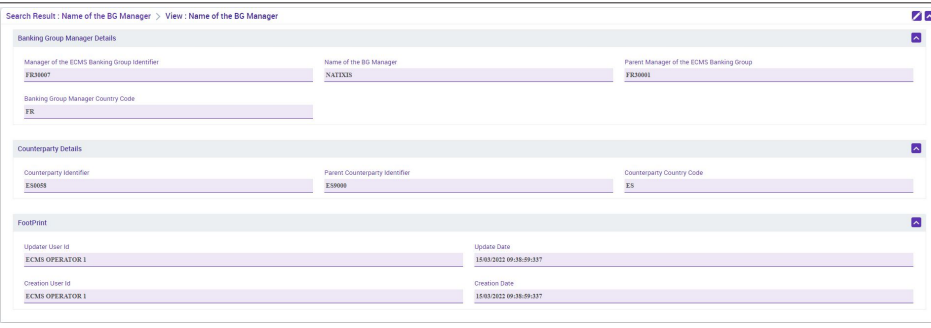
Field	Description
Counterparty Identifier	Enter / Select the RIAD Code that identifies in the ECMS the Counterparty belonging to the Banking Group. Required format is: Max. 30 characters.
Parent Manager of the ECMS Banking Group	Enter / Select the RIAD Code of the NCB to which the Counterparty that is the manager of the Banking Group belongs. Required format is: Max. 30 characters.
Manager of the ECMS Banking Group Identifier	Enter / Select the RIAD Code of the Counterparty which is the manager of the Banking Group. Required format is: Max. 30 characters.
Name of the BG Manager	Enter the name of the Counterparty with the role Banking Group Manager. Required format is: Max. 350 characters.
Parent Counterparty Identifier	Enter / Select the RIAD Code of the NCB to which the Counterparty of the Banking Group belongs. Required format is: Max. 30 characters.
Banking Group Manager Country Code	Enter / Select the country of the Banking Group Manager between those stored in the ECMS (Generic Data). The magnifier icon can also be used. Required format is: Max. 2 characters (SWIFT-x).
Counterparty Country Code	Enter / Select the country of the Counterparty belonging to the Banking Group between those stored in the ECMS (Generic Data). The magnifier icon can also be used. Required format is: Max. 2 characters (SWIFT-x).
Update Date	Enter the reference date of the last update of the Banking Group Table or use the calendar icon. Required format is: DD/MM/YYYY hh:mm:ss:µs
Button	Function
Reset	This function enables you to clear the form.
Search	This function enables you to start a search according to the filled in criteria. The results are displayed in a list on the List Screen. Next screen: – <i>Banking Group Table – List Screen.</i>

3.1.4.2.1.1 Banking Group Table – List Screen

Context of Usage	This screen contains the search results displayed in a list, which is sorted by the values of the 'Update Date' column in descending order (default setting). After selecting an entry, you can proceed further by clicking on the buttons below.
Screen Access	Reference Data >> Reference Data >> Counterparties Specific Reference Data >> Display Banking Group Table >> Click on the search button (after inputting the relevant Reference Data, if needed)
Screenshot	
Banking Group Table – List Screen	
Field	Description
Counterparty Identifier	Shows the RIAD Code that identifies in the ECMS the Counterparty belonging to the Banking Group. Displayed format is: Max. 30 characters.
Parent Manager of the ECMS Banking Group	Shows the RIAD Code of the NCB to which the Counterparty that is the manager of the Banking Group belongs. Displayed format is: Max. 30 characters.
Manager of the ECMS Banking Group Identifier	Shows the RIAD Code of the Counterparty which is the manager of the Banking Group. Displayed format is: Max. 30 characters.
Name of the BG Manager	Shows the name of the Counterparty with the role Banking Group Manager. Displayed format is: Max. 350 characters.
Parent Counterparty Identifier	Shows the RIAD Code of the NCB to which the Counterparty of the Banking Group belongs. Displayed format is: Max. 30 characters.
Banking Group Manager Country Code	Shows the ISO-2 Code and the description of the country of the Banking Group Manager between those stored in the ECMS (Generic Data). Displayed format is: Max. 255 characters.
Counterparty Country Code	Shows the ISO-2 Code and the description of the country of the Counterparty belonging to the Banking Group between those stored in the ECMS (Generic Data). Displayed format is: Max. 255 characters.
Update Date	Shows the date and time of the last update of the Banking Group Manager. Displayed format is: DD/MM/YYYY hh:mm:ss:µs
Updater User Id	Shows the identification of the user that made the last update of the Banking Group Manager
After selecting a record, the following buttons are displayed	
Button	Function
View	This function enables you to access to the detailed information of a Banking Group. Next screen:

– Banking Group Table – Details Screen.

3.1.4.2.1.1.1 Banking Group Table – Details Screen

Context of Usage	This screen displays detailed information on the selected Banking Group. You can check the data and proceed further by clicking on the buttons.
Screen Access	Reference Data >> Reference Data >> Counterparties Specific Reference Data >> Display Banking Group Table >> Click on the search button (after inputting the relevant Reference Data, if needed) >> Select a Banking Group>> Click on the View button
Screenshot	

Banking Group Table – Details Screen

Banking Group Table Details

Field	Description
Manager of the ECMS Banking Group Identifier	Shows the RIAD Code of the Counterparty which is the manager of the Banking Group. Displayed format is: Max. 30 characters.
Name of the BG Manager	Shows the name of the Counterparty with the role Banking Group Manager. Displayed format is: Max. 350 characters.
Parent Manager of the ECMS Banking Group	Shows the RIAD Code of the NCB to which the Counterparty that is the manager of the Banking Group belongs. Displayed format is: Max. 30 characters.
Banking Group Manager Country Code	Shows the ISO-2 Code and the description of the country of the Banking Group Manager between those stored in the ECMS (Generic Data). Displayed format is: Max. 255 characters.

Counterparty Details

Counterparty Identifier	Shows the RIAD Code that identifies in the ECMS the Counterparty belonging to the Banking Group. Displayed format is: Max. 30 characters.
Parent Counterparty Identifier	Shows the RIAD Code of the NCB to which the Counterparty of the Banking Group belongs. Displayed format is: Max. 30 characters.
Counterparty Country Code	Shows the ISO-2 Code and the description of the country of the Counterparty belonging to the Banking Group between those stored in the ECMS (Generic Data). Displayed format is: Max. 255 characters.

Foot Print	
Creation Date	Shows the date and time of the creation of the Banking Group Manager. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Creation User Id	Shows the identification of the user that created the Banking Group Manager.
Update Date	Shows the date and time of the update of the Banking Group. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Updater User Id	Shows the identification of the user that made the last update of the Banking Group.

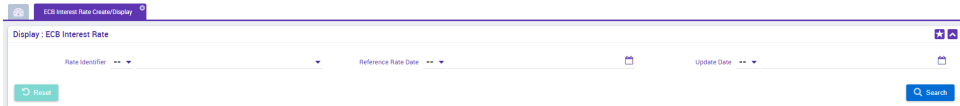
3.1.5 Generic Data

The reference data for ECMS contains various generic code lists that are used internally by the System.

All these code lists are maintained by the ECMS Operator and can be accessed, for consultation only, by NCB and Counterparty Operators.

3.1.5.1 ECB Interest Rate Create / Display

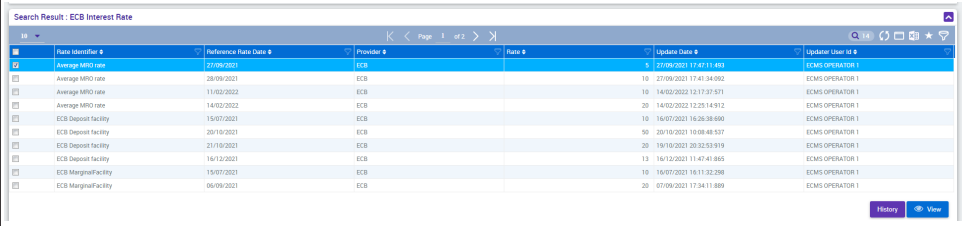
3.1.5.1.1 ECB Interest Rate – Search Screen

Context of Usage	This screen contains a number of search fields. By inputting the relevant data, you can search for the ECB Interest Rates. The search results will be displayed in a list. After selecting an entry, you can proceed further by clicking on the buttons that are shown.
Screen Access	Reference Data >> Reference Data >> Generic Data >> ECB Interest Rate >> ECB Interest Rate Create/Display
Screenshot	

ECB Interest Rate – Search screen	
Field	Description
Rate Identifier	Enter / Select the ECB Interest Rate from the possible values: <ul style="list-style-type: none"> – ECB Deposit facility – ECB Main refinancing operations – ECB Marginal lending facility
Reference Rate Date	Enter the reference date of the ECB Interest Rate. The calendar icon can also be used. Required format is: DD/MM/YYYY.
Update Date	Enter the reference date of the last update of the ECB Interest Rate. The calendar icon can also be used. Required format is: DD/MM/YYYY.
Button	Function

Reset	This function enables you to clear the form.
Search	This function enables you to start a search according to the filled in criteria. The results are displayed in a list on the List Screen. Next screen: – ECB Interest Rate – List Screen.

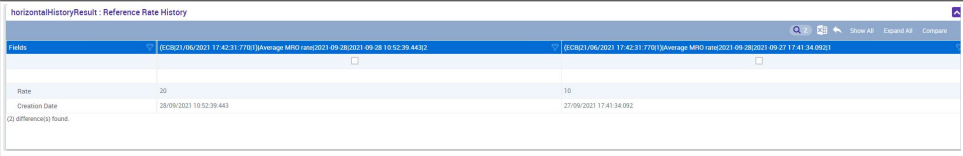
3.1.5.1.1.1 ECB Interest Rate – List Screen

Context of Usage	This screen contains the search results displayed in a list, which is sorted by the values of the 'Update Date' column in descending order (default setting). After selecting an entry, you can proceed further by clicking on the buttons below.
Screen Access	Reference Data >> Reference Data >> Generic Data >> ECB Interest Rate >> ECB Interest Rate Create/Display >> Click on the search button (after inputting the relevant Reference Data, if needed)
Screenshot	

ECB Interest Rate – List Screen	
Field	Description
Rate Identifier	Shows the ECB Interest Rate from the possible values: <ul style="list-style-type: none"> – ECB Deposit facility – ECB Main refinancing operations – ECB Marginal lending facility
Reference Rate Date	Shows the reference date of the ECB Interest Rate. Displayed format is: DD/MM/YYYY.
Rate	Shows the ECB Interest Rate for the date and the reference. Displayed format is: number with up to 5 decimal places (max. 99.99999)
Provider	Shows the Provider from the possible values: <ul style="list-style-type: none"> – ECB – Manual
Update Date	Shows the date and time of the last update of the ECB Interest Rate. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Updater User Id	Shows the identification of the user that made the last update of the ECB Interest Rate.
After selecting a record, the following buttons are displayed	
Button	Function
History	This function enables you to access to the historical information of an ECB Interest Rate. Next screen: – ECB Interest Rate – History Screen.

View	<p>This function enables you to access to the detailed information of an ECB Interest Rate.</p> <p>Next screen:</p> <ul style="list-style-type: none"> – <i>ECB Interest Rate – Details</i> Screen.
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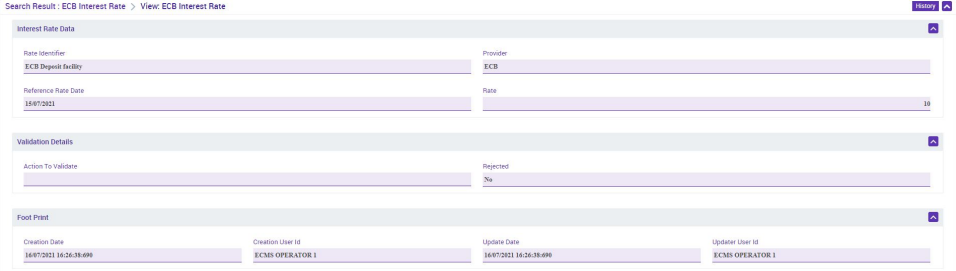
3.1.5.1.1.1.1 ECB Interest Rate – History Screen

Context of Usage	This screen displays historical information that has changed on the selected ECB Interest Rate. You can check the data, including the user who made the changes, and proceed further by clicking on the buttons that are shown.
Screen Access	<ul style="list-style-type: none"> – Reference Data >> Reference Data >> Generic Data >> ECB Interest Rate >> ECB Interest Rate Create/Display >> Click on the search button (after inputting the relevant Reference Data, if needed) >> Select an ECB Interest Rate >> Click on the <i>History</i> button – Reference Data >> Reference Data >> Generic Data >> ECB Interest Rate >> ECB Interest Rate Create/Display >> Click on the search button (after inputting the relevant Reference Data, if needed) >> Select a ECB Interest Rate >> Click on the <i>View</i> button (<i>ECB Interest Rate – Details</i> Screen) >> Click on the <i>History</i> Button
Screenshot	

ECB Interest Rate – History Screen	
Field	Description
Fields	Shows the fields whose information have been updated since the creation of the selected ECB Interest Rate.
Timestamp	Shows the date and time when the fields have been updated. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Button	Description
Compare	Shows the differences between the selected records whose data have been updated.
Expand All	Shows the expanded information of the fields whose information have been updated since the creation of the selected ECB Interest Rate.
Show All	Shows the information that existed before each modification of all the fields regarding the selected ECB Interest Rate.
Collapse All	Collapse the expanded information of the fields that have been updated since the creation of the selected ECB Interest Rate.
Show Differences Only	Shows only the fields whose information have been updated since the creation of the selected ECB Interest Rate.

3.1.5.1.1.2 ECB Interest Rate – Details Screen

Context of Usage	This screen displays detailed information on the selected ECB Interest Rate. You can check the data and proceed further by clicking on the buttons.
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Screen Access	Reference Data >> Reference Data >> Generic Data >> ECB Interest Rate >> ECB Interest Rate Create/Display >> Click on the search button (after inputting the relevant Reference Data, if needed) >> Select an ECB Interest Rate >> Click on the <i>View</i> button
Screenshot	

ECB Interest Rate – Details Screen

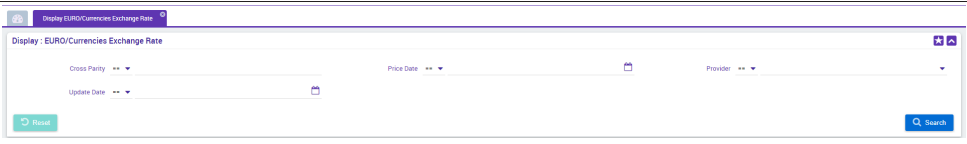
Button	Description
History	This function enables you to access to the historical information of an ECB Interest Rate. Next screen: – <i>ECB Interest Rate – History</i> Screen.
Interest Rate Data	
Field	Description
Rate Identifier	Shows the ECB Interest Rate from the possible values: – ECB Deposit facility – ECB Main refinancing operations – ECB Marginal lending facility
Reference Rate Date	Shows the reference date of the ECB Interest Rate. Displayed format is: DD/MM/YYYY.
Rate	Shows the ECB Interest Rate for the date and the reference. Displayed format is: number with up to 5 decimal places (max. 99.99999)
Provider	Shows the Provider from the possible values: – ECB – Manual
Validation Details	
Action To Validate	Shows if there is any action waiting for validation.
Rejected	Shows if any action subject to validation has been rejected. The possible values are: – Yes – No
Foot Print	
Creation Date	Shows the date and time of the creation of the ECB Interest Rate. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Creation User Id	Shows the identification of the user that created the ECB Interest Rate.

Update Date	Shows the date and time of the update of the ECB Interest Rate. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Updater User Id	Shows the identification of the user that made the last update of the ECB Interest Rate.

3.1.6 Rates Reference Data

3.1.6.1 EURO/Currencies Exchange Rate Create / Display

3.1.6.1.1 EURO/Currencies Exchange Rate – Search Screen

Context of Usage	This screen contains a number of search fields. By inputting the relevant data, you can search for the EURO/Currencies Exchange Rate. The search results will be displayed in a list. After selecting an entry, you can proceed further by clicking on the buttons that are shown.
Screen Access	Reference Data >> Reference Data >> Generic Data >> EURO/Currencies Exchange Rate >> EURO/Currencies Exchange Rate Create/Display
Screenshot	

EURO/Currencies Exchange Rate – Search screen	
Field	Description
Price Date	Enter / Select the Exchange Rate date. Required format is: DD/MM/YYYY.
Cross Parity	Enter the Cross Parity Identifier as Currency A – Currency B. Required format is: Max. 7 chars.
Provider	Shows the Provider from the possible values: <ul style="list-style-type: none"> – ECB – Manual
Update Date	Enter the reference date of the last update of the EURO/Currencies Exchange Rate. The calendar icon can also be used. Required format is: DD/MM/YYYY.
Button	Function
Reset	This function enables you to clear the form.
Search	This function enables you to start a search according to the filled in criteria. The results are displayed in a list on the List Screen. Next screen: <ul style="list-style-type: none"> – EURO/Currencies Exchange Rate – List Screen.

3.1.6.1.1.1 EURO/Currencies Exchange Rate – List Screen

Context of Usage	This screen contains the search results displayed in a list, which is sorted by the values of the 'Update Date' column in descending order (default setting). After selecting an entry, you can proceed further by clicking on the buttons below.
Screen Access	Reference Data >> Reference Data >> Generic Data >> EURO/Currencies Exchange Rate >> EURO/Currencies Exchange Rate Create/Display >> Click on the search button (after inputting the relevant Reference Data, if needed)
Screenshot	

EURO/Currencies Exchange Rate – List Screen	
Field	Description
Price Date	Shows Exchange Rate date. Displayed format is: DD/MM/YYYY.
Cross Parity	Shows the Cross Parity Identifier as Currency A – Currency B. Displayed format is: Max. 7 chars.
Provider	Shows the Provider from the possible values: <ul style="list-style-type: none"> – ECB – Manual
Exchange Rate	Shows the Exchange Rate. Displayed format is: number with up to 5 decimal places (max. 99.99999)
Update Date	Shows the date and time of the last update of the EURO/Currencies Exchange Rate. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Updater User Id	Shows the identification of the user that made the last update of the EURO/Currencies Exchange Rate.
After selecting a record, the following buttons are displayed	
Button	Function
History	This function enables you to access to the historical information of a EURO/Currencies Cross Parity. Next screen: <ul style="list-style-type: none"> – <i>EURO/Currencies Exchange Rate – History</i> Screen.
View	This function enables you to access to the detailed information of a EURO/Currencies Exchange Rate. Next screen: <ul style="list-style-type: none"> – <i>EURO/Currencies Exchange Rate – Details</i> Screen.

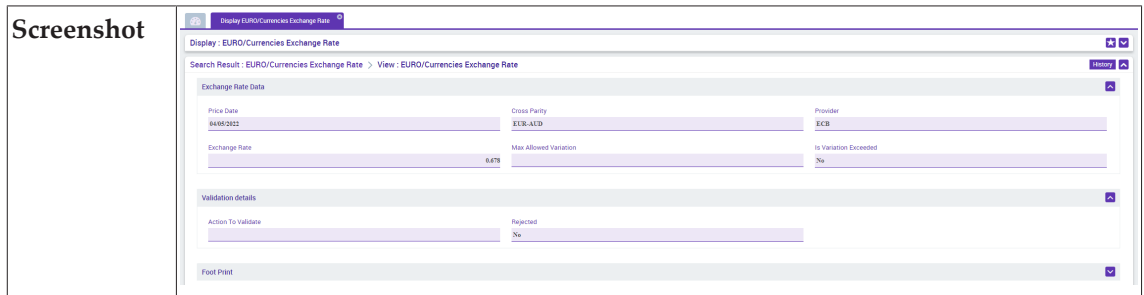
3.1.6.1.1.1.1 EURO/Currencies Exchange Rate – History Screen

Context of Usage	This screen displays historical information that has changed on the selected EURO/Currencies Exchange Rate. You can check the data, including the user who made the changes, and proceed further by clicking on the buttons that are shown.
Screen Access	<ul style="list-style-type: none"> – Reference Data >> Reference Data >> Generic Data >> EURO/Currencies Exchange Rate >> EURO/Currencies Cross Parity Create/Display >> Click on the search button (after inputting the relevant Reference Data, if needed) >> Select a EURO/Currencies Cross Parity >> Click on the <i>History</i> button – Reference Data >> Reference Data >> Generic Data >> EURO/Currencies Exchange Rate Create/Display >> Click on the search button (after inputting the relevant Reference Data, if needed) >> Select a EURO/Currencies Exchange Rate >> Click on the <i>View</i> button (<i>EURO/Currencies Exchange Rate – Details Screen</i>) >> <i>Click on the History Button</i>
Screenshot	

EURO/Currencies Exchange Rate – History Screen	
Object	Description
Fields	Shows the fields whose information have been updated since the creation of the selected EURO/Currencies Exchange Rate.
Timestamp	Shows the date and time when the fields have been updated. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Button	Description
Compare	Shows the differences between the selected records whose data have been updated.
Expand All	Shows the expanded information of the fields whose information have been updated since the creation of the selected EURO/Currencies Exchange Rate.
Show All	Shows the information that existed before each modification of all the fields regarding the selected EURO/Currencies Exchange Rate.
Collapse All	Collapse the expanded information of the fields that have been updated since the creation of the selected EURO/Currencies Exchange Rate.
Show Differences Only	Shows only the fields whose information have been updated since the creation of the selected EURO/Currencies Exchange Rate.

3.1.6.1.1.2 EURO/Currencies Exchange Rate – Details Screen

Context of Usage	This screen displays detailed information on the selected EURO/Currencies Exchange Rate. You can check the data and proceed further by clicking on the buttons.
Screen Access	Reference Data >> Reference Data >> Generic Data >> EURO/Currencies Exchange Rate >> EURO/Currencies Cross Parity Create/Display >> Click on the search button (after inputting the relevant Reference Data, if needed) >> Select a EURO/Currencies Cross Parity >> Click on the <i>View</i> button



EURO/Currencies Exchange Rate – Details Screen

Button	Description
History	This function enables you to access to the historical information of a EURO/Currencies Exchange Rate. Next screen: – <i>EURO/Currencies Exchange Rate – History Screen.</i>

Exchange Rate Data

Field	Description
Price Date	Shows the Exchange Rate date. Displayed format is: DD/MM/YYYY.
Cross Parity	Shows the Cross Parity Identifier as Currency A – Currency B. Displayed format is: Max. 7 chars.
Provider	Shows the Provider from the possible values: – ECB – Manual
Exchange Rate	Shows the Exchange Rate. Displayed format is: number with up to 5 decimal places (max. 99.99999)
Max Allowed Variation	Shows the maximum allowed variation.
Is Variation Exceeded	Shows possible values from: – Yes – No

Validation Details

Action To Validate	Shows if there is any action waiting for validation.
Rejected	Shows if any action subject to validation has been rejected. The possible values are: – Yes – No

Foot Print

Creation Date	Shows the date and time of the creation of the EURO/Currencies Exchange Rate. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Creation User Id	Shows the identification of the user that created the EURO/Currencies Exchange Rate.

Update Date	Shows the date and time of the update of the EURO/Currencies Exchange Rate. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Updater User Id	Shows the identification of the user that made the last update of the EURO/Currencies Exchange Rate.

3.1.7 Access Rights Reference Data

3.1.7.1 Users

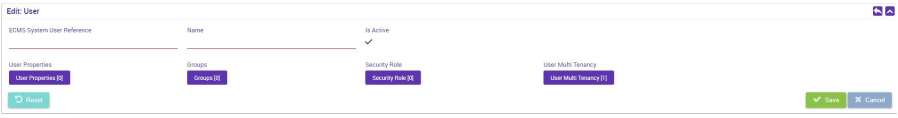
The ECMS allows the NCB users and Counterparties to maintain the users in the system, as well as to assign to them the predefined roles and or groups.

Each user defined in the ECMS corresponds to a human user, i.e. an employee of a given ECMS Party using the ECMS U2A, or to an application, i.e. a software component of a given ECMS Party interacting with the ECMS in A2A mode. ECMS uses a unique reference to identify a user.

The authorization mechanism is based on user/group/role assignment.

3.1.7.1.1 Users Create / Display

3.1.7.1.1.1 Users – Create Screen

Context of Usage	This screen contains a number of fields regarding the Users. You can enter new data. Afterwards you can proceed further by clicking on the buttons below.
Business Case Related	Create a new User
Screen Access	Reference Data >> Reference Data >> Access Rights Reference Data >> Users >> Users Create/Display >> Click on the <i>Create</i> button
Screenshot	

Users – Create screen	
ECMS System User	
Field	Description
ECMS System User Reference *	Enter the ECMS System User Reference of the user. Required format is: Max. 35 characters.
Name*	Enter the name of the user. Required format is: Max. 127 characters.
Is Active*	Select whether the User is active or not. The possible values are: – Yes – No
Button	Function
Groups	This function enables you to display the subsection “Groups”.
Role Statement	This function enables you to display the subsection “Role Statement”.

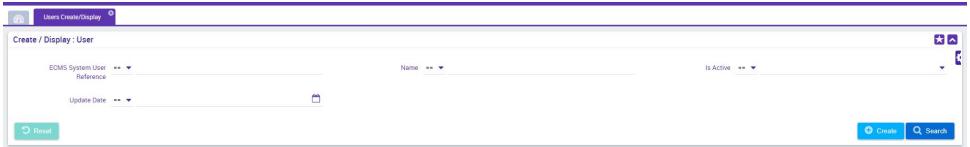
User Properties	This function enables you to display the subsection “User Properties”.
Reset	This function enables you to clear the form.
Save	This function enables you to save the creation of a User.
Cancel	This function enables you to cancel the creation of a User and return to the previous screen.
Groups	
Button	Function
Create	This function enables you to assign a group to the user.
Field	Description
Security Group ^(*)	Enter / Select the Group you want to assign to the user. Required format is: Max. 35 characters.
Role Statement	
Button	Function
Create	This function enables you to assign a role to the user.
Field	Description
Security Role ^(*)	Enter / Select the Security Role you want to assign to the user. The possible values are: <ul style="list-style-type: none"> - NCB U2A Party Administrator (Execution and Read Only) - NCB U2A General Reference Data (Execution and Read Only) - NCB U2A Triparty Reference Data (Execution and Read Only) - NCB U2A Pool Reference Data (Execution and Read Only) - NCB U2A Marketable Asset (De)Mobilisation (Execution and Read Only) - NCB U2A Cash Collateral (Execution and Read Only) - NCB U2A Externally Managed collateral (Execution and Read Only) - NCB U2A Open Market Operations (Execution and Read Only) - NCB U2A Standing Facilities (Execution and Read Only) - NCB U2A Credit Freezing (Execution and Read Only) - NCB U2A Credit Line (Execution and Read Only) - NCB U2A Pool Position (Execution and Read Only) - NCB U2A Blocking of a counterparty (Execution and Read Only) - NCB U2A ELA Management (Execution and Read Only) - NCB U2A Banking Group Management (Read Only) - NCB U2A Credit Claim Management (Execution and Read Only) - NCB U2A Corporate Actions and Tax Management (Execution and Read Only) - NCB U2A Statements (Execution and Read Only) - NCB U2A Billing Services(Execution and Read Only) - NCB U2A Accounting Services (Execution and Read Only) - NCB U2A Other Support functionalities (Execution and Read Only) - NCB 2-Eyes (Only applicable to Execution Variant)

	<ul style="list-style-type: none"> - NCB 4-Eyes (Only applicable to Execution Variant) - NCB A2A Credit freezing per Pool Id - NCB A2A Other Messages - Counterparty U2A Party Administrator (Execution and Read Only) - Counterparty U2A General Reference Data (Read Only) - Counterparty U2A Triparty Reference Data (Read Only) - Counterparty U2A Pool Reference Data (Read Only) - Counterparty U2A Marketable (De)Mobilisation asset (Execution and Read Only) - Counterparty U2A Cash Collateral (Execution and Read Only) - Counterparty U2A Externally Managed collateral (Read Only) - Counterparty U2A Open Market Operations (Read Only) - Counterparty U2A Standing Facilities (Execution and Read Only) - Counterparty U2A Credit Freezing (Execution and Read Only) - Counterparty U2A Credit Line (Execution and Read Only) - Counterparty U2A Pool Position Management (Read Only) - Counterparty U2A ELA Management (Read Only) - Counterparty U2A Banking Group Management (Read Only) - Counterparty U2A Credit Claim Management (Execution and Read Only) - Counterparty U2A Corporate Actions and Tax Management (Execution and Read Only) - Counterparty U2A Statements (Execution and Read Only) - Counterparty U2A Other Support functionalities (Execution and Read Only) - Counterparty 2-Eyes (Only for Execution variant) - Counterparty 4-Eyes (Only applicable to Execution Variant) - Counterparty A2A credit freezing - Counterparty A2A marketable assets - Counterparty A2A credit claims - Counterparty A2A marginal lending - Counterparty A2A other messages - Counterparty A2A Corporate Actions - Counterparty A2A Cash collateral (pacs for cash collateral) and Maximum credit line (camt.011) <p>Counterparty A2A Reporting (admi.005) Required format is: Max. 35 characters.</p>
--	--

User Properties	
Button	Function
Create	This function enables you to assign the default data scope of the user.
Field	Description

Property ^(*)	Select the Property you want to assign to the user from the possible values: <ul style="list-style-type: none"> - Data Scope - Distinguished Name
User Property Value ^(*)	Enter the data scope of the ECMS Entity or the DN under which the user is to be assigned. Required format: <ul style="list-style-type: none"> - DN: X.500 standard compliant naming convention - Data scope: relevant data scope linked to the ECMS System User


3.1.7.1.1.2 Users – Search Screen

Context of Usage	This screen contains a number of search fields. By inputting the relevant data, you can search for the Users. The search results will be displayed in a list. After selecting an entry, you can proceed further by clicking on the buttons that are shown.
Screen Access	Depending how the screen is accessed, it can be used to search the valid information or the information that requires 4 eyes validation before they can be considered valid in the system For valid information: Reference Data >> Reference Data >> Access Rights Reference Data >> Users >> Users Create/Display For information subject to 4 eyes validation: Reference Data >> Reference Data >> Validation / Rejection >> Validate / Reject Access Rights >> User
Screenshot	

Users – Search screen	
Field	Description
ECMS System User Reference	Enter / Select the ECMS System User Reference of the user. Required format is: Max. 35 characters.
Name	Enter the name of the user. Required format is: Max. 127 characters.
Is Active	Select whether the User is active or not. The possible values are: <ul style="list-style-type: none"> - Yes - No
Update Date	Enter the reference date of the last update of the User or use the calendar icon. Required format is: DD/MM/YYYY hh:mm:ss:µs
Button	Function
Reset	This function enables you to clear the form.
Create	This function enables you to create a new User.

	<p>Next screen:</p> <ul style="list-style-type: none"> - Users – Create Screen.
Search	<p>This function enables you to start a search according to the filled in criteria. The results are displayed in a list on the List Screen.</p> <p>Next screen:</p> <ul style="list-style-type: none"> - Users – List Screen.

3.1.7.1.1.2.1 Users – List Screen

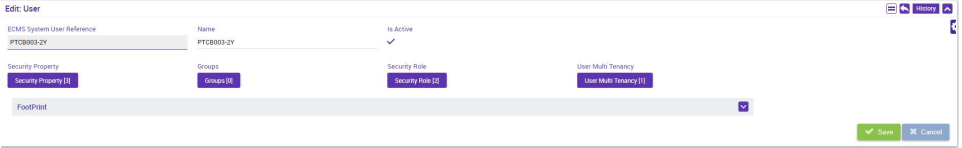
Context of Usage	This screen contains the search results displayed in a list, which is sorted by the values of the 'Update Date' column in descending order (default setting). After selecting an entry, you can proceed further by clicking on the buttons below.																																																		
Screen Access	<p>Depending how the screen is accessed, it can be used to list the valid information or the information that requires 4 eyes validation before they can be considered valid in the system</p> <p>For valid information: Reference Data >> Access Rights Reference Data >> Users >> Users Create/Display >> Click on the search button (after inputting the relevant Data, if needed)</p> <p>For information subject to 4 eyes validation: Reference Data >> Reference Data >> Validation / Rejection >> Validate / Reject Access Rights >> User >> Click on the search button (after inputting the relevant Data, if needed)</p>																																																		
Screenshot	 <p>The screenshot shows a search result for 'User' with a table containing the following data:</p> <table border="1"> <thead> <tr> <th>ECMS System User Reference #</th> <th>Name #</th> <th>Is Active #</th> <th>Update Date #</th> <th>Updater User Id #</th> </tr> </thead> <tbody> <tr> <td>ECMS OPERATOR 1</td> <td>ECMS OPERATOR 1</td> <td>Yes</td> <td>23/09/2021 09:46:25.023</td> <td>STP</td> </tr> <tr> <td>ECMSJobScheduler</td> <td>ECMSJobScheduler</td> <td>Yes</td> <td>21/06/2021 17:28:22.761</td> <td>admin</td> </tr> <tr> <td>ECMSOperationalDayScheduler</td> <td>ECMSOperationalDayScheduler</td> <td>Yes</td> <td>21/06/2021 17:28:22.721</td> <td>admin</td> </tr> <tr> <td>PTC8003</td> <td>PTC8003</td> <td>Yes</td> <td>23/09/2021 10:34:08.940</td> <td>STP</td> </tr> <tr> <td>PTC8003-ZY</td> <td>PTC8003-ZY</td> <td>Yes</td> <td>23/09/2021 10:32:34.049</td> <td>STP</td> </tr> <tr> <td>PTC8007</td> <td>PTC8007</td> <td>Yes</td> <td>23/09/2021 10:35:58.671</td> <td>STP</td> </tr> <tr> <td>PTC8JobScheduler</td> <td></td> <td>Yes</td> <td>22/09/2021 14:12:49.440</td> <td>admin</td> </tr> <tr> <td>STP</td> <td>STP</td> <td>Yes</td> <td>21/06/2021 17:28:22.751</td> <td>admin</td> </tr> <tr> <td>admin</td> <td></td> <td>Yes</td> <td>21/06/2021 15:52:24.764</td> <td>admin</td> </tr> </tbody> </table>	ECMS System User Reference #	Name #	Is Active #	Update Date #	Updater User Id #	ECMS OPERATOR 1	ECMS OPERATOR 1	Yes	23/09/2021 09:46:25.023	STP	ECMSJobScheduler	ECMSJobScheduler	Yes	21/06/2021 17:28:22.761	admin	ECMSOperationalDayScheduler	ECMSOperationalDayScheduler	Yes	21/06/2021 17:28:22.721	admin	PTC8003	PTC8003	Yes	23/09/2021 10:34:08.940	STP	PTC8003-ZY	PTC8003-ZY	Yes	23/09/2021 10:32:34.049	STP	PTC8007	PTC8007	Yes	23/09/2021 10:35:58.671	STP	PTC8JobScheduler		Yes	22/09/2021 14:12:49.440	admin	STP	STP	Yes	21/06/2021 17:28:22.751	admin	admin		Yes	21/06/2021 15:52:24.764	admin
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ECMS OPERATOR 1	ECMS OPERATOR 1	Yes	23/09/2021 09:46:25.023	STP																																															
ECMSJobScheduler	ECMSJobScheduler	Yes	21/06/2021 17:28:22.761	admin																																															
ECMSOperationalDayScheduler	ECMSOperationalDayScheduler	Yes	21/06/2021 17:28:22.721	admin																																															
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PTC8007	PTC8007	Yes	23/09/2021 10:35:58.671	STP																																															
PTC8JobScheduler		Yes	22/09/2021 14:12:49.440	admin																																															
STP	STP	Yes	21/06/2021 17:28:22.751	admin																																															
admin		Yes	21/06/2021 15:52:24.764	admin																																															

Users – List Screen	
Field	Description
ECMS System User Reference	Shows the ECMS System User Reference of the user. Displayed format is: Max. 35 characters.
Name	Shows the name of the user. Displayed format is: Free text
Is Active	Shows whether the User is active or not. The possible values are: - Yes - No
Update Date	Shows the date and time of the last update of the User. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Updater User Id	Shows the identification of the user that made the last update of the User.
After selecting a record, the following buttons are displayed	
Button	Function

History	This function enables you to access to the historical information of a User. Next screen: – <i>Users – History</i> Screen.
Edit	This function enables you to edit a User. Next screen: – <i>Users – Edit</i> Screen.
View	This function enables you to access to the detailed information of a User. Next screen: – <i>User – Details</i> Screen.
Delete	This function enables to delete a User.

3.1.7.1.1.2.1. Users – Edit Screen

1

Context of Usage	This screen contains a number of fields regarding Users that you can edit. Afterwards you can proceed further by clicking on the buttons below.
Business Case Related	Edit an existing User
Screen Access	Reference Data >> Reference Data >> Access Rights Reference Data >> Users >> Users Create/Display >> Click on the search button (after inputting the relevant Data, if needed) >> Select a User >> Click on the <i>Edit</i> button
Screenshot	

Users – Edit Screen	
ECMS System User	
Field	Description
ECMS System User Reference#	Shows the ECMS System User Reference of the user. Displayed format is: Max. 35 characters.
Name*	Enter the name of the user. Displayed format is: Max. 127 characters
Is Active*	Select whether the User is active or not. The possible values are: – Yes – No
FootPrint	
Updater User Id	Shows the identification of the user that made the last update.
Update Date	Shows the date and time of the User last update. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Creation User Id	Shows the identification of the user that created the data.
Creation Date	Shows the date and time of the User creation.

	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Button	Function
Groups	This function enables you to display the subsection "Groups".
Role Statement	This function enables you to display the subsection "Role Statement".
User Properties	This function enables you to display the subsection "User Properties".
Save	This function enables you to save the modification of a User.
Cancel	This function enables you to cancel the modification of a User.
Groups	
The existing Groups already assigned to the User are displayed	
Field	Description
Security Group	Shows the Group assigned to the user. Required format is: Max. 35 characters.
Update Date	Shows the date and time of the last update of the Group. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Updater User Id	Shows the identification of the user that made the last update of the Group.
After selecting a Group, the following buttons are displayed	
Button	Function
Create	This function enables you to assign a group to the user.
View	This function enables you to access to the detailed information of a Group.
Delete	This function enables you to Delete the link between the user and the Group.
To modify an existing group, select it and click on the Edit button.	
Field	Description
Security Group ^(*)	Shows the Group you want to assign to the user. Required format is: Max. 35 characters.
FootPrint	
Updater User Id	Shows the identification of the user that made the last update.
Update Date	Shows the date and time of the group last update. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Creation User Id	Shows the identification of the user that created the data.
Creation Date	Shows the date and time of the group creation. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Role Statement	
The existing Role Staments already assigned to the User are displayed	
Field	Description
Security Role	Select the role assigned to the user. The possible values are: <ul style="list-style-type: none"> – NCB U2A Party Administrator (Execution and Read Only) – NCB U2A General Reference Data (Execution and Read Only) – NCB U2A Triparty Reference Data (Execution and Read Only)

- NCB U2A Pool Reference Data (Execution and Read Only)
- NCB U2A Marketable Asset (De)Mobilisation (Execution and Read Only)
- NCB U2A Cash Collateral (Execution and Read Only)
- NCB U2A Externally Managed collateral (Execution and Read Only)
- NCB U2A Open Market Operations (Execution and Read Only)
- NCB U2A Standing Facilities (Execution and Read Only)
- NCB U2A Credit Freezing (Execution and Read Only)
- NCB U2A Credit Line (Execution and Read Only)
- NCB U2A Pool Position (Execution and Read Only)
- NCB U2A Blocking of a counterparty (Execution and Read Only)
- NCB U2A ELA Management (Execution and Read Only)
- NCB U2A Banking Group Management (Read Only)
- NCB U2A Credit Claim Management (Execution and Read Only)
- NCB U2A Corporate Actions and Tax Management (Execution and Read Only)
- NCB U2A Statements (Execution and Read Only)
- NCB U2A Billing Services(Execution and Read Only)
- NCB U2A Accounting Services (Execution and Read Only)
- NCB U2A Other Support functionalities (Execution and Read Only)
- NCB 2-Eyes (Only applicable to Execution Variant)
- NCB 4-Eyes (Only applicable to Execution Variant)
- NCB A2A Credit freezing per Pool Id
- NCB A2A Other Messages
- Counterparty U2A Party Administrator (Execution and Read Only)
- Counterparty U2A General Reference Data (Read Only)
- Counterparty U2A Triparty Reference Data (Read Only)
- Counterparty U2A Pool Reference Data (Read Only)
- Counterparty U2A Marketable (De)Mobilisation asset (Execution and Read Only)
- Counterparty U2A Cash Collateral (Execution and Read Only)
- Counterparty U2A Externally Managed collateral (Read Only)
- Counterparty U2A Open Market Operations (Read Only)
- Counterparty U2A Standing Facilities (Execution and Read Only)
- Counterparty U2A Credit Freezing (Execution and Read Only)
- Counterparty U2A Credit Line (Execution and Read Only)
- Counterparty U2A Pool Position Management (Read Only)
- Counterparty U2A ELA Management (Read Only)
- Counterparty U2A Banking Group Management (Read Only)
- Counterparty U2A Credit Claim Management (Execution and Read Only)

	<ul style="list-style-type: none"> - Counterparty U2A Corporate Actions and Tax Management (Execution and Read Only) - Counterparty U2A Statements (Execution and Read Only) - Counterparty U2A Other Support functionalities (Execution and Read Only) - Counterparty 2-Eyes (Only for Execution variant) - Counterparty 4-Eyes (Only applicable to Execution Variant) - Counterparty A2A credit freezing - Counterparty A2A marketable assets - Counterparty A2A credit claims - Counterparty A2A marginal lending - Counterparty A2A other messages - Counterparty A2A Corporate Actions - Counterparty A2A Cash collateral (pacs for cash collateral) and Maximum credit line (camt.011) - Counterparty A2A Reporting (admi.005) <p>Displayed format is: Max. 35 characters.</p>
Update Date	Shows the date and time of the last update of the Role. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Updater User Id	Shows the identification of the user that made the last update of the Role.
After selecting a Role, the following buttons are displayed	
Button	Function
Create	This function enables you to assign a role to the user.
View	This function enables you to access to the detailed information of a role.
Delete	This function enables you to Delete the link between the user and the Role.
To modify an existing role, select it and click on the Edit button.	
Field	Description
Security Role ^(*)	<p>Select the Security Role you want to assign to the user. The possible values are:</p> <ul style="list-style-type: none"> - NCB U2A Party Administrator (Execution and Read Only) - NCB U2A General Reference Data (Execution and Read Only) - NCB U2A Triparty Reference Data (Execution and Read Only) - NCB U2A Pool Reference Data (Execution and Read Only) - NCB U2A Marketable Asset (De)Mobilisation (Execution and Read Only) - NCB U2A Cash Collateral (Execution and Read Only) - NCB U2A Externally Managed collateral (Execution and Read Only) - NCB U2A Open Market Operations (Execution and Read Only) - NCB U2A Standing Facilities (Execution and Read Only) - NCB U2A Credit Freezing (Execution and Read Only) - NCB U2A Credit Line (Execution and Read Only) - NCB U2A Pool Position (Execution and Read Only)

- NCB U2A Blocking of a counterparty (Execution and Read Only)
- NCB U2A ELA Management (Execution and Read Only)
- NCB U2A Banking Group Management (Read Only)
- NCB U2A Credit Claim Management (Execution and Read Only)
- NCB U2A Corporate Actions and Tax Management (Execution and Read Only)
- NCB U2A Statements (Execution and Read Only)
- NCB U2A Billing Services(Execution and Read Only)
- NCB U2A Accounting Services (Execution and Read Only)
- NCB U2A Other Support functionalities (Execution and Read Only)
- NCB 2-Eyes (Only applicable to Execution Variant)
- NCB 4-Eyes (Only applicable to Execution Variant)
- NCB A2A Credit freezing per Pool Id
- NCB A2A Other Messages
- Counterparty U2A Party Administrator (Execution and Read Only)
- Counterparty U2A General Reference Data (Read Only)
- Counterparty U2A Triparty Reference Data (Read Only)
- Counterparty U2A Pool Reference Data (Read Only)
- Counterparty U2A Marketable (De)Mobilisation asset (Execution and Read Only)
- Counterparty U2A Cash Collateral (Execution and Read Only)
- Counterparty U2A Externally Managed collateral (Read Only)
- Counterparty U2A Open Market Operations (Read Only)
- Counterparty U2A Standing Facilities (Execution and Read Only)
- Counterparty U2A Credit Freezing (Execution and Read Only)
- Counterparty U2A Credit Line (Execution and Read Only)
- Counterparty U2A Pool Position Management (Read Only)
- Counterparty U2A ELA Management (Read Only)
- Counterparty U2A Banking Group Management (Read Only)
- Counterparty U2A Credit Claim Management (Execution and Read Only)
- Counterparty U2A Corporate Actions and Tax Management (Execution and Read Only)
- Counterparty U2A Statements (Execution and Read Only)
- Counterparty U2A Other Support functionalities (Execution and Read Only)
- Counterparty 2-Eyes (Only for Execution variant)
- Counterparty 4-Eyes (Only applicable to Execution Variant)
- Counterparty A2A credit freezing
- Counterparty A2A marketable assets
- Counterparty A2A credit claims
- Counterparty A2A marginal lending

	<ul style="list-style-type: none"> - Counterparty A2A other messages - Counterparty A2A Corporate Actions - Counterparty A2A Cash collateral (pacs for cash collateral) and Maximum credit line (camt.011) - Counterparty A2A Reporting (admi.005) <p>Displayed format is: Max. 35 characters.</p>
FootPrint	
Updater User Id	Shows the identification of the user that made the last update.
Update Date	Shows the date and time of the role last update. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Creation User Id	Shows the identification of the user that created the data.
Creation Date	Shows the date and time of the role creation. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
User Properties	
The existing User Properties already assigned to the User are displayed	
Field	Description
Property	Shows the User Properties assigned to the user. Required format is: Max. 35 characters.
User Property Value	Shows the data scope of the ECMS Entity or the DN under which the user is to be assigned.
Update Date	Shows the date and time of the last update of the User Properties. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Updater User Id	Shows the identification of the user that made the last update of the User Properties.
After selecting a User Property, the following buttons are displayed	
Button	Function
Create	This function enables you to assign a User Property to the user.
Edit	This function enables you to edit a User Property . This button will not be available for DN User Properties.
View	This function enables you to access to the detailed information of a User Property.
Delete	This function enables you to Delete the link between the user and the User Properties.
To modify an existing User Property, select it and click on the Edit button.	
Field	Description
Property ^(*)	Select the Property you want to assign to the user from the possible values: <ul style="list-style-type: none"> - Data Scope - Distinguished Name
User Property Value ^(*)	Enter the data scope of the ECMS Entity or the DN under which the user is to be assigned. Required format:

	<ul style="list-style-type: none"> - DN: X.500 standard compliant naming convention - Data scope: relevant data scope linked to the ECMS System User
FootPrint	
Updater User Id	Shows the identification of the user that made the last update.
Update Date	Shows the date and time of the Security Role last update. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Creation User Id	Shows the identification of the user that created the data.
Creation Date	Shows the date and time of the Security Role creation. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.

3.1.7.1.1.2.1. Users – History Screen

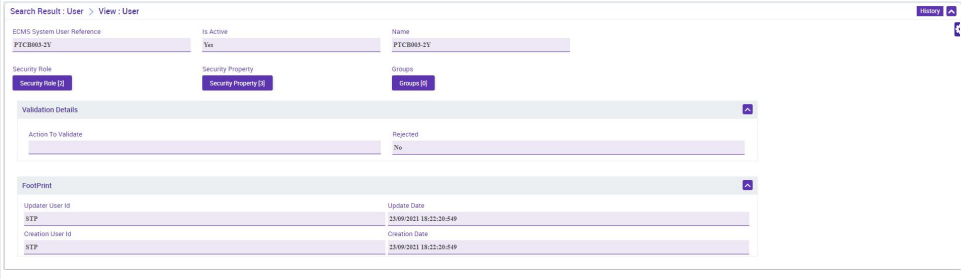
2

Context of Usage	This screen displays historical information that has changed on the selected User. You can check the data, including the user who made the changes, and proceed further by clicking on the buttons that are shown.
Screen Access	<ul style="list-style-type: none"> - Reference Data >> Reference Data >> Access Rights Reference Data >> Users >> Users Create/Display >> Click on the search button (after inputting the relevant Data, if needed) >> Select a User >> >> Click on the <i>History</i> button - Reference Data >> Reference Data >> Access Rights Reference Data >> Users >> Users Create/Display >> Click on the search button (after inputting the relevant Data, if needed) >> Select a User >> Click on the <i>View</i> button (Users – <i>Details</i> Screen) >> <i>Click on the History Button</i>
Screenshot	

Users – History Screen	
Field	Description
Fields	Shows the fields whose information have been updated since the creation of the selected User.
Timestamp	Shows the date and time when the fields have been updated. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Button	Description
Compare	Shows the differences between the selected records whose data have been updated.
Expand All	Shows the expanded information of the fields whose information have been updated since the creation of the selected User.
Show All	Shows the information that existed before each modification of all the fields regarding the selected User.

Collapse All	Collapse the expanded information of the fields that have been updated since the creation of the selected User.
Show Differences Only	Shows only the fields whose information have been updated since the creation of the selected User.

3.1.7.1.1.2.2 Users – Details Screen

Context of Usage	This screen displays detailed information on the selected User. You can check the data and proceed further by clicking on the buttons.
Screen Access	Depending how the screen is accessed, it can be used to show the valid information or the information that requires 4 eyes validation before they can be considered valid in the system For valid information: Reference Data >> Reference Data >> Access Rights Reference Data >> Users >> Users Create/Display >> Click on the search button (after inputting the relevant Data, if needed) >> Select a User >> Click on the <i>View</i> button For information subject to 4 eyes validation: Reference Data >> Reference Data >> Validation / Rejection >> Validate / Reject Access Rights >> User >> Click on the search button (after inputting the relevant Data, if needed) >> Select a User >> Click on the View button
Screenshot	

Users – Details Screen	
Button	Description
History	This function enables you to access to the historical information of a User. Next screen: – <i>Users – History</i> Screen.
ECMS System User	
Field	Description
ECMS System User Reference	Shows the ECMS System User Reference of the user. Displayed format is: Max. 35 characters.
Name	Shows the name of the user. Displayed format is: Max. 127 characters
Is Active	Shows whether the User is active or not. The possible values are: – Yes – No
Validation details	

Action To Val- idate	Shows if there is any action waiting for validation.
Rejected	Shows if any action subject to validation has been rejected. The possible values are: <ul style="list-style-type: none"> - Yes - No
Foot Print	
Creation Date	Shows the date and time of the creation of the User. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Creation User Id	Shows the identification of the user that created the User.
Update Date	Shows the date and time of the update of the User. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Updater User Id	Shows the identification of the user that made the last update of the User.
Button	Function
Groups	This function enables you to display the subsection "Groups".
Role Statement	This function enables you to display the subsection "Role Statement".
User Proper- ties	This function enables you to display the subsection "User Properties".
Groups	
The existing Groups already assigned to the User are displayed	
Field	Description
Security Group	Shows the Group assigned to the user. Required format is: Max. 35 characters.
Update Date	Shows the date and time of the last update of the Group. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Updater User Id	Shows the identification of the user that made the last update of the Group.
To view the details of an existing Group, select it and click on the View button.	
Field	Description
Security Group	Shows the Group you want to assign to the user. Required format is: Max. 35 characters.
Foot Print	
Updater User Id	Shows the identification of the user that made the last update.
Update Date	Shows the date and time of the group last update. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Creation User Id	Shows the identification of the user that created the data.
Creation Date	Shows the date and time of the group creation. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Role Statement	

The existing Roles already assigned to the User are displayed	
Field	Description
Security Role	<p>Shows the role assigned to the user. The possible values are:</p> <ul style="list-style-type: none"> - NCB U2A Party Administrator (Execution and Read Only) - NCB U2A General Reference Data (Execution and Read Only) - NCB U2A Triparty Reference Data (Execution and Read Only) - NCB U2A Pool Reference Data (Execution and Read Only) - NCB U2A Marketable Asset (De)Mobilisation (Execution and Read Only) - NCB U2A Cash Collateral (Execution and Read Only) - NCB U2A Externally Managed collateral (Execution and Read Only) - NCB U2A Open Market Operations (Execution and Read Only) - NCB U2A Standing Facilities (Execution and Read Only) - NCB U2A Credit Freezing (Execution and Read Only) - NCB U2A Credit Line (Execution and Read Only) - NCB U2A Pool Position (Execution and Read Only) - NCB U2A Blocking of a counterparty (Execution and Read Only) - NCB U2A ELA Management (Execution and Read Only) - NCB U2A Banking Group Management (Read Only) - NCB U2A Credit Claim Management (Execution and Read Only) - NCB U2A Corporate Actions and Tax Management (Execution and Read Only) - NCB U2A Statements (Execution and Read Only) - NCB U2A Billing Services(Execution and Read Only) - NCB U2A Accounting Services (Execution and Read Only) - NCB U2A Other Support functionalities (Execution and Read Only) - NCB 2-Eyes (Only applicable to Execution Variant) - NCB 4-Eyes (Only applicable to Execution Variant) - NCB A2A Credit freezing per Pool Id - NCB A2A Other Messages - Counterparty U2A Party Administrator (Execution and Read Only) - Counterparty U2A General Reference Data (Read Only) - Counterparty U2A Triparty Reference Data (Read Only) - Counterparty U2A Pool Reference Data (Read Only) - Counterparty U2A Marketable (De)Mobilisation asset (Execution and Read Only) - Counterparty U2A Cash Collateral (Execution and Read Only) - Counterparty U2A Externally Managed collateral (Read Only) - Counterparty U2A Open Market Operations (Read Only) - Counterparty U2A Standing Facilities (Execution and Read Only) - Counterparty U2A Credit Freezing (Execution and Read Only)

	<ul style="list-style-type: none"> - Counterparty U2A Credit Line (Execution and Read Only) - Counterparty U2A Pool Position Management (Read Only) - Counterparty U2A ELA Management (Read Only) - Counterparty U2A Banking Group Management (Read Only) - Counterparty U2A Credit Claim Management (Execution and Read Only) - Counterparty U2A Corporate Actions and Tax Management (Execution and Read Only) - Counterparty U2A Statements (Execution and Read Only) - Counterparty U2A Other Support functionalities (Execution and Read Only) - Counterparty 2-Eyes (Only for Execution variant) - Counterparty 4-Eyes (Only applicable to Execution Variant) - Counterparty A2A credit freezing - Counterparty A2A marketable assets - Counterparty A2A credit claims - Counterparty A2A marginal lending - Counterparty A2A other messages - Counterparty A2A Corporate Actions - Counterparty A2A Cash collateral (pacs for cash collateral) and Maximum credit line (camt.011) <p>Counterparty A2A Reporting (admi.005)Displayed format is: Max. 35 characters.</p>
Update Date	Shows the date and time of the last update of the Role. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Updater User Id	Shows the identification of the user that made the last update of the Role.
To view the details of an existing Role, select it and click on the View button.	
Field	Description
Security Role	Shows the Security Role you want to assign to the user. The possible values are: <ul style="list-style-type: none"> - NCB U2A Party Administrator (Execution and Read Only) - NCB U2A General Reference Data (Execution and Read Only) - NCB U2A Triparty Reference Data (Execution and Read Only) - NCB U2A Pool Reference Data (Execution and Read Only) - NCB U2A Marketable Asset (De)Mobilisation (Execution and Read Only) - NCB U2A Cash Collateral (Execution and Read Only) - NCB U2A Externally Managed collateral (Execution and Read Only) - NCB U2A Open Market Operations (Execution and Read Only) - NCB U2A Standing Facilities (Execution and Read Only) - NCB U2A Credit Freezing (Execution and Read Only) - NCB U2A Credit Line (Execution and Read Only)


- NCB U2A Pool Position (Execution and Read Only)
- NCB U2A Blocking of a counterparty (Execution and Read Only)
- NCB U2A ELA Management (Execution and Read Only)
- NCB U2A Banking Group Management (Read Only)
- NCB U2A Credit Claim Management (Execution and Read Only)
- NCB U2A Corporate Actions and Tax Management (Execution and Read Only)
- NCB U2A Statements (Execution and Read Only)
- NCB U2A Billing Services(Execution and Read Only)
- NCB U2A Accounting Services (Execution and Read Only)
- NCB U2A Other Support functionalities (Execution and Read Only)
- NCB 2-Eyes (Only applicable to Execution Variant)
- NCB 4-Eyes (Only applicable to Execution Variant)
- NCB A2A Credit freezing per Pool Id
- NCB A2A Other Messages
- Counterparty U2A Party Administrator (Execution and Read Only)
- Counterparty U2A General Reference Data (Read Only)
- Counterparty U2A Triparty Reference Data (Read Only)
- Counterparty U2A Pool Reference Data (Read Only)
- Counterparty U2A Marketable (De)Mobilisation asset (Execution and Read Only)
- Counterparty U2A Cash Collateral (Execution and Read Only)
- Counterparty U2A Externally Managed collateral (Read Only)
- Counterparty U2A Open Market Operations (Read Only)
- Counterparty U2A Standing Facilities (Execution and Read Only)
- Counterparty U2A Credit Freezing (Execution and Read Only)
- Counterparty U2A Credit Line (Execution and Read Only)
- Counterparty U2A Pool Position Management (Read Only)
- Counterparty U2A ELA Management (Read Only)
- Counterparty U2A Banking Group Management (Read Only)
- Counterparty U2A Credit Claim Management (Execution and Read Only)
- Counterparty U2A Corporate Actions and Tax Management (Execution and Read Only)
- Counterparty U2A Statements (Execution and Read Only)
- Counterparty U2A Other Support functionalities (Execution and Read Only)
- Counterparty 2-Eyes (Only for Execution variant)
- Counterparty 4-Eyes (Only applicable to Execution Variant)
- Counterparty A2A credit freezing
- Counterparty A2A marketable assets
- Counterparty A2A credit claims

	<ul style="list-style-type: none"> - Counterparty A2A marginal lending - Counterparty A2A other messages - Counterparty A2A Corporate Actions - Counterparty A2A Cash collateral (pacs for cash collateral) and Maximum credit line (camt.011) - Counterparty A2A Reporting (admi.005) <p>Displayed format is: Max. 35 characters.</p>
Foot Print	
Updater User Id	Shows the identification of the user that made the last update.
Update Date	Shows the date and time of the role last update. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Creation User Id	Shows the identification of the user that created the data.
Creation Date	Shows the date and time of the role creation. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
User Properties	
The existing User Properties already assigned to the User are displayed	
Field	Description
Property	Shows the User Properties assigned to the user. Required format is: Max. 35 characters.
User Property Value	Shows the data scope of the ECMS Entity or the DN under which the user is to be assigned.
Update Date	Shows the date and time of the last update of the User Properties. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Updater User Id	Shows the identification of the user that made the last update of the User Properties.
To view the details of an existing User Property, select it and click on the View button.	
Field	Description
Property	Shows the Property you want to assign to the user from the possible values: <ul style="list-style-type: none"> - Data Scope - Distinguished Name
User Property Value	Shows the data scope of the ECMS Entity or the DN under which the user is to be assigned. Required format: <ul style="list-style-type: none"> - DN: X.500 standard compliant naming convention - Data scope: relevant data scope linked to the ECMS System User
Foot Print	
Updater User Id	Shows the identification of the user that made the last update.
Update Date	Shows the date and time of the Security Role last update. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.

Creation User Id	Shows the identification of the user that created the data.
Creation Date	Shows the date and time of the Security Role creation. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.

3.1.7.2 Groups Create / Display

3.1.7.2.1 Groups – Create Screen

Context of Usage	This screen contains a number of fields regarding the Groups. You can enter new data. Afterwards you can proceed further by clicking on the buttons below.
Screen Access	Reference Data >> Reference Data >> Access Rights Reference Data >> Groups >> Groups Create/Display >> Click on the <i>Create</i> button
Screenshot	

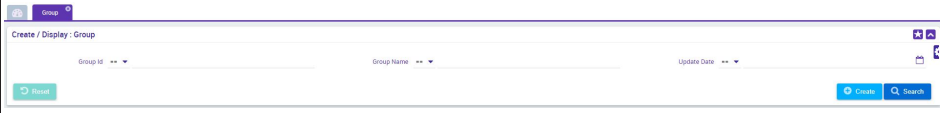
Groups – Create screen	
Group	
Field	Description
Group Id*	Enter the Internal unique identifier of the Group.
Group Name*	Enter the name of the Group. Required format is: Free text.
Button	Function
Group Properties	This function enables you to display the subsection “Group Properties”.
Assigned Roles	This function enables you to display the subsection “Assigned Roles”.
Assigned Users	This function enables you to display the subsection “Assigned Users”.
Reset	This function enables you to clear the form.
Save	This function enables you to save the creation of a Group.
Cancel	This function enables you to cancel the creation of a Group.
Group Properties	
Button	Function
Create	This function enables you to assign the default data scope of the Group.
Field	Description
Property ^(*)	Enter / Select the Property you want to assign to the group. Possible values: – Data Scope Required format is: Max. 35 characters.
Group Property Value ^(*)	Enter the data scope of the ECMS Entity which the group is to be assigned. Required format: – Data scope: relevant data scope linked to the Group

Assigned Roles	
Button	Function
Create	This function enables you to assign a role to the group.
Field	Description
Assigned Roles ^(*)	<ul style="list-style-type: none"> - Enter / Select the Security Role you want to assign to the Group. The possible values are: - NCB U2A Party Administrator (Execution and Read Only) - NCB U2A General Reference Data (Execution and Read Only) - NCB U2A Triparty Reference Data (Execution and Read Only) - NCB U2A Pool Reference Data (Execution and Read Only) - NCB U2A Marketable Asset (De)Mobilisation (Execution and Read Only) - NCB U2A Cash Collateral (Execution and Read Only) - NCB U2A Externally Managed collateral (Execution and Read Only) - NCB U2A Open Market Operations (Execution and Read Only) - NCB U2A Standing Facilities (Execution and Read Only) - NCB U2A Credit Freezing (Execution and Read Only) - NCB U2A Credit Line (Execution and Read Only) - NCB U2A Pool Position (Execution and Read Only) - NCB U2A Blocking of a counterparty (Execution and Read Only) - NCB U2A ELA Management (Execution and Read Only) - NCB U2A Banking Group Management (Read Only) - NCB U2A Credit Claim Management (Execution and Read Only) - NCB U2A Corporate Actions and Tax Management (Execution and Read Only) - NCB U2A Statements (Execution and Read Only) - NCB U2A Billing Services(Execution and Read Only) - NCB U2A Accounting Services (Execution and Read Only) - NCB U2A Other Support functionalities (Execution and Read Only) - NCB 2-Eyes (Only applicable to Execution Variant) - NCB 4-Eyes (Only applicable to Execution Variant) - NCB A2A Credit freezing per Pool Id - NCB A2A Other Messages - Counterparty U2A Party Administrator (Execution and Read Only) - Counterparty U2A General Reference Data (Read Only) - Counterparty U2A Triparty Reference Data (Read Only) - Counterparty U2A Pool Reference Data (Read Only) - Counterparty U2A Marketable (De)Mobilisation asset (Execution and Read Only) - Counterparty U2A Cash Collateral (Execution and Read Only) - Counterparty U2A Externally Managed collateral (Read Only)

	<ul style="list-style-type: none"> - Counterparty U2A Open Market Operations (Read Only) - Counterparty U2A Standing Facilities (Execution and Read Only) - Counterparty U2A Credit Freezing (Execution and Read Only) - Counterparty U2A Credit Line (Execution and Read Only) - Counterparty U2A Pool Position Management (Read Only) - Counterparty U2A ELA Management (Read Only) - Counterparty U2A Banking Group Management (Read Only) - Counterparty U2A Credit Claim Management (Execution and Read Only) - Counterparty U2A Corporate Actions and Tax Management (Execution and Read Only) - Counterparty U2A Statements (Execution and Read Only) - Counterparty U2A Other Support functionalities (Execution and Read Only) - Counterparty 2-Eyes (Only for Execution variant) - Counterparty 4-Eyes (Only applicable to Execution Variant) - Counterparty A2A credit freezing - Counterparty A2A marketable assets - Counterparty A2A credit claims - Counterparty A2A marginal lending - Counterparty A2A other messages - Counterparty A2A Corporate Actions - Counterparty A2A Cash collateral (pacs for cash collateral) and Maximum credit line (camt.011) - Counterparty A2A Reporting (admi.005) Required format is: Max. 35 characters.
Assigned Users	
Button	Function
Create	This function enables you to assign Users to the Group.
Field	Description
ECMS System User Reference (*)	Enter the ECMS System User Reference of the user.
Name#	Shows the name of the user. - Required format is: Free text.
Is Active#	Shows whether the User is active or not. The possible values are: - Yes - No

3.1.7.2.2 Groups – Search Screen


Context of Usage	This screen contains a number of search fields. By inputting the relevant data, you can search for the Groups.
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	The search results will be displayed in a list. After selecting an entry, you can proceed further by clicking on the buttons that are shown.
Screen Access	Depending how the screen is accessed, it can be used to search the valid information or the information that requires 4 eyes validation before they can be considered valid in the system For valid information: Reference Data >> Reference Data >> Access Rights Reference Data >> Groups>> Groups Create/Display For information subject to 4 eyes validation: Reference Data >> Reference Data >> Validation / Rejection >> Validate / Reject Access Rights >> Group
Screenshot	

Groups – Search screen	
Field	Description
Group Id	Enter / Select the Group Identifier. Required format is: Max. 35 characters.
Group Name	Enter the name of the Group. Required format is: Free text.
Update Date	Enter the reference date of the last update of the Group or use the calendar icon. Required format is: DD/MM/YYYY hh:mm:ss:µs
Button	Function
Reset	This function enables you to clear the form.
Create	This function enables you to create a new Group. Next screen: – <i>Group – Create</i> Screen.
Search	This function enables you to start a search according to the filled in criteria. The results are displayed in a list on the List Screen. Next screen: – <i>Group – List</i> Screen.

3.1.7.2.2.1 Groups – List Screen

Context of Usage	This screen contains the search results displayed in a list, which is sorted by the values of the 'Update Date' column in descending order (default setting). After selecting an entry, you can proceed further by clicking on the buttons below.
Screen Access	Depending how the screen is accessed, it can be used to list the valid information or the information that requires 4 eyes validation before they can be considered valid in the system For valid information:

	<p>Reference Data >> Reference Data >> Access Rights Reference Data >> Groups >> Groups Create/Display >> Click on the search button (after inputting the relevant Data, if needed)</p> <p>For information subject to 4 eyes validation:</p> <p>Reference Data >> Reference Data >> Validation / Rejection >> Validate / Reject Access Rights >> Groups >> Click on the search button (after inputting the relevant Data, if needed)</p>
Screenshot	

Groups – List Screen	
Field	Description
Group Id	Shows the Group Identifier. Required format is: Max. 35 characters.
Group Name	Shows the name of the Group. Required format is: Free text.
Action to Val- idate	Shows if there is any action waiting for validation. Displayed format is: Freetext.
Update Date	Shows the date and time of the last update of the Group. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Updater User Id	Shows the identification of the user that made the last update of the Group.
After selecting a record, the following buttons are displayed	
Button	Function
History	This function enables you to access to the historical information of a User. Next screen: – <i>Groups – History</i> Screen.
Edit	This function enables you to edit a User. Next screen: – <i>Groups– Edit</i> Screen.
View	This function enables you to access to the detailed information of a User. Next screen: – <i>Groups– Details</i> Screen.
Delete	This function enables you to Delete the Group.

3.1.7.2.2.1.1 Groups – Edit Screen

Context of Usage	This screen contains a number of fields regarding Groups that you can edit. Afterwards you can proceed further by clicking on the buttons below.
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Screen Access	Reference Data >> Reference Data >> Access Rights Reference Data >> Groups >> Groups Create/Display >> Click on the search button (after inputting the relevant Data, if needed) >> Select a User >> Click on the <i>Edit</i> button
Screenshot	

Groups – Edit Screen	
ECMS Groups	
Field	Description
Group Id#	Shows the Internal unique identifier of the Group.
Group Name*	Enter the name of the Group. Required format is: Free text.
FootPrint	
Updater User Id	Shows the identification of the User that made the last update.
Update Date	Shows the date and time of the Group last update. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Creation User Id	Shows the identification of the User that created the data.
Creation Date	Shows the date and time of the Group creation. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Button	Function
Group Properties	This function enables you to display the subsection “Group Properties”.
Assigned Roles	This function enables you to display the subsection “Assigned Roles”.
Assigned Users	This function enables you to display the subsection “Assigned Users”.
Save	This function enables you to save the modification of a Group.
Cancel	This function enables you to cancel the modification of a Group.
Groups Properties	
The existing Groups already assigned to the Group are displayed	
Field	Description
Property*	Enter / Select the Property you want to assign to the group. Possible values: – Data Scope Required format is: Max. 35 characters.
Group Property Value*	Enter the data scope of the ECMS Entity which the group is to be assigned. Required format: Data scope: relevant data scope linked to the Group

Update Date	Shows the date and time of the last update of the Group. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Updater User Id	Shows the identification of the user that made the last update of the Group.
After selecting a Property, the following buttons are displayed	
Button	Function
Create	This function enables you to assign a Property to the Group.
Edit	This function enables you to edit a Property assigned to a Group.
View	This function enables you to access to the detailed information of a Property.
Delete	This function enables you to Delete the link between the Grup and the Group Property.
To modify an existing Property, select it and click on the Edit button.	
Field	Description
Property*	Enter / Select the Property you want to assign to the group. Possible values: – Data Scope Required format is: Max. 35 characters.
Group Property Value*	Enter the data scope of the ECMS Entity which the group is to be assigned. Required format: Data scope: relevant data scope linked to the Group
FootPrint	
Updater User Id	Shows the identification of the user that made the last update.
Update Date	Shows the date and time of the last update. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Creation User Id	Shows the identification of the user that created the data.
Creation Date	Shows the date and time of the creation. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Assigned Roles	
The existing Role Staments already assigned to the Group are displayed	
Field	Description
Security Role	Shows the role assigned to the Group. The possible values are: – NCB U2A Party Administrator (Execution and Read Only) – NCB U2A General Reference Data (Execution and Read Only) – NCB U2A Triparty Reference Data (Execution and Read Only) – NCB U2A Pool Reference Data (Execution and Read Only) – NCB U2A Marketable Asset (De)Mobilisation (Execution and Read Only) – NCB U2A Cash Collateral (Execution and Read Only) – NCB U2A Externally Managed collateral (Execution and Read Only) – NCB U2A Open Market Operations (Execution and Read Only) – NCB U2A Standing Facilities (Execution and Read Only) – NCB U2A Credit Freezing (Execution and Read Only)

- NCB U2A Credit Line (Execution and Read Only)
- NCB U2A Pool Position (Execution and Read Only)
- NCB U2A Blocking of a counterparty (Execution and Read Only)
- NCB U2A ELA Management (Execution and Read Only)
- NCB U2A Banking Group Management (Read Only)
- NCB U2A Credit Claim Management (Execution and Read Only)
- NCB U2A Corporate Actions and Tax Management (Execution and Read Only)
- NCB U2A Statements (Execution and Read Only)
- NCB U2A Billing Services(Execution and Read Only)
- NCB U2A Accounting Services (Execution and Read Only)
- NCB U2A Other Support functionalities (Execution and Read Only)
- NCB 2-Eyes (Only applicable to Execution Variant)
- NCB 4-Eyes (Only applicable to Execution Variant)
- NCB A2A Credit freezing per Pool Id
- NCB A2A Other Messages
- Counterparty U2A Party Administrator (Execution and Read Only)
- Counterparty U2A General Reference Data (Read Only)
- Counterparty U2A Triparty Reference Data (Read Only)
- Counterparty U2A Pool Reference Data (Read Only)
- Counterparty U2A Marketable (De)Mobilisation asset (Execution and Read Only)
- Counterparty U2A Cash Collateral (Execution and Read Only)
- Counterparty U2A Externally Managed collateral (Read Only)
- Counterparty U2A Open Market Operations (Read Only)
- Counterparty U2A Standing Facilities (Execution and Read Only)
- Counterparty U2A Credit Freezing (Execution and Read Only)
- Counterparty U2A Credit Line (Execution and Read Only)
- Counterparty U2A Pool Position Management (Read Only)
- Counterparty U2A ELA Management (Read Only)
- Counterparty U2A Banking Group Management (Read Only)
- Counterparty U2A Credit Claim Management (Execution and Read Only)
- Counterparty U2A Corporate Actions and Tax Management (Execution and Read Only)
- Counterparty U2A Statements (Execution and Read Only)
- Counterparty U2A Other Support functionalities (Execution and Read Only)
- Counterparty 2-Eyes (Only for Execution variant)
- Counterparty 4-Eyes (Only applicable to Execution Variant)
- Counterparty A2A credit freezing
- Counterparty A2A marketable assets

	<ul style="list-style-type: none"> - Counterparty A2A credit claims - Counterparty A2A marginal lending - Counterparty A2A other messages - Counterparty A2A Corporate Actions - Counterparty A2A Cash collateral (pacs for cash collateral) and Maximum credit line (camt.011) - Counterparty A2A Reporting (admi.005) <p>Displayed format is: Max. 35 characters.</p>
Update Date	Shows the date and time of the last update of the Role. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Updater User Id	Shows the identification of the user that made the last update of the Role.
After selecting a Role, the following buttons are displayed	
Button	Function
Create	This function enables you to assign a role to the group.
Edit	This function enables you to edit a role.
View	This function enables you to access to the detailed information of a role.
Delete	This function enables you to Delete the link between the Group and the Role.
To modify an existing role, select it and click on the Edit button.	
Field	Description
Security Role ^(*)	Shows the Security Role you want to assign to the group. The possible values are: <ul style="list-style-type: none"> - NCB U2A Party Administrator (Execution and Read Only) - NCB U2A General Reference Data (Execution and Read Only) - NCB U2A Triparty Reference Data (Execution and Read Only) - NCB U2A Pool Reference Data (Execution and Read Only) - NCB U2A Marketable Asset (De)Mobilisation (Execution and Read Only) - NCB U2A Cash Collateral (Execution and Read Only) - NCB U2A Externally Managed collateral (Execution and Read Only) - NCB U2A Open Market Operations (Execution and Read Only) - NCB U2A Standing Facilities (Execution and Read Only) - NCB U2A Credit Freezing (Execution and Read Only) - NCB U2A Credit Line (Execution and Read Only) - NCB U2A Pool Position (Execution and Read Only) - NCB U2A Blocking of a counterparty (Execution and Read Only) - NCB U2A ELA Management (Execution and Read Only) - NCB U2A Banking Group Management (Read Only) - NCB U2A Credit Claim Management (Execution and Read Only) - NCB U2A Corporate Actions and Tax Management (Execution and Read Only) - NCB U2A Statements (Execution and Read Only)

- NCB U2A Billing Services(Execution and Read Only)
- NCB U2A Accounting Services (Execution and Read Only)
- NCB U2A Other Support functionalities (Execution and Read Only)
- NCB 2-Eyes (Only applicable to Execution Variant)
- NCB 4-Eyes (Only applicable to Execution Variant)
- NCB A2A Credit freezing per Pool Id
- NCB A2A Other Messages
- Counterparty U2A Party Administrator (Execution and Read Only)
- Counterparty U2A General Reference Data (Read Only)
- Counterparty U2A Triparty Reference Data (Read Only)
- Counterparty U2A Pool Reference Data (Read Only)
- Counterparty U2A Marketable (De)Mobilisation asset (Execution and Read Only)
- Counterparty U2A Cash Collateral (Execution and Read Only)
- Counterparty U2A Externally Managed collateral (Read Only)
- Counterparty U2A Open Market Operations (Read Only)
- Counterparty U2A Standing Facilities (Execution and Read Only)
- Counterparty U2A Credit Freezing (Execution and Read Only)
- Counterparty U2A Credit Line (Execution and Read Only)
- Counterparty U2A Pool Position Management (Read Only)
- Counterparty U2A ELA Management (Read Only)
- Counterparty U2A Banking Group Management (Read Only)
- Counterparty U2A Credit Claim Management (Execution and Read Only)
- Counterparty U2A Corporate Actions and Tax Management (Execution and Read Only)
- Counterparty U2A Statements (Execution and Read Only)
- Counterparty U2A Other Support functionalities (Execution and Read Only)
- Counterparty 2-Eyes (Only for Execution variant)
- Counterparty 4-Eyes (Only applicable to Execution Variant)
- Counterparty A2A credit freezing
- Counterparty A2A marketable assets
- Counterparty A2A credit claims
- Counterparty A2A marginal lending
- Counterparty A2A other messages
- Counterparty A2A Corporate Actions
- Counterparty A2A Cash collateral (pacs for cash collateral) and Maximum credit line (camt.011)
- Counterparty A2A Reporting (admi.005)

Displayed format is: Max. 35 characters.

FootPrint

Updater User Id	Shows the identification of the user that made the last update.
Update Date	Shows the date and time of the role last update. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Creation User Id	Shows the identification of the user that created the data.
Creation Date	Shows the date and time of the role creation. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Assigned Users	
The existing Users already assigned to the group are displayed	
Field	Description
ECMS System User Reference*	Enter the ECMS System User Reference of the user.
Name#	Shows the name of the user. Required format is: Free text.
Is Active#	Shows whether the User is active or not. The possible values are: – Yes – No
Update Date	Shows the date and time of the last update of the assigned Users. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Updater User Id	Shows the identification of the user that made the last update of the Assigned Users.
After selecting a User, the following buttons are displayed	
Button	Function
Create	This function enables you to assign a User to the Group.
Edit	This function enables you to edit a User.
View	This function enables you to access to the detailed information of a User.
Delete	This function enables you to Delete the link between the group and the user.
To modify an existing User, select it and click on the Edit button.	
Field	Description
ECMS System User Reference*	Enter the ECMS System User Reference of the user.
Name#	Shows the name of the user. Required format is: Free text.
Is Active#	Shows whether the User is active or not. The possible values are: – Yes – No
FootPrint	
Updater User Id	Shows the identification of the user that made the last update.
Update Date	Shows the date and time of the Security Role last update.

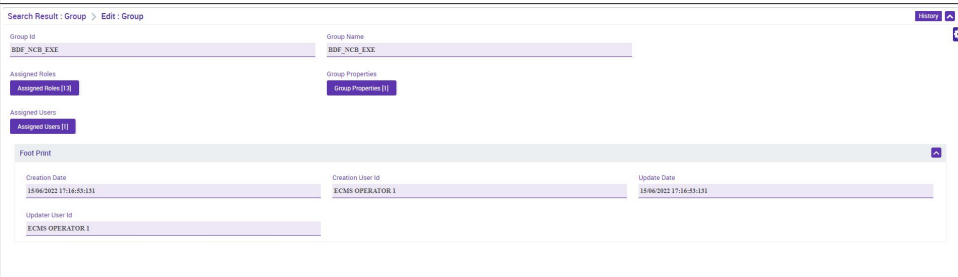
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Creation User Id	Shows the identification of the user that created the data.
Creation Date	Shows the date and time of the Security Role creation. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.

3.1.7.2.2.1.2 Groups – History Screen

Context of Usage	This screen displays historical information that has changed on the selected Group. You can check the data, including the user who made the changes, and proceed further by clicking on the buttons that are shown.																		
Screen Access	<ul style="list-style-type: none"> – Reference Data >> Reference Data >> Access Rights Reference Data >> Group >> Group Create/Display >> Click on the search button (after inputting the relevant Data, if needed) >> Select a Group r >> >> Click on the <i>History</i> button – Reference Data >> Reference Data >> Access Rights Reference Data >> Group >> Users Create/Display >> Click on the search button (after inputting the relevant Data, if needed) >> Select a Group >> Click on the <i>View</i> button (Group – Details Screen) >> <i>Click on the History Button</i> 																		
Screenshot	<p>horizontalHistoryResult - Group History</p> <p>Fields: BDF_JNCB_EXE(2022-06-15 17:16:53.1311)</p> <p>Group Properties</p> <table border="1"> <tr><td>Rejected</td><td>No</td></tr> <tr><td>Action To Validate</td><td></td></tr> <tr><td>Groups</td><td></td></tr> <tr><td>Rejection Reason</td><td></td></tr> </table> <p>Assigned Roles</p> <table border="1"> <tr><td>Validation Status</td><td></td></tr> <tr><td>Group Id</td><td>BDF_JNCB_EXE</td></tr> <tr><td>Group Name</td><td>BDF_JNCB_EXE</td></tr> <tr><td>Creation Date</td><td>15/06/2022 17:16:53.1311</td></tr> <tr><td>Creation User Id</td><td>ECMS OPERATOR 1</td></tr> </table> <p>(0 difference(s) found.)</p>	Rejected	No	Action To Validate		Groups		Rejection Reason		Validation Status		Group Id	BDF_JNCB_EXE	Group Name	BDF_JNCB_EXE	Creation Date	15/06/2022 17:16:53.1311	Creation User Id	ECMS OPERATOR 1
Rejected	No																		
Action To Validate																			
Groups																			
Rejection Reason																			
Validation Status																			
Group Id	BDF_JNCB_EXE																		
Group Name	BDF_JNCB_EXE																		
Creation Date	15/06/2022 17:16:53.1311																		
Creation User Id	ECMS OPERATOR 1																		

Groups – History Screen	
Field	Description
Fields	Shows the fields whose information have been updated since the creation of the selected Group.
Timestamp	Shows the date and time when the fields have been updated. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Button	Description
Compare	Shows the differences between the selected records whose data have been updated.
Expand All	Shows the expanded information of the fields whose information have been updated since the creation of the selected Group.
Show All	Shows the information that existed before each modification of all the fields regarding the selected Group.
Collapse All	Collapse the expanded information of the fields that have been updated since the creation of the selected Group.
Show Differences Only	Shows only the fields whose information have been updated since the creation of the selected Group.

3.1.7.2.2.2 Groups – Details Screen

Context of Usage	This screen displays detailed information on the selected Group. You can check the data and proceed further by clicking on the buttons.
Screen Access	<p>Depending how the screen is accessed, it can be used to show the valid information or the information that requires 4 eyes validation before they can be considered valid in the system</p> <p>For valid information: Reference Data >> Reference Data >> Access Rights Reference Data >> Groups >> Groups Create/Display >> Click on the search button (after inputting the relevant Data, if needed) >> Select a Group >> Click on the <i>View</i> button</p> <p>For information subject to 4 eyes validation: Reference Data >> Reference Data >> Validation / Rejection >> Validate / Reject Access Rights >> Groups >> Click on the search button (after inputting the relevant Data, if needed) >> Select a Group >> Click on the View button</p>
Screenshot	

Groups – Details Screen	
Button	Description
History	<p>This function enables you to access to the historical information of a Group.</p> <p>Next screen: – <i>Groups – History</i> Screen.</p>
ECMS Group	
Field	Description
Group Id	Shows the Internal unique identifier of the Group.
Group Name	Shows the name of the Group. Required format is: Free text.
Validation details	
Action To Validate	Shows if there is any action waiting for validation.
Rejected	Shows if any action subject to validation has been rejected. The possible values are: – Yes – No
Foot Print	
Creation Date	Shows the date and time of the creation of the Group. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.

Creation User Id	Shows the identification of the user that created the Group.
Update Date	Shows the date and time of the update of the Group. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Updater User Id	Shows the identification of the user that made the last update of the Group.
Button	Function
Group Properties	This function enables you to display the subsection "Group Properties".
Assigned Roles	This function enables you to display the subsection "Assigned Roles".
Assigned Users	This function enables you to display the subsection "Assigned Users".
Groups Properties	
The existing Properties already assigned to the Group are displayed	
Field	Description
Property	Shows the Property assigned to the group. Possible values: – Data Scope Required format is: Max. 35 characters.
Group Property Value	Shows the data scope of the ECMS Entity assigned to the Group. Required format: – Data scope: relevant data scope linked to the Group
Update Date	Shows the date and time of the last update of the Group. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Updater User Id	Shows the identification of the user that made the last update of the Group.
Groups Properties - Details	
To view the details of an existing Group Property, select it and click on the View button.	
Field	Description
Property	Shows the Property assigned to the group. Possible values: – Data Scope Required format is: Max. 35 characters.
Group Property Value	Shows the data scope of the ECMS Entity assigned to the Group. Required format: Data scope: relevant data scope linked to the Group
Foot Print	
Updater User Id	Shows the identification of the user that made the last update.
Update Date	Shows the date and time of the group property last update. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Creation User Id	Shows the identification of the user that created the data.
Creation Date	Shows the date and time of the group property creation.

	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Assigned Roles	
The existing Roles already assigned to the Group are displayed	
Field	Description
Security Role	<p>Shows the role assigned to the Group. The possible values are:</p> <ul style="list-style-type: none"> - NCB U2A Party Administrator (Execution and Read Only) - NCB U2A General Reference Data (Execution and Read Only) - NCB U2A Triparty Reference Data (Execution and Read Only) - NCB U2A Pool Reference Data (Execution and Read Only) - NCB U2A Marketable Asset (De)Mobilisation (Execution and Read Only) - NCB U2A Cash Collateral (Execution and Read Only) - NCB U2A Externally Managed collateral (Execution and Read Only) - NCB U2A Open Market Operations (Execution and Read Only) - NCB U2A Standing Facilities (Execution and Read Only) - NCB U2A Credit Freezing (Execution and Read Only) - NCB U2A Credit Line (Execution and Read Only) - NCB U2A Pool Position (Execution and Read Only) - NCB U2A Blocking of a counterparty (Execution and Read Only) - NCB U2A ELA Management (Execution and Read Only) - NCB U2A Banking Group Management (Read Only) - NCB U2A Credit Claim Management (Execution and Read Only) - NCB U2A Corporate Actions and Tax Management (Execution and Read Only) - NCB U2A Statements (Execution and Read Only) - NCB U2A Billing Services(Execution and Read Only) - NCB U2A Accounting Services (Execution and Read Only) - NCB U2A Other Support functionalities (Execution and Read Only) - NCB 2-Eyes (Only applicable to Execution Variant) - NCB 4-Eyes (Only applicable to Execution Variant) - NCB A2A Credit freezing per Pool Id - NCB A2A Other Messages - Counterparty U2A Party Administrator (Execution and Read Only) - Counterparty U2A General Reference Data (Read Only) - Counterparty U2A Triparty Reference Data (Read Only) - Counterparty U2A Pool Reference Data (Read Only) - Counterparty U2A Marketable (De)Mobilisation asset (Execution and Read Only) - Counterparty U2A Cash Collateral (Execution and Read Only) - Counterparty U2A Externally Managed collateral (Read Only) - Counterparty U2A Open Market Operations (Read Only)

	<ul style="list-style-type: none"> - Counterparty U2A Standing Facilities (Execution and Read Only) - Counterparty U2A Credit Freezing (Execution and Read Only) - Counterparty U2A Credit Line (Execution and Read Only) - Counterparty U2A Pool Position Management (Read Only) - Counterparty U2A ELA Management (Read Only) - Counterparty U2A Banking Group Management (Read Only) - Counterparty U2A Credit Claim Management (Execution and Read Only) - Counterparty U2A Corporate Actions and Tax Management (Execution and Read Only) - Counterparty U2A Statements (Execution and Read Only) - Counterparty U2A Other Support functionalities (Execution and Read Only) - Counterparty 2-Eyes (Only for Execution variant) - Counterparty 4-Eyes (Only applicable to Execution Variant) - Counterparty A2A credit freezing - Counterparty A2A marketable assets - Counterparty A2A credit claims - Counterparty A2A marginal lending - Counterparty A2A other messages - Counterparty A2A Corporate Actions - Counterparty A2A Cash collateral (pacs for cash collateral) and Maximum credit line (camt.011) <p>Counterparty A2A Reporting (admi.005)Displayed format is: Max. 35 characters.</p>
Update Date	Shows the date and time of the last update of the Role. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Updater User Id	Shows the identification of the user that made the last update of the Role.
To view the details of an existing Role, select it and click on the View button.	
Field	Description
Security Role	Shows the Security Role you want to assign to the user. The possible values are: <ul style="list-style-type: none"> - NCB U2A Party Administrator (Execution and Read Only) - NCB U2A General Reference Data (Execution and Read Only) - NCB U2A Triparty Reference Data (Execution and Read Only) - NCB U2A Pool Reference Data (Execution and Read Only) - NCB U2A Marketable Asset (De)Mobilisation (Execution and Read Only) - NCB U2A Cash Collateral (Execution and Read Only) - NCB U2A Externally Managed collateral (Execution and Read Only) - NCB U2A Open Market Operations (Execution and Read Only) - NCB U2A Standing Facilities (Execution and Read Only) - NCB U2A Credit Freezing (Execution and Read Only) - NCB U2A Credit Line (Execution and Read Only) - NCB U2A Pool Position (Execution and Read Only)

- NCB U2A Blocking of a counterparty (Execution and Read Only)
- NCB U2A ELA Management (Execution and Read Only)
- NCB U2A Banking Group Management (Read Only)
- NCB U2A Credit Claim Management (Execution and Read Only)
- NCB U2A Corporate Actions and Tax Management (Execution and Read Only)
- NCB U2A Statements (Execution and Read Only)
- NCB U2A Billing Services(Execution and Read Only)
- NCB U2A Accounting Services (Execution and Read Only)
- NCB U2A Other Support functionalities (Execution and Read Only)
- NCB 2-Eyes (Only applicable to Execution Variant)
- NCB 4-Eyes (Only applicable to Execution Variant)
- NCB A2A Credit freezing per Pool Id
- NCB A2A Other Messages
- Counterparty U2A Party Administrator (Execution and Read Only)
- Counterparty U2A General Reference Data (Read Only)
- Counterparty U2A Triparty Reference Data (Read Only)
- Counterparty U2A Pool Reference Data (Read Only)
- Counterparty U2A Marketable (De)Mobilisation asset (Execution and Read Only)
- Counterparty U2A Cash Collateral (Execution and Read Only)
- Counterparty U2A Externally Managed collateral (Read Only)
- Counterparty U2A Open Market Operations (Read Only)
- Counterparty U2A Standing Facilities (Execution and Read Only)
- Counterparty U2A Credit Freezing (Execution and Read Only)
- Counterparty U2A Credit Line (Execution and Read Only)
- Counterparty U2A Pool Position Management (Read Only)
- Counterparty U2A ELA Management (Read Only)
- Counterparty U2A Banking Group Management (Read Only)
- Counterparty U2A Credit Claim Management (Execution and Read Only)
- Counterparty U2A Corporate Actions and Tax Management (Execution and Read Only)
- Counterparty U2A Statements (Execution and Read Only)
- Counterparty U2A Other Support functionalities (Execution and Read Only)
- Counterparty 2-Eyes (Only for Execution variant)
- Counterparty 4-Eyes (Only applicable to Execution Variant)
- Counterparty A2A credit freezing
- Counterparty A2A marketable assets
- Counterparty A2A credit claims
- Counterparty A2A marginal lending

	<ul style="list-style-type: none"> - Counterparty A2A other messages - Counterparty A2A Corporate Actions - Counterparty A2A Cash collateral (pacs for cash collateral) and Maximum credit line (camt.011) - Counterparty A2A Reporting (admi.005) <p>Displayed format is: Max. 35 characters.</p>
Foot Print	
Updater User Id	Shows the identification of the user that made the last update.
Update Date	Shows the date and time of the role last update. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Creation User Id	Shows the identification of the user that created the data.
Creation Date	Shows the date and time of the role creation. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Assigned Users	
The existing User already assigned to the group are displayed	
Field	Description
ECMS System User Reference	Shows the ECMS System User Reference of the user.
Name	Shows the name of the user. Required format is: Free text.
Is Active	Shows whether the User is active or not. The possible values are: <ul style="list-style-type: none"> - Yes - No
Update Date	Shows the date and time of the last update of the assigned User. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Updater User Id	Shows the identification of the user that made the last update of the assigned User.
To view the details of an existing assigned User, select it and click on the View button.	
Field	Description
ECMS System User Reference	Shows the ECMS System User Reference of the user.
Name	Shows the name of the user. Required format is: Free text.
Is Active	Shows whether the User is active or not. The possible values are: <ul style="list-style-type: none"> - Yes - No
Foot Print	
Updater User Id	Shows the identification of the user that made the last update.

Update Date	Shows the date and time of the Security Role last update. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Creation User Id	Shows the identification of the user that created the data.
Creation Date	Shows the date and time of the Security Role creation. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.

3.1.8 Interfaces

The ECMS allows the user to consult the provision of reference data as result of the interaction with other systems as the ones you can see below.


3.1.8.1 T2S Data Provision

The ECMS sends data to T2S on a daily basis information on Eligible Assets.

3.1.8.1.1 T2S Eligible Marketable Assets

ECMS will send to T2S the list of Eligible Marketable Assets that complies defined conditions. The details of this communication can be consulted in these screens.

3.1.8.1.1.1 T2S Eligible Marketable Assets – Search Screen

Context of Usage	This screen contains a number of search fields. By inputting the relevant data, you can search for the T2S Eligible Marketable Assets information. The search results will be displayed in a list. After selecting an entry, you can proceed further by clicking on the buttons that are shown.
Screen Access	Reference Data >> Interfaces >> T2S Data Provision >> T2S Eligible Marketable Assets
Screenshot	

T2S Eligible Marketable Assets – Search screen	
Field	Description
Business Day	Enter the Business Day. Required format is: DD/MM/YYYY.
Button	Function
Reset	This function enables you to clear the form.
Search	This function enables you to start a search according to the filled in criteria. The results are displayed in a list on the List Screen. Next screen: <i>T2S Eligible Marketable Assets - List Screen.</i>

3.1.8.1.1.2 T2S Eligible Marketable Assets – List Screen

Context of Usage	This screen contains the search results displayed in a list, which is sorted by the values of the 'Update Date' column in descending order (default setting). After selecting an entry, you can proceed further by clicking on the buttons below.
Screen Access	Reference Data >> Interfaces >> T2S Data Provision >> T2S Eligible Marketable Assets >> Click on the <i>search</i> button (after inputting the relevant Data, if needed)
Screenshot	

T2S Eligible Marketable Assets – List screen	
Field	Description
Business Day	Shows the Business Day. Displayed format is: DD/MM/YYYY.
App Reference	Shows the Internal Reference to identify the information exchange. Displayed format is: Max. 30 characters.
Button	Function
View	This function enables you to access to the detailed information of a T2s Eligible Marketable Assets. Next screen: <i>T2S Eligible Marketable Assets - Details Screen.</i>

3.1.8.1.1.3 T2S Eligible Marketable Assets – Details Screen

Context of Usage	This screen displays detailed information on the selected T2S Eligible Marketable Assets. You can check the data and proceed further by clicking on the buttons.
Screen Access	Reference Data >> Interfaces >> T2S Data Provision >> T2S Eligible Marketable Assets >> Click on the Search button >> Select a T2S Eligible Marketable Assets >> Click on the View button
Screenshot	

T2S Eligible Marketable Assets – Details screen

Field	Description
Business Day	Shows the Business Day. Displayed format is: DD/MM/YYYY.
App Reference	Shows the Internal Reference to identify the information exchange. Displayed format is: Max. 30 characters.
Footprint	
Creation Date	Shows the date and time of the creation. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Creation User Id	Shows the identification of the user that does the creation.
Update Date	Shows the date and time of the last update Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Updater User Id	Shows the identification of the user that made the last update.
Button	
T2S New Eligible Assets	This function enables you to access to the detailed information of the group of T2S New Eligible Assets. Next screen: <i>T2S New Eligible Assets – List Screen.</i>
Eligible Assets	This function enables you to access to the detailed information of the group of the Eligible Assets. Next screen: <i>Eligible Assets – List Screen.</i>
T2S Deleted Eligible Assets	This function enables you to access to the detailed information of the group of T2S Deleted Eligible Assets. Next screen: <i>T2S Deleted Eligible Assets – List Screen.</i>
T2S New Eligible Assets	
The T2S New Eligible Assets are displayed	
To view the details of an existing T2S New Eligible Asset, select it and click on the View button.	
Object	Description
ISIN	Shows the ISIN that identifies the Marketable Asset.
Currency	Shows the currency stored.
NCB BIC Code	Shows the BIC of the NCB.
Message Reference	Shows the unique Reference.
Status	Shows the current status.
Footprint	
Updater User Id	Shows the identification of the user that made the last update.
Update Date	Shows the date and time of the last update. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Creation User Id	Shows the identification of the user that created the data.
Creation Date	Shows the date and time of the creation.

	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Eligible Assets	
The Eligible Assets are displayed	
To view the details of an existing Eligible Asset, select it and click on the View button.	
Object	Description
ISIN	Shows the ISIN that identifies the Marketable Asset.
Currency	Shows the currency stored.
NCB BIC Code	Shows the BIC of the NCB.
Message Reference	Shows the unique Reference.
Status	Shows the current status.
Footprint	
Updater User Id	Shows the identification of the user that made the last update.
Update Date	Shows the date and time of the last update. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Creation User Id	Shows the identification of the user that created the data.
Creation Date	Shows the date and time of the creation. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
T2S Deleted Eligible Assets	
The T2S Deleted Eligible Assets are displayed	
To view the details of an existing T2S Deleted Eligible Asset, select it and click on the View button.	
Object	Description
ISIN	Shows the ISIN that identifies the Marketable Asset.
Currency	Shows the currency stored.
NCB BIC Code	Shows the BIC of the NCB.
Message Reference	Shows the unique Reference.
Status	Shows the current status.
Footprint	
Updater User Id	Shows the identification of the user that made the last update.
Update Date	Shows the date and time of the last update. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Creation User Id	Shows the identification of the user that created the data.
Creation Date	Shows the date and time of the creation. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.

3.1.9 Statement & Messages

A message subscription is always done for a given message type in a given process.

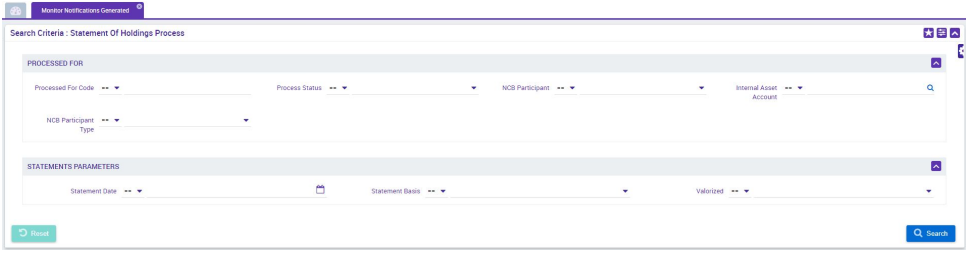
When necessary, the subscription will happen at the level of the message function if the same message can have different functions.

The messages are encompassed in business areas as Securities Management, the ones described below includes the Statement of Holdings, Statement of Transactions and Statement of Pending Transactions (“sent” messages).

3.1.9.1 Statement of Holdings

This section is related to the Statement of Holdings area.

3.1.9.1.1 Monitor Notifications Generated – Search Screen

Context of Usage	<p>This screen contains a number of search fields and shows the latest and stored searches. By inputting the relevant data, you can search for your own Notifications Generated from Statement of Holdings.</p> <p>The search results will be displayed in a list. After selecting an entry, you can proceed further by clicking on the buttons that are shown.</p>
Screen Access	Reference Data >> Statement & Messages >> Statement of Holdings >> Monitor Notifications Generated
Screenshot	

Monitor Notifications Generated – Search screen	
Field	Description
PROCESSED FOR	
Internal Asset Account	Enter / Select the unique Internal Asset Account Reference. Required format is: Max. 35 characters.
NCB Participant	Enter / Select the RIAD Code that uniquely identifies the NCB Participant. Required format is: Max. 30 characters.
NCB Participant Type	Enter / Select the ECMS Entity Type from the possible values: – Counterparties – Non-euro Area CB
Statement Id	Enter the Statement Identifier Required format is: free text.
STATEMENTS PARAMETERS	
Statement Date	Enter / Select the Statement Date. The calendar icon can also be used. Required format is: DD/MM/YYYY
Button	Function
Reset	This function enables you to clear the form.

Search	<p>This function enables you to start a search according to the filled in criteria. The results are displayed in a list on the List Screen.</p> <p>Next screen:</p> <ul style="list-style-type: none"> – <i>Monitor Notifications Generated – List Screen.</i>
--------	---

3.1.9.1.1.1 Monitor Notifications Generated – List Screen

Context of Usage	This screen contains the search results displayed in a list, which is sorted by the values of the 'Update Date' column in descending order (default setting). After selecting an entry, you can proceed further by clicking on the buttons below.
Screen Access	Reference Data >> Statement & Messages >> Statement of Holdings >> Monitor Notifications Generated >> Click on the search button (after inputting the relevant Data, if needed)
Screenshot	

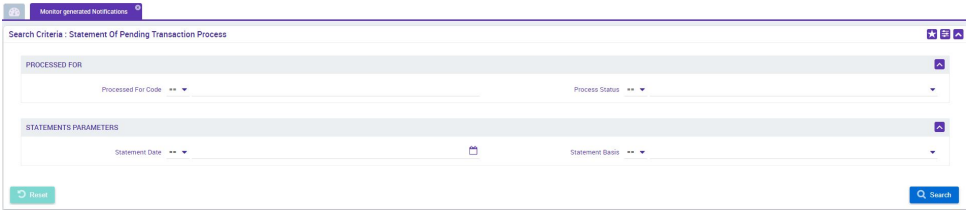
Monitor Notifications Generated – List screen	
Field	Description
Statement Id	Shows the Statement Identifier Displayed format is: free text.
Internal Asset Account	Shows the unique Internal Asset Account Reference. Displayed format is: Max. 35 characters.
NCB Participant	Shows the RIAD Code that uniquely identifies the NCB Participant. Displayed format is: Max. 30 characters.
NCB Participant Type	Shows the ECMS Entity Type from the possible values: <ul style="list-style-type: none"> – Counterparties – Non-euro Area CB
Statement Date	Shows the Statement Date. Displayed format is: DD/MM/YYYY
Report Frequency	Shows Report Frequency with possible values: <ul style="list-style-type: none"> – Ad-Hoc – Daily – Monthly – Weekly – Yearly
Status	Shows the status of the generated notification.
Failure Reason	Shows the failure reason (if it has been an error).
Updater User Id	Shows the identification of the user that made the last update.

Update Date	Shows the date and time of the Statement of Holdings Record last update. Displayed format is: DD/MM/YYYY hh:mm:ss:µs
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3.1.9.2 Statement of Pending Transactions

This section is related to the Statement of Pending Transactions area.

3.1.9.2.1 Monitor Generated Notifications – Search Screen

Context of Usage	This screen contains a number of search fields and shows the latest and stored searches. By inputting the relevant data, you can search for your own Notifications Generated from Statement of Pending Transactions. The search results will be displayed in a list. After selecting an entry, you can proceed further by clicking on the buttons that are shown.
Screen Access	Reference Data >> Statement & Messages >> Statement of Pending Transactions >> Monitor generated Notifications
Screenshot	

Monitor Generated Notifications – Search screen	
Field	Description
PROCESSED FOR	
Internal Asset Account	Enter / Select the unique Internal Asset Account Reference. Required format is: Max. 35 characters.
NCB Participant	Enter / Select the RIAD Code that uniquely identifies the NCB Participant. Required format is: Max. 30 characters.
NCB Participant Type	Enter / Select the ECMS Entity Type from the possible values: <ul style="list-style-type: none"> – Counterparties – Non-euro Area CB
Statement Id	Enter the Statement Identifier Required format is: free text.
STATEMENTS PARAMETERS	
Statement Date	Enter / Select the Statement Date. The calendar icon can also be used. Required format is: DD/MM/YYYY
Button	Function
Reset	This function enables you to clear the form.
Search	This function enables you to start a search according to the filled in criteria. The results are displayed in a list on the List Screen.

	<p>Next screen:</p> <ul style="list-style-type: none"> – Monitor Generated Notifications– List Screen.
--	---

3.1.9.2.1.1 Monitor Generated Notifications – List Screen

Context of Usage	This screen contains the search results displayed in a list, which is sorted by the values of the 'Update Date' column in descending order (default setting). After selecting an entry, you can proceed further by clicking on the buttons below.
Screen Access	Reference Data >> Statement & Messages >> Statement of Pending Transactions >> Monitor Generated Notifications >> Click on the search button (after inputting the relevant Data, if needed)
Screenshot	

Monitor Generated Notifications – List screen	
Field	Description
Statement Id	Shows the Statement Identifier Displayed format is: free text.
Internal Asset Account	Shows the unique Internal Asset Account Reference. Displayed format is: Max. 35 characters.
NCB Participant	Shows the RIAD Code that uniquely identifies the NCB Participant. Displayed format is: Max. 30 characters.
NCB Participant Type	Shows the ECMS Entity Type from the possible values: <ul style="list-style-type: none"> – Counterparties – Non-euro Area CB
Report Frequency	Shows Report Frequency with possible values: <ul style="list-style-type: none"> – Ad-Hoc – Daily – Monthly – Weekly – Yearly
Statement Date	Shows the Statement Date. Displayed format is: DD/MM/YYYY
Status	Shows the status of the generated notification.
Failure Reason	Shows the failure reason (if it has been an error).
Updater User Id	Shows the identification of the user that made the last update.
Update Date	Shows the date and time of the Statement of Pending Transactions Record last update.

	Displayed format is: DD/MM/YYYY hh:mm:ss:µs
--	---

3.1.9.3 Statement of Transactions

This section is related to the Statement of Transactions area.

3.1.9.3.1 Monitor Generated Notifications – Search Screen

Context of Usage	<p>This screen contains a number of search fields and shows the latest and stored searches. By inputting the relevant data, you can search for your own Notifications Generated from Statement of Transactions.</p> <p>The search results will be displayed in a list. After selecting an entry, you can proceed further by clicking on the buttons that are shown.</p>
Screen Access	Reference Data >> Statement & Messages >> Statement of Transactions >> Monitor generated Notifications
Screenshot	

Monitor Generated Notifications – Search screen	
Field	Description
PROCESSED FOR	
Internal Asset Account	Enter / Select the unique Internal Asset Account Reference. Required format is: Max. 35 characters.
NCB Participant	Enter / Select the RIAD Code that uniquely identifies the NCB Participant. Required format is: Max. 30 characters.
NCB Participant Type	Enter / Select the ECMS Entity Type from the possible values: <ul style="list-style-type: none"> – Counterparties – Non-euro Area CB
Statement Id	Enter the Statement Identifier from possible values Required format is: free text.
STATEMENTS PARAMETERS	
Statement Date	Enter / Select the Statement Date. The calendar icon can also be used. Required format is: DD/MM/YYYY
Button	Function
Reset	This function enables you to clear the form.
Search	This function enables you to start a search according to the filled in criteria. The results are displayed in a list on the List Screen. Next screen:

– Monitor Generated Notifications– List Screen.

3.1.9.3.1.1 Monitor Generated Notifications – List Screen

Context of Usage	This screen contains the search results displayed in a list, which is sorted by the values of the 'Update Date' column in descending order (default setting). After selecting an entry, you can proceed further by clicking on the buttons below.
Screen Access	Reference Data >> Statement & Messages >> Statement of Transactions >> Monitor Generated Notifications >> Click on the search button (after inputting the relevant Data, if needed)
Screenshot	

Monitor Generated Notifications – List screen	
Field	Description
Statement Id	Shows the Statement Identifier Displayed format is: free text.
Internal Asset Account	Shows the unique Internal Asset Account Reference. Displayed format is: Max. 35 characters.
NCB Participant	Shows the RIAD Code that uniquely identifies the NCB Participant. Displayed format is: Max. 30 characters.
NCB Participant Type	Shows the ECMS Entity Type from the possible values: – Counterparties – Non-euro Area CB
Statement Date	Shows the Statement Date. Displayed format is: DD/MM/YYYY
Status	Shows the status of the generated notification.
Failure Reason	Shows the failure reason (if it has been an error).
Report Frequency	Shows Report Frequency with possible values: – Ad-Hoc – Daily – Monthly – Weekly – Yearly
Updater User Id	Shows the identification of the user that made the last update.
Update Date	Shows the date and time of the Statement of Transactions Record last update. Displayed format is: DD/MM/YYYY hh:mm:ss:µs

3.1.10 Reports Reference Data

3.1.10.1 User Access Rights Report

This report shows the users and roles granted and it can be requested by NCBs and also by Counterparties in U2A mode:

- In case it is requested by an NCB, this report informs on the roles granted to its community and the roles granted to its own users.
- In case it is requested by a Counterparty, it informs on the roles granted to its own users.

3.1.10.1.1 User Access Rights Report – Search Screen

Context of Usage	<p>This screen contains a number of search fields. By inputting the relevant data, you can search for the User Access Rights Report.</p> <p>The search results will be displayed in a list. After selecting an entry, you can proceed further by clicking on the buttons that are shown.</p>
Screen Access	Reference Data >> Reports >> User Access Rights Report
Screenshot	

User Access Rights Report – Search screen	
Field	Description
Current Groups	<p>Enter a possible group.</p> <p>Required format: Max. 35 chars</p>
Current Roles	<p>Enter the Security Role from possible values:</p> <ul style="list-style-type: none"> - NCB U2A Party Administrator (Execution and Read Only) - NCB U2A General Reference Data (Execution and Read Only) - NCB U2A Triparty Reference Data (Execution and Read Only) - NCB U2A Pool Reference Data (Execution and Read Only) - NCB U2A Marketable Asset (De)Mobilisation (Execution and Read Only) - NCB U2A Cash Collateral (Execution and Read Only) - NCB U2A Externally Managed collateral (Execution and Read Only) - NCB U2A Open Market Operations (Execution and Read Only) - NCB U2A Standing Facilities (Execution and Read Only) - NCB U2A Credit Freezing (Execution and Read Only) - NCB U2A Credit Line (Execution and Read Only) - NCB U2A Pool Position (Execution and Read Only) - NCB U2A Blocking of a counterparty (Execution and Read Only) - NCB U2A ELA Management (Execution and Read Only)

- NCB U2A Banking Group Management (Read Only)
- NCB U2A Credit Claim Management (Execution and Read Only)
- NCB U2A Corporate Actions and Tax Management (Execution and Read Only)
- NCB U2A Statements (Execution and Read Only)
- NCB U2A Billing Services(Execution and Read Only)
- NCB U2A Accounting Services (Execution and Read Only)
- NCB U2A Other Support functionalities (Execution and Read Only)
- NCB 2-Eyes (Only applicable to Execution Variant)
- NCB 4-Eyes (Only applicable to Execution Variant)
- NCB A2A Credit freezing per Pool Id
- NCB A2A Other Messages
- Counterparty U2A Party Administrator (Execution and Read Only)
- Counterparty U2A General Reference Data (Read Only)
- Counterparty U2A Triparty Reference Data (Read Only)
- Counterparty U2A Pool Reference Data (Read Only)
- Counterparty U2A Marketable (De)Mobilisation asset (Execution and Read Only)
- Counterparty U2A Cash Collateral (Execution and Read Only)
- Counterparty U2A Externally Managed collateral (Read Only)
- Counterparty U2A Open Market Operations (Read Only)
- Counterparty U2A Standing Facilities (Execution and Read Only)
- Counterparty U2A Credit Freezing (Execution and Read Only)
- Counterparty U2A Credit Line (Execution and Read Only)
- Counterparty U2A Pool Position Management (Read Only)
- Counterparty U2A ELA Management (Read Only)
- Counterparty U2A Banking Group Management (Read Only)
- Counterparty U2A Credit Claim Management (Execution and Read Only)
- Counterparty U2A Corporate Actions and Tax Management (Execution and Read Only)
- Counterparty U2A Statements (Execution and Read Only)
- Counterparty U2A Other Support functionalities (Execution and Read Only)
- Counterparty 2-Eyes (Only for Execution variant)
- Counterparty 4-Eyes (Only applicable to Execution Variant)
- Counterparty A2A credit freezing
- Counterparty A2A marketable assets
- Counterparty A2A credit claims
- Counterparty A2A marginal lending
- Counterparty A2A other messages

	<ul style="list-style-type: none"> - Counterparty A2A Corporate Actions - Counterparty A2A Cash collateral (pacs for cash collateral) and Maximum credit line (camt.011) - Counterparty A2A Reporting (admi.005)
Deleted Groups	Enter a Deleted Group Required format: Max. 35 chars.
Deleted Roles	Enter a Deleted Rol (see possible values in above field Current Roles).
Deletion Date	Enter the date of Deletion. Required format is: DD/MM/YYYY.
Ecms Party ID	Enter a Party identifier (Party Internal Id_Party RIAD Code). Required format: Max.71 chars
Ncb Id	Enter the Party RIAD Code of the NCB. Required format: Max. 35 chars.
New Groups	Enter a New Group Required format: Max. 35 chars.
New Roles	Enter a New Rol (see possible values in above field Current Roles).
Button	Function
Reset	This function enables you to clear the form.
Search	This function enables you to start a search according to the filled in criteria. The results are displayed in a list on the List Screen. Next screen: <i>User Access Rights Report-List Screen.</i>

3.1.10.1.2 User Access Rights Report – List Screen

Context of Usage	This screen contains the search results displayed in a list, which is sorted by the values of the 'Update Date' column in descending order (default setting). After selecting an entry, you can proceed further by clicking on the buttons below
Screen Access	Reference Data >> Reports >> User Access Rights Report >> Click on the search button (after inputting the relevant Data, if needed)
Screenshot	

User Access Rights – List screen	
Field	Description
Current Groups	Shows current group. Displayed format: Max. 35 chars
Current Roles	Shows current Role from possible values: <ul style="list-style-type: none"> - NCB U2A Party Administrator (Execution and Read Only)

- NCB U2A General Reference Data (Execution and Read Only)
- NCB U2A Triparty Reference Data (Execution and Read Only)
- NCB U2A Pool Reference Data (Execution and Read Only)
- NCB U2A Marketable Asset (De)Mobilisation (Execution and Read Only)
- NCB U2A Cash Collateral (Execution and Read Only)
- NCB U2A Externally Managed collateral (Execution and Read Only)
- NCB U2A Open Market Operations (Execution and Read Only)
- NCB U2A Standing Facilities (Execution and Read Only)
- NCB U2A Credit Freezing (Execution and Read Only)
- NCB U2A Credit Line (Execution and Read Only)
- NCB U2A Pool Position (Execution and Read Only)
- NCB U2A Blocking of a counterparty (Execution and Read Only)
- NCB U2A ELA Management (Execution and Read Only)
- NCB U2A Banking Group Management (Read Only)
- NCB U2A Credit Claim Management (Execution and Read Only)
- NCB U2A Corporate Actions and Tax Management (Execution and Read Only)
- NCB U2A Statements (Execution and Read Only)
- NCB U2A Billing Services(Execution and Read Only)
- NCB U2A Accounting Services (Execution and Read Only)
- NCB U2A Other Support functionalities (Execution and Read Only)
- NCB 2-Eyes (Only applicable to Execution Variant)
- NCB 4-Eyes (Only applicable to Execution Variant)
- NCB A2A Credit freezing per Pool Id
- NCB A2A Other Messages
- Counterparty U2A Party Administrator (Execution and Read Only)
- Counterparty U2A General Reference Data (Read Only)
- Counterparty U2A Triparty Reference Data (Read Only)
- Counterparty U2A Pool Reference Data (Read Only)
- Counterparty U2A Marketable (De)Mobilisation asset (Execution and Read Only)
- Counterparty U2A Cash Collateral (Execution and Read Only)
- Counterparty U2A Externally Managed collateral (Read Only)
- Counterparty U2A Open Market Operations (Read Only)
- Counterparty U2A Standing Facilities (Execution and Read Only)
- Counterparty U2A Credit Freezing (Execution and Read Only)
- Counterparty U2A Credit Line (Execution and Read Only)
- Counterparty U2A Pool Position Management (Read Only)
- Counterparty U2A ELA Management (Read Only)

	<ul style="list-style-type: none"> - Counterparty U2A Banking Group Management (Read Only) - Counterparty U2A Credit Claim Management (Execution and Read Only) - Counterparty U2A Corporate Actions and Tax Management (Execution and Read Only) - Counterparty U2A Statements (Execution and Read Only) - Counterparty U2A Other Support functionalities (Execution and Read Only) - Counterparty 2-Eyes (Only for Execution variant) - Counterparty 4-Eyes (Only applicable to Execution Variant) - Counterparty A2A credit freezing - Counterparty A2A marketable assets - Counterparty A2A credit claims - Counterparty A2A marginal lending - Counterparty A2A other messages - Counterparty A2A Corporate Actions - Counterparty A2A Cash collateral (pacs for cash collateral) and Maximum credit line (camt.011) <p>Counterparty A2A Reporting (admi.005)</p>
Deleted Groups	Shows Deleted Group Displayed format: Max. 35 chars.
Deleted Roles	Shows a Deleted Rol (see possible values in above field Current Roles).
Deletion Date	Shows the date of Deletion. Displayed format is: DD/MM/YYYY.
Ecms Party ID	Shows Party identifier (Party Internal Id_Party RIAD Code). Displayed format: Max.71 chars
Ncb Id	Shows the Party RIAD Code of the NCB. Displayed format: Max. 35 chars.
New Groups	Shows New Group Displayed format: Max. 35 chars.
New Roles	Shows New Rol (see possible values in above field Current Roles).
Report Date	Shows the date and time of the report Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Creation Date	Shows the Creation Date Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
User ID	Shows the identification of the User. Displayed format: Max. 35 chars.
User Update	Possible values: <ul style="list-style-type: none"> - New - Deleted
Button	Function

View	This function enables you to access to the detailed information of a User Access Rights Report. Next screen: <i>User Access Rights Report – Details Screen.</i>
Export Report.Csv	This function enables you to export the data showed in this list screen to a CSV file.
Export Report Excel	This function enables you to export the data showed in this list screen to an Excel file.
Export Report.Xml	This function enables you to export the data showed in this list screen to a XML file.

3.1.10.1.2.1 User Access Rights Report – Details Screen

Context of Usage	This screen displays detailed information on the selected User Access Rights Report. You can check the data and proceed further by clicking on the buttons.
Screen Access	Reference Data >> Reports >> User Access Rights Report >> Click on the search button (after inputting the relevant Data, if needed) >> Select a User Access Rights Report >> Click on the <i>View</i> button
Screenshot	

User Access Rights – Details screen	
Field	Description
Current Groups	Shows current group. Displayed format: Max. 35 chars
Current Roles	Shows current Role from possible values: <ul style="list-style-type: none"> – NCB U2A Party Administrator (Execution and Read Only) – NCB U2A General Reference Data (Execution and Read Only) – NCB U2A Triparty Reference Data (Execution and Read Only) – NCB U2A Pool Reference Data (Execution and Read Only) – NCB U2A Marketable Asset (De)Mobilisation (Execution and Read Only) – NCB U2A Cash Collateral (Execution and Read Only) – NCB U2A Externally Managed collateral (Execution and Read Only) – NCB U2A Open Market Operations (Execution and Read Only) – NCB U2A Standing Facilities (Execution and Read Only) – NCB U2A Credit Freezing (Execution and Read Only) – NCB U2A Credit Line (Execution and Read Only)

- NCB U2A Pool Position (Execution and Read Only)
- NCB U2A Blocking of a counterparty (Execution and Read Only)
- NCB U2A ELA Management (Execution and Read Only)
- NCB U2A Banking Group Management (Read Only)
- NCB U2A Credit Claim Management (Execution and Read Only)
- NCB U2A Corporate Actions and Tax Management (Execution and Read Only)
- NCB U2A Statements (Execution and Read Only)
- NCB U2A Billing Services(Execution and Read Only)
- NCB U2A Accounting Services (Execution and Read Only)
- NCB U2A Other Support functionalities (Execution and Read Only)
- NCB 2-Eyes (Only applicable to Execution Variant)
- NCB 4-Eyes (Only applicable to Execution Variant)
- NCB A2A Credit freezing per Pool Id
- NCB A2A Other Messages
- Counterparty U2A Party Administrator (Execution and Read Only)
- Counterparty U2A General Reference Data (Read Only)
- Counterparty U2A Triparty Reference Data (Read Only)
- Counterparty U2A Pool Reference Data (Read Only)
- Counterparty U2A Marketable (De)Mobilisation asset (Execution and Read Only)
- Counterparty U2A Cash Collateral (Execution and Read Only)
- Counterparty U2A Externally Managed collateral (Read Only)
- Counterparty U2A Open Market Operations (Read Only)
- Counterparty U2A Standing Facilities (Execution and Read Only)
- Counterparty U2A Credit Freezing (Execution and Read Only)
- Counterparty U2A Credit Line (Execution and Read Only)
- Counterparty U2A Pool Position Management (Read Only)
- Counterparty U2A ELA Management (Read Only)
- Counterparty U2A Banking Group Management (Read Only)
- Counterparty U2A Credit Claim Management (Execution and Read Only)
- Counterparty U2A Corporate Actions and Tax Management (Execution and Read Only)
- Counterparty U2A Statements (Execution and Read Only)
- Counterparty U2A Other Support functionalities (Execution and Read Only)
- Counterparty 2-Eyes (Only for Execution variant)
- Counterparty 4-Eyes (Only applicable to Execution Variant)
- Counterparty A2A credit freezing
- Counterparty A2A marketable assets

	<ul style="list-style-type: none"> - Counterparty A2A credit claims - Counterparty A2A marginal lending - Counterparty A2A other messages - Counterparty A2A Corporate Actions - Counterparty A2A Cash collateral (pacs for cash collateral) and Maximum credit line (camt.011) <p>Counterparty A2A Reporting (admi.005)</p>
Deleted Groups	Shows Deleted Group Displayed format: Max. 35 chars.
Deleted Roles	Shows a Deleted Rol (see possible values in above field Current Roles).
Deletion Date	Shows the date of Deletion. Displayed format is: DD/MM/YYYY.
Ecms Party ID	Shows Party identifier (Party Internal Id_Party RIAD Code). Displayed format: Max.71 chars
Ncb Id	Shows the Party RIAD Code of the NCB. Displayed format: Max. 35 chars.
New Groups	Shows New Group Displayed format: Max. 35 chars.
New Roles	Shows New Rol (see possible values in above field Current Roles).
Report Date	Shows the date and time of the report Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
User ID	Shows the identification of the User. Displayed format: Max. 35 chars.
User Update	Possible values: <ul style="list-style-type: none"> - New - Deleted
Footprint	
Creation Date	Shows the identification of the user that made the last update.
Creation User Id	Shows the identification of the user that created the data.
Update Date	Shows the date and time of the last update. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Updater User Id	Shows the identification of the user that made the last update.

3.1.11 Setup

3.1.11.1 Message Subscription

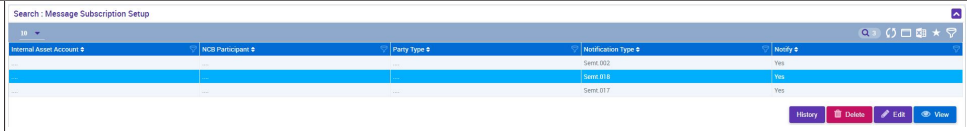
3.1.11.1.1 Message Subscription Setup – Search Screen

Context of Usage	This screen contains a number of search fields. By inputting the relevant data, you can search for the Message Subscription Setup.
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	The search results will be displayed in a list. After selecting an entry, you can proceed further by clicking on the buttons that are shown.
Screen Access	Reference Data >> Setup >> Message Subscription Setup
Screenshot	

Message Subscription Setup – Search screen	
Field	Description
NCB Participant	Enter / Select the RIAD Code that identifies the counterparty in the ECMS. Required format is: Max. 30 characters.
Internal Asset Account	Enter the unique Internal Asset Account reference. Required format is: Max. 35 characters.
Party Type	Enter / Select the type of the NCB Participant from possible values: <ul style="list-style-type: none"> – Counterparties – Cross NCBs – Non-euro Area CBs
Notify	Enter / Select if the flag Notify is activated, from possible values: <ul style="list-style-type: none"> – Yes – No
Button	Function
Reset	This function enables you to clear the form.
Create	This function enables you to create a new Message Subscription Setup. Next screen: <ul style="list-style-type: none"> – <i>Message Subscription Setup – Create Screen.</i>
Search	This function enables you to start a search according to the filled in criteria. The results are displayed in a list on the List Screen. Next screen: <ul style="list-style-type: none"> – <i>Message Subscription Setup – List Screen.</i>

3.1.11.1.1 Message Subscription Setup – List Screen

Context of Usage	This screen contains the search results displayed in a list, which is sorted by the values of the 'Update Date' column in descending order (default setting). After selecting an entry, you can proceed further by clicking on the buttons below.
Screen Access	Reference Data >> Setup >> Message Subscription Setup >> Click on the search button (after inputting the relevant Data, if needed)
Screenshot	

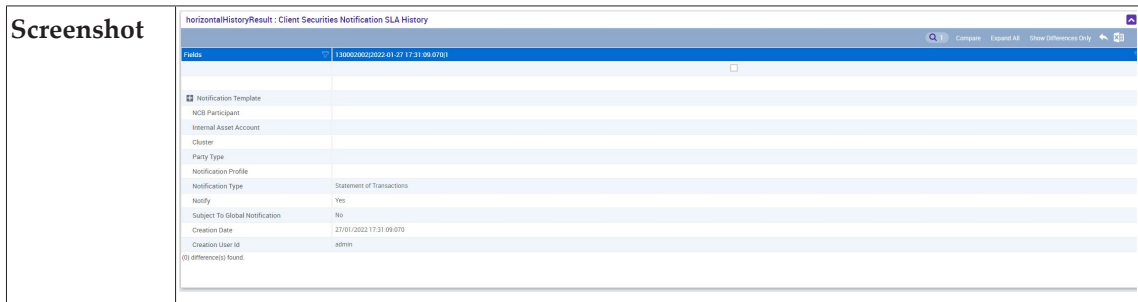
Message Subscription Setup – List Screen

Field	Description
NCB Participant	Shows the RIAD Code that identifies the counterparty in the ECMS. Displayed format is: Max. 30 characters.
Internal Asset Account	Shows the unique Internal Asset Account reference. Displayed format is: Max. 35 characters.
Party Type	Shows the type of the NCB Participant from possible values: <ul style="list-style-type: none"> - Counterparties - Cross NCBs - Non-euro Area CBs
Notification Type	Shows the type of the notification from possible values: <ul style="list-style-type: none"> - Semt.002 - Semt.017 - Semt.018
Notify	Shows if the flag Notify is activated, from possible values: <ul style="list-style-type: none"> - Yes - No
After selecting a record, the following buttons are displayed	
Button	Function
History	This function enables you to access to the historical information of a Message Subscription Setup. Next screen: <ul style="list-style-type: none"> - <i>Message Subscription Setup – History</i> Screen.
Edit	This function enables you to edit a Message Subscription Setup. Next screen: <ul style="list-style-type: none"> - <i>Message Subscription Setup – Edit</i> Screen.
View	This function enables you to access to the detailed information of a Message Subscription Setup. Next screen: <ul style="list-style-type: none"> - <i>Message Subscription Setup – Details</i> Screen.

3.1.11.1.1.1. Message Subscription Setup – History Screen

1

Context of Usage	This screen displays historical information that has changed on the selected Message SubscriptionSetup. You can check the data, including the user who made the changes, and proceed further by clicking on the buttons that are shown.
Screen Access	<ul style="list-style-type: none"> - Reference Data >> Setup >> Message Subscription Setup >> Click on the search button (after inputting the relevant Data, if needed) >> Select a record >> Click on the <i>History</i> button - Reference Data >> Setup >> Message Subscription Setup >> Click on the search button (after inputting the relevant Data, if needed) >> Select a record >> Click on the <i>View</i> button (<i>Message Subscription Setup – Details</i> Screen) >> Click on the <i>History</i> Button



Message Subscription Setup – History Screen

Field	Description
Fields	Shows the fields whose information have been updated since the creation of the selected Message Subscription Setup.
Timestamp	Shows the date and time when the fields have been updated Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Button	Description
Compare	Shows the differences between the selected records whose data have been updated.
Expand All	Shows the expanded information of the fields whose information have been updated since the creation of the selected record.
Show All	Shows the information that existed before each modification of all the fields regarding the selected record.
Collapse All	Collapse the expanded information of the fields that have been updated since the creation of the selected record.
Show Differences Only	Shows only the fields whose information have been updated since the creation of the selected record.

3.1.11.1.2 Message Subscription Setup – Details Screen

Context of Usage	This screen displays detailed information on the selected Message Subscription Setup. You can check the data and proceed further by clicking on the buttons.
Screen Access	Reference Data >> Setup >> Message Subscription Setup >> Click on the search button (after inputting the relevant Data, if needed) >> Select a record >> Click on the <i>View</i> button
Screenshot	

Message Subscription Setup – Details Screen

Button	Description
History	This function enables you to access to the historical information of a Message Subscription Setup. Next screen: – <i>Message Subscription Setup – History</i> Screen.
Context	
Field	Description
NCB Participant	Shows the RIAD Code that identifies the counterparty in the ECMS. Displayed format is: Max. 30 characters.
Internal Asset Account	Shows the unique Internal Asset Account reference. Displayed format is: Max. 35 characters.
Party Type	Shows the type of the NCB Participant from possible values: – Counterparties – Cross NCBs – Non-euro Area CBs
Notification Type*	Shows the type of the notification from possible values: – Semt.002 – Semt.017 – Semt.018
Result	
Notify	Shows if the flag Notify is activated, from possible values: – Yes – No
Button	Function
Notification Template	This function enables you to access the subsection Notification Template.
Notification Template	
The existing additional Notification Template are displayed, if you select one record and click on View button following fields are displayed.	
Template	Shows the template to be used. Displayed format: Freetext
Message Recipient	Shows Message Recipient from possible values: – Account Owner – Refinancing NCB
Purpose	Shows Purpose from possible values: – Securities Management
Other Data	
Sender Purpose	Shows the purpose of the Sender.
Sender Media	Shows the Media of the Sender from Possible values: – Swift – SIA Colt

Media	Shows the Media from Possible values: <ul style="list-style-type: none"> - Swift - SIA Colt
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3.2 Marketable Asset Instructions and Positions

3.2.1 Marketable Asset Instruction

3.2.1.1 Counterparty Marketable Asset Instructions

Counterparty Marketable Asset Instructions represent the instruction received by the ECMS from the counterparty to mobilise or demobilize a Marketable Asset in or from one of its NCB Participant Asset Accounts.

A Refinancing NCB can access to the details of the Counterparty Marketable Asset Instructions originated by the Counterparties of its community through the different screens described in this section.

The “Client Side” of Counterparty Marketable Asset Instructions corresponds to an ECMS Counterparty whereas the “Market Side” corresponds either to a domestic T2S CSD (for domestic (de)mobilization) or to another NCB (for non-domestic (de)mobilization)

3.2.1.1.1 Counterparty Marketable Asset Instruction – Input Screen

Context of Usage	This screen is used to input manually a Counterparty Marketable Asset Instruction.
Business Case related	Input a Counterparty Marketable Asset (de)Mobilisation Instruction
Screen Access	Instructions and Positions >> Instructions and Positions >> MA Instruction >> Input Instruction >> Marketable Asset Instruction
Screenshot	

Counterparty Marketable Asset Instructions –Input screen	
Field	Description
General information	

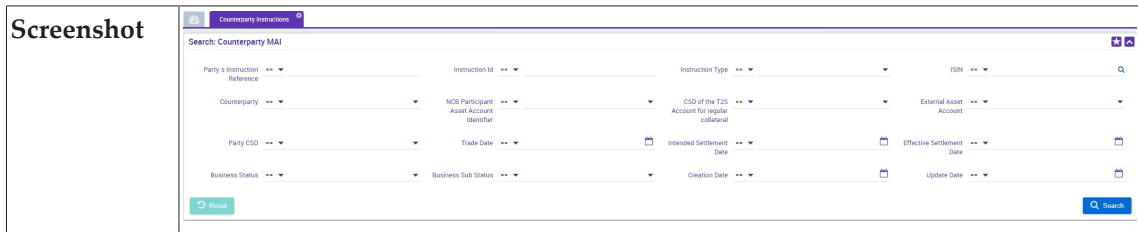
Party's Instruction Reference*	Enter the Reference of the instruction given by the Instructing Party. Required format is: Max. 35 characters.
Instruction Type*	To select the Instruction Type from the drop-down menu. The possible values are: <ul style="list-style-type: none"> - Marketable Asset Mobilisation (RECE) - Marketable Asset Demobilisation (DELI)
Securities Transaction Type	Enter the securities transaction type expressed as an ISO 20022 code from possible values: <ul style="list-style-type: none"> - BSBK - COLI - COLO - MKDW - MKUP - NETT - NSYN - PAIR - PLAC - PORT - REAL - REDM - REPU - RODE - RVPO - SECB - SECL - SUBS - SYND - TBAC - TRAD - TRPO - TRVO - TURN - BYIY - CNCB - OWNE - FCTA - OWNI - RELE - SBRE - CORP

	<ul style="list-style-type: none"> - CLAI - AUTO - SWIF - SWIT - CONV - ETFT - ISSU - SLRE - INSP - SBBK - REDI
Counter-party*	Enter or to Select the RIAD code of the Counterparty from a drop down menu. <i>Note that if the user capturing the instruction is a counterparty user, the fields will be pre-filled with the RIAD code of that counterparty.</i>
NCB Participant Asset Account*	Enter or to Select the Reference of NCB Participant Asset Account on which the asset is (de)mobilized from a drop-down menu.
ISIN*	Enter or to search the ISIN of the Marketable Asset (de)mobilized.
ISIN Description#	Shows the Name of the Marketable Asset (de)mobilized.
Quantity in FAMT*	Enter the Quantity instructed expressed in FAMT
Denomination Currency#	Shows the currency of the instruction (by default euro)
Trade Date*	Enter or Select the Trade Date of the Instruction Required format is: DD/MM/YYYY.
Intended Settlement Date*	Enter or Select the Intended settlement date of the instruction. Required format is: DD/MM/YYYY.
Additional Informations	
Common Reference	Common Reference of the instruction
Custody Chain	
CSD of the T2S Securities Account for Regular Collateral*	Enter or search the RIAD code of the CSD where the asset is received (Receiving CSD in a mobilisation instruction) or from where the asset is delivered (Delivering CSD for a demobilisation instruction).
External Asset Account#	Shows the Reference of T2S Account for Regular Collateral or of the ECMS Cross NCB Asset Account depending if the instruction is to be settled in a domestic CSD or not where the Marketable Asset is deposited.
External Asset Account owner#	Shows the BIC Code of the owner of the <i>External Asset Account</i> .

Counterparty Details	
Party CSD*	Enter or to select the RIAD code of the CSD of the Delivering party (for mobilisation) or Receiving party (for demobilisation).
Party BIC*	Enter the BIC Code of the Delivering party (for mobilisation) or Receiving party (for demobilization).
Party Account	Enter the account Reference of the Delivering party (for mobilisation) or Receiving party (for demobilization).
Party 2 Details	
BIC	Enter the Party 2 BIC
Account ID	Enter the Party 2 Account ID
Issuer	Enter the Party 2 Issuer
Proprietary Identification	Enter the Party 2 Proprietary Identification
Scheme Name	Enter the Party 2 Scheme Name
Button	Function
Reset	This function enables you to clear the form.
Save	This function enables you to save the creation of Counterparty Marketable Asset Instruction.
Save as Draft	To save under status "Draft" the Counterparty Marketable Asset Instruction.

3.2.1.1.2 Counterparty Marketable Asset Instruction – Search Screen

Context of Usage	<p>This screen is used to search Counterparty Marketable Asset Instructions. It contains the fields that can be used as search criteria.</p> <p>The user captures the fields that correspond to its criteria for searching Counterparty Marketable Asset Instructions.</p> <p>If a field is left blank, the field is not taken into account as a search criteria.</p> <p>Once search criteria have been filled, by clicking on the search button, the list of instructions fulfilling the criteria will be Showed.</p>
Business Case related	Search/List/View Counterparty Marketable Asset (de)Mobilisation Instructions
Screen Access	<p>Depending how the screen is accessed, it can be used to search either the valid Instructions, or instructions that requires 4 eyes validation before they can be considered valid in the system.</p> <p><i>For valid instructions in any Status:</i></p> <p>Instructions and Positions >> Instructions and Positions >> MA Instruction >> Monitor >> Counterparty Instructions</p> <p><i>For instructions subject to 4 eyes validation:</i></p> <p>Instructions and Positions >> Instructions and Positions >> MA Instruction >> Validation / Rejection >> Validate / Reject MA Instructions</p>



Counterparty Marketable Asset Instructions – Search screen

Field	Description
Party's Instruction Reference	Enter the Reference of the instruction given by the Instructing Party.
Instruction Id.	Enter the unique identifier of the instruction given by the ECMS.
Instruction Type	Enter or Select the Instruction Type from the drop-down menu. . The possible values are: <ul style="list-style-type: none"> - Marketable Asset Mobilisation (RECE) - Marketable Asset Demobilisation (DELI)
Counterparty	Enter or select the RIAD Code of the ECMS Counterparty responsible of the instruction (i.e. for which the asset is (de)mobilized).
NCB Participant Asset Account	Enter or Select the Reference of the NCB Participant Asset Account on which the asset is (de)mobilized.
ISIN	Enter or Select the ISIN of the Marketable Asset (de)mobilized.
Trade Date	Enter the Trade Date of the Instruction or use the calendar icon. Required format is: DD/MM/YYYY.
Intended Settlement Date	Enter the intended settlement date of the instruction or use the calendar icon. Required format is: DD/MM/YYYY.
Effective Settlement Date	Enter the effective settlement date of the instruction or use the calendar icon. Required format is: DD/MM/YYYY.
External Asset Account	Enter or Select the Reference of T2S Account for Regular Collateral or of the ECMS Cross NCB Asset Account depending if the instruction is to be settled in a domestic CSD or not where the Marketable Asset is deposited.
CSD of the T2S Securities Account for Regular Collateral	Enter or search the RIAD code of the CSD where the asset is received (Receiving CSD in a mobilisation instruction) or from where the asset is delivered (Delivering CSD for a demobilisation instruction).
Party CSD	Enter or search the RIAD code of the CSD of the Delivering party (for mobilisation) or Receiving party (for demobilisation).
Business Status	Enter or select the business status of the instruction from possible values: <ul style="list-style-type: none"> - Cancelled - Sent for Settlement - Waiting for NCB manual intervention

	<ul style="list-style-type: none"> - Validated - Matched - Queued - Rejected - Confirmed
Business Sub Status	<p>Enter or select the business substatus from possible values:</p> <ul style="list-style-type: none"> - Waiting for Global Collateral Position Update - Waiting for Settlement Date - Waiting User Validation - Waiting Deliver Leg Confirmation
Creation Date	<p>Enter the date and time when the instruction was created in the ECMS or use the calendar icon.</p> <p>Required format is: DD/MM/YYYY hh:mm:ss:µs.</p>
Update Date	<p>Enter the date and time when the instruction was last updated in the ECMS or use the calendar icon.</p> <p>Required format is: DD/MM/YYYY hh:mm:ss:µs.</p>
Button	Function
Reset	This function enables you to clear the form.
Search	<p>This function enables you to start a search according to the criteria entered. The results are Showed in a list on the screen List screen. Next screen:</p> <ul style="list-style-type: none"> - <i>Counterparty Marketable Asset Instruction – List Screen</i>

3.2.1.1.2.1 Counterparty Marketable Asset Instruction – List Screen

Context of Usage	<p>This screen contains a list of Counterparty Marketable Asset Instructions.</p> <p>The instructions listed correspond to the search criteria captured in the <i>Counterparty Marketable Asset Instructions – Search</i> screen.</p>
Business Case related	Search/List/View Counterparty Marketable Asset (de)Mobilisation Instructions
Screen Access	<p>Depending how the screen is accessed, it can be used to list either the valid Instructions, or instructions that requires 4 eyes validation before they can be considered valid in the system.</p> <p>The list Showed can be sorted and filter by the field Showed.</p> <p>The list can be exported.</p> <p>By clicking on the “View” button or double clicking on the selected line to Shows the <i>Counterparty Marketable Asset Instructions– Details</i> screen with the details of the selected instruction.</p> <p><i>For valid instructions in any Status:</i></p> <p>Instructions and Positions >> Instructions and Positions >> MA Instruction >> Monitor >> Counterparty Instructions >> <i>Search Counterparty Marketable Asset Instructions</i></p> <p><i>For instructions subject to 4 eyes validation:</i></p>

Instructions and Positions >> Instructions and Positions >> MA Instruction >> Validation / Rejection >> Validate / Reject MA Instructions >> Search Counterparty Marketable Asset Instructions

Screenshot

Party's Instr.	Instruction Id.	Instruction Type	Business Stat.	Business Sub.	Counterparty	NCB Participant	ISIN	Quantity in FAMT	Trade Date	Intended Sett.	Effective Sett.	Party CSD	CSD of the IZ	Being Cancelled	Creation Date	Update Date
BIJ5AL4744	MA020206203	Marketable Ass.	Rejected		ABVR005	ABVR005A401	AT000A1F074	8	20/06/2022	20/06/2022		AT9900Custod.	AT9900Custod.	No	20/06/2022 15:	20/06/2022 15:
AUTOTC0909P	MA020205231	Marketable Ass.	Rejected		ABVR005	ABVR005A401	AT000A1F074	1	23/05/2022	23/05/2022		AT9900Custod.	AT9900Custod.	No	23/05/2022 09:	23/05/2022 09:
AUTOTC0909P	MA020205231	Marketable Ass.	Rejected		ABVR005	ABVR005A401	AT000A1F074	1	23/05/2022	23/05/2022		AT9900Custod.	AT9900Custod.	No	23/05/2022 09:	23/05/2022 09:
XSD000000000	MA020111228	Marketable Ass.	Cancelled		ABVR005	ABVR005A401	AT000A1F074	10,000,000	22/11/2021	22/11/2021		AT9900Custod.	AT9900Custod.	No	22/11/2021 10:	20/04/2022 13:
XSD011110001	MA020111228	Marketable Ass.	Cancelled		ABVR005	ABVR005A401	AT000A1F074	5,000,000	22/11/2021	22/11/2021		AT9900Custod.	AT9900Custod.	No	22/11/2021 10:	20/04/2022 13:
AT01HEBICB1	MA020111228	Marketable Ass.	Cancelled	Waiting for Glo.	ABVR005	ABVR005A401	AT000A1F074	1,000,000	22/11/2021	22/11/2021		AT9900Custod.	AT9900Custod.	No	22/11/2021 10:	20/04/2022 13:
AT000000000	MA020111228	Marketable Ass.	Cancelled	Waiting for Glo.	ABVR005	ABVR005A401	AT000A1F074	5,000,000	22/11/2021	22/11/2021		AT9900Custod.	AT9900Custod.	No	22/11/2021 10:	20/04/2022 13:
SPW01CST	MA020212298	Marketable Ass.	Confirmed		ABVR011	ABVR011A401	AT000A1C014	30	28/12/2021	28/12/2021		AT9900Custod.	AT9900Custod.	No	28/12/2021 12:	18/03/2022 11:
OCTOP9F9EM	MA020203181	Marketable Ass.	Confirmed		ABVR011	ABVR011A401	AT000A1C014	100	18/03/2022	18/03/2022		AT9900Custod.	AT9900Custod.	No	18/03/2022 11:	18/03/2022 11:

Counterparty Marketable Asset Instructions – List screen

Column	Description
Party's Instruction Reference	Shows the Reference of the instruction given by the Instructing Party.
Instruction Id.	<i>[Only for valid instructions]</i> Shows the Unique identifier of the instruction given by the ECMS.
Instruction Type	Shows the Type of Instruction. The possible values are: <ul style="list-style-type: none"> – Marketable Asset Mobilisation (RECE) – Marketable Asset Demobilisation (DELI)
Business Status	<i>[Only for valid instructions]</i> Shows the Business status of the instruction from possible values: <ul style="list-style-type: none"> - Cancelled - Sent for Settlement - Waiting for NCB manual intervention - Validated - Matched - Queued - Rejected - Confirmed
Business Sub Status	Shows the Business sub Status of the instruction from possible values: <ul style="list-style-type: none"> - Waiting for Global Collateral Position Update - Waiting for Settlement Date - Waiting User Validation - Waiting Deliver Leg Confirmation
NCB Participant Asset Account	Shows the reference of the NCB Participant Asset Account on which the asset is (de)mobilized.
Counterparty	Shows the ECMS Counterparty responsible of the instruction (i.e. for which the asset is (de)mobilized).
ISIN	Shows the ISIN of the Marketable Asset (de)mobilized.
Quantity in FAMT	Shows the quantity instructed expressed in FAMT
Trade Date	Shows the trade date of the instruction Displayed format is: DD/MM/YYYY.

Intended Settlement Date	Shows the Intended Settlement Date of the instruction. Displayed format is: DD/MM/YYYY.
Effective Settlement Date	Shows the Effective Settlement Date of the instruction. Displayed format is: DD/MM/YYYY.
CSD of the T2S Securities Account for Regular Collateral	Shows the RIAD code of the CSD where the asset is received (Receiving CSD in a mobilisation instruction) or from where the asset is delivered (Delivering CSD for a demobilisation instruction).
CSD of the T2S Securities Account for Regular Collateral (PSN)	Shows the Party Short Name of the CSD where the asset is received (Receiving CSD in a mobilisation instruction) or from where the asset is delivered (Delivering CSD for a demobilisation instruction).
Party CSD	Shows the RIAD code of the CSD of the Delivering Party (for mobilisation) or Receiving party (for demobilisation).
Party CSD (PSN)	Shows the Party Short Name of the CSD of the Delivering Party (for mobilisation) or Receiving party (for demobilisation).
Being Cancelled	Shows the indicator stating that the cancellation of the instruction has been requested. The possible values are: – Yes – No
Creation Date	Date and time when the instruction was created in the ECMS. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Updater User Id.	Identifier of the user responsible for the last update of the instruction.
Update Date	Date and time when the instruction was last updated in the ECMS. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Button	Function
View	This function enables you to view the detail of the selected Counterparty Marketable Asset instruction. Next screen: – <i>Counterparty Marketable Asset Instructions – Details Screen</i> The <i>Details screen</i> is Showed replacing the current screen.
History	This function enables you to view the History of the selected Counterparty Marketable Asset instruction. Next screen: – <i>Counterparty Marketable Asset Instructions – History Screen.</i>
If the screen is used in the 4 eyes validation context, the following buttons are Showed when a record is selected	
Button	Function
Compare Draft	In case the 4 eyes validation is required because of an update of the record, this function enables the user to display the change that needs to be validated. Next screen: – <i>Counterparty Marketable Asset Instruction – History Screen.</i>
View Draft	This function enables the user to view the draft record to be validated.

	Next screen: – <i>Counterparty Marketable Asset Instruction – Details Screen.</i>
Edit	This function allows the user to edit and modify the draft. Next screen: – <i>Counterparty Marketable Asset Instruction – Edit Screen.</i>
Validate	This function allows the user to validate the creation or update of the record so that they can be considered valid in the system
Reject	This function allows the user to reject the creation or update of the record. The draft will be deleted.

3.2.1.1.2.2 Counterparty Marketable Asset Instruction – History Screen

Context of Usage	This screen displays historical information that has changed on the selected Counterparty Marketable Asset Instruction. You can check the data, including the user who made the changes, and proceed further by clicking on the buttons that are shown.
Screen Access	<ul style="list-style-type: none"> – Instructions and Positions >> Instructions and Positions >> MA Instruction >> Monitor >> Counterparty Instructions >> Click on the <i>search</i> button (after inputting the relevant Data, if needed) >> Select a record >> Click on the <i>History</i> button – Instructions and Positions >> Instructions and Positions >> MA Instruction >> Monitor >> Counterparty Instructions >> Click on the <i>search</i> button (after inputting the relevant Data, if needed) >> Select a record >> Click on the <i>View</i> button (<i>Counterparty Marketable Asset Instruction –Details Screen</i>) >> <i>Click on the History Button</i>
Screenshot	<p>The screenshot shows a table titled 'Simple Client Instruction History' with four columns representing different MAI records. The rows list various fields such as Status, Bill Date, Business Status, Client Original Amount, Funding Method, General Market Instruction, Is Auto Committed, Last Notification Out, Net Amt In C Acc Cur, OI C Traded, Ownership Date, Physical Settlement Indicator, Price, Released Quantity, Securities Transaction Type, Intended Settlement date, and Creation Date.</p>

Counterparty Marketable Asset Instruction – History Screen	
Field	Description
Fields	Shows the fields whose information have been updated since the creation of the selected Counterparty MAI.
Timestamp	Shows the date and time when the fields have been updated. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Button	Description
Compare	Shows the differences between the selected records whose data have been updated.
Expand All	Shows the expanded information of the fields whose information have been updated since the creation of the selected Counterparty MAI.

Show All	Shows the information that existed before each modification of all the fields regarding the selected Counterparty MAI.
Collapse All	Collapse the expanded information of the fields that have been updated since the creation of the selected Counterparty MAI.
Show Differences Only	Shows only the fields whose information have been updated since the creation of the selected Counterparty MAI

3.2.1.1.2.3 Counterparty Marketable Asset Instruction – Details Screen

Context of Usage	<p>This screen is used to view all the details of a Counterparty Marketable Asset Instruction.</p> <p>From this screen the user can access directly to the detailed of the underlying Marketable Asset Instruction or to the related Client Asset Movement.</p>
Business Case related	Search/List/View Counterparty Marketable Asset (de)Mobilisation Instructions
Screen Access	<p>Depending how the screen is accessed, it can be used to view in detail either the valid Instructions, or instructions that requires 4 eyes validation before they can be considered valid in the system.</p> <p><i>For valid instructions in any Status:</i></p> <p>Instructions and Positions >> Instructions and Positions >> MA Instruction >> Monitor >> Counterparty Instructions >> Search >> View a selected Marketable Asset Instruction</p> <p><i>For instructions subject to 4 eyes validation:</i></p> <p>Instructions and Positions >> Instructions and Positions >> MA Instruction >> Validation / Rejection >> Validate / Reject MA Instructions >> Search >> View a selected Marketable Asset Instruction</p>
Screen-shot	

Counterparty Marketable Asset Instructions – Details Screen	
Button	Description
History	This function enables you to view the History of the selected Counterparty Marketable Asset instruction. Next screen: – <i>Counterparty Marketable Asset Instructions – History</i> Screen.
Field	Description
Transaction Details	
Party’s Instruction Reference	Shows the Reference of the instruction given by the Instructing Party.
Instruction Id.	Shows the Unique identifier of the instruction given by the ECMS.
Instruction Type	Shows the Type of instruction. The possible values are: – Marketable Asset Mobilisation (RECE) – Marketable Asset Demobilisation (DELI)
Securities Transaction Type	Shows the Securities transaction type expressed as an ISO 20022 code from possible values: – BSBK – COLI – COLO – MKDW – MKUP – NETT – NSYN – PAIR – PLAC – PORT – REAL – REDM – REPU – RODE – RVPO – SECB – SECL – SUBS – SYND – TBAC – TRAD – TRPO – TRVO – TURN

	<ul style="list-style-type: none"> - BYIY - CNCB - OWNE - FCTA - OWNI - RELE - SBRE - CORP - CLAI - AUTO - SWIF - SWIT - CONV - ETFT - ISSU - SLRE - INSP - SBBK - REDI
NCB Participant Asset Account	Shows the Reference of the NCB Participant Asset Account on which the asset is (de)mobilized.
Counterparty	Shows the RIAD Code of the ECMS Counterparty responsible of the instruction (i.e. for which the asset is (de)mobilized).
ISIN	Shows the ISIN of the Marketable Asset (de)mobilized.
ISIN Description	Shows the Name of the Marketable Asset (de)mobilized.
Quantity in FAMT	Shows the Quantity instructed expressed in FAMT
Denomination Currency	Shows the Currency of the instruction
Eligibility Status	Shows the Eligibility status of the Marketable Asset In C2D/EA
Emergency Collateral	Shows whether the marketable Asset can be only used in case of activation of the ECMS emergency flag from possible values: <ul style="list-style-type: none"> - Yes - No
Trade Date	Shows the Trade date of the instruction Displayed format is: DD/MM/YYYY.
Intended Settlement Date	Shows the Intended settlement date of the instruction. Displayed format is: DD/MM/YYYY.
Effective Settlement Date	Shows the Effective settlement date of the instruction.

	Displayed format is: DD/MM/YYYY.
Additional Informations	
Common Reference	Shows the Common Reference of the instruction
Custody Chain	
CSD of the T2S Securities Account for Regular Collateral	Shows the RIAD code of the CSD where the asset is received (Receiving CSD in a mobilisation instruction) or from where the asset is delivered (Delivering CSD for a demobilisation instruction).
<i>External Asset Account</i>	Shows the Reference of T2S Account for Regular Collateral or of the ECMS Cross NCB Asset Account depending if the instruction is to be settled in a domestic CSD or not where the Marketable Asset is deposited.
<i>External Asset Account owner</i>	Shows the BIC code of the owner of the <i>External Asset Account</i> .
Counterparty Details	
Party CSD	Shows the RIAD code of the CSD of the Delivering party (for mobilisation) or Receiving party (for demobilisation).
Party BIC	Shows the BIC Code of the Delivering party (for mobilisation) or Receiving party (for demobilization).
Party Account	Shows the Account Reference of the Delivering party (for mobilisation) or Receiving party (for demobilization).
Party 2 Details	
BIC	Shows the Party 2 BIC
Account ID	Shows the Party 2 Account ID
Issuer	Shows the Party 2 Issuer
Proprietary Identification	Shows the Party 2 Proprietary Identification
Scheme Name	Shows the Party 2 Scheme Name
Instruction Flags	
Business Status	Shows the business status of the instruction from possible values: <ul style="list-style-type: none"> - Cancelled - Sent for Settlement - Waiting for NCB manual intervention - Validated - Matched - Queued - Rejected - Confirmed
Business Sub Status	Shows the business substatus from possible values: <ul style="list-style-type: none"> - Waiting for Global Collateral Position Update - Waiting for Settlement Date - Waiting User Validation - Waiting Deliver Leg Confirmation

U2A Input	Shows whether the instruction was captured in U2A mode or not from Possible values: – Yes – No
Being cancelled	Shows the Indicator stating that the cancellation of the instruction has been requested. The possible values are: – Yes – No
Action to Validate	Shows if there is any action waiting for validation in the 4 eyes context.
Is Rejected	Shows Boolean value “Yes” or “No”
Other details	
MA Settlement Instruction	This button allows you to access to Marketable Asset Settlement Instruction with the details of the underlying settlement instruction Next Screen: – <i>Marketable Asset Settlement Instructions - List Screen</i>
Client Marketable Asset Movements	This button allows you to access to Client Marketable Asset Movement with the details of the underlying underlying Marketable Asset Movement on the Counterparty Asset Account Next Screen: – <i>Client Marketable Asset Movement – List Screen</i>
Client Asset Position	This button allows you to access to Client Marketable Asset Settled Position with the details of the underlying Marketable Asset Position update on the Counterparty Asset Account. Next Screen: – <i>Client MA Settled Position – List Screen.</i>
Business Validation Errors [n]	Section to view the details of the Business Validation Errors. [n] corresponds to the number of Business Validation Errors
Receiving/Delivering Settlement Parties [n]	Section to view the details of the Settlement Parties. [n] corresponds to the number of Settlement Parties recorded.
Notifications [n]	Section to view the details of the related notifications sent and received. [n] corresponds to the number of Notification.
Foot Print	
Creation Date	Date and time when the instruction was created in the ECMS. Shows format is: DD/MM/YYYY hh:mm:ss:µs.
Creation User Id.	Identifier of the user responsible for the creation of the instruction.
Update Date	Date and time when the instruction was last updated in the ECMS. Shows format is: DD/MM/YYYY hh:mm:ss:µs.
Updater User Id.	Identifier of the user responsible for the last update of the instruction.
Business Validation Errors [n]	

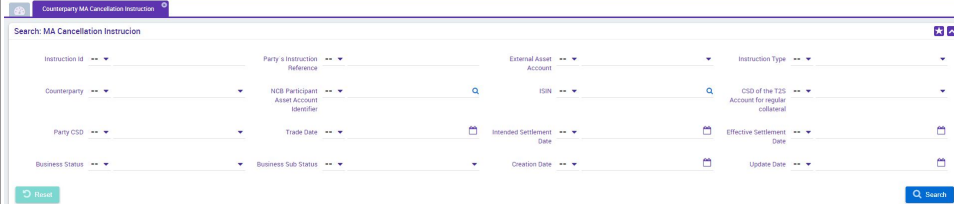
Once you click on Business Validation Errors following fields are displayed:	
Field	Description
BR Name	Name of the Business Rules infringed
Blocking	Indicator stating that if the business error is blocking or not.
Button	Function
View	Button to view the details of the Business Error
<i>Business Validation Errors – View Screen</i>	
BR Name	Name of the Business Rules infringed
Blocking	Indicator stating that if the business error is blocking or not.
Footprint	
Creation Date	Shows the Date and time of creation. Shows format is: DD/MM/YYYY hh:mm:ss:µs.
Creation User Id.	Identifier of the user responsible for the creation.
Update Date	Date and time when it was last updated in the ECMS. Shows format is: DD/MM/YYYY hh:mm:ss:µs.
Updater User Id.	Identifier of the user responsible for the last update.
Receiving/Delivering Settlement Parties [n]	
Once you click on Receiving/Delivering Settlement Parties button following fields are displayed:	
Field	Description
Default Sett Party Id	Type of identifier (BIC / proprietary code) for the settlement Party
Set Party Type	Type of Settlement Party
BIC	BIC of the Settlement Party
Account	Account number of the Settlement Party
Narrative	Narrative identifier of the Settlement Party
Narrative Type	Type of Narrative identifier of the Settlement Party
Button	Function
View	Button to view the details of the Settlement Party
<i>Receiving/Delivering Settlement Parties - View Screen</i>	
Default Sett Party Id	Type of identifier (BIC / proprietary code) for the settlement Party
Set Party Type	Type of Settlement Party
BIC	BIC of the Settlement Party
Account	Account number of the Settlement Party
Narrative	Narrative identifier of the Settlement Party
Narrative Type	Type of Narrative identifier of the Settlement Party
Footprint	
Creation Date	Shows the Date and time of creation.

	Shows format is: DD/MM/YYYY hh:mm:ss:µs.
Creation User Id.	Identifier of the user responsible for the creation.
Update Date	Date and time when it was last updated in the ECMS. Shows format is: DD/MM/YYYY hh:mm:ss:µs.
Updater User Id.	Identifier of the user responsible for the last update.
Notifications [n]	
Once you click on Notifications button following fields are displayed:	
Field	Description
Notification Name	Name of the notification sent or received
Message Identifier	Reference of the notification message
Notification Status	Shows the status of the Notification from the possible values: <ul style="list-style-type: none"> - Impacted - Impacted Failed - Rejected - On Hold
Direction	Indicator stating that the notification has been received or sent by the ECMS. Possible values: <ul style="list-style-type: none"> - In - Out
Error Description	Shows the error description (if it has happened).
Creation Date	Date and time when the notification was created in the ECMS.
Update Date	Date and time when the notification was last updated in the ECMS
Button	Function
Download	Button to download the Notification Message

3.2.1.2 Counterparty MA Cancellation Instructions

3.2.1.2.1 Counterparty MA Cancellation Instructions – Search Screen

Context of Usage	<p>This screen is used to search Counterparty Marketable Asset Instructions in order to be cancelled. It contains the fields that can be used as search criteria.</p> <p>The user captures the fields that correspond to its criteria for searching Counterparty Marketable Asset Instructions.</p> <p>If a field is left blank, the field is not taken into account as a search criteria.</p> <p>Once search criteria have been filled, by clicking on the search button, the list of instructions fulfilling the criteria will be Showed.</p>
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<p>Screen Access</p>	<p>Depending how the screen is accessed, it can be used to search either the valid Instructions, or instructions that requires 4 eyes validation before they can be considered valid in the system.</p> <p><i>For valid instructions:</i></p> <p>Instructions and Positions >> Instructions and Positions >> MA Instruction >> Input Instruction >> Counterparty MA Cancellation Instruction</p> <p><i>For instructions subject to 4 eyes validation:</i></p> <p>Instructions and Positions >> Instructions and Positions >> MA Instruction >> Validation / Rejection >> Validate / Reject - MA Cancellation Instructions Waiting User Validation</p>
<p>Screenshot</p>	

<p>Counterparty Marketable Asset Cancellation Instructions – Search screen</p>	
<p>Field</p>	<p>Description</p>
<p>Party's Instruction Reference</p>	<p>Enter the Reference of the instruction given by the Instructing Party.</p>
<p>Instruction Id.</p>	<p>Enter the unique identifier of the instruction given by the ECMS.</p>
<p>Instruction Type</p>	<p>Enter or Select the Instruction Type from the drop-down menu. . The possible values are:</p> <ul style="list-style-type: none"> – Marketable Asset Mobilisation (RECE) – Marketable Asset Demobilisation (DELI)
<p>Counterparty</p>	<p>Enter or select the RIAD Code of the ECMS Counterparty responsible of the instruction (i.e. for which the asset is (de)mobilized).</p>
<p>NCB Participant Asset Account</p>	<p>Enter or Select the Reference of the NCB Participant Asset Account on which the asset is (de)mobilized.</p>
<p>ISIN</p>	<p>Enter or Select the ISIN of the Marketable Asset (de)mobilized.</p>
<p>Trade Date</p>	<p>Enter the Trade Date of the Instruction or use the calendar icon. Required format is: DD/MM/YYYY.</p>
<p>Intended Settlement Date</p>	<p>Enter the intended settlement date of the instruction or use the calendar icon. Required format is: DD/MM/YYYY.</p>
<p>Effective Settlement Date</p>	<p>Enter the effective settlement date of the instruction or use the calendar icon. Required format is: DD/MM/YYYY.</p>
<p>External Asset Account</p>	<p>Enter or Select the Reference of T2S Account for Regular Collateral or of the ECMS Cross NCB Asset Account depending if the instruction is to be settled in a domestic CSD or not where the Marketable Asset is deposited.</p>

CSD of the T2S Securities Account for Regular Collateral	Enter or search the RIAD code of the CSD where the asset is received (Receiving CSD in a mobilisation instruction) or from where the asset is delivered (Delivering CSD for a demobilisation instruction).
Party CSD	Enter or search the RIAD code of the CSD of the Delivering party (for mobilisation) or Receiving party (for demobilisation).
Business Status	Enter or select the business status of the instruction from possible values: <ul style="list-style-type: none"> - Cancelled - Sent for Settlement - Waiting for NCB manual intervention - Validated - Matched - Queued - Rejected - Confirmed
Business Sub Status	Enter or select the business substatus from possible values: <ul style="list-style-type: none"> - Waiting for Global Collateral Position Update - Waiting for Settlement Date - Waiting User Validation - Waiting Deliver Leg Confirmation
Creation Date	Enter the date and time when the instruction was created in the ECMS or use the calendar icon. Required format is: DD/MM/YYYY hh:mm:ss:µs.
Update Date	Enter the date and time when the instruction was last updated in the ECMS or use the calendar icon. Required format is: DD/MM/YYYY hh:mm:ss:µs.
Button	Function
Reset	To clear the search criteria and close the result windows if any
Search	This function enables you to start a search according to the criteria entered. The results are Shown in a list on the screen List screen. Next screen: <ul style="list-style-type: none"> - <i>Counterparty Marketable Asset Cancellation Instruction – List Screen</i>

3.2.1.2.1.1 Counterparty MA Cancellation Instructions – List Screen

Context of Usage	This screen contains a list of Counterparty Marketable Asset Instructions. The instructions listed correspond to the search criteria captured in the <i>Counterparty Marketable Asset Cancellation Instructions – Search</i> screen.
Screen Access	Depending how the screen is accessed, it can be used to list either the valid Instructions, or instructions that requires 4 eyes validation before they can be considered valid in the system. The list Shown can be sorted and filter by the field Shown. The list can be exported.

By clicking on the “View” button or double clicking on the selected line to Shows the *Counterparty Marketable Asset Cancellation Instructions– Details* screen with the details of the selected instruction.

For valid instructions:

Instructions and Positions >> Instructions and Positions >> MA Instruction >> Input Instruction >> Counterparty MA Cancellation Instruction >> *Search Counterparty Marketable Asset Cancellation Instructions*

For instructions subject to 4 eyes validation:

Instructions and Positions >> Instructions and Positions >> MA Instruction >> Validation / Rejection >> Validate / Reject - MA Cancellation Instructions Waiting User Validation >> *Search Counterparty Marketable Asset Cancellation Instructions*

Screenshot

Instruction Id	Instruction Type	Business Status	Business Sub Status	NCB Participant	Quantity in F	Trade Date	Intended Sett	Effective Sett	Party CSD	CSD of the IS	Being Cancel	Updater User	Update Date	Denominate	Creation Date	Party & Instr	Counterparty
MAA20220630	FFP	Matched		ANL001ECMS	AT9000384120	44	30/06/2022	30/06/2022	AT9900Custs	AT9900	No	STP	30/06/2022 15		30/06/2022 15	AUTOT000710	NL001
MAA20220623	DFP	Sent for Settle		ANL001ECMS	AT9000384120	1	23/06/2022	23/06/2022	AT9900Custs	AT9900	No	STP	23/06/2022 17		23/06/2022 17	AUTOT000710	NL001
MAA20220622	FFP	Sent for Settle		ANL001ECMS	AT9000384120	44	22/06/2022	22/06/2022	AT9900Custs	AT9900	No	STP	22/06/2022 11		22/06/2022 11	AUTOLJAZ206	NL001
MAA20220622	FFP	Sent for Settle		ANL001ECMS	AT9000384120	44	22/06/2022	22/06/2022	AT9900Custs	AT9900	No	STP	22/06/2022 11		22/06/2022 11	AUTOLJAZ206	NL001
MAA20220613	DFP	Sent for Settle		ANL001ECMS	AT9000384120	1	13/06/2022	13/06/2022	AT9900Custs	AT9900	No	STP	13/06/2022 09		13/06/2022 09	AUTOT000801	NL001
MAA20220601	FFP	Sent for Settle		ANL001ECMS	AT9000384120	44	01/06/2022	01/06/2022	AT9900Custs	AT9900	No	STP	01/06/2022 04		01/06/2022 04	PARTYREF040	NL001
MAA20220606	FFP	Sent for Settle		ANL001ECMS	AT9000384120	44	06/06/2022	06/06/2022	AT9900Custs	AT9900	No	STP	06/06/2022 09		06/06/2022 09	PARTYREF040	NL001
MAA20220601	DFP	Sent for Settle		ANL001ECMS	AT9000384120	11	01/06/2022	01/06/2022	AT9900Custs	AT9900	No	STP	01/06/2022 13		01/06/2022 13	PARTYREF010	NL001
MAA20220601	FFP	Sent for Settle		ANL001ECMS	AT9000384120	44	01/06/2022	01/06/2022	AT9900Custs	AT9900	No	STP	01/06/2022 13		01/06/2022 13	PARTYREF010	NL001

Counterparty Marketable Asset Cancellation Instructions – List screen

Column	Description
Party’s Instruction Reference	Shows the Reference of the instruction given by the Instructing Party.
Instruction Id.	Shows the Unique identifier of the instruction given by the ECMS.
Instruction Type	Shows the Type of Instruction. The possible values are: <ul style="list-style-type: none"> – Marketable Asset Mobilisation (RECE) – Marketable Asset Demobilisation (DELI)
Business Status	Shows the Business status of the instruction from possible values: <ul style="list-style-type: none"> - Cancelled - Sent for Settlement - Waiting for NCB manual intervention - Validated - Matched - Queued - Rejected - Confirmed
Business Sub Status	Shows the Business sub Status of the instruction from possible values: <ul style="list-style-type: none"> - Waiting for Global Collateral Position Update - Waiting for Settlement Date - Waiting User Validation - Waiting Deliver Leg Confirmation
NCB Participant Asset Account	Reference of the NCB Participant Asset Account on which the asset is (de)mobilized.

Counterparty	ECMS Counterparty responsible of the instruction (i.e. for which the asset is (de)mobilized).
ISIN	ISIN of the Marketable Asset (de)mobilized.
Quantity in FAMT	Quantity instructed expressed in FAMT
Trade Date	Trade date of the instruction
Intended Settlement Date	Intended Settlement Date of the instruction.
Effective Settlement Date	Effective Settlement Date of the instruction.
CSD of the T2S Securities Account for Regular Collateral	RIAD code of the CSD where the asset is received (Receiving CSD in a mobilisation instruction) or from where the asset is delivered (Delivering CSD for a demobilisation instruction).
Party CSD	RIAD code of the CSD of the Delivering Party (for mobilisation) or Receiving party (for demobilisation).
Being Cancelled	Shows the indicator stating that the cancellation of the instruction has been requested. The possible values are: <ul style="list-style-type: none"> - Yes - No
Updater User Id.	Identifier of the user responsible for the last update of the instruction.
Update Date	Date and time when the instruction was last updated in the ECMS. Shows format is: DD/MM/YYYY hh:mm:ss:µs.
Button	Function
View	This function enables you to view the detail of the selected Counterparty Marketable Asset instruction. Next screen: <ul style="list-style-type: none"> - <i>Counterparty Marketable Asset Cancellation Instructions – Details Screen</i>
History	This function enables you to view the History of the selected Counterparty Marketable Asset instruction. Next screen: <ul style="list-style-type: none"> - <i>Counterparty Marketable Asset Cancellation Instructions – History Screen.</i>
Cancel	This function enables the user to cancel the selected Counterparty Marketable Asset Instruction.
If the screen is used in the 4 eyes validation context, the following buttons are Showed when a record is selected	
Button	Function
Compare Draft	In case the 4 eyes validation is required because of an update of the record, this function enables the user to display the change that needs to be validated. Next screen: <ul style="list-style-type: none"> - <i>Counterparty Marketable Asset Cancellation Instruction – History Screen.</i>
View Draft	This function enables the user to view the draft record to be validated. Next screen:

	– Counterparty Marketable Asset Cancellation Instruction – Details Screen.
Edit	This function allows the user to edit and modify the draft. Next screen: – Counterparty Marketable Asset Instruction – Edit Screen.
Validate	This function allows the user to validate the cancellation or update of the record so that they can be considered valid in the system
Reject	This function allows the user to reject the cancellation or update of the record. The draft will be deleted.

3.2.1.2.1.2 Counterparty MA Cancellation Instructions – History Screen

Context of Usage	This screen displays historical information that has changed on the selected Counterparty Marketable Asset Instruction. You can check the data, including the user who made the changes, and proceed further by clicking on the buttons that are shown.
Screen Access	<ul style="list-style-type: none"> – Instructions and Positions >> Instructions and Positions >> MA Instruction >> Input Instruction >> Counterparty MA Cancellation Instructions >> Click on the <i>search</i> button (after inputting the relevant Data, if needed) >> Select a record >> Click on the <i>History</i> button – Instructions and Positions >> Instructions and Positions >> MA Instruction >> Input Instruction >> Counterparty MA Cancellation Instructions >> Click on the <i>search</i> button (after inputting the relevant Data, if needed) >> Select a record >> Click on the <i>View</i> button (<i>Counterparty Marketable Asset Instruction –Details</i> Screen) >> Click on the <i>History</i> Button

Screenshot	
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Counterparty Marketable Asset Cancellation Instructions – History Screen	
Field	Description
Fields	Shows the fields whose information have been updated since the creation of the selected Counterparty MAI.
Timestamp	Shows the date and time when the fields have been updated. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Button	Description
Compare	Shows the differences between the selected records whose data have been updated.

Expand All	Shows the expanded information of the fields whose information have been updated since the creation of the selected Counterparty MA Cancellation Instruction.
Show All	Shows the information that existed before each modification of all the fields regarding the selected Counterparty MA Cancellation Instruction.
Collapse All	Collapse the expanded information of the fields that have been updated since the creation of the selected Counterparty MA Cancellation Instruction.
Show Differences Only	Shows only the fields whose information have been updated since the creation of the selected Counterparty MA Cancellation Instruction

3.2.1.2.1.3 Counterparty MA Cancellation Instructions – Details Screen

Context of Usage	<p>This screen is used to view all the details of a Counterparty Marketable Asset Instruction.</p> <p>From this screen the user can access directly to the detailed of the underlying Marketable Asset Instruction or to the related Client Asset Movement.</p>
Screen Access	<p>Depending how the screen is accessed, it can be used to view in detail either the valid Instructions, or instructions that requires 4 eyes validation before they can be considered valid in the system.</p> <p><i>For valid instructions:</i></p> <p>Instructions and Positions >> Instructions and Positions >> MA Instruction >> Input Instruction >> Counterparty MA Cancellation Instruction >> <i>Search Counterparty Marketable Asset Cancellation Instructions</i> >> <i>Select a record</i> >> <i>Click on View button</i></p> <p><i>For instructions subject to 4 eyes validation:</i></p> <p>Instructions and Positions >> Instructions and Positions >> MA Instruction >> Validation / Rejection >> Validate / Reject - MA Cancellation Instructions Waiting User Validation >> <i>Search Counterparty Marketable Asset Cancellation Instructions</i> >> <i>Select a record</i> >> <i>Click on View button</i></p>
Screen-shot	<p>The screenshot displays the 'List: MA Cancellation Instruction > View: Validate/Reject-MAI waiting user validation' interface. It is divided into several sections:</p> <ul style="list-style-type: none"> Transaction Details: Includes fields for Party & Instruction Reference (ACTOTC0608103972), Instruction id (MAI2022061333987), Instruction Type (RFP Marketable Asset Mobilisation (RECT)), NCB Participant Asset Account Identifier (ANL40ECMSACCH), Counterparty (NL001), ISIN (AT0000384128), ISIN Description (Strip Kupon 18.7.2022), Eligibility Status (Eligible), Emergency Collateral (No), Quantity in FAMT (400), Trade Date (13/06/2022), and Intended Settlement Date (13/06/2022). Custody Chain: Shows CSD of the T2S Account for regular collateral (AT9900), External Asset Account (ATCBNL01123CROSSACC001), and External Asset Account owner (NABAATWXXX). Counterparty Details: Lists Party CSD (AT9900), Party BIC (CFYXNL2AHH), and Party Account. Instruction Flags: Contains Business Status, Business Sub Status, UDA input, Lack of Security, Sent for Settlement (No), Being Cancelled (No), Is Duplicated (No), and Action To Validate. Other Details: Features buttons for 'Business Validation Errors [0]', 'Receiving/Delivering Settlement Parties [0]', and 'Notifications [0]'. Foot Print: Shows Update Date (13/06/2022 09:25:41:598), Updater User Id (STP), Creation Date (13/06/2022 09:24:47:527), and Creation User Id (STP).

Counterparty Marketable Asset Cancellation Instructions – Details Screen	
Button	Description
History	This function enables you to view the History of the selected Counterparty Marketable Asset instruction. Next screen: – Counterparty Marketable Asset Cancellation Instructions – History Screen.
Field	Description
Transaction Details	
Party's Instruction Reference	Shows the Reference of the instruction given by the Instructing Party.
Instruction Id.	Shows the Unique identifier of the instruction given by the ECMS.
Instruction Type	Shows the Type of instruction. The possible values are: – Marketable Asset Mobilisation (RECE) – Marketable Asset Demobilisation (DELI)
NCB Participant Asset Account	Shows the Reference of the NCB Participant Asset Account on which the asset is (de)mobilized.
Counterparty	Shows the RIAD Code of the ECMS Counterparty responsible of the instruction (i.e. for which the asset is (de)mobilized).
ISIN	Shows the ISIN of the Marketable Asset (de)mobilized.
ISIN Description	Shows the Name of the Marketable Asset (de)mobilized.
Quantity in FAMT	Shows the Quantity instructed expressed in FAMT
Denomination Currency	Shows the Currency of the instruction
Eligibility Status	Shows the Eligibility status of the Marketable Asset In C2D/EA
Emergency Collateral	Shows the stating that the marketable Asset can be only used in case of activation of the ECMs emergency flag from possible values: – Yes – No
Trade Date	Shows the Trade date of the instruction
Intended Settlement Date	Shows the Intended settlement date of the instruction.
Effective Settlement Date	Shows the Effective settlement date of the instruction.
Custody Chain	
CSD of the T2S Securities Account for Regular Collateral	Shows the RIAD code of the CSD where the asset is received (Receiving CSD in a mobilisation instruction) or from where the asset is delivered (Delivering CSD for a demobilisation instruction).

<i>External Asset Account</i>	Shows the Reference of T2S Account for Regular Collateral or of the ECMS Cross NCB Asset Account depending if the instruction is to be settled in a domestic CSD or not where the Marketable Asset is deposited.
<i>External Asset Account owner</i>	Shows the BIC code of the owner of the <i>External Asset Account</i> .
Counterparty Details	
Party CSD	Shows the RIAD code of the CSD of the Delivering party (for mobilisation) or Receiving party (for demobilisation).
Party BIC	Shows the BIC Code of the Delivering party (for mobilisation) or Receiving party (for demobilization).
Party Account	Shows the Account Reference of the Delivering party (for mobilisation) or Receiving party (for demobilization).
Instruction Flags	
Business Status	Shows the business status of the instruction from possible values: <ul style="list-style-type: none"> - Cancelled - Sent for Settlement - Waiting for NCB manual intervention - Validated - Matched - Queued - Rejected - Confirmed
Business Sub Status	Shows the business substatus from possible values: <ul style="list-style-type: none"> - Waiting for Global Collateral Position Update - Waiting for Settlement Date - Waiting User Validation - Waiting Deliver Leg Confirmation
U2A Input	Shows whether the instruction was captured in U2A mode or not from Possible values: <ul style="list-style-type: none"> - Yes - No
Being cancelled	Shows the Indicator stating that the cancellation of the instruction has been requested. The possible values are: <ul style="list-style-type: none"> - Yes - No
Lack of Security	Shows Lack of Security from possible values: <ul style="list-style-type: none"> - Yes - No
Is Duplicated	Shows if it is duplicated from possible values: <ul style="list-style-type: none"> - Yes - No
Action to Validate	Shows if there is any action waiting for validation in the 4 eyes context.

Is Rejected	Shows Boolean value “Yes” or “No”
Other details	
MA Settlement Instruction	This button allows you to access to Marketable Asset Settlement Instruction with the details of the underlying settlement instruction Next Screen: – <i>Marketable Asset Settlement Instructions - List Screen</i>
Client Marketable Asset Movements	This button allows you to access to Client Marketable Asset Movement with the details of the underlying underlying Marketable Asset Movement on the Counterparty Asset Account Next Screen: – <i>Client Marketable Asset Movement – List Screen</i>
Client Asset Position	This button allows you to access to Client Marketable Asset Settled Position with the details of the underlying Marketable Asset Position update on the Counterparty Asset Account. Next Screen: – <i>Client MA Settled Position – List Screen.</i>
Business Validation Errors [n]	Section to view the details of the Business Validation Errors. [n] corresponds to the number of Business Validation Errors
Receiving/Delivering Settlement Parties [n]	Section to view the details of the Settlement Parties. [n] corresponds to the number of Settlement Parties recorded.
Notifications [n]	Section to view the details of the related notifications sent and received. [n] corresponds to the number of Notification.
Foot Print	
Creation Date	Date and time when the instruction was created in the ECMS. Shows format is: DD/MM/YYYY hh:mm:ss:µs.
Creation User Id.	Identifier of the user responsible for the creation of the instruction.
Update Date	Date and time when the instruction was last updated in the ECMS. Shows format is: DD/MM/YYYY hh:mm:ss:µs.
Updater User Id.	Identifier of the user responsible for the last update of the instruction.
Business Validation Errors [n]	
Once you click on Business Validation Errors following fields are displayed:	
Field	Description
BR Name	Name of the Business Rules infringed
Blocking	Indicator stating that if the business error is blocking or not.
Button	Function
View	Button to view the details of the Business Error
<i>Business Validation Errors – View Screen</i>	
BR Name	Name of the Business Rules infringed
Blocking	Indicator stating that if the business error is blocking or not.

Footprint	
Creation Date	Shows the Date and time of creation. Shows format is: DD/MM/YYYY hh:mm:ss:µs.
Creation User Id.	Identifier of the user responsible for the creation.
Update Date	Date and time when it was last updated in the ECMS. Shows format is: DD/MM/YYYY hh:mm:ss:µs.
Updater User Id.	Identifier of the user responsible for the last update.
Receiving/Delivering Settlement Parties [n]	
Once you click on Receiving/Delivering Settlement Parties button following fields are displayed:	
Field	Description
Default Sett Party Id	Type of identifier (BIC / proprietary code) for the settlement Party
Set Party Type	Type of Settlement Party
BIC	BIC of the Settlement Party
Account	Account number of the Settlement Party
Narrative	Narrative identifier of the Settlement Party
Narrative Type	Type of Narrative identifier of the Settlement Party
Button	Function
View	Button to view the details of the Settlement Party
<i>Receiving/Delivering Settlement Parties - View Screen</i>	
Default Sett Party Id	Type of identifier (BIC / proprietary code) for the settlement Party
Set Party Type	Type of Settlement Party
Bic	BIC of the Settlement Party
Account	Account number of the Settlement Party
Narrative	Narrative identifier of the Settlement Party
Narrative Type	Type of Narrative identifier of the Settlement Party
Footprint	
Creation Date	Shows the Date and time of creation. Shows format is: DD/MM/YYYY hh:mm:ss:µs.
Creation User Id.	Identifier of the user responsible for the creation.
Update Date	Date and time when it was last updated in the ECMS. Shows format is: DD/MM/YYYY hh:mm:ss:µs.
Updater User Id.	Identifier of the user responsible for the last update.
Notifications [n]	
Once you click on Notifications button following fields are displayed:	
Field	Description

Notification Name	Name of the notification sent or received
Message Identifier	Reference of the notification message
Notification Status	Shows the status of the Notification from the possible values: <ul style="list-style-type: none"> - Impacted - Impacted Failed - Rejected
Direction	Indicator stating that the notification has been received or sent by the ECMS. Possible values: <ul style="list-style-type: none"> - In - Out
Error Description	Shows the error description (if it has happened).
Creation Date	Date and time when the notification was created in the ECMS.
Update Date	Date and time when the notification was last updated in the ECMS
Button	Function
Download	Button to download the Notification Message

3.2.2 Marketable Asset Settlement Instructions

Marketable Asset Settlement Instructions represent the instructions generated by the ECMS for the settlement of Marketable Asset Instructions.

Through the different screens described in this section, an NCB can access to the details of the Marketable Asset Settlement Instructions

- Sent to T2S for the settlement on a domestic CSD of Counterparty Marketable Asset Instructions originated by the Counterparties of its community,
- Transfer internally to another NCB for the settlement on a non-domestic T2S CSD of Counterparty Marketable Asset Instructions originated by the Counterparties of its community,
- Sent to T2S for the settlement on a domestic CSD of NCB Marketable Asset Instructions transferred from a refinancing NCB.

The “Client Side” of Counterparty Marketable Asset Instructions corresponds either to an domestic ECMS Counterparty (case i. and ii.) or to a Refinancing NCB (case iii.) whereas the “Market Side” corresponds either to a domestic T2S CSD (case i. and iii.) or to an another NCB (case ii.).

3.2.2.1 Marketable Asset Settlement Instruction – Search Screen

Context of Usage	<p>This screen is used to search Marketable Asset Settlement Instructions. It contains the fields that can be used as search criteria.</p> <p>The user captures the fields that correspond to its criteria for searching Marketable Asset Settlement Instructions.</p> <p>If a field is left blank, the field is not taken into account as a search criteria.</p> <p>Once search criteria have been filled, by clicking on the search button, the list of instructions fulfilling the criteria will be displayed.</p>
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Business Case related	Search/List/View Marketable Asset Settlement Instructions
Screen Access	Instructions and Positions >> Instructions and Positions >> MA Settlement Instruction >> Marketable Asset Settlement Instructions
Screenshot	

Marketable Asset Settlement Instructions – Search screen	
Field	Description
Settlement Instruction Id.	Enter the identifier of the Settlement Instruction given by the ECMS.
T2S Reference	Reference of the T2S Instruction as given by T2S
Instruction Type	Select the Instruction Type from the drop-down menu. <ul style="list-style-type: none"> • Marketable Asset Mobilisation (RECE) • Marketable Asset Demobilisation (DELI)
ISIN	Enter or select the ISIN of the Marketable Asset.
CSD of the T2S Securities Account for Regular Collateral	Enter or select the RIAD code of the CSD where the asset is received (Receiving CSD for reception instruction) or from where the asset is delivered (Delivering CSD for delivery instruction).
External Asset Account	Enter the Reference of T2S Account for Regular Collateral or of the ECMS Cross NCB Asset Account
Party CSD	Enter or select the RIAD code of the CSD of the Delivering party (for reception) or Receiving party (for delivery).
Instruction Id.	Enter the unique identifier of the instruction given by the ECMS.
Trade Date	Enter or select the date at which the trade was made. Required format is: DD/MM/YYYY.
Intended Settlement Date	Enter or select the intended settlement date of the instruction. Required format is: DD/MM/YYYY.
Effective Settlement Date	Enter or select the effective settlement date of the instruction. Required format is: DD/MM/YYYY.
Business Status	Enter or Select the business status of the instruction from possible values: <ul style="list-style-type: none"> - Sent for Settlement - Confirmed - Matched - Cancelled - Rejected - Queued

Business Sub-Status	Enter or Select the business substatus from possible values: - Waiting User Validation - Waiting Deliver Leg Confirmation
Update Date	Enter or select the date and time when the instruction was last updated in the ECMS. Required format is: DD/MM/YYYY hh:mm:ss:µs.
Button	Function
Reset	This function enables you to clear the form.
Search	This function enables you to start a search according to the criteria entered. The results are displayed in a list on the screen List Screen. Next screen: <i>Marketable Asset Settlement Instruction – List Screen</i>

3.2.2.1.1 Marketable Asset Settlement Instruction – List Screen

Context of Usage	This screen contains a list of Marketable Asset Settlement Instructions. The instructions listed correspond to the search criteria captured in the <i>Marketable Asset Settlement Instructions – Search</i> screen. The list displayed can be sorted and filter by the field displayed. The list can be exported. By clicking on the “View” button or double clicking on the selected line to display the <i>Marketable Asset Settlement Instructions– Details</i> screen with the details of the selected instruction.
Business Case related	Search/List/View Marketable Asset Settlement Instructions
Screen Access	Instructions and Positions >> Instructions and Positions >> MA Settlement Instruction >> Marketable Asset Settlement Instructions >> Click on Search
Screenshot	<p>The screenshot shows a table titled "List: Marketable Asset Settlement Instructions". The table has the following columns: Instruction ID #, Settlement ID #, T2S Reference #, Instruction Type, Business Stat., Business Sub., ISIN #, Quantity #, Trade Date #, Intended Sett., Effective Sett., Party CSD #, Party RAD #, CSD of the T2S #, Party RAD C #, Being Cancelled, Update User, Update Date #, and Creation Date #. The table contains several rows of data, with the first row highlighted in blue. The first row has the following values: MAJ0022862, MAJ7000000, SESE02SE1, Marketable As., Confirmed, AT000084128, 173, 23/06/2022, 23/06/2022, AT9900cuts, AT9900, AT9900cuts, AT9900, No, STP, 23/06/2022 17, 23/06/2022 17.</p>

Marketable Asset Settlement Instructions – List screen	
Column	Description
Settlement Instruction Id.	Shows the Identifier of the Settlement Instruction given by the ECMS.
T2S Reference	Shows the reference of the T2S Instruction as given by T2S
Instruction Type	Instruction Type: <ul style="list-style-type: none"> Marketable Asset Mobilisation (RECE) Marketable Asset Demobilisation (DELI)

Business Status	Shows the business status of the instruction from possible values: - Sent for Settlement - Confirmed - Matched - Cancelled - Rejected - Queued
Business Sub-Status	Shows the business substatus from possible values: - Waiting User Validation - Waiting Deliver Leg Confirmation
ISIN	Shows the ISIN of the Marketable Asset settled.
Quantity in FAMT	Shows the quantity instructed expressed in FAMT
Trade Date	Shows the trade date of the instruction Displayed format is: DD/MM/YYYY.
Intended Settlement Date	Shows the Intended Settlement Date of the instruction. Displayed format is: DD/MM/YYYY.
Effective Settlement Date	Shows the Effective Settlement Date of the instruction. Displayed format is: DD/MM/YYYY.
Party CSD	RIAD code of the CSD of the Delivering Party (for reception) or Receiving party (for delivery).
Party CSD (PSN)	Party Short Name of the CSD of the Delivering Party (for reception) or Receiving party (for delivery).
Instruction Id.	Enter the unique identifier of the instruction given by the ECMS.
CSD of the T2S Securities Account for Regular Collateral	Shows the RIAD code of the CSD where the asset is received (Receiving CSD for reception instruction) or from where the asset is delivered (Delivering CSD for delivery instruction).
CSD of the T2S Securities Account for Regular Collateral (PSN)	Shows the Party Short Name of the CSD where the asset is received (Receiving CSD for reception instruction) or from where the asset is delivered (Delivering CSD for delivery instruction).
Being Cancelled	Indicator stating that the cancellation of the instruction has been requested. The possible values are: - Yes - No
Creation Date	Shows the date and time when the instruction was created in the ECMS. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Updater User Id.	Identifier of the user responsible for the last update of the instruction.
Update Date	Date and time when the instruction was last updated in the ECMS.

Button	Function
View	This function enables you to view the detailed of the selected instruction. Next screen: – <i>Marketable Asset Settlement Instructions – Details Screen.</i>
History	This function enables you to view the history of the selected instruction. Next screen: – <i>Marketable Asset Settlement Instructions – History Screen.</i>

3.2.2.1.2 Marketable Asset Settlement Instruction – History Screen

Context of Usage	This screen displays historical information that has changed on the selected Marketable Asset Settlement Instruction. You can check the data, including the user who made the changes, and proceed further by clicking on the buttons that are shown.
Screen Access	<ul style="list-style-type: none"> – Instructions and Positions >> Instructions and Positions >> MA Settlement Instruction >> Marketable Asset Settlement Instructions >> Click on the <i>search</i> button (after inputting the relevant Data, if needed) >> Select a record >> Click on the <i>History</i> button – Instructions and Positions >> Instructions and Positions >> MA Settlement Instruction >> Marketable Asset Settlement Instructions >> Click on the <i>search</i> button (after inputting the relevant Data, if needed) >> Select a record >> Click on the <i>View</i> button (<i>Marketable Asset Settlement Instruction –Details Screen</i>) >> <i>Click on the History Button</i>
Screenshot	<p>The screenshot shows a table titled 'Market Instruction History' with columns for various fields. The table contains multiple rows of data, including fields like Status, Business Status, Counterparty, Creation Date, and Market Original Amount. The table is filtered to show records for a specific MA Settlement Instruction.</p>

Marketable Asset Settlement Instruction – History Screen

Field	Description
Fields	Shows the fields whose information have been updated since the creation of the selected MA Settlement Instruction.
Timestamp	Shows the date and time when the fields have been updated. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Button	Description
Compare	Shows the differences between the selected records whose data have been updated.
Expand All	Shows the expanded information of the fields whose information have been updated since the creation of the selected MA Settlement Instruction.
Show All	Shows the information that existed before each modification of all the fields regarding the selected MA Settlement Instruction.

ISIN Description	Shows the Name of the Marketable Asset (de)mobilized.
Quantity in FAMT	Shows the Quantity instructed expressed in FAMT
Denomination Currency	Shows the Currency of the instruction
Trade Date	Shows the Trade date of the instruction Displayed format is: DD/MM/YYYY.
Intended Settlement Date	Shows the Intended settlement date of the instruction. Displayed format is: DD/MM/YYYY.
Effective Settlement Date	Shows the Effective settlement date of the instruction. Displayed format is: DD/MM/YYYY.
CSD of the T2S Securities Account for Regular Collateral	Shows the RIAD code of the CSD where the asset is received (Receiving CSD in a mobilisation instruction) or from where the asset is delivered (Delivering CSD for a demobilisation instruction).
External Asset Account	Shows the Reference of T2S Account for Regular Collateral or of the ECMS Cross NCB Asset Account depending if the instruction is to be settled in a domestic CSD or not where the Marketable Asset is deposited.
Party CSD	Shows the RIAD code of the CSD of the Delivering party (for mobilisation) or Receiving party (for demobilisation).
Instruction Flags	
Instruction Id.	Internal Identifier of the instruction
Business Status	Shows the business status of the instruction from possible values: - Sent for Settlement - Confirmed - Matched - Cancelled - Rejected - Queued
Business Sub Status	Shows the business substatus from possible values: - Waiting User Validation - Waiting Deliver Leg Confirmation
U2A Input	Shows whether the instruction was captured in U2A mode or not from Possible values: - Yes - No
Being cancelled	Shows the Indicator stating that the cancellation of the instruction has been requested. The possible values are: - Yes - No
Partial Settlement Indicator	Fixed value not stored in BBDD only for informative purpose in screen
Additional Informations	

Common Reference	Shows the Common Reference of the instruction
Asset Position details	
Market Asset Positions Movements	This button allows you to access to Market Marketable Asset Movement with the details of the underlying settlement instruction Next Screen: – <i>Market Marketable Asset Movement - List Screen</i>
Market Asset Position	This button allows you to access to Market Marketable Asset Position by Position Type with the details of the underlying Marketable Asset Settlement Instruction Next Screen: – <i>Market Marketable Asset Position by Position Type – List Screen</i>
T2S Instruction Status	Section to view the details of T2S Instruction Status.
Receiving/Delivering Settlement Parties [n]	Section to view the details of the Settlement Parties. [n] corresponds to the number of Settlement Parties recorded.
Notifications [n]	Section to view the details of the related notifications sent and received. [n] corresponds to the number of Notification.
Foot Print	
Creation Date	Date and time when the instruction was created in the ECMS. Shows format is: DD/MM/YYYY hh:mm:ss:µs.
Creation User Id.	Identifier of the user responsible for the creation of the instruction.
Update Date	Date and time when the instruction was last updated in the ECMS. Shows format is: DD/MM/YYYY hh:mm:ss:µs.
Updater User Id.	Identifier of the user responsible for the last update of the instruction.
Receiving/Delivering Settlement Parties [n]	
Once you click on Receiving/Delivering Settlement Parties button following fields are displayed:	
Field	Description
Default Sett Party Id	Type of identifier (BIC / proprietary code) for the settlement Party
Set Party Type	Type of Settlement Party
BIC	BIC of the Settlement Party
Account	Account number of the Settlement Party
Narrative	Narrative identifier of the Settlement Party
Narrative Type	Type of Narrative identifier of the Settlement Party
Button	Function
View	Button to view the details of the Settlement Party
<i>Receiving/Delivering Settlement Parties - View Screen</i>	

Default Sett Party Id	Type of identifier (BIC / proprietary code) for the settlement Party
Set Party Type	Type of Settlement Party
BIC	BIC of the Settlement Party
Account	Account number of the Settlement Party
Narrative	Narrative identifier of the Settlement Party
Narrative Type	Type of Narrative identifier of the Settlement Party
Footprint	
Creation Date	Shows the Date and time of creation. Shows format is: DD/MM/YYYY hh:mm:ss:µs.
Creation User Id.	Identifier of the user responsible for the creation.
Update Date	Date and time when it was last updated in the ECMS. Shows format is: DD/MM/YYYY hh:mm:ss:µs.
Updater User Id.	Identifier of the user responsible for the last update.
Notifications [n]	
Once you click on Notifications button following fields are displayed:	
Field	Description
Notification Name	Name of the notification sent or received
Message Identifier	Reference of the notification message
Notification Status	Shows the status of the Notification from the possible values: <ul style="list-style-type: none"> - Impacted - Impacted Failed - Rejected
Direction	Indicator stating that the notification has been received or sent by the ECMS. Possible values: <ul style="list-style-type: none"> - In - Out
Error Description	Shows the error description (if it has happened).
Creation Date	Date and time when the notification was created in the ECMS.
Update Date	Date and time when the notification was last updated in the ECMS
Button	Function
Download	Button to download the Notification Message
T2S Instruction Status	
Once you click on T2S Instruction Status button following fields are displayed:	
T2S Code	Shows the code of the status reasons generated or received from T2S by ECMS
T2S Status Description	Shows the description of the status reasons generated or received from T2S by ECMS

3.2.3 Marketable Asset Positions and Movements

3.2.3.1 Client Marketable Asset Position

3.2.3.1.1 Client Marketable Asset Position by Position Type

A Client Marketable Asset Position by Position Type represents the quantity of a given marketable asset held on a given Client Account at a given point in time depending on the context.

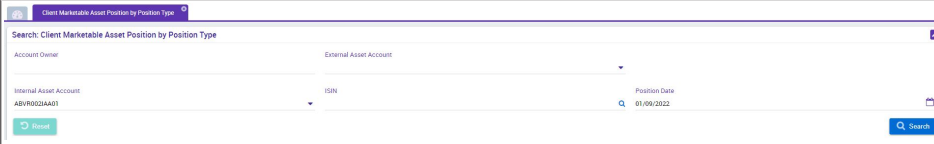
Through the different screens described in this section, an NCB can access to the details of the Client Marketable Asset Position

- On a ECMS Counterparty Asset Account opened in its books by a counterparty of its communities,
- On a Cross NCB Asset Account opened in its books by a refinancing NCB.

The ECMS considers 3 different types of position depending on the context:

- An actual position reflects the quantity of asset effectively held on the account at a given point in time taking into account all movements effectively settled.
- A provisional position reflects the forecasted quantity of asset on an account at a given point in time taking into account all movements effectively settled and all pending movements (i.e. movements instructed but not yet settled, rejected or cancelled).
- A conservative position reflects the quantity of asset that can be counted as collateral on an account at a given point in time taking into account all movements effectively settled and all pending negative movements (i.e. demobilisations for which the asset has been reserved and for which the underlying settlement instruction is not yet settled, rejected or cancelled).

3.2.3.1.1.1 Client Marketable Asset Position by Position Type – Search Screen

Context of Usage	<p>This screen is used to search Client Marketable Asset Position by Position Type. It contains the fields that can be used as search criteria.</p> <p>The user captures the fields that correspond to its criteria for searching Client Marketable Asset Position by Position Type.</p> <p>If a field is left blank, the field is not taken into account as a search criteria.</p> <p>Once search criteria have been filled, by clicking on the search button, the list of positions fulfilling the criteria will be displayed.</p>
Business Case related	Search/List/View Client Marketable Asset Positions per Position Type
Screen Access	Instructions and Positions >> Instructions and Positions >> Client Marketable Asset Position >> Client Marketable Asset Position by Position Type
Screenshot	

Client Marketable Asset Position by Position Type – Search screen	
Field	Description
Internal Asset Account*	Enter or select the Reference of the ECMS Counterparty Asset Account or a Cross NCB Asset Account on which the positions are held.

ISIN	Enter or search the ISIN of the Marketable Asset in position.
External Asset Account	Enter the reference of T2S Account for Regular Collateral or of the ECMS Cross NCB Asset Account where the asset is deposited
Validity Date	Enter or select the Validity End Date of the position Required format is: DD/MM/YYYY.
Position Date*	Enter or select date when the position is valid
Button	Function
Reset	This function enables you to clear the form.
Search	This function enables you to start a search according to the criteria entered. The results are displayed in a list on a screen below. Next screen: – Client Marketable Asset Position by Position Type – List Screen.

3.2.3.1.1.1 Client Marketable Asset Position by Position Type – List Screen

Context of Usage	<p>This screen contains a list of Client Marketable Asset Position by Position Type.</p> <p>The positions listed correspond to the search criteria captured in the <i>Client Marketable Asset Position by Position Type – Search</i> screen.</p> <p>The list displayed can be sorted and filter by the field displayed.</p> <p>The list can be exported.</p> <p>By clicking on the “View” button or double clicking on the selected line to display the <i>Client Marketable Asset Position by Position Type – Details</i> screen with the details of the selected position.</p>
Business Case related	Search/List/View Client Marketable Asset Positions per Position Type
Screen Access	Instructions and Positions >> Instructions and Positions >> Client Marketable Asset Position >> Client Marketable Asset Position by Position Type >> <i>Search Client Marketable Asset Positions</i>
Screenshot	

Client Marketable Asset Position by Position Type – List screen	
Field	Description
Internal Asset Account	Shows the Reference of the ECMS Counterparty Asset Account or the Cross NCB Asset Account on which the position is held.
Account owner	Shows the RIAD code of the counterparty owning the Internal Asset account
ISIN	Shows the ISIN of the Marketable Asset settled.
Denomination Currency	Shows the Currency of denomination of the asset in the position
External Asset Account	Shows the Reference of T2S Account for Regular Collateral or of the ECMS Cross NCB Asset Account where the asset is deposited
Actual Position	Shows the Quantity of asset effectively held

Provisional Position	Shows the forecasted quantity of asset held
Conservative Position	Shows the Quantity of asset that can be counted as collateral
Validity Date	Shows the Validity End Date of the position Displayed format is: DD/MM/YYYY.
Position Date	Shows the date when the position is valid Displayed format is: DD/MM/YYYY.
Creation Date	Shows the date and time when the instruction was created in the ECMS Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Update Date	Shows the date and time when the instruction was last updated in the ECMS Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Button	Function
View	This function enables you to view the detailed of the selected position. Next screen: – <i>Client Marketable Asset Position by Position Type – Details Screen.</i>

3.2.3.1.1.1.2 Client Marketable Asset Position by Position Type – Details Screen

Context of Usage	This screen is used to view all the details of a Client Marketable Asset Position by Position Type.
Business Case related	Search/List/View Client Marketable Asset Positions per Position Type
Screen Access	Instructions and Positions >> Instructions and Positions >> Client Marketable Asset Position >> Client Marketable Asset Position by Position Type >> <i>Search Client Marketable Asset Positions</i> >> <i>Search</i> >> View a selected Marketable Asset Position
Screenshot	

Client Marketable Asset Position by Position Type – Details Screen	
Field	Description
Internal Asset Account	Shows the Reference of the ECMS Counterparty Marketable or the Cross NCB Asset Account which the position is held.
Account owner	Shows the RIAD code and short name of the counterparty owning the Internal Asset account
ISIN	Shows the ISIN of the Marketable Asset settled.
Denomination Currency	Shows the Currency of Denomination of the asset in position.

External Asset Account	Shows the Reference of T2S Account for Regular Collateral or of the ECMS Cross NCB Asset Account where the asset is deposited
Actual Position	Shows the Quantity of asset effectively held
Provisional Position	Shows the forecasted quantity of asset held
Conservative Position	Shows the Quantity of asset that can be counted as collateral
Validity Date	Shows the Validity End Date of the position Displayed format is: DD/MM/YYYY.
Foot Print	
Creation Date	Date and time when the instruction was created in the ECMS.
Creation User Id.	Identifier of the user responsible for the creation of the instruction.
Update Date	Date and time when the instruction was last updated in the ECMS.
Updater User Id.	Identifier of the user responsible for the last update of the instruction.

3.2.3.1.2 Client Marketable Asset Movement

A Client Marketable Asset Movement represents a movement of Marketable Asset impacting a Client Marketable Asset Positions. Those movements can be originated by Marketable Asset (de)Mobilisation Instructions, by Corporate Actions that includes securities movement, by T2S relocation, or by a Manual intervention on the marketable asset positions.

Through the different screens described in this section, an NCB can access to the details of the Client Marketable Asset Movements

- On a ECMS Counterparty Asset Account opened in its books by a counterparty of its communities,
- On a Cross NCB Asset Account opened in its books by a refinancing NCB.

3.2.3.1.2.1 Client Marketable Asset Movement – Search Screen

Context of Usage	<p>This screen is used to search Client Marketable Asset Movements. It contains the fields that can be used as search criteria.</p> <p>The user captures the fields that correspond to its criteria for searching Client Marketable Asset Movement.</p> <p>If a field is left blank, the field is not taken into account as a search criteria.</p> <p>Once search criteria have been filled, by clicking on the search button, the list of positions fulfilling the criteria will be displayed.</p>
Screen Access	Instructions and Positions >> Instructions and Positions >> Client Marketable Asset Position >> Client Marketable Asset Movement Details
Screenshot	

Client Marketable Asset Movement – Search screen	
Field	Description
Party's Instruction Reference	Enter the reference given by the counterparty of the instruction that generates the movement.
Instruction Id.	Enter the Internal Identifier of the instruction that generates the movement.
Internal Asset Account	Enter or Select the Reference of the Internal Asset Account on which the asset is (de)mobilized
External Asset Account	Enter the reference of T2S Account for Regular Collateral or of the ECMS Cross NCB Asset Account where the asset is deposited
Account Owner	Enter the RIAD code of the NCB Participant owning the Internal Asset account
ISIN	Enter or search the ISIN of the Marketable Asset concerned by the movement.
Movement status	Select the status of the movement Possible values are <ul style="list-style-type: none"> – Instructed – Matched – Impacted – Cancelled – Rejected
Validity End Date	Enter the Date and Time when the movement is no longer valid Required format is: DD/MM/YYYY.
Intended Settlement Date	Enter the Intended Settlement Date of the movement. Required format is: DD/MM/YYYY
Effective Settlement Date	Enter the Effective Settlement Date of the movement. Required format is: DD/MM/YYYY
Button	Function
Reset	To clear the search criteria and close the result windows if any
Search	To start a search according to the criteria entered. The results are displayed in a list on a screen below. Next screen: <ul style="list-style-type: none"> – <i>Client Marketable Asset Movement – List Screen</i>

3.2.3.1.2.1.1 Client Marketable Asset Movement – List Screen

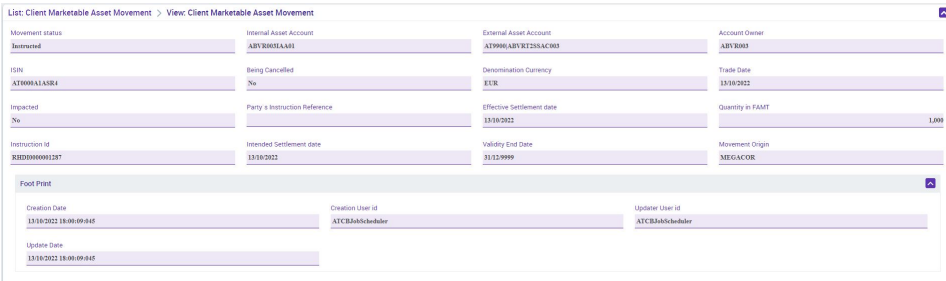
Context of Usage	<p>This screen contains a list of Client Marketable Asset Movements.</p> <p>The movements listed correspond to the search criteria captured in the <i>Client Marketable Asset Movement – Search</i> screen.</p> <p>The list displayed can be sorted and filter by the field displayed.</p> <p>The list can be exported.</p> <p>By clicking on the “View” button or double clicking on the selected line to display the <i>Client Marketable Asset Movement – Details</i> screen with the details of the selected movements.</p>
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Screen Access	Instructions and Positions >> Instructions and Positions >> Client Marketable Asset Position >> Client Marketable Asset Movement Details >> <i>Search Client Marketable Asset Movements</i>
Screenshot	

Client Marketable Asset Movement – List screen	
Field	Description
Party's Instruction Reference	Shows the Reference given by the counterparty of the instruction that generates the movement.
Instruction Id.	Shows the internal Identifier of the instruction that generates the movement.
Internal Asset Account	Shows the Reference of the Internal Asset Account concerned by the movement.
External Asset Account	Shows the Reference of T2S Account for Regular Collateral or of the ECMS Cross NCB Asset Account where the asset is deposited
Account Owner	Shows the RIAD code of the NCB Participant owning the Internal Asset account
ISIN	Shows the ISIN of the Marketable Asset concerned by the movement.
Denomination Currency	Shows the Denomination Currency
Quantity in FAMT	Shows the Quantity instructed expressed in FAMT
Movement status	Shows the status of the movement. Possible values are <ul style="list-style-type: none"> – Instructed – Matched – Impacted – Cancelled – Rejected
Trade Date	Shows the Trade Date of the movement Displayed format is: DD/MM/YYYY.
Intended Settlement Date	Shows the Intended Settlement Date of the movement. Displayed format is: DD/MM/YYYY.
Effective Settlement Date	Shows the Effective Settlement Date of the movement. Displayed format is: DD/MM/YYYY.
Being Cancelled	Indicator stating that the cancellation of the movement has been requested. Possible values are <ul style="list-style-type: none"> – Yes – No
Impacted	Indicator stating that the position has been updated.

	Possible values are – Yes – No
Validity End Date	Shows the Date and Time when the movement is no longer valid Displayed format is: DD/MM/YYYY.
Creation Date	Shows the Date and Time when the movement has been created Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Update Date	Shows the Date and Time when the movement has been last updated Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Button	Function
View	This function enables you to view the detailed of the selected movement. Next screen: <i>Client Marketable Asset Movement – Details Screen.</i> The <i>Details screen</i> is displayed replacing the current screen.

3.2.3.1.2.1.2 Client Marketable Asset Movement – Details Screen

Context of Usage	This screen is used to view all the details of a Client Marketable Asset Movement.
Screen Access	Instructions and Positions >> Instructions and Positions >> Client Marketable Asset Position >> Client Marketable Asset Movement Details >> <i>Search Client Marketable Asset Movements</i> >> View
Screenshot	

Client Marketable Asset Movement – Details Screen	
Field	Description
Party's Instruction Reference	Shows the Reference given by the counterparty of the instruction that generates the movement.
Instruction Id.	Shows the internal Identifier of the instruction that generates the movement.
Movement Origin	Shows the Origin of the movement. It indicates whether the movement is due to a Marketable Asset (de)mobilisation, a T2S Relocation, a Corporate Action or a Manual Intervention
Internal Asset Account	Shows the Reference of the ECMS Counterparty Asset Account or a Cross NCB Asset Account concerned by the movement.
External Asset Account	Shows the Reference of T2S Account for Regular Collateral or of the ECMS Cross NCB Asset Account where the asset is deposited
Account Owner	Shows the RIAD code of the NCB Participant owning the Internal Asset account

ISIN	Shows the ISIN of the Marketable Asset concerned by the movement.
Denomination Currency	Shows the Currency of the instruction
Quantity in FAMT	Shows the Quantity instructed expressed in FAMT
Trade Date	Shows the Trade Date of the movement Displayed format is: DD/MM/YYYY
Intended Settle- ment Date	Shows the Intended Settlement Date of the movement. Displayed format is: DD/MM/YYYY
Effective Settle- ment Date	Shows the Effective Settlement Date of the movement. Displayed format is: DD/MM/YYYY
Being Cancelled	Indicator stating that the cancellation of the movement has been requested. Possible values are – Yes – No
Impacted	Indicator stating that the position has been updated. Possible values are – Yes – No
Movement status	Shows the status of the movement Possible values are – Instructed – Matched – Impacted – Cancelled – Rejected
Foot Print	
Creation Date	Date and time when the movement was created in the ECMS.
Creation User Id.	Identifier of the user responsible for the creation of the movement.
Update Date	Date and time when the movement was last updated in the ECMS.
Updater User Id.	Identifier of the user responsible for the last update of the movement.

3.2.4 Messages Monitoring

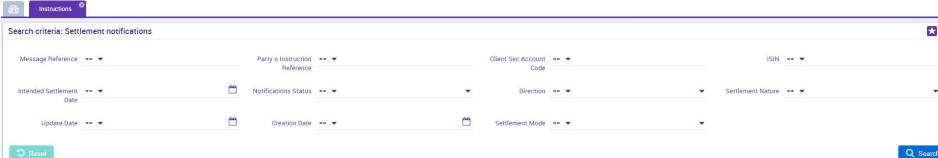
Counterparty Marketable Asset Instructions represent the instruction received by the ECMS from the counterparty to mobilise or demobilize a Marketable Asset in or from one of its NCB Participant Asset Accounts.

A refinancing NCB can access to the details of the Counterparty Marketable Asset Instructions originated by the Counterparties of its community through the different screens described in this section.

The “Client Side” of Counterparty Marketable Asset Instructions corresponds to an ECMS Counterparty whereas the “Market Side” corresponds either to a domestic T2S CSD (for domestic (de)mobilization) or to another NCB (for non-domestic (de)mobilization)

3.2.4.1 Instructions (sese.023)

3.2.4.1.1 Instructions (sese.023) – Search Screen

Context of Usage	<p>This screen contains a list of Instructions messages.</p> <p>The allegations listed correspond to the search criteria capture in the search screen.</p> <p>The list displayed can be sorted and filter using by the field displayed.</p> <p>The list can be exported.</p>
Screen Access	Instructions and Positions >> Messages Monitoring >> Instructions
Screenshot	

Instructions (sese.023) – Search Screen	
Field	Description
Message Identifier	Reference of the notification message
Party's Instruction Reference	Enter the Reference of the instruction given by the Instructing Party.
Client Sec Account Code	Enter the Account Number where the security is to be (de)mobilised.
ISIN	Enter or Select the ISIN of the Marketable Asset (de)mobilized.
Intended Settlement Date	Enter the intended settlement date of the instruction or use the calendar icon. Required format is: DD/MM/YYYY.
Notification Status	Enter the status of the Notification from the possible values: <ul style="list-style-type: none"> – Impacted – Impacted Failed – Rejected – Archived – WaitingValidation – Prepared – Processed – Processed with Failure

Direction	Indicator stating that the notification has been received or sent by the ECMS. Possible values: – In – Out
Settlement Nature	Select from possible values: – Receive – Deliver – None
Creation Date	Enter the date and time when the instruction was created in the ECMS or use the calendar icon. Required format is: DD/MM/YYYY hh:mm:ss:µs.
Update Date	Enter the date and time when the instruction was last updated in the ECMS or use the calendar icon. Required format is: DD/MM/YYYY hh:mm:ss:µs.
Settlement Mode	Select from possible values: – FreeOfPayment – DeliverPayment – ReceivePayment
Button	Function
Reset	To clear the search criteria and close the result windows if any
Search	This function enables you to start a search according to the criteria entered. The results are Showed in a list on the screen List screen. Next screen: – <i>Instructions (sese.023) – List Screen</i>

3.2.4.1.2 Instructions (sese.023) – List Screen

Context of Usage	This screen contains the search results displayed in a list. After selecting an entry, you can proceed further by clicking on the buttons below.
Screen Access	Instructions and Positions >> Messages Monitoring >> Instructions >> <i>Search Instructions</i>
Screenshot	<p>The screenshot displays a table with the following columns: Message Reference #, Party & Instruction Reference, Msg Function #, Settlement Nature #, IDN #, Trade Date #, Issued Settlement Date #, Direction #, Notifications Status #, Update Date #, and Customer User ID #. The table contains several rows of data, including entries for 'MNL000000043242', 'MNL000000043246', 'MNL000000043248', 'MNL000000043238', 'MNL000000043236', 'MNL000000043234', 'MNL000000043232', and 'AL000000043231'. Each row shows details such as the message function (e.g., 'Deliver', 'Receive'), settlement nature (e.g., 'In', 'Out'), trade date (e.g., '30/06/2022'), and status (e.g., 'Processed', 'Impacted').</p>

Instructions (sese.023) – List screen	
Column	Description
Message Identifier	Reference of the notification message
Party's Instruction Reference	Shows the Reference of the instruction given by the Instructing Party.
Msg Function	Shows the message function from possible values:

	<ul style="list-style-type: none"> - NEWM – New Message - REPL - Replacement - RMDR - Reminder
Settlement Nature	Shows from possible values: <ul style="list-style-type: none"> - Receive - Deliver - None
ISIN	ISIN of the Marketable Asset (de)mobilized.
Trade Date	Trade date of the instruction
Intended Settlement Date	Intended Settlement Date of the instruction.
Direction	Indicator stating that the notification has been received or sent by the ECMS. Possible values: <ul style="list-style-type: none"> - In - Out
Notification Status	Shows the status of the Notification from the possible values: <ul style="list-style-type: none"> - Impacted - Impacted Failed - Rejected - Archived - WaitingValidation - Prepared - Processed - Processed with Failure
Error Description	Shows the error description (if there is any).
Updater User Id.	Identifier of the user responsible for the last update of the instruction.
Update Date	Date and time when the instruction was last updated in the ECMS. Shows format is: DD/MM/YYYY hh:mm:ss:µs.
Button	Function
Download	This function enables the user to download a copy of the message.
Reprocess	[Only for notifications Received] This function enables the user to reprocess the selected message (only available for users with appropriate rights).
Reprocess All	[Only for notifications Received] This function enables the user to reprocess all the messages in List screen (only available for users with appropriate rights).

3.2.4.2 Cancellation Instructions (sese.020)

3.2.4.2.1 Cancellation Instructions (sese.020) – Search Screen

Context of Usage	<p>This screen contains a list of Cancellation Instructions messages.</p> <p>The allegations listed correspond to the search criteria capture in the search screen.</p> <p>The list displayed can be sorted and filter using by the field displayed.</p> <p>The list can be exported.</p>
Screen Access	Instructions and Positions >> Messages Monitoring >> Cancellation Instructions
Screenshot	

Cancellation Instructions (sese.020) – Search Screen	
Field	Description
Message Identifier	Reference of the notification message
Party's Instruction Reference	Enter the Reference of the instruction given by the Instructing Party.
Client Sec Account Code	Enter the Account Number where the security is to be (de)mobilised.
ISIN	Enter or Select the ISIN of the Marketable Asset (de)mobilized.
Intended Settlement Date	Enter the intended settlement date of the instruction or use the calendar icon. Required format is: DD/MM/YYYY.
Notification Status	Enter the status of the Notification from the possible values: <ul style="list-style-type: none"> – Impacted – Impacted Failed – Rejected – Archived – WaitingValidation – Prepared – Processed – Processed with Failure
Direction	Indicator stating that the notification has been received or sent by the ECMS. Possible values: <ul style="list-style-type: none"> – In – Out

Settlement Nature	Select from possible values: – Receive – Deliver – None
Creation Date	Enter the date and time when the instruction was created in the ECMS or use the calendar icon. Required format is: DD/MM/YYYY hh:mm:ss:µs.
Update Date	Enter the date and time when the instruction was last updated in the ECMS or use the calendar icon. Required format is: DD/MM/YYYY hh:mm:ss:µs.
Settlement Mode	Select from possible values: – FreeOfPayment – DeliverPayment – ReceivePayment
Button	Function
Reset	To clear the search criteria and close the result windows if any
Search	This function enables you to start a search according to the criteria entered. The results are Showed in a list on the screen List screen. Next screen: – <i>Cancellation Instructions (sese.020) – List Screen</i>

3.2.4.2.2 Cancellation Instructions (sese.020) – List Screen

Context of Usage	This screen contains the search results displayed in a list. After selecting an entry, you can proceed further by clicking on the buttons below.
Screen Access	Instructions and Positions >> Messages Monitoring >> Cancellation Instructions >> <i>Search Cancellation Instructions</i>
Screenshot	

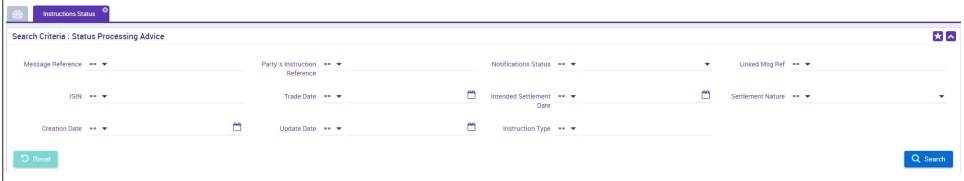
Cancellation Instructions (sese.020) – List screen	
Column	Description
Message Identifier	Reference of the notification message
Party's Instruction Reference	Shows the Reference of the instruction given by the Instructing Party.
Msg Function	Shows the message function from possible values: – NEWM – New Message – REPL - Replacement – RMDR - Reminder

Settlement Nature	Shows from possible values: – Received – Deliver – None
ISIN	ISIN of the Marketable Asset (de)mobilized.
Trade Date	Trade date of the instruction
Intended Settlement Date	Intended Settlement Date of the instruction.
Direction	Indicator stating that the notification has been received or sent by the ECMS. Possible values: – In – Out
Notification Status	Shows the status of the Notification from the possible values: – Impacted – Impacted Failed – Rejected – Archived – WaitingValidation – Prepared – Processed – Processed with Failure
Error Description	Shows the error description (if there is any).
Updater User Id.	Identifier of the user responsible for the last update of the instruction.
Update Date	Date and time when the instruction was last updated in the ECMS. Shows format is: DD/MM/YYYY hh:mm:ss:µs.
Button	Function
Download	This function enables the user to download a copy of the message.
Reprocess	[Only for notifications Received] This function enables the user to reprocess the selected message (only available for users with appropriate rights).
Reprocess All	[Only for notifications Received] This function enables the user to reprocess all the messages in List screen (only available for users with appropriate rights).

3.2.4.3 Instructions Status (sese.024)

3.2.4.3.1 Instructions Status (sese.024) – Search Screen

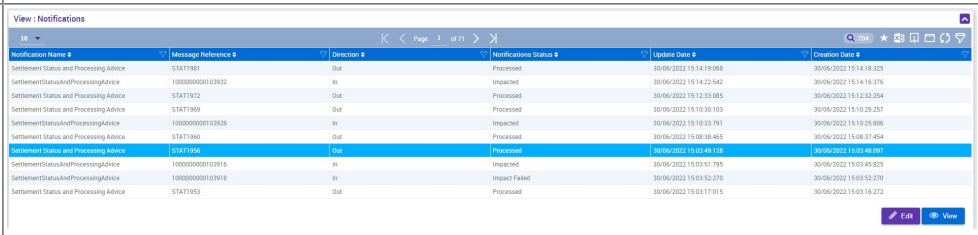
Context of Usage	This screen contains a list of Instructions Status messages. The allegations listed correspond to the search criteria capture in the search screen.
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	The list displayed can be sorted and filter using by the field displayed. The list can be exported.
Screen Access	Instructions and Positions >> Messages Monitoring >> Instructions Status
Screenshot	

Instructions Status (sese.024) – Search Screen	
Field	Description
Message Identifier	Reference of the notification message
Party's Instruction Reference	Enter the Reference of the instruction given by the Instructing Party.
Notification Status	Enter the status of the Notification from the possible values: <ul style="list-style-type: none"> – Impacted – Impacted Failed – Rejected – Archived – WaitingValidation – Prepared – Processed – Processed with Failure
Linked Msg Ref	Enter the Linked Message Reference.
ISIN	Enter or Select the ISIN of the Marketable Asset (de)mobilized.
Trade Date	Enter the Trade Date of the Instruction or use the calendar icon. Required format is: DD/MM/YYYY.
Intended Settlement Date	Enter the intended settlement date of the instruction or use the calendar icon. Required format is: DD/MM/YYYY.
Settlement Nature	Select from possible values: <ul style="list-style-type: none"> – Receive – Deliver – None
Creation Date	Enter the date and time when the instruction was created in the ECMS or use the calendar icon. Required format is: DD/MM/YYYY hh:mm:ss:µs.
Update Date	Enter the date and time when the instruction was last updated in the ECMS or use the calendar icon. Required format is: DD/MM/YYYY hh:mm:ss:µs.

Instruction Type	Enter the Instruction Type from possible values: <ul style="list-style-type: none"> – Marketable Asset Mobilisation (RECE) – Marketable Asset Demobilisation (DELI)
Button	Function
Reset	To clear the search criteria and close the result windows if any
Search	This function enables you to start a search according to the criteria entered. The results are Showed in a list on the screen List screen. Next screen: <ul style="list-style-type: none"> – <i>Instructions Status (sese.024) – List Screen</i>

3.2.4.3.2 Instructions Status (sese.024) – List Screen

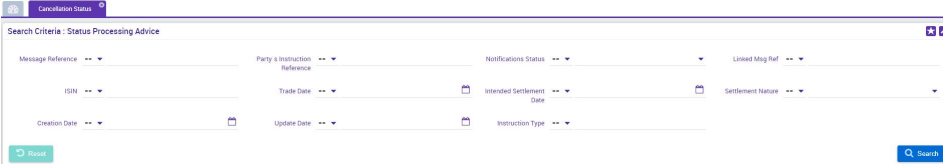
Context of Usage	This screen contains the search results displayed in a list. After selecting an entry, you can proceed further by clicking on the buttons below.
Screen Access	Instructions and Positions >> Messages Monitoring >> Instructions Status >> <i>Search Instructions Status</i>
Screenshot	

Instructions Status (sese.024) – List screen	
Column	Description
Message Identifier	Reference of the notification message
Notification Name	Shows the Notification Name
Direction	Indicator stating that the notification has been received or sent by the ECMS. Possible values: <ul style="list-style-type: none"> – In – Out
Notification Status	Shows the status of the Notification from the possible values: <ul style="list-style-type: none"> – Impacted – Impacted Failed – Rejected – Archived – WaitingValidation – Prepared – Processed – Processed with Failure
Error Description	Shows the Error Description.
Creation Date	Date and time when the instruction was created in the ECMS.

	Shows format is: DD/MM/YYYY hh:mm:ss:µs.
Update Date	Date and time when the instruction was last updated in the ECMS. Shows format is: DD/MM/YYYY hh:mm:ss:µs.
Button	Function
Download	This function enables the user to download a copy of the message.
Reprocess	[Only for notifications Received] This function enables the user to reprocess the selected message (only available for users with appropriate rights).
Reprocess All	[Only for notifications Received] This function enables the user to reprocess all the messages in List screen (only available for users with appropriate rights).

3.2.4.4 Cancellation Status (sese.027)

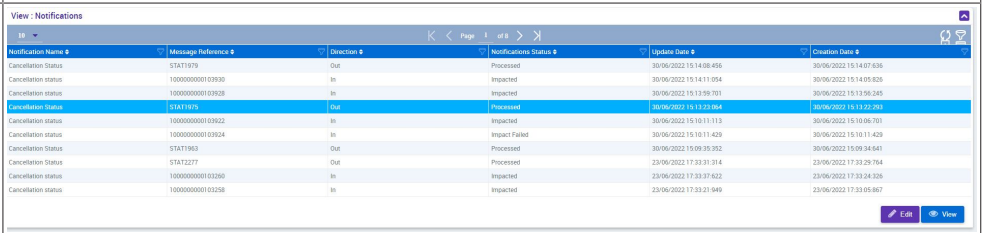
3.2.4.4.1 Cancellation Status (sese.027) – Search Screen

Context of Usage	This screen contains a list of Cancellation Status messages. The allegations listed correspond to the search criteria capture in the search screen. The list displayed can be sorted and filter using by the field displayed. The list can be exported.
Screen Access	Instructions and Positions >> Messages Monitoring >> Cancellation Status
Screenshot	

Cancellation Status (sese.027) – Search Screen	
Field	Description
Message Identifier	Reference of the notification message
Party's Instruction Reference	Enter the Reference of the instruction given by the Instructing Party.
Notification Status	Enter the status of the Notification from the possible values: <ul style="list-style-type: none"> – Impacted – Impacted Failed – Rejected – Archived – WaitingValidation – Prepared – Processed

	– Processed with Failure
Linked Msg Ref	Enter the Linked Message Reference.
ISIN	Enter or Select the ISIN of the Marketable Asset (de)mobilized.
Trade Date	Enter the Trade Date of the Instruction or use the calendar icon. Required format is: DD/MM/YYYY.
Intended Settlement Date	Enter the intended settlement date of the instruction or use the calendar icon. Required format is: DD/MM/YYYY.
Settlement Nature	Select from possible values: <ul style="list-style-type: none"> – Receive – Deliver – None
Creation Date	Enter the date and time when the instruction was created in the ECMS or use the calendar icon. Required format is: DD/MM/YYYY hh:mm:ss:µs.
Update Date	Enter the date and time when the instruction was last updated in the ECMS or use the calendar icon. Required format is: DD/MM/YYYY hh:mm:ss:µs.
Instruction Type	Enter the Instruction Type from possible values: <ul style="list-style-type: none"> – Marketable Asset Mobilisation (RECE) – Marketable Asset Demobilisation (DELI)
Button	Function
Reset	To clear the search criteria and close the result windows if any
Search	This function enables you to start a search according to the criteria entered. The results are Showed in a list on the screen List screen. Next screen: <ul style="list-style-type: none"> – Cancellation Status (sese.027) – List Screen

3.2.4.4.2 Cancellation Status (sese.027) – List Screen

Context of Usage	This screen contains the search results displayed in a list. After selecting an entry, you can proceed further by clicking on the buttons below.
Screen Access	Instructions and Positions >> Messages Monitoring >> Cancellation Status >> <i>Search Cancellation Status</i>
Screenshot	

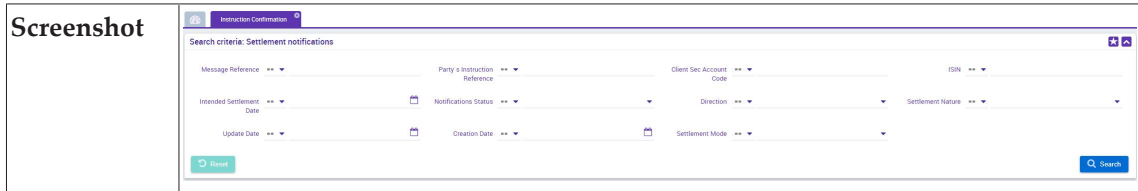
Cancellation Status (sese.027) – List screen	
Column	Description
Message Identifier	Reference of the notification message

Notification Name	Shows the Notification Name
Direction	Indicator stating that the notification has been received or sent by the ECMS. Possible values: – In – Out
Notification Status	Shows the status of the Notification from the possible values: – Impacted – Impacted Failed – Rejected – Archived – WaitingValidation – Prepared – Processed – Processed with Failure
Error Description	Shows the Error Description.
Creation Date	Date and time when the instruction was created in the ECMS. Shows format is: DD/MM/YYYY hh:mm:ss:µs.
Update Date	Date and time when the instruction was last updated in the ECMS. Shows format is: DD/MM/YYYY hh:mm:ss:µs.
Button	Function
Download	This function enables the user to download a copy of the message.
Reprocess	[Only for notifications Received] This function enables the user to reprocess the selected message (only available for users with appropriate rights).
Reprocess All	[Only for notifications Received] This function enables the user to reprocess all the messages in List screen (only available for users with appropriate rights).

3.2.4.5 Instruction Confirmation (sese.025)

3.2.4.5.1 Instruction Confirmation (sese.025) – Search Screen

Context of Usage	This screen contains a list of Instruction Confirmation messages. The allegations listed correspond to the search criteria capture in the search screen. The list displayed can be sorted and filter using by the field displayed. The list can be exported.
Screen Access	Instructions and Positions >> Messages Monitoring >> Instruction Confirmation



Instruction Confirmation (sese.025) – Search Screen

Field	Description
Message Identifier	Reference of the notification message
Party's Instruction Reference	Enter the Reference of the instruction given by the Instructing Party.
Client Sec Account Code	Enter the Account Number where the security is to be (de)mobilised.
ISIN	Enter or Select the ISIN of the Marketable Asset (de)mobilized.
Intended Settlement Date	Enter the intended settlement date of the instruction or use the calendar icon. Required format is: DD/MM/YYYY.
Notification Status	Enter the status of the Notification from the possible values: <ul style="list-style-type: none"> - Impacted - Impacted Failed - Rejected - Archived - WaitingValidation - Prepared - Processed - Processed with Failure
Direction	Indicator stating that the notification has been received or sent by the ECMS. Possible values: <ul style="list-style-type: none"> - In - Out
Settlement Nature	Select from possible values: <ul style="list-style-type: none"> - Receive - Deliver - None
Creation Date	Enter the date and time when the instruction was created in the ECMS or use the calendar icon. Required format is: DD/MM/YYYY hh:mm:ss:µs.
Update Date	Enter the date and time when the instruction was last updated in the ECMS or use the calendar icon. Required format is: DD/MM/YYYY hh:mm:ss:µs.

Settlement Mode	Select from possible values: <ul style="list-style-type: none"> – FreeOfPayment – DeliverPayment – ReceivePayment
Button	Function
Reset	To clear the search criteria and close the result windows if any
Search	This function enables you to start a search according to the criteria entered. The results are Showed in a list on the screen List screen. Next screen: <ul style="list-style-type: none"> – <i>Instruction Confirmation (sese.025) – List Screen</i>

3.2.4.5.2 Instruction Confirmation (sese.025) – List Screen

Context of Usage	This screen contains the search results displayed in a list. After selecting an entry, you can proceed further by clicking on the buttons below.
Screen Access	Instructions and Positions >> Messages Monitoring >> Instruction Confirmation >> <i>Search Instruction Confirmation</i>
Screenshot	

Instruction Confirmation (sese.025) – List screen	
Column	Description
Message Identifier	Reference of the notification message
Party's Instruction Reference	Shows the Reference of the instruction given by the Instructing Party.
Msg Function	Shows the message function from possible values: <ul style="list-style-type: none"> – NEWM – New Message – REPL - Replacement – RMDR - Reminder
Settlement Nature	Shows from possible values: <ul style="list-style-type: none"> – Receive – Deliver – None
ISIN	ISIN of the Marketable Asset (de)mobilized.
Trade Date	Trade date of the instruction
Intended Settlement Date	Intended Settlement Date of the instruction.
Direction	Indicator stating that the notification has been received or sent by the ECMS. Possible values: <ul style="list-style-type: none"> – In

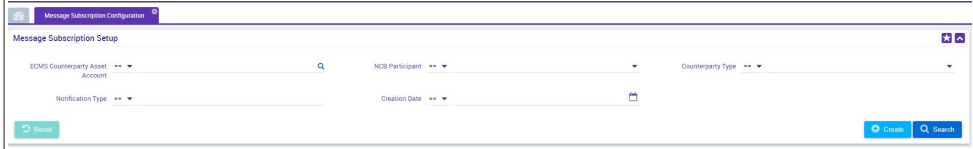
	– Out
Notification Status	Shows the status of the Notification from the possible values: <ul style="list-style-type: none"> – Impacted – Impacted Failed – Rejected – Archived – WaitingValidation – Prepared – Processed – Processed with Failure
Error Description	Shows the error description (if there is any).
Updater User Id.	Identifier of the user responsible for the last update of the instruction.
Update Date	Date and time when the instruction was last updated in the ECMS. Shows format is: DD/MM/YYYY hh:mm:ss:µs.
Button	Function
Download	This function enables the user to download a copy of the message.
Reprocess	[Only for notifications Received] This function enables the user to reprocess the selected message (only available for users with appropriate rights).
Reprocess All	[Only for notifications Received] This function enables the user to reprocess all the messages in List screen (only available for users with appropriate rights).

3.2.5 Setup

3.2.5.1 Message Subscription

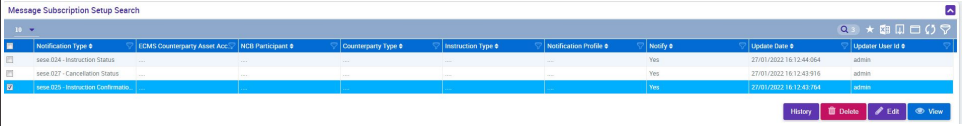
3.2.5.1.1 Message Subscription Configuration

3.2.5.1.1.1 Message Subscription Setup – Search Screen

Context of Usage	This screen contains a number of search fields. By inputting the relevant data, you can search for the Message Subscription Setup. The search results will be displayed in a list. After selecting an entry, you can proceed further by clicking on the buttons that are shown.
Screen Access	Instructions and Positions >> Setup >> Message Subscription >> Message Subscription Configuration
Screenshot	

Message Subscription Setup – Search screen	
Field	Description
NCB Participant	Enter / Select the RIAD Code that identifies the counterparty in the ECMS. Required format is: Max. 30 characters.
ECMS Counterparty Asset Account	Enter the unique ECMS Counterparty Asset Account reference. Required format is: Max. 35 characters.
Counterparty Type	Enter / Select the type of the NCB Participant from possible values: <ul style="list-style-type: none"> – Counterparties – Cross NCBs – Non-euro Area CBs
Notification Type	Enter the type of the notification from possible values: <ul style="list-style-type: none"> – Sese.027 – Cancellation Status – Sese.025 – Instruction Confirmation – Sese.024 – Instruction Status
Creation Date	Enter the date and time of creation in the ECMS or use the calendar icon. Required format is: DD/MM/YYYY hh:mm:ss:µs.
Button	Function
Reset	This function enables you to clear the form.
Create	This function enables you to create a new Message Subscription Setup. Next screen: <ul style="list-style-type: none"> – <i>Message Subscription Setup – Create Screen.</i>
Search	This function enables you to start a search according to the filled in criteria. The results are displayed in a list on the List Screen. Next screen: <ul style="list-style-type: none"> – <i>Message Subscription Setup – List Screen.</i>

3.2.5.1.1.1 Message Subscription Setup – List Screen


Context of Usage	This screen contains the search results displayed in a list. After selecting an entry, you can proceed further by clicking on the buttons below.
Screen Access	Instructions and Positions >> Setup >> Message Subscription >> Message Subscription Configuration >> Click on the search button (after inputting the relevant data, if needed)
Screenshot	

Message Subscription Setup – List Screen	
Field	Description
Notification Type	Shows the type of the notification from possible values:

	<ul style="list-style-type: none"> - Sese.027 – Cancellation Status - Sese.025 – Instruction Confirmation - Sese.024 – Instruction Status
ECMS Counterparty Asset Account	Shows the unique ECMS Counterparty Asset Account reference. Displayed format is: Max. 35 characters.
NCB Participant	Shows the RIAD Code that identifies the counterparty in the ECMS. Displayed format is: Max. 30 characters.
Counterparty Type	Shows the type of the NCB Participant from possible values: <ul style="list-style-type: none"> - Counterparties - Cross NCBs - Non-euro Area CBs
Instruction Type	To show the Instruction Type from possible values: <ul style="list-style-type: none"> - Marketable Asset Mobilisation (RECE) - Marketable Asset Demobilisation (DELI)
Notification Profile	Shows the notification profile.
Notify	Shows if the flag Notify is activated, from possible values: <ul style="list-style-type: none"> - Yes - No
Update Date	Date and time when the instruction was last updated in the ECMS. Shows format is: DD/MM/YYYY hh:mm:ss:µs.
Updater User Id	Identifier of the user responsible for the last update of the instruction.
After selecting a record, the following buttons are displayed	
Button	Function
History	This function enables you to access to the historical information of a Message Subscription Setup. Next screen: <ul style="list-style-type: none"> - <i>Message Subscription Setup – History</i> Screen.
Edit	This function enables you to edit a Message Subscription Setup. Next screen: <ul style="list-style-type: none"> - <i>Message Subscription Setup – Edit</i> Screen.
View	This function enables you to access to the detailed information of a Message Subscription Setup. Next screen: <ul style="list-style-type: none"> - <i>Message Subscription Setup – Details</i> Screen.
Delete	This button allows you to delete the selected record.

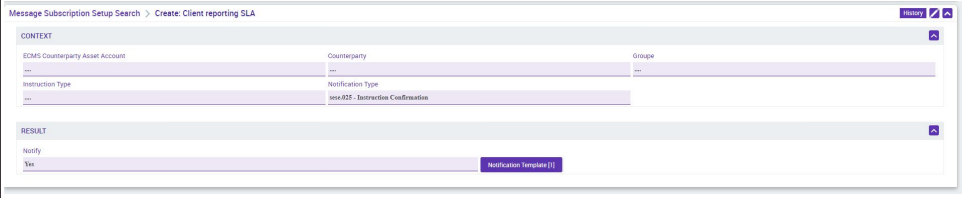
3.2.5.1.1.1.1. Message Subscription Setup – History Screen

1

Context of Usage	This screen displays historical information that has changed on the selected Message Subscription Setup. You can check the data, including the user who made the changes, and proceed further by clicking on the buttons that are shown.
Screen Access	<ul style="list-style-type: none"> – Instructions and Positions >> Setup >> Message Subscription >> Message Subscription Configuration >> Click on the search button (after inputting the relevant data, if needed) >> Select a record >> Click on the <i>History</i> button – Instructions and Positions >> Setup >> Message Subscription >> Message Subscription Configuration >> Click on the search button (after inputting the relevant data, if needed) >> Select a record >> Click on the <i>View</i> button (<i>Message Subscription Setup – Details</i> Screen) >> Click on the <i>History</i> Button
Screenshot	

Message Subscription Setup – History Screen	
Field	Description
Fields	Shows the fields whose information have been updated since the creation of the selected Message Subscription Setup.
Timestamp	Shows the date and time when the fields have been updated Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Button	Description
Compare	Shows the differences between the selected records whose data have been updated.
Expand All	Shows the expanded information of the fields whose information have been updated since the creation of the selected record.
Show All	Shows the information that existed before each modification of all the fields regarding the selected record.
Collapse All	Collapse the expanded information of the fields that have been updated since the creation of the selected record.
Show Differences Only	Shows only the fields whose information have been updated since the creation of the selected record.

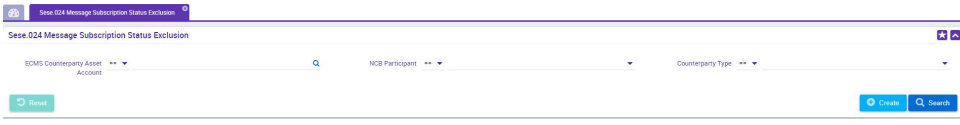
3.2.5.1.1.1.2 Message Subscription Setup – Details Screen

Context of Usage	This screen displays detailed information on the selected Message Subscription Setup. You can check the data and proceed further by clicking on the buttons.
Screen Access	Instructions and Positions >> Setup >> Message Subscription >> Message Subscription Configuration >> Click on the search button (after inputting the relevant data, if needed) >> Select a record >> Click on the <i>View</i> button
Screenshot	

Message Subscription Setup – Details Screen	
Button	Description
History	This function enables you to access to the historical information of a Message Subscription Setup. Next screen: – <i>Message Subscription Setup – History Screen.</i>
Context	
Field	Description
Counterparty	Shows the RIAD Code that identifies the counterparty in the ECMS. Displayed format is: Max. 30 characters.
ECMS Counterparty Asset Account	Shows the unique ECMS Counterparty Asset Account reference. Displayed format is: Max. 35 characters.
Groupe	Shows the Groupe.
Instruction Type	To show the Instruction Type from possible values: – Marketable Asset Mobilisation (RECE) – Marketable Asset Demobilisation (DELI)
Notification Type	Shows the type of the notification from possible values: – Sese.027 – Cancellation Status – Sese.025 – Instruction Confirmation – Sese.024 – Instruction Status
Result	
Notify	Shows if the flag Notify is activated, from possible values: – Yes – No
Button	Function
Notification Template	This function enables you to access the subsection Notification Template.
Notification Template	
The existing additional Notification Template are displayed, if you select one record and click on View button following fields are displayed.	
Template	Shows the template to be used. Displayed format: Freetext
Message Recipient	Shows Message Recipient from possible values: – Account Owner – Refinancing NCB
Purpose	Shows Purpose from possible values: – Securities Settlement

3.2.5.1.2 Sese.024 Message Subscription Status Exclusion

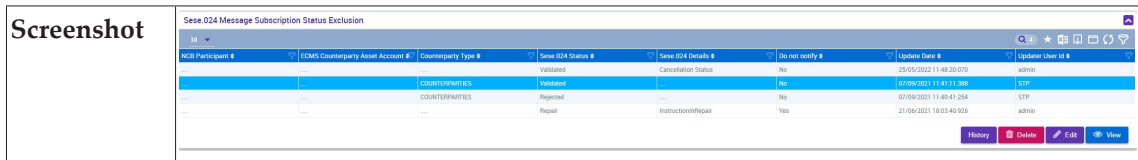
3.2.5.1.2.1 Sese.024 Message Subscription Status Exclusion – Search Screen

Context of Usage	This screen contains a number of search fields. By inputting the relevant data, you can search for the Sese.024 Message Subscription Status Exclusion. The search results will be displayed in a list. After selecting an entry, you can proceed further by clicking on the buttons that are shown.
Screen Access	Instructions and Positions >> Setup >> Message Subscription >> Sese.024 Message Subscription Status Exclusion
Screenshot	

Sese.024 Message Subscription Status Exclusion – Search screen	
Field	Description
NCB Participant	Enter / Select the RIAD Code that identifies the counterparty in the ECMS. Required format is: Max. 30 characters.
ECMS Counterparty Asset Account	Enter the unique ECMS Counterparty Asset Account reference. Required format is: Max. 35 characters.
Counterparty Type	Enter / Select the type of the NCB Participant from possible values: <ul style="list-style-type: none"> – Counterparties – Cross NCBs – Non-euro Area CBs
Button	Function
Reset	This function enables you to clear the form.
Create	This function enables you to create a new Sese.024 Message Subscription Status Exclusion. Next screen: <ul style="list-style-type: none"> – <i>Sese.024 Message Subscription Status Exclusion – Create Screen.</i>
Search	This function enables you to start a search according to the filled in criteria. The results are displayed in a list on the List Screen. Next screen: <ul style="list-style-type: none"> – <i>Sese.024 Message Subscription Status Exclusion – List Screen.</i>

3.2.5.1.2.1.1 Sese.024 Message Subscription Status Exclusion – List Screen

Context of Usage	This screen contains the search results displayed in a list. After selecting an entry, you can proceed further by clicking on the buttons below.
Screen Access	Instructions and Positions >> Setup >> Message Subscription >> Sese.024 Message Subscription Status Exclusion >> Click on the search button (after inputting the relevant data, if needed)



Sese.024 Message Subscription Status Exclusion – List Screen

Field	Description
NCB Participant	Shows the RIAD Code that identifies the counterparty in the ECMS. Displayed format is: Max. 30 characters.
ECMS Counterparty Asset Account	Shows the unique ECMS Counterparty Asset Account reference. Displayed format is: Max. 35 characters.
Counterparty Type	Shows the type of the NCB Participant from possible values: <ul style="list-style-type: none"> – Counterparties – Cross NCBs – Non-euro Area CBs
Sese.024 Status	To show the Sese.024 status from possible values: <ul style="list-style-type: none"> – Validated – Cancelled – Cancellation Request – Rejected
Sese.024 Details	To show the sese.024 details from possible values: <ul style="list-style-type: none"> – InstructionInRepair – Matching_Cross_NCB – Cancelled – Rejected – Validated – Cancellation Status
Do not Notify	Shows if the flag Notify is activated, from possible values: <ul style="list-style-type: none"> – Yes – No
Update Date	Date and time when the instruction was last updated in the ECMS. Shows format is: DD/MM/YYYY hh:mm:ss:µs.
Updater User Id	Identifier of the user responsible for the last update of the instruction.
After selecting a record, the following buttons are displayed	
Button	Function
History	This function enables you to access to the historical information of a Sese.024 Message Subscription Status Exclusion. Next screen: <ul style="list-style-type: none"> – <i>Sese.024 Message Subscription Status Exclusion – History Screen.</i>

Edit	This function enables you to edit a Sese.024 Message Subscription Status Exclusion. Next screen: – <i>Sese.024 Message Subscription Status Exclusion – Edit Screen.</i>
View	This function enables you to access to the detailed information of a Sese.024 Message Subscription Status Exclusion. Next screen: – <i>Sese.024 Message Subscription Status Exclusion – Details Screen.</i>
Delete	This button allows you to delete the selected record.

3.2.5.1.2.1.1. Sese.024 Message Subscription Status Exclusion – History Screen

1

Context of Usage	This screen displays historical information that has changed on the selected Message SubscriptionSetup. You can check the data, including the user who made the changes, and proceed further by clicking on the buttons that are shown.
Screen Access	<ul style="list-style-type: none"> – Instructions and Positions >> Setup >> Message Subscription >> Sese.024 Message Subscription Status Exclusion >> Click on the search button (after inputting the relevant data, if needed) >> Select a record >> Click on the <i>History</i> button – Instructions and Positions >> Setup >> Message Subscription >> Sese.024 Message Subscription Status Exclusion >> Click on the search button (after inputting the relevant data, if needed) >> Select a record >> Click on the <i>View</i> button (<i>Sese.024 Message Subscription Status Exclusion – Details Screen</i>) >> <i>Click on the History Button</i>
Screenshot	

Sese.024 Message Subscription Status Exclusion – History Screen	
Field	Description
Fields	Shows the fields whose information have been updated since the creation of the selected Sese.024 Message Subscription Status Exclusion.
Timestamp	Shows the date and time when the fields have been updated Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Button	Description
Compare	Shows the differences between the selected records whose data have been updated.
Expand All	Shows the expanded information of the fields whose information have been updated since the creation of the selected record.
Show All	Shows the information that existed before each modification of all the fields regarding the selected record.
Collapse All	Collapse the expanded information of the fields that have been updated since the creation of the selected record.
Show Differences Only	Shows only the fields whose information have been updated since the creation of the selected record.

3.2.5.1.2.1.2 Sese.024 Message Subscription Status Exclusion – Details Screen

Context of Usage	This screen displays detailed information on the selected Sese.024 Message Subscription Status Exclusion. You can check the data and proceed further by clicking on the buttons.
Screen Access	Instructions and Positions >> Setup >> Message Subscription >> Sese.024 Message Subscription Status Exclusion >> Click on the search button (after inputting the relevant data, if needed) >> Select a record >> Click on the <i>View</i> button
Screenshot	

Sese.024 Message Subscription Status Exclusion – Details Screen	
Button	Description
History	This function enables you to access to the historical information of a Sese.024 Message Subscription Status Exclusion. Next screen: – <i>Sese.024 Message Subscription Status Exclusion – History</i> Screen.
Context	
Field	Description
NCB Participant	Shows the RIAD Code that identifies the counterparty in the ECMS. Displayed format is: Max. 30 characters.
ECMS Counterparty Asset Account	Shows the unique ECMS Counterparty Asset Account reference. Displayed format is: Max. 35 characters.
Counterparty Type	Shows the type of the NCB Participant from possible values: – Counterparties – Cross NCBs – Non-euro Area CBs
Sese.024 Status	To show the Sese.024 status from possible values: – Validated – Cancelled – Cancellation Request – Rejected
Result	
Notify	Shows if the flag Notify is activated, from possible values: – Yes – No

3.3 Collateral and Credit

3.3.1 Pool Setup Reference Data

3.3.1.1 Pool General Reference Data

3.3.1.1.1 Pool General Reference Data – Search Screen

Context of Usage	<p>This screen contains a number of search fields. By inputting the relevant data, you can search for the Pool General Reference Data.</p> <p>The search results will be displayed in a list. After selecting an entry, you can proceed further by clicking on the buttons that are shown.</p>
Business Case related	Administration of Pools
Screen Access	<p>Depending how the screen is accessed, it can be used to search the valid information of the Pool General Reference Data or the information that requires 4 eyes validation before they can be considered valid in the system.</p> <p><i>For valid information:</i> Collateral and Credit >> Pool Structure >> Pool General Reference Data</p> <p><i>For information subject to 4 eyes validation:</i> Collateral and Credit >> Validation / Rejection >> Validate / Reject Pool Structure Details >> Pool General Reference Data</p>
Screenshot	

Pool General Reference Data – Search screen	
Field	Description
Pool Identifier	Enter the Pool Identifier, automatically provided by the system
Pool Reference	Enter the reference of the pool given by the refinancing NCB. Required format is: Max. 255 characters.
Counterparty	Enter the RIAD Code that identifies the counterparty in the ECMS to whom the pool belongs to. Required format is: Max. 30 characters.
Pool Type	Enter / Select the Type of Pool that you are searching from the possible values: <ul style="list-style-type: none"> – SCP: Single Collateral Pool – ELA: Emergency Liquidity Assistance
CLM MCA	Enter / Select the identifier of the Counterparty CLM Main Cash Account used for the settlement of this type of operation.
CLM CB Account	Enter / Select the identifier of the CLM CB Account used for the settlement of this type of operation.

Contingency Cash Account	Enter / Select the identifier of the Counterparty contingency Account to be used
CB Contingency Cash Account	Enter / Select the identifier of the Contingency CB Account to be used
Validity End Date	Enter the date from which the Pool is closed. The calendar icon can also be used. Required format is: DD/MM/YYYY The Validity End Date must be equal to or later than the Validity Start Date.
Validity Start Date	Enter the reference date of the activation of the Pool. The calendar icon can also be used. Required format is: DD/MM/YYYY
Button	Function
Reset	This function enables you to clear the form.
Search	This function enables you to start a search according to the filled in criteria. The results are displayed in a list on the List Screen. Next screen: – Pool general Reference Data – List Screen.

3.3.1.1.1 Pool General Reference Data – List Screen

Context of Usage	This screen contains the search results displayed in a list, which is sorted by the values of the 'Update Date' column in descending order (default setting). After selecting an entry, you can proceed further by clicking on the buttons below.
Business Case related	Administration of Pools
Screen Access	Depending how the screen is accessed, it can be used to list the valid information of the Pool General Reference Data or the information that requires 4 eyes validation before they can be considered valid in the system. <i>For valid information:</i> Collateral and Credit >> Pool Structure >> Pool General Reference Data >> Click on the search button (after inputting the relevant Data, if needed) <i>For information subject to a 4 eyes validation:</i> Collateral and Credit >> Validation / Rejection >> Validate / Reject Pool Structure Details >> Pool General Reference Data >> Click on the <i>search</i> button (after inputting the relevant Data, if needed)
Screenshot	

Pool General Reference Data – List Screen	
Field	Description

Pool Identifier	Pool Id, automatically provided by the system.
Pool Reference	Shows the reference of the pool given by the refinancing NCB. Displayed format is: Max. 255 characters.
Counterparty	Shows the RIAD Code that identifies the counterparty in the ECMS to whom the pool belongs to. Displayed format is: Max. 30 characters.
Pool Type	Shows Type of Pool: – SCP: Single Collateral Pool – ELA: Emergency Liquidity Assistance
CLM MCA	Shows the identifier of the Counterparty CLM Main Cash Account used for the settlement of this type of operation.
CLM CB Account	Shows the identifier of the CLM CB Account used for the settlement of this type of operation.
Contingency Cash Account	Shows the identifier of the Counterparty contingency Account to be used
CB Contingency Cash Account	Shows the identifier of the Contingency CB Account to be used
Absolute Credit Limit	Shows the Absolute limit on the amount of credit authorized. Displayed format is: Max. 14 digits.
Relative Credit Limit	Shows the relative credit limit as proportion of the total collateral position. Displayed format is: Percentage.
Validity Start Date	Shows the date from which the pool is open. Displayed format is: DD/MM/YYYY.
Validity End Date	Shows the date from which the pool is closed. Displayed format is: DD/MM/YYYY. The Validity End Date must be equal to or later than the Validity Start Date. If the Pool is valid, this field is empty.
Creation Date	Shows the date and time of the creation of the Pool general Reference Data. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Update Date	Shows the date and time of the last update of the Pool general Reference Data. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Updater User Id	Shows the identification of the user that made the last update of the Pool general Reference Data.
After selecting a record, the following buttons are displayed	
Button	Function
History	This function enables you to access to the historical information of a Pool – general Reference Data. Next screen: – <i>Pool general Reference Data – History</i> Screen.
Edit	This function enables you to edit a Pool general Reference Data.

	Next screen: – <i>Pool general Reference Data – Edit</i> Screen.
View	This function enables you to access to the detailed information of a Pool general Reference Data. Next screen: – <i>Pool general Reference Data – Details</i> Screen.
If the screen is used in the 4 eyes validation context, the following buttons are also displayed when a record is selected	
Button	Function
Compare Draft	In case the 4 eyes validation is required because of an update of the record, this function enables the user to display the change that needs to be validated. Next screen: <i>Pool general Reference Data – History</i> Screen.
View Draft	This function enables the user to view the draft record to be validated. Next screen: <i>Pool general Reference Data – Details</i> Screen.
Edit	This function allows the user to edit and modify the draft. Next screen: <i>Pool general Reference Data – Edit</i> Screen.
Validate	This function allows the user to validate the creation or update of the record so that they can be considered valid in the system
Reject	This function allows the user to reject the creation or update of the record. The draft will be deleted.

3.3.1.1.1.1 Pool General Reference Data – History Screen

Context of Usage	This screen displays historical information that has changed on the selected Pool. You can check the data, including the user who made the changes, and proceed further by clicking on the buttons that are shown.
Screen Access	<ul style="list-style-type: none"> – Collateral and Credit >> Pool Structure >> Pool General Reference Data >> Click on the search button (after inputting the relevant Data, if needed) >> Select a Pool >> Click on the <i>History</i> button – Collateral and Credit >> Pool Structure >> Pool General Reference Data >> Click on the search button (after inputting the relevant Data, if needed) >> Select a Pool >> Click on the View button (Pool General Reference Data – Details Screen) >> Click on the History Button
Screenshot	

Pool General Reference Data – History Screen	
Field	Description
Fields	Shows the fields whose information have been updated since the creation of the selected Pool.
Timestamp	Shows the date and time when the fields have been updated. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.

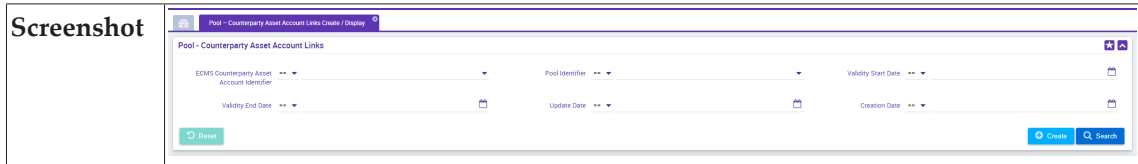
Pool Identifier	Pool Id, automatically provided by the system.
Pool Reference	Shows the reference of the pool given by the refinancing NCB. Displayed format is: Max. 255 characters.
Pool Type	Shows the Type of Pool from the possible values: – SCP: Single Collateral Pool – ELA: Emergency Liquidity Assistance
Counterparty	Shows the RIAD Code that identifies the counterparty in the ECMS to whom the pool belongs to. Displayed format is: Max. 30 characters.
CLM MCA	Shows the identifier of the Counterparty CLM Main Cash Account used for the settlement of this type of operation.
CLM CB Account	Shows the identifier of the CLM CB Account used for the settlement of this type of operation.
Contingency Cash Account	Shows the identifier of the Counterparty contingency Account to be used
CB Contingency Cash Account	Shows the identifier of the Contingency CB Account to be used
Absolute Credit Limit	Shows the Absolute limit on the amount of credit authorized.
Relative Credit Limit	Shows the relative credit limit as proportion of the total collateral position.
Is Active	Shows whether the Pool is active or not from the possible values: • Yes • No
Validity Start Date	Shows the date from which the pool is open. Displayed format is: DD/MM/YYYY.
Validity End Date	Shows the date from which the pool is closed. Displayed format is: DD/MM/YYYY. The Validity End Date must be equal to or later than the Validity Start Date.
Validation details	
Action To Validate	Shows if there is any action waiting for validation.
Rejected	Shows if any action subject to validation has been rejected. The possible values are: – Yes – No
Foot Print	
Creation Date	Shows the date and time of the creation of the Pool. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Creation User Id	Shows the identification of the user that created the Pool.
Update Date	Shows the date and time of the update of the Pool.

	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Updater User Id	Shows the identification of the user that made the last update of the Pool.
Pool Address	
The existing addresses of the Pool are displayed.	
To view the details of an existing technical address, select it and click on the View button.	
Field	Description
Purpose	Shows the Purpose of the Address from the possible values: <ul style="list-style-type: none"> - Credit Freezing - Collateral and Credit
Network	Shows the network from the possible values: <ul style="list-style-type: none"> - Swift - SIA Colt
Technical Address	Shows the technical address for the purpose and network selected. Displayed format is: Max. 256 characters
Footprint	
Creation Date	Shows the date and time of the creation of the record. Displayed format is: DD/MM/YYYY hh:mm:ss:µs
Creation User Id	Shows the identification of the user that created the record.
Update Date	Shows the date and time of the update of the record. Displayed format is: DD/MM/YYYY hh:mm:ss:µs
Updater User Id	Shows the identification of the user that made the last update of the record.

3.3.1.2 Pool – Counterparty Asset Account Links Create / Display

3.3.1.2.1 Pool – Counterparty Asset Account Links – Search Screen

Context of Usage	This screen contains a number of search fields. By inputting the relevant data, you can search for the Pool – Counterparty Asset Account Link. The search results will be displayed in a list. After selecting an entry, you can proceed further by clicking on the buttons that are shown.
Business Case related	Administration of Pools
Screen Access	Depending how the screen is accessed, it can be used to search the valid information of the Counterparty Asset Account Link or the information that requires 4 eyes validation before they can be considered valid in the system. <i>For valid information:</i> Collateral and Credit >> Pool structure >> Collateral Positions >> Pool – Counterparty Asset Account Links Create / Display <i>For information subject to 4 eyes validation:</i> Collateral and Credit >> Validation / Rejection >> Validate / Reject Pool Structure Details >> Pool – Counterparty Asset Account Links



Pool – Counterparty Asset Account Links – Search screen	
Field	Description
ECMS Counterparty Asset Account Identifier	Enter / Select the identifier of the ECMS Counterparty Asset Account that holds the collateral positions. Required format is: Max. 35 characters
Pool Identifier	Enter / Select the identifier of the Pool to which the ECMS Counterparty Asset Account/s are linked. Required format is: Max. 30 characters.
Pool Reference	Enter the reference of the pool given by the refinancing NCB. Required format is: Max. 255 characters.
Validity Start Date	Enter the date from which the Pool – Counterparty Asset Account Link is valid. The calendar icon can also be used. Required format is: DD/MM/YYYY.
Validity End Date	Enter the date from which the Pool – Counterparty Asset Account Link is not valid anymore. The calendar icon can also be used. Required format is: DD/MM/YYYY. The Validity End Date must be equal to or later than the Validity Start Date.
Creation Date	Enter the reference date of the creation of the Pool Counterparty Asset Account Link. The calendar icon can also be used. Required format is: DD/MM/YYYY
Update Date	Enter the reference date of the last update of the Pool – Counterparty Asset Account Link or use the calendar icon. Required format is: DD/MM/YYYY hh:mm:ss:µs
Button	Function
Reset	This function enables you to clear the form.
Create	This function enables you to create a new Pool – Counterparty Asset Account Link. Next screen: – <i>Pool – Counterparty Asset Account Link – Create Screen.</i>
Search	This function enables you to start a search according to the filled in criteria. The results are displayed in a list on the List Screen. Next screen: – <i>Pool – Counterparty Asset Account Link – List Screen.</i>

3.3.1.2.1.1 Pool – Counterparty Asset Account Links – List Screen

Context of Usage	This screen contains the search results displayed in a list, which is sorted by the values of the 'Update Date' column in descending order (default setting). After selecting an entry, you can proceed further by clicking on the buttons below.
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Business Case related	Administration of Pools
Screen Access	<p>Depending how the screen is accessed, it can be used to list the valid information of the Counterparty Asset Account Link or the information that requires 4 eyes validation before they can be considered valid in the system.</p> <p><i>For valid information:</i></p> <p>Collateral and Credit >> Pool structure >> Collateral Positions >> Pool – Counterparty Asset Account Links Create / Display >> Click on the search button (after inputting the relevant Data, if needed)</p> <p><i>For information subject to a 4 eyes validation:</i></p> <p>Collateral and Credit >> Validation / Rejection >> Validate / Reject Pool Structure Details >> Pool – Counterparty Asset Account Links >> Click on the <i>search</i> button (after inputting the relevant Data, if needed)</p>
Screenshot	

Pool – Counterparty Asset Account Links – List Screen

Field	Description
ECMS Counterparty Asset Account Identifier	Shows the identifier of the ECMS Counterparty Asset Account that holds the collateral positions. Displayed format is: Max. 35 characters
Pool Identifier	Shows the identifier of the Pool to which the ECMS Counterparty Asset Accounts are linked. Displayed format is: Max. 30 characters.
Pool Reference	Enter the reference of the pool given by the refinancing NCB. Displayed format is: Max. 255 characters.
Validity Start Date	Shows the date from which the Pool – Counterparty Asset Account Link is valid. Displayed format is: DD/MM/YYYY.
Validity End Date	Shows the date from which the Pool –Counterparty Asset Account Link is not valid anymore. Displayed format is: DD/MM/YYYY. The Validity End Date must be equal to or later than the Validity Start Date.
Creation Date	Shows the reference date of the creation of the Pool Counterparty Asset Account Link. The calendar icon can also be used. Displayed format is: DD/MM/YYYY
Update Date	Shows the date and time of the last update of the Pool – Counterparty Asset Account Link. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Updater User Id	Shows the identification of the user that made the last update of the Pool – Counterparty Asset Account Link.

After selecting a record, the following buttons are displayed	
Button	Function
History	This function enables you to access to the historical information of a Pool – Counterparty Asset Account Link. Next screen: – <i>Pool – Counterparty Asset Account Link – History Screen.</i>
Edit	This function enables you to edit a Pool – Counterparty Asset Account Link. Next screen: – <i>Pool – Counterparty Asset Account Link – Edit Screen.</i>
View	This function enables you to access to the detailed information of a Pool – Counterparty Asset Account Link. Next screen: – <i>Pool – Counterparty Asset Account Link – Details Screen.</i>
If the screen is used in the 4 eyes validation context, the following buttons are also displayed when a record is selected	
Button	Function
Compare Draft	In case the 4 eyes validation is required because of an update of the record, this function enables the user to display the change that needs to be validated. Next screen: <i>Pool – Counterparty Asset Account Links – History Screen.</i>
View Draft	This function enables the user to view the draft record to be validated. Next screen: <i>Pool – Counterparty Asset Account Links – Details Screen.</i>
Edit	This function allows the user to edit and modify the draft. Next screen: <i>Pool – Counterparty Asset Account Links – Edit Screen.</i>
Validate	This function allows the user to validate the creation or update of the record so that they can be considered valid in the system
Reject	This function allows the user to reject the creation or update of the record. The draft will be deleted.

3.3.1.2.1.1.1 Pool – Counterparty Asset Account Links – History Screen

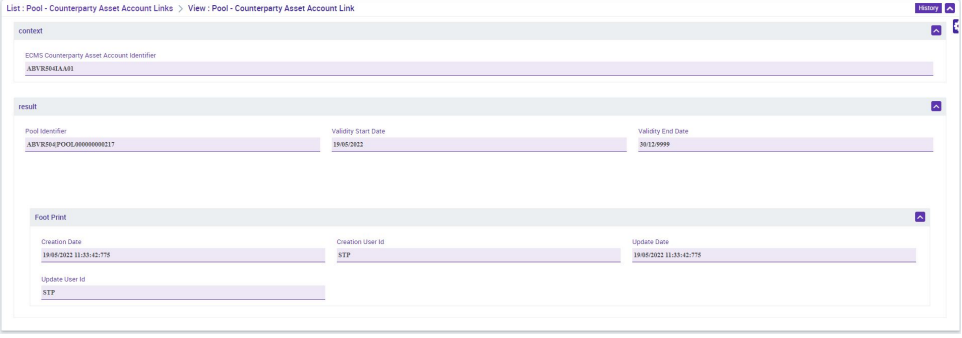
Context of Usage	This screen displays historical information that has changed on the selected Pool – Counterparty Asset Account Link. You can check the data, including the user who made the changes, and proceed further by clicking on the buttons that are shown.
Screen Access	– Collateral and Credit >> Pool structure >> Collateral Positions >> Pool – Counterparty Asset Account Links Create / Display >> Click on the search button (after inputting the relevant Data, if needed) >> Select a Pool – Counterparty Asset Account Link >> Click on the <i>History</i> button

	<p>– Collateral and Credit >> Pool Structure >> Collateral Positions >> Pool – Counterparty Asset Account Links Create / Display >> Click on the search button (after inputting the relevant Data, if needed) >> Select a Pool – Counterparty Asset Account Link >> Click on the View button (Pool – Counterparty Asset Account Links – Details Screen) >> Click on the History Button</p>																				
Screenshot	<p>The screenshot shows a web application interface titled 'horizontalHistoryResult : Pool Account Link History'. It features a search bar with the value '209090002022-05-19 11:33:42.775f1'. Below the search bar is a table with the following data:</p> <table border="1"> <tr> <td>Validity Start Date</td> <td>19/05/2022</td> </tr> <tr> <td>Rejection</td> <td>NO</td> </tr> <tr> <td>Validity End Date</td> <td>30/12/9999</td> </tr> <tr> <td>Action To Validate</td> <td></td> </tr> <tr> <td>ECMS Counterparty Asset Account Identifier</td> <td>ABVRS04AA01</td> </tr> <tr> <td>Pool Identifier</td> <td>POOL00000000217</td> </tr> <tr> <td>Rejection Reason</td> <td></td> </tr> <tr> <td>Validation Status</td> <td></td> </tr> <tr> <td>Creation Date</td> <td>19/05/2022 11:33:42.775</td> </tr> <tr> <td>Creation User Id</td> <td>STP</td> </tr> </table> <p>At the bottom of the table, it says '(0) difference(s) found'.</p>	Validity Start Date	19/05/2022	Rejection	NO	Validity End Date	30/12/9999	Action To Validate		ECMS Counterparty Asset Account Identifier	ABVRS04AA01	Pool Identifier	POOL00000000217	Rejection Reason		Validation Status		Creation Date	19/05/2022 11:33:42.775	Creation User Id	STP
Validity Start Date	19/05/2022																				
Rejection	NO																				
Validity End Date	30/12/9999																				
Action To Validate																					
ECMS Counterparty Asset Account Identifier	ABVRS04AA01																				
Pool Identifier	POOL00000000217																				
Rejection Reason																					
Validation Status																					
Creation Date	19/05/2022 11:33:42.775																				
Creation User Id	STP																				

Pool – Counterparty Asset Account Links – History Screen	
Field	Description
Fields	Shows the fields whose information have been updated since the creation of the selected Pool – Counterparty Asset Account Link.
Timestamp	Shows the date and time when the fields have been updated. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Button	Description
Compare	Shows the differences between the selected records whose data have been updated.
Expand All	Shows the expanded information of the fields whose information have been updated since the creation of the selected Pool – Counterparty Asset Account Link.
Show All	Shows the information that existed before each modification of all the fields regarding the selected Pool – Counterparty Asset Account Link.
Collapse All	Collapse the expanded information of the fields that have been updated since the creation of the selected Pool – Counterparty Asset Account Link.
Show Differences Only	Shows only the fields whose information have been updated since the creation of the selected Pool – Counterparty Asset Account Link.

3.3.1.2.1.2 Pool – Counterparty Asset Account Links – Details Screen

Context of Usage	This screen displays detailed information on the selected Pool – Counterparty Asset Account Link. You can check the data and proceed further by clicking on the buttons.
Screen Access	<p>Depending how the screen is accessed, it can be used to view the valid information of the Counterparty Asset Account Link or the information that requires 4 eyes validation before they can be considered valid in the system.</p> <p><i>For valid information:</i></p> <p>Collateral and Credit >> Pool structure >> Collateral Positions >> Pool – Counterparty Asset Account Links Create / Display >> Click on the search button (after inputting the relevant Data, if needed) >> Select a Pool – Counterparty Asset Account Link >> Click on the <i>View</i> button</p> <p><i>For information subject to a 4 eyes validation:</i></p>

	Collateral and Credit >> Validation / Rejection >> Validate / Reject Pool Structure Details >> Pool – Counterparty Asset Account Links >> Click on the <i>search</i> button (after inputting the relevant Data, if needed) >> Select a Pool – Counterparty Asset Account Link >> Click on the <i>View</i> button
Screenshot	

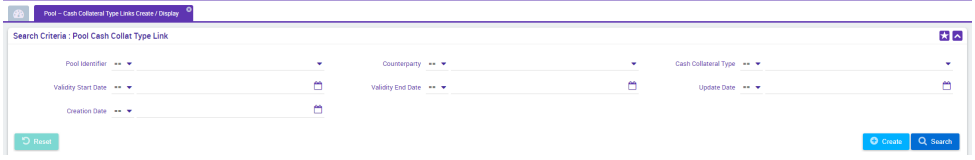
Pool – Counterparty Asset Account Links – Details Screen

Button	Description
History	This function enables you to access to the historical information of a Pool – Counterparty Asset Account Link. Next screen: – <i>Pool – Counterparty Asset Account Links – History Screen.</i>
Context	
Field	Description
ECMS Counterparty Asset Account Identifier	Shows the identifier of the ECMS Counterparty Asset Account that holds the collateral positions. Displayed format is: Max. 35 characters
Result	
Pool Identifier	Shows the identifier of the Pool to which the ECMS Counterparty Asset Account/s are linked. Displayed format is: Max. 30 characters.
Validity Start Date	Shows the date from which the Pool – Counterparty Asset Account Link is valid. Displayed format is: DD/MM/YYYY.
Validity End Date	Shows the date from which the Pool – Counterparty Asset Account Link is not valid anymore. Displayed format is: DD/MM/YYYY. The Validity End Date must be equal to or later than the Validity Start Date.
Validation details	
Action To Validate	Shows if there is any action waiting for validation.
Rejected	Shows if any action subject to validation has been rejected. The possible values are: – Yes – No
Foot Print	

Creation Date	Shows the date and time of the creation of the Pool – Counterparty Asset Account Link. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Creation User Id	Shows the identification of the user that created the Pool – Counterparty Asset Account Link.
Update Date	Shows the date and time of the update of the Pool – Counterparty Asset Account Link. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Updater User Id	Shows the identification of the user that made the last update of the Pool – Counterparty Asset Account Link.

3.3.1.3 Pool – Cash Collateral Type Links Create / Display

3.3.1.3.1 Pool – Cash Collateral Type Links – Search Screen

Context of Usage	This screen contains a number of search fields. By inputting the relevant data, you can search for the Pool – Cash Collateral Type Links. The search results will be displayed in a list. After selecting an entry, you can proceed further by clicking on the buttons that are shown.
Business Case related	Administration of Pools
Screen Access	Depending how the screen is accessed, it can be used to search the valid information of the Cash Collateral Type Links or the information that requires 4 eyes validation before they can be considered valid in the system. <i>For valid information:</i> Collateral and Credit >> Pool structure >> Collateral Positions >> Pool – Cash Collateral Type Links Create / Display <i>For information subject to 4 eyes validation:</i> Collateral and Credit >> Validation / Rejection >> Validate / Reject Pool Structure Details >> Pool – Cash Collateral Type Links
Screenshot	

Pool – Cash Collateral Type Links – Search screen	
Field	Description
Pool Identifier	Enter / Select the identifier of the Pool for which the use of cash Collateral is authorised. Required format is: Max. 30 characters
Pool Reference	Enter the reference of the pool given by the refinancing NCB. Required format is: Max. 255 characters.
Counterparty	Enter / Select the RIAD Code that identifies the counterparty in the ECMS to whom the authorisation to use Monetary Policy Operation is granted.

Cash Collateral Type	Enter / Select the Type of Cash as Collateral authorized for the pool. The possible values are: <ul style="list-style-type: none"> – Only to cover margin call. – Anytime.
Validity Start Date	Enter the date from which the Pool – Cash Collateral Type Link is valid. The calendar icon can also be used. Required format is: DD/MM/YYYY.
Validity End Date	Enter the date from which the Pool – Cash Collateral Type Link is not valid anymore. The calendar icon can also be used. Required format is: DD/MM/YYYY. The Validity End Date must be equal to or later than the Validity Start Date.
Creation Date	Enter the reference date of the creation of the Pool – Cash Collateral Type Link or use the calendar icon. Required format is: DD/MM/YYYY
Update Date	Enter the reference date of the last update of the Pool – Cash Collateral Type Link or use the calendar icon. Required format is: DD/MM/YYYY hh:mm:ss:µs
Button	Function
Reset	This function enables you to clear the form.
Create	This function enables you to create a new Pool – Cash Collateral Type Link. Next screen: <ul style="list-style-type: none"> – Pool – Cash Collateral Type Link – Create Screen.
Search	This function enables you to start a search according to the filled in criteria. The results are displayed in a list on the List Screen. Next screen: <ul style="list-style-type: none"> – Pool – Cash Collateral Type Link – List Screen.

3.3.1.3.1.1 Pool – Cash Collateral Type Links – List Screen

Context of Usage	This screen contains the search results displayed in a list, which is sorted by the values of the 'Update Date' column in descending order (default setting). After selecting an entry, you can proceed further by clicking on the buttons below.
Business Case related	Administration of Pools
Screen Access	Depending how the screen is accessed, it can be used to list the valid information of the Cash Collateral Type Links or the information that requires 4 eyes validation before they can be considered valid in the system. <i>For valid information:</i> Collateral and Credit >> Pool structure >> Collateral Positions >> Pool – Cash Collateral Type Links Create / Display >> Click on the search button (after inputting the relevant Data, if needed) <i>For information subject to a 4 eyes validation:</i> Collateral and Credit >> Validation / Rejection >> Validate / Reject Pool Structure Details >> Pool – Cash Collateral Type Links >> Click on the <i>search</i> button (after inputting the relevant Data, if needed)

Screenshot

Validity Start Date	Pool Identifier	Validity End Date	Cash Collateral Type	Creation Date	Update Date	Counterparty	Updater User Id
20/06/2022	POOL00000000162	31/12/9999	Only Margin Call	20/06/2022 10:53:22.573	20/06/2022 10:53:22.573	ASBR001	STP
20/06/2022	POOL00000000228	31/12/9999	Only Margin Call	22/06/2022 08:19:29.907	22/06/2022 08:19:29.907	AT0000000674745	STP
21/06/2022	POOL00000000227	31/12/9999	Only Margin Call	21/06/2022 09:21:59.471	21/06/2022 09:21:59.471	AT0000000553363	STP
20/06/2022	POOL00000000229	31/12/9999	Any Time	20/06/2022 09:49:22.332	20/06/2022 12:22:19.529	AT0000000553363	STP
11/05/2022	POOL00000000217	31/12/9999	Only Margin Call	11/05/2022 11:44:55.227	11/05/2022 11:44:55.227	ASBR003	STP
12/05/2022	POOL00000000075	31/12/9999	Only Margin Call	12/05/2022 14:25:53.968	12/05/2022 14:25:53.968	AT0000000300375	STP
12/05/2022	POOL00000000211	31/12/9999	Any Time	12/05/2022 13:30:13.135	12/05/2022 13:30:13.135	ASBR019	STP
11/05/2022	POOL00000000123	31/12/9999	Any Time	11/05/2022 12:49:53.540	11/05/2022 12:49:53.540	AT0000000300375	STP
11/05/2022	POOL00000000166	31/12/9999	Any Time	11/05/2022 11:32:37.904	11/05/2022 11:32:37.904	ASBR003	STP
06/05/2022	POOL00000000210	31/12/9999	Only Margin Call	06/05/2022 10:55:59.187	06/05/2022 10:55:59.187	ASBR044	STP

Pool – Cash Collateral Type Links – List Screen

Field	Description
Pool Identifier	Shows the identifier of the Pool for which the use of cash Collateral is authorised. Displayed format is: Max. 30 characters.
Pool Reference	Shows the reference of the pool given by the refinancing NCB. Displayed format is: Max. 255 characters.
Counterparty	Shows the RIAD Code that identifies the counterparty in the ECMS to whom the authorisation to use Monetary Policy Operation is granted.
Cash Collateral Type	Shows the Type of Cash as Collateral authorized for the pool. The possible values are: – Only to cover margin call. – Anytime.
Validity Start Date	Shows the date from which the Pool – Cash Collateral Type Link is valid. Displayed format is: DD/MM/YYYY.
Validity End Date	Shows the date from which the Pool – Cash Collateral Type Link is not valid anymore. Displayed format is: DD/MM/YYYY. The Validity End Date must be equal to or later than the Validity Start Date.
Creation Date	Shows the reference date of the creation of the Pool – Cash Collateral Type Link or use the calendar icon. Displayed format is: DD/MM/YYYY
Update Date	Shows the date and time of the last update of the Pool – Cash Collateral Type Link. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Updater User Id	Shows the identification of the user that made the last update of the Pool – Cash Collateral Type Link.
After selecting a record, the following buttons are displayed	
Button	Function
History	This function enables you to access to the historical information of a Pool – Cash Collateral Type Link. Next screen: – Pool – Cash Collateral Type Link – History Screen.
Edit	This function enables you to edit a Pool – Cash Collateral Type Link. Next screen: – Pool – Cash Collateral Type Link – Edit Screen.

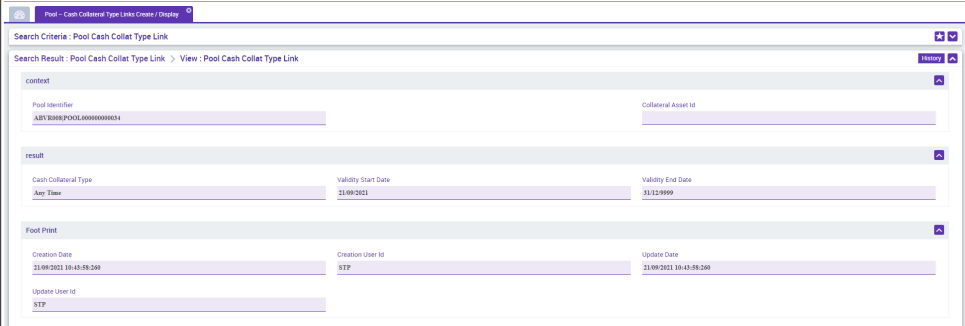
View	This function enables you to access to the detailed information of a Pool – Cash Collateral Type Link. Next screen: – <i>Pool – Cash Collateral Type Link – Details</i> Screen.
If the screen is used in the 4 eyes validation context, the following buttons are also displayed when a record is selected	
Button	Function
Compare Draft	In case the 4 eyes validation is required because of an update of the record, this function enables the user to display the change that needs to be validated. Next screen: <i>Pool – Cash Collateral Type Links – History</i> Screen.
View Draft	This function enables the user to view the draft record to be validated. Next screen: <i>Pool – Cash Collateral Type Links – Details</i> Screen.
Edit	This function allows the user to edit and modify the draft. Next screen: <i>Pool – Cash Collateral Type Links – Edit</i> Screen.
Validate	This function allows the user to validate the creation or update of the record so that they can be considered valid in the system
Reject	This function allows the user to reject the creation or update of the record. The draft will be deleted.

3.3.1.3.1.1.1 Pool – Cash Collateral Type Links – History Screen

Context of Usage	This screen displays historical information that has changed on the selected Pool – Cash Collateral Type Links. You can check the data, including the user who made the changes, and proceed further by clicking on the buttons that are shown.
Screen Access	<ul style="list-style-type: none"> – Collateral and Credit >> Pool structure >> Collateral Positions >> Pool – Cash Collateral Type Links Create / Display >> Click on the search button (after inputting the relevant Data, if needed) >> Select a Pool – Cash Collateral Type Link >> Click on the <i>History</i> button – Collateral and Credit >> Pool Structure >> Collateral Positions >> Pool – Cash Collateral Type Links Create / Display >> Click on the search button (after inputting the relevant Data, if needed) >> Select a Pool – Cash Collateral Type Link >> Click on the View button (Pool – Cash Collateral Type Links – Details Screen) >> Click on the History Button
Screenshot	

Pool – Cash Collateral Type Links – History Screen	
Field	Description
Fields	Shows the fields whose information have been updated since the creation of the selected Pool – Cash Collateral Type Link.
Timestamp	Shows the date and time when the fields have been updated. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Button	Description
Compare	Shows the differences between the selected records whose data have been updated.
Expand All	Shows the expanded information of the fields whose information have been updated since the creation of the selected Pool – Cash Collateral Type Link.
Show All	Shows the information that existed before each modification of all the fields regarding the selected Pool – Cash Collateral Type Link.
Collapse All	Collapse the expanded information of the fields that have been updated since the creation of the selected Pool – Cash Collateral Type Link.
Show Differences Only	Shows only the fields whose information have been updated since the creation of the selected Pool – Cash Collateral Type Link.

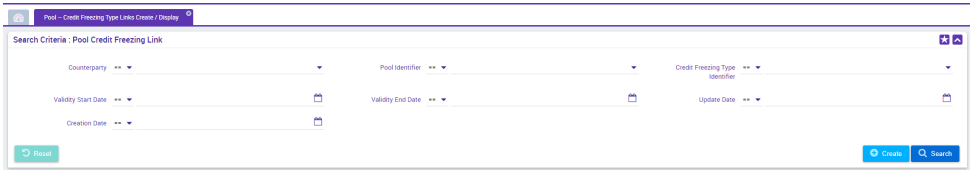
3.3.1.3.1.2 Pool – Cash Collateral Type Links – Details Screen

Context of Usage	This screen displays detailed information on the selected Pool – Cash Collateral Type Link. You can check the data and proceed further by clicking on the buttons.
Screen Access	<p>Depending how the screen is accessed, it can be used to view the valid information of the Cash Collateral Type Links or the information that requires 4 eyes validation before they can be considered valid in the system.</p> <p><i>For valid information:</i></p> <p>Collateral and Credit >> Pool structure >> Collateral Positions >> Pool – Cash Collateral Type Links Create / Display >> Click on the search button (after inputting the relevant Data, if needed) >> Select a Pool – Cash Collateral Type Link >> Click on the View button</p> <p><i>For information subject to a 4 eyes validation:</i></p> <p>Collateral and Credit >> Validation / Rejection >> Validate / Reject Pool Structure Details >> Pool – Cash Collateral Type Links >> Click on the <i>search</i> button (after inputting the relevant Data, if needed) >> Select a Pool – Cash Collateral Type Link >> Click on the View button</p>
Screenshot	

Pool – Cash Collateral Type Links – Details Screen	
Button	Description
History	This function enables you to access to the historical information of a Pool – Cash Collateral Type Link. Next screen: – Pool – Cash Collateral Type Links – History Screen.
Context	
Field	Description
Pool Identifier	Shows the identifier of the Pool for which the use of cash Collateral is authorised and the Party RIAD Code that identifies the counterparty in the ECMS to whom the authorisation to use Monetary Policy Operation is granted. Displayed format is: Max. 70 characters.
Result	
Cash Collateral Type	Shows the Type of Cash as Collateral authorized for the pool. The possible values are: – Only to cover margin call. – Anytime.
Cash Collateral Asset Identifier	Shows the Asset Identifier for Cash Collateral to be Mobilised on the pool. Displayed format is: Max. 12 characters
Validity Start Date	Shows the date from which the Pool – Cash Collateral Type Link is valid. Displayed format is: DD/MM/YYYY.
Validity End Date	Shows the date from which the Pool – Cash Collateral Type Link is not valid anymore. Displayed format is: DD/MM/YYYY. The Validity End Date must be equal to or later than the Validity Start Date.
Validation details	
Action To Validate	Shows if there is any action waiting for validation.
Rejected	Shows if any action subject to validation has been rejected. The possible values are: – Yes – No
Foot Print	
Creation Date	Shows the date and time of the creation of the Pool – Cash Collateral Type Link. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Creation User Id	Shows the identification of the user that created the Pool – Cash Collateral Type Link.
Update Date	Shows the date and time of the update of the Pool – Cash Collateral Type Link. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Updater User Id	Shows the identification of the user that made the last update of the Pool – Cash Collateral Type Link.

3.3.1.4 Credit Freezing Types Create / Display

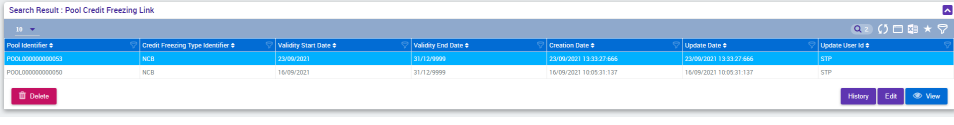
3.3.1.4.1 Credit Freezing Types – Search Screen

Context of Usage	<p>This screen contains a number of search fields. By inputting the relevant data, you can search for the Credit Freezing Types.</p> <p>The search results will be displayed in a list. After selecting an entry, you can proceed further by clicking on the buttons that are shown.</p>
Screen Access	Collateral and Credit >> Pool structure >> Credit Freezing Types Create / Display
Screenshot	

Credit Freezing Types – Search screen	
Field	Description
Credit Freezing Type Identifier	Enter the identifier of the Credit Freezing Type. Required format is: Max. 35 characters.
Credit Freezing Type Name	Enter the name of the type of Credit Freezing Required format is: Max. 225 characters.
Credit Freezing Type Purpose	Enter / Select the purpose of the Credit Freezing Type from the possible values: <ul style="list-style-type: none"> – NCB – Counterparty – CLM Contingency
Validity Start Date	Enter the date from which the Credit Freezing Type is valid. The calendar icon can also be used. Required format is: DD/MM/YYYY.
Validity End Date	Enter the date from which the Credit Freezing Type is not valid anymore. The calendar icon can also be used. Required format is: DD/MM/YYYY. The Validity End Date must be equal to or later than the Validity Start Date.
Is Active	Select whether the Credit Freezing Type is active or not from the possible values: <ul style="list-style-type: none"> • Yes • No
Creation Date	Enter the reference date of the creation of the Credit Freezing Type or use the calendar icon. Required format is: DD/MM/YYYY
Update Date	Enter the reference date of the last update of the Credit Freezing Type or use the calendar icon. Required format is: DD/MM/YYYY hh:mm:ss:µs

Button	Function
Reset	This function enables you to clear the form.
Search	This function enables you to start a search according to the filled in criteria. The results are displayed in a list on the List Screen. Next screen: – Pool –Credit Freezing Type – List Screen.

3.3.1.4.1.1 Credit Freezing Types – List Screen

Context of Usage	This screen contains the search results displayed in a list, which is sorted by the values of the 'Update Date' column in descending order (default setting). After selecting an entry, you can proceed further by clicking on the buttons below.
Screen Access	Collateral and Credit >> Pool structure >>Credit Freezing Types Create / Display >> Click on the search button (after inputting the relevant data, if needed)
Screenshot	

Credit Freezing Types – List Screen	
Icon	Description
Field	Description
Credit Freezing Type Identifier	Shows the identifier of the Credit Freezing Type. Displayed format is: Max. 35 characters.
Credit Freezing Type Name	Shows the name of the type of Credit Freezing Displayed format is: Max. 255 characters.
Credit Freezing Type Purpose	Shows the purpose of the Credit Freezing Type from the possible values: – NCB – Counterparty – CLM Contingency
Validity Start Date	Shows the date from which the Credit Freezing Type is valid. Displayed format is:DD/MM/YYYY.
Validity End Date	Shows the date from which the Credit Freezing Type is not valid anymore. Displayed format is: DD/MM/YYYY. The Validity End Date must be equal to or later than the Validity Start Date.
Is Active	Shows whether the Credit Freezing Type is active or not from the possible values: <ul style="list-style-type: none">• Yes• No
Creation Date	Shows the reference date of the creation of the Credit Freezing Type or use the calendar icon. Displayed format is: DD/MM/YYYY
Update Date	Shows the date and time of the last update of the Credit Freezing Type.

	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Updater User Id	Shows the identification of the user that made the last update of the Credit Freezing Type.
After selecting a record, the following buttons are displayed	
Button	Function
History	This function enables you to access to the historical information of a Credit Freezing Type. Next screen: – <i>Credit Freezing Type – History Screen.</i>
View	This function enables you to access to the detailed information of a Credit Freezing Type. Next screen: – <i>Credit Freezing Type – Details Screen.</i>

3.3.1.4.1.1.1 Credit Freezing Types – History Screen

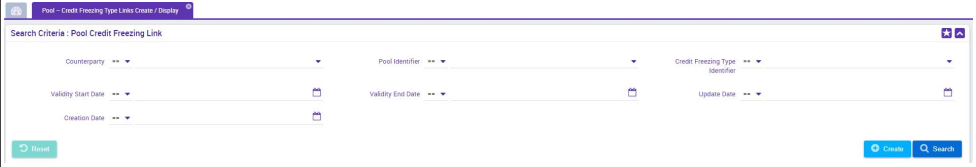
Context of Usage	This screen displays historical information that has changed on the selected Credit Freezing Type. You can check the data, including the user who made the changes, and proceed further by clicking on the buttons that are shown.
Screen Access	<ul style="list-style-type: none"> – Collateral and Credit >> Pool structure >> Credit Freezing Types Create / Display >> Click on the search button (after inputting the relevant Data, if needed) >> Select a Credit Freezing Type >> Click on the <i>History</i> button – Collateral and Credit >> Pool Structure >> Credit Freezing Types Create / Display >> Click on the search button (after inputting the relevant Data, if needed) >> Select a Credit Freezing Type >> Click on the View button (Credit Freezing Types – Details Screen) >> Click on the History Button
Screenshot	

Credit Freezing Types – History Screen	
Field	Description
Fields	Shows the fields whose information have been updated since the creation of the selected Credit Freezing Type.
Timestamp	Shows the date and time when the fields have been updated. Displayed format is: YYYY/MM/DD hh:mm:ss:µs.
Button	Description
Compare	Shows the differences between the selected records whose data have been updated.
Expand All	Shows the expanded information of the fields whose information have been updated since the creation of the selected Credit Freezing Type.
Show All	Shows the information that existed before each modification of all the fields regarding the selected Credit Freezing Type.
Collapse All	Collapse the expanded information of the fields that have been updated since the creation of the selected Credit Freezing Type.
Show Differences Only	Shows only the fields whose information have been updated since the creation of the selected Credit Freezing Type.

Validation details	
Action To Validate	Shows if there is any action waiting for validation.
Rejected	Shows if any action subject to validation has been rejected. The possible values are: <ul style="list-style-type: none"> - Yes - No
Foot Print	
Creation Date	Shows the date and time of the creation of the Credit Freezing Type. Displayed format is: YYYY/MM/DD hh:mm:ss:µs.
Creation User Id	Shows the identification of the user that created the Credit Freezing Type.
Update Date	Shows the date and time of the update of the Credit Freezing Type. Displayed format is: YYYY/MM/DD hh:mm:ss:µs.
Updater User Id	Shows the identification of the user that made the last update of the Credit Freezing Type.

3.3.1.5 Pool – Credit Freezing Type Links Create / Display

3.3.1.5.1 Pool – Credit Freezing Type Links – Search Screen

Context of Usage	<p>This screen contains a number of search fields. By inputting the relevant data, you can search for the Pool – Credit Freezing Type Links.</p> <p>The search results will be displayed in a list. After selecting an entry, you can proceed further by clicking on the buttons that are shown.</p>
Business Case related	Administration of Pools
Screen Access	<p>Depending how the screen is accessed, it can be used to search the valid information of the Credit Freezing Type Links or the information that requires 4 eyes validation before they can be considered valid in the system.</p> <p><i>For valid information:</i></p> <p>Collateral and Credit >> Pool structure >> Other Credit Positions >> Pool – Credit Freezing Type Links Create / Display</p> <p><i>For information subject to 4 eyes validation:</i></p> <p>Collateral and Credit >> Validation / Rejection >> Validate / Reject Pool Structure Details >> Pool – Credit Freezing Type Links</p>
Screenshot	

Pool – Credit Freezing Type Links – Search screen	
Field	Description
Pool Identifier	Enter / Select the identifier of the Pool where the credit freezing is authorised. Required format is: Max. 30 characters

Pool Reference	Enter the reference of the pool given by the refinancing NCB. Required format is: Max. 255 characters.
Credit Freezing Type Identifier	Enter / Select the identifier of the credit freezing type authorised in the ECMS Pool. Required format is: Max. 255 characters
Counterparty	Enter / Select the RIAD Code that identifies the counterparty in the ECMS to whom the authorisation to use Monetary Policy Operation is granted.
Validity Start Date	Enter the date from which the Pool – Credit Freezing Type Link is valid. The calendar icon can also be used. Required format is: DD/MM/YYYY.
Validity End Date	Enter the date from which the Pool – Credit Freezing Type Link is not valid anymore. The calendar icon can also be used. Required format is: DD/MM/YYYY. The Validity End Date must be equal to or later than the Validity Start Date.
Creation Date	Enter the reference date of the creation of the Credit Freezing Type Link or use the calendar icon. Required format is: DD/MM/YYYY
Update Date	Enter the reference date of the last update of the Pool – Credit Freezing Type Link or use the calendar icon. Required format is: DD/MM/YYYY hh:mm:ss:µs
Button	Function
Reset	This function enables you to clear the form.
Create	This function enables you to create a new Pool –Credit Freezing Type Link. Next screen: – Pool – Credit Freezing Type Link– Create Screen.
Search	This function enables you to start a search according to the filled in criteria. The results are displayed in a list on the List Screen. Next screen: – Pool – Credit Freezing Type Link– List Screen.

3.3.1.5.1.1 Pool – Credit Freezing Type Links – List Screen

Context of Usage	This screen contains the search results displayed in a list, which is sorted by the values of the 'Update Date' column in descending order (default setting). After selecting an entry, you can proceed further by clicking on the buttons below.
Business Case related	Administration of Pools
Screen Access	Depending how the screen is accessed, it can be used to list the valid information of the Credit Freezing Type Links or the information that requires 4 eyes validation before they can be considered valid in the system. <i>For valid information:</i> Collateral and Credit >> Pool structure >> Other Credit Positions >> Pool – Credit Freezing Type Links Create / Display >> Click on the search button (after inputting the relevant Data, if needed)

	<p>For information subject to a 4 eyes validation: Collateral and Credit >> Validation / Rejection >> Validate / Reject Pool Structure Details >> Pool – Credit Freezing Type Links >> Click on the <i>search</i> button (after inputting the relevant Data, if needed)</p>
Screenshot	

Pool – Credit Freezing Type Links – List Screen	
Field	Description
Pool Identifier	Shows the identifier of the Pool where the credit freezing is authorised. Displayed format is: Max. 30 characters
Pool Reference	Shows the reference of the pool given by the refinancing NCB. Displayed format is: Max. 255 characters.
Credit Freezing Type Identifier	Shows the identifier of the Credit Freezing Type. Displayed format is: Max. 255 characters.
Counter-party	Shows the RIAD Code that identifies the counterparty in the ECMS to whom the authorisation to use Monetary Policy Operation is granted.
Validity Start Date	Shows the date from which the Pool – Credit Freezing Type Link is valid. Displayed format is: DD/MM/YYYY.
Validity End Date	Shows the date from which the Pool – Credit Freezing Type Link is not valid anymore. Displayed format is: DD/MM/YYYY. The Validity End Date must be equal to or later than the Validity Start Date.
Creation Date	Shows the reference date of the creation of the Credit Freezing Type Link or use the calendar icon. Displayed format is: DD/MM/YYYY
Update Date	Shows the date and time of the last update of the Pool – Credit Freezing Type Link. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Updater User Id	Shows the identification of the user that made the last update of the Pool – Credit Freezing Type Link.
After selecting a record, the following buttons are displayed	
Button	Function
History	This function enables you to access to the historical information of a Pool – Credit Freezing Type Link. Next screen: – Pool – Credit Freezing Type Link – History Screen.
Edit	This function enables you to edit a Pool – Credit Freezing Type Link. Next screen: – Pool – Credit Freezing Type Link – Edit Screen.

View	This function enables you to access to the detailed information of a Pool – Credit Freezing Type Link. Next screen: – <i>Pool – Credit Freezing Type Link – Details</i> Screen.
If the screen is used in the 4 eyes validation context, the following buttons are also displayed when a record is selected	
Button	Function
Compare Draft	In case the 4 eyes validation is required because of an update of the record, this function enables the user to display the change that needs to be validated. Next screen: <i>Pool – Credit Freezing Type Links – History</i> Screen.
View Draft	This function enables the user to view the draft record to be validated. Next screen: <i>Pool – Credit Freezing Type Links – Details</i> Screen.
Edit	This function allows the user to edit and modify the draft. Next screen: <i>Pool – Credit Freezing Type Links – Edit</i> Screen.
Validate	This function allows the user to validate the creation or update of the record so that they can be considered valid in the system
Reject	This function allows the user to reject the creation or update of the record. The draft will be deleted.

3.3.1.5.1.1.1 Pool – Credit Freezing Type Links – History Screen

Context of Usage	This screen displays historical information that has changed on the selected Pool – Credit Freezing Type Links. You can check the data, including the user who made the changes, and proceed further by clicking on the buttons that are shown.																										
Screen Access	<ul style="list-style-type: none"> – Collateral and Credit >> Pool structure >> Other Credit Positions >> Pool – Credit Freezing Type Links Create / Display >> Click on the search button (after inputting the relevant Data, if needed) >> Select a Pool – Credit Freezing Type Link >> Click on the <i>History</i> button – Collateral and Credit >> Pool Structure >> Other Credit Positions >> Pool – Credit Freezing Type Links Create / Display >> Click on the search button (after inputting the relevant Data, if needed) >> Select a Pool – Credit Freezing Type Link >> Click on the View button (Pool – Credit Freezing Type Links – Details Screen) >> Click on the History Button 																										
Screenshot	<p>The screenshot shows a web application interface titled "horizontalHistoryResult : Pool Credit Freezing Link History". It features a table with the following data:</p> <table border="1"> <thead> <tr> <th>Fields</th> <th>Value</th> </tr> </thead> <tbody> <tr> <td>Validity Start Date</td> <td>16/09/2023</td> </tr> <tr> <td>Rejected</td> <td>No</td> </tr> <tr> <td>Validity End Date</td> <td>31/12/9999</td> </tr> <tr> <td>Action To Validate</td> <td></td> </tr> <tr> <td>Counterparty</td> <td>79</td> </tr> <tr> <td>Credit Freezing Type Identifier</td> <td>NCB</td> </tr> <tr> <td>Pool Identifier</td> <td>POOL.00000000000000</td> </tr> <tr> <td>Rejection Reason</td> <td></td> </tr> <tr> <td>Validation Status</td> <td></td> </tr> <tr> <td>Creation Date</td> <td>16/09/2023 10:05:31.137</td> </tr> <tr> <td>Creation User Id</td> <td>STP</td> </tr> <tr> <td>(0) difference(s) found</td> <td></td> </tr> </tbody> </table>	Fields	Value	Validity Start Date	16/09/2023	Rejected	No	Validity End Date	31/12/9999	Action To Validate		Counterparty	79	Credit Freezing Type Identifier	NCB	Pool Identifier	POOL.00000000000000	Rejection Reason		Validation Status		Creation Date	16/09/2023 10:05:31.137	Creation User Id	STP	(0) difference(s) found	
Fields	Value																										
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Counterparty	79																										
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Pool Identifier	POOL.00000000000000																										
Rejection Reason																											
Validation Status																											
Creation Date	16/09/2023 10:05:31.137																										
Creation User Id	STP																										
(0) difference(s) found																											

Pool – Credit Freezing Type Links – History Screen	
Field	Description
Fields	Shows the fields whose information have been updated since the creation of the selected Pool – Credit Freezing Type Link.
Timestamp	Shows the date and time when the fields have been updated. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Button	Description
Compare	Shows the differences between the selected records whose data have been updated.
Expand All	Shows the expanded information of the fields whose information have been updated since the creation of the selected Pool – Credit Freezing Type Link.
Show All	Shows the information that existed before each modification of all the fields regarding the selected Pool – Credit Freezing Type Link.
Collapse All	Collapse the expanded information of the fields that have been updated since the creation of the selected Pool – Credit Freezing Type Link.
Show Differences Only	Shows only the fields whose information have been updated since the creation of the selected Pool – Credit Freezing Type Link.

3.3.1.5.1.2 Pool – Credit Freezing Type Links – Details Screen

Context of Usage	This screen displays detailed information on the selected Pool – Credit Freezing Type Link. You can check the data and proceed further by clicking on the buttons.
Screen Access	<p>Depending how the screen is accessed, it can be used to view the valid information of the Credit Freezing Type Links or the information that requires 4 eyes validation before they can be considered valid in the system.</p> <p><i>For valid information:</i></p> <p>Collateral and Credit >> Pool structure >> Other Credit Positions >> Pool –Credit Freezing Type Links Create / Display >> Click on the search button (after inputting the relevant Data, if needed) >> Select a Pool – Credit Freezing Type Link >> Click on the <i>View</i> button</p> <p><i>For information subject to a 4 eyes validation:</i></p> <p>Collateral and Credit >> Validation / Rejection >> Validate / Reject Pool Structure Details >> Pool – Credit Freezing Type Links >> Click on the <i>search</i> button (after inputting the relevant Data, if needed) >> Select a Pool – Credit Freezing Type Link >> Click on the <i>View</i> button</p>
Screenshot	

Pool – Credit Freezing Type Links – Details Screen

Button	Description
History	This function enables you to access to the historical information of a Pool – Credit Freezing Type Link. Next screen: – Pool – Credit Freezing Type Links – History Screen.
Context	
Field	Description
Credit Freezing Type Identifier	Shows the identifier of the Credit Freezing Type. Displayed format is: Max. 255 characters.
Counterparty	Enter / Select the RIAD Code that identifies the counterparty in the ECMS to whom the authorisation to use Monetary Policy Operation is granted.
Result	
Pool Identifier	Shows the identifier of the Pool where the credit freezing is authorised. Displayed format is: Max. 30 characters
Validation details	
Action To Validate	Shows if there is any action waiting for validation.
Rejected	Shows if any action subject to validation has been rejected. The possible values are: – Yes – No
Activation Details	
Validity Start Date	Shows the date from which the Pool – Credit Freezing Type Link is valid. Displayed format is: DD/MM/YYYY.
Validity End Date	Shows the date from which the Pool – Credit Freezing Type Link is not valid anymore. Displayed format is: DD/MM/YYYY. The Validity End Date must be equal to or later than the Validity Start Date.
Foot Print	
Creation Date	Shows the date and time of the creation of the Pool – Credit Freezing Type Link. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Creation User Id	Shows the identification of the user that created the Pool – Credit Freezing Type Link.
Update Date	Shows the date and time of the update of the Pool – Credit Freezing Type Link. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Updater User Id	Shows the identification of the user that made the last update of the Pool – Credit Freezing Type Link.

3.3.1.6 Pool – Credit Line Setup Create / Display

3.3.1.6.1 Pool – Credit Line Setup – Search Screen

Context of Usage	<p>This screen contains a number of search fields. By inputting the relevant data, you can search for the Pool – Credit Line Setup.</p> <p>The search results will be displayed in a list. After selecting an entry, you can proceed further by clicking on the buttons that are shown.</p>
Business Case related	Administration of Pools
Screen Access	<p>Depending how the screen is accessed, it can be used to search the valid information of the Credit Line Setup or the information that requires 4 eyes validation before they can be considered valid in the system.</p> <p><i>For valid information:</i></p> <p>Collateral and Credit >> Pool structure >> Other Credit Positions >> Pool – Credit Line Setup Create / Display</p> <p><i>For information subject to 4 eyes validation:</i></p> <p>Collateral and Credit >> Validation / Rejection >> Validate / Reject Pool Structure Details >> Pool – Credit Line Setup</p>
Screenshot	

Pool – Credit Line Setup – Search screen	
Field	Description
Pool Identifier	<p>Enter / Select the identifier of the Pool where maximum credit line value is authorised.</p> <p>Required format is: Max. 30 characters</p>
Pool Reference	<p>Enter the reference of the pool given by the refinancing NCB.</p> <p>Required format is: Max. 255 characters.</p>
Counterparty	<p>Enter / Select the RIAD Code that identifies the counterparty in the ECMS to whom the authorisation to use Monetary Policy Operation is granted.</p>
Creation Date	<p>Enter the reference date of the creation of the Pool – Credit Line Setup or use the calendar icon.</p> <p>Required format is: DD/MM/YYYY</p>
Validity Start Date	<p>Enter the date from which the Pool – Credit Line Setup is valid. The calendar icon can also be used.</p> <p>Required format is: DD/MM/YYYY.</p>
Validity End Date	<p>Enter the date from which the Pool – Credit Line Setup is not valid anymore. The calendar icon can also be used.</p> <p>Required format is: DD/MM/YYYY.</p> <p>The Validity End Date must be equal to or later than the Validity Start Date.</p>
Creation Date	<p>Enter the reference date of the creation of the Pool – Credit Line Setup or use the calendar icon.</p>

	Required format is: DD/MM/YYYY hh:mm:ss:µs
Update Date	Enter the reference date of the last update of the Pool – Credit Line Setup or use the calendar icon. Required format is: DD/MM/YYYY hh:mm:ss:µs
Button	Function
Reset	This function enables you to clear the form.
Create	This function enables you to create a new Pool – Credit Line Setup. Next screen: – Pool – Credit Line Setup – Create Screen.
Search	This function enables you to start a search according to the filled in criteria. The results are displayed in a list on the List Screen. Next screen: – Pool – Credit Line Setup – List Screen.

3.3.1.6.1.1 Pool – Credit Line Setup – List Screen

Context of Usage	This screen contains the search results displayed in a list, which is sorted by the values of the 'Update Date' column in descending order (default setting). After selecting an entry, you can proceed further by clicking on the buttons below.																																																																																								
Business Case related	Administration of Pools																																																																																								
Screen Access	Depending how the screen is accessed, it can be used to list the valid information of the Credit Line Setup or the information that requires 4 eyes validation before they can be considered valid in the system. <i>For valid information:</i> Collateral and Credit >> Pool structure >> Other Credit Positions >> Pool – Credit Line Setup Create / Display >> Click on the search button (after inputting the relevant Data, if needed) <i>For information subject to a 4 eyes validation:</i> Collateral and Credit >> Validation / Rejection >> Validate / Reject Pool Structure Details >> Pool – Credit Line Setup >> Click on the <i>search</i> button (after inputting the relevant Data, if needed)																																																																																								
Screenshot	<p>The screenshot shows a search result table for 'Credit Line Setup'. The table has the following columns: Pool Identifier, NCB Maximum Credit Line Value, Validity Start Date, Validity End Date, Counterparty, Creation Date, Update Date, and Update User Id. The data is sorted by Update Date in descending order.</p> <table border="1"> <thead> <tr> <th>Pool Identifier</th> <th>NCB Maximum Credit Line Value</th> <th>Validity Start Date</th> <th>Validity End Date</th> <th>Counterparty</th> <th>Creation Date</th> <th>Update Date</th> <th>Update User Id</th> </tr> </thead> <tbody> <tr> <td>ABVRS08FP00L00000000163</td> <td>10,000,000</td> <td>19/07/2022</td> <td>31/12/9999</td> <td>ABVRS008</td> <td>19/07/2022 16:05:41.221</td> <td>19/07/2022 16:05:41.221</td> <td>STP</td> </tr> <tr> <td>A17000000014745POOL00000000228</td> <td>1,000,000,000</td> <td>22/06/2022</td> <td>31/12/9999</td> <td>AT00000000174745</td> <td>22/06/2022 08:09:22.987</td> <td>22/06/2022 08:09:22.987</td> <td>STP</td> </tr> <tr> <td>A17000000055363POOL00000000227</td> <td>1,000,000,000</td> <td>21/06/2022</td> <td>31/12/9999</td> <td>AT0000000055363</td> <td>21/06/2022 08:49:42.883</td> <td>21/06/2022 08:49:42.883</td> <td>STP</td> </tr> <tr> <td>ABVRS48FP00L00000000217</td> <td>100,000,000</td> <td>18/05/2022</td> <td>31/12/9999</td> <td>ABVRS04</td> <td>18/05/2022 11:35:15.918</td> <td>18/05/2022 11:35:15.918</td> <td>STP</td> </tr> <tr> <td>ABVRS18FP00L00000000211</td> <td>95,999,999,999</td> <td>12/05/2022</td> <td>18/05/2022</td> <td>ABVRS18</td> <td>12/05/2022 13:30:39.995</td> <td>18/05/2022 17:13:14.667</td> <td>STP</td> </tr> <tr> <td>ABVRS48FP00L00000000210</td> <td>100,000,000</td> <td>06/05/2022</td> <td>31/12/9999</td> <td>ABVRS04</td> <td>06/05/2022 11:00:45.447</td> <td>06/05/2022 11:00:45.447</td> <td>STP</td> </tr> <tr> <td>ABVRS08FP00L00000000166</td> <td>95,999,999</td> <td>17/02/2022</td> <td>31/12/9999</td> <td>ABVRS003</td> <td>17/02/2022 13:03:28.969</td> <td>17/02/2022 13:03:28.969</td> <td>STP</td> </tr> <tr> <td>ABVRS08FP00L00000000149</td> <td>200,000</td> <td>26/01/2022</td> <td>31/12/9999</td> <td>ABVRS04</td> <td>26/01/2022 08:42:46.509</td> <td>26/01/2022 08:42:46.509</td> <td>STP</td> </tr> <tr> <td>ABVRS28FP00L00000000147</td> <td>100,000,000</td> <td>25/01/2022</td> <td>31/12/9999</td> <td>ABVRS04</td> <td>25/01/2022 16:21:46.412</td> <td>25/01/2022 16:21:46.412</td> <td>STP</td> </tr> <tr> <td>AT0000001276474POOL00000000146</td> <td>100,000,000</td> <td>21/01/2022</td> <td>31/12/9999</td> <td>AT0000001276474</td> <td>21/01/2022 16:32:15.270</td> <td>21/01/2022 16:32:15.270</td> <td>STP</td> </tr> </tbody> </table>	Pool Identifier	NCB Maximum Credit Line Value	Validity Start Date	Validity End Date	Counterparty	Creation Date	Update Date	Update User Id	ABVRS08FP00L00000000163	10,000,000	19/07/2022	31/12/9999	ABVRS008	19/07/2022 16:05:41.221	19/07/2022 16:05:41.221	STP	A17000000014745POOL00000000228	1,000,000,000	22/06/2022	31/12/9999	AT00000000174745	22/06/2022 08:09:22.987	22/06/2022 08:09:22.987	STP	A17000000055363POOL00000000227	1,000,000,000	21/06/2022	31/12/9999	AT0000000055363	21/06/2022 08:49:42.883	21/06/2022 08:49:42.883	STP	ABVRS48FP00L00000000217	100,000,000	18/05/2022	31/12/9999	ABVRS04	18/05/2022 11:35:15.918	18/05/2022 11:35:15.918	STP	ABVRS18FP00L00000000211	95,999,999,999	12/05/2022	18/05/2022	ABVRS18	12/05/2022 13:30:39.995	18/05/2022 17:13:14.667	STP	ABVRS48FP00L00000000210	100,000,000	06/05/2022	31/12/9999	ABVRS04	06/05/2022 11:00:45.447	06/05/2022 11:00:45.447	STP	ABVRS08FP00L00000000166	95,999,999	17/02/2022	31/12/9999	ABVRS003	17/02/2022 13:03:28.969	17/02/2022 13:03:28.969	STP	ABVRS08FP00L00000000149	200,000	26/01/2022	31/12/9999	ABVRS04	26/01/2022 08:42:46.509	26/01/2022 08:42:46.509	STP	ABVRS28FP00L00000000147	100,000,000	25/01/2022	31/12/9999	ABVRS04	25/01/2022 16:21:46.412	25/01/2022 16:21:46.412	STP	AT0000001276474POOL00000000146	100,000,000	21/01/2022	31/12/9999	AT0000001276474	21/01/2022 16:32:15.270	21/01/2022 16:32:15.270	STP
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Pool – Credit Line Setup – List Screen

Field	Description
Pool Identifier	Shows the identifier of the Pool where maximum credit line value is authorised. Displayed format is: Max. 30 characters.
Pool Reference	Shows the reference of the pool given by the refinancing NCB. Displayed format is: Max. 255 characters.

Counter-party	Shows the RIAD Code that identifies the counterparty in the ECMS to whom the authorisation to use Monetary Policy Operation is granted.
NCB Maximum Credit Line Value	Shows the Maximum Credit line value authorised set by the NCB. Displayed format is: Max. 14 digits
Validity Start Date	Shows the date from which the Pool – Credit Line Setup is valid. Displayed format is: DD/MM/YYYY.
Validity End Date	Shows the date from which the Pool – Credit Line Setup is not valid anymore. Displayed format is: DD/MM/YYYY. The Validity End Date must be equal to or later than the Validity Start Date.
Creation Date	Shows the reference date of the creation of the Pool – Credit Line Setup or use the calendar icon. Displayed format is: DD/MM/YYYY
Update Date	Shows the date and time of the last update of the Pool – Credit Line Setup. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Updater User Id	Shows the identification of the user that made the last update of the Pool – Credit Line Setup.
After selecting a record, the following buttons are displayed	
Button	Function
History	This function enables you to access to the historical information of a Pool – Credit Line Setup. Next screen: – <i>Pool – Credit Line Setup – History Screen.</i>
Edit	This function enables you to edit a Pool – Credit Line Setup. Next screen: – <i>Pool – Credit Line Setup – Edit Screen.</i>
View	This function enables you to access to the detailed information of a Pool – Credit Line Setup. Next screen: – <i>Pool – Credit Line Setup – Details Screen.</i>
If the screen is used in the 4 eyes validation context, the following buttons are also displayed when a record is selected	
Button	Function
Compare Draft	In case the 4 eyes validation is required because of an update of the record, this function enables the user to display the change that needs to be validated. Next screen: <i>Pool – Credit Line Setup – History Screen.</i>
View Draft	This function enables the user to view the draft record to be validated. Next screen: <i>Pool – Credit Line Setup – Details Screen.</i>
Edit	This function allows the user to edit and modify the draft.

	Next screen: <i>Pool – Credit Line Setup – Edit</i> Screen.
Validate	This function allows the user to validate the creation or update of the record so that they can be considered valid in the system
Reject	This function allows the user to reject the creation or update of the record. The draft will be deleted.

3.3.1.6.1.1.1 Pool – Credit Line Setup – History Screen

Context of Usage	This screen displays historical information that has changed on the selected Pool – Credit Line Setup. You can check the data, including the user who made the changes, and proceed further by clicking on the buttons that are shown.
Screen Access	<ul style="list-style-type: none"> – Collateral and Credit >> Pool structure >> Other Credit Positions >> Pool – Credit Line Setup Create / Display >> Click on the search button (after inputting the relevant Data, if needed) >> Select a Pool – Credit Line Setup >> Click on the <i>History</i> button – Collateral and Credit >> Pool Structure >> Other Credit Positions >> Pool – Credit Line Setup Create / Display >> Click on the search button (after inputting the relevant Data, if needed) >> Select a Pool – Credit Line Setup >> Click on the View button (Pool – Credit Line Setup – Details Screen) >> Click on the History Button
Screenshot	

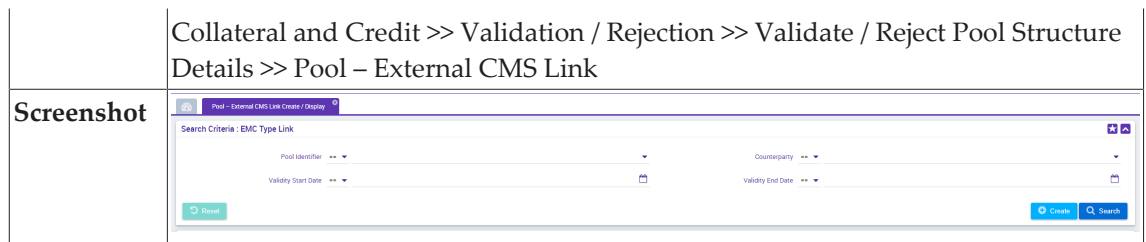
Pool – Credit Line Setup – History Screen	
Field	Description
Fields	Shows the fields whose information have been updated since the creation of the selected Pool – Credit Line Setup.
Timestamp	Shows the date and time when the fields have been updated. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Button	Description
Compare	Shows the differences between the selected records whose data have been updated.
Expand All	Shows the expanded information of the fields whose information have been updated since the creation of the selected Pool – Credit Line Setup.
Show All	Shows the information that existed before each modification of all the fields regarding the selected Pool – Credit Line Setup.
Collapse All	Collapse the expanded information of the fields that have been updated since the creation of the selected Pool – Credit Line Setup.
Show Differences Only	Shows only the fields whose information have been updated since the creation of the selected Pool – Credit Line Setup.

NCB Maximum Credit Line Value	Shows the Maximum Credit line value authorised set by the NCB.
Validity Start Date	Shows the date from which the Pool – Credit Line Setup is valid. Displayed format is: DD/MM/YYYY.
Validity End Date	Shows the date from which the Pool – Credit Line Setup is not valid anymore. Displayed format is: DD/MM/YYYY. The Validity End Date must be equal to or later than the Validity Start Date.
Validation details	
Action To Validate	Shows if there is any action waiting for validation.
Rejected	Shows if any action subject to validation has been rejected. The possible values are: – Yes – No
Foot Print	
Creation Date	Shows the date and time of the creation of the Pool – Credit Line Setup. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Creation User Id	Shows the identification of the user that created the Pool – Credit Line Setup.
Update Date	Shows the date and time of the update of the Pool – Credit Line Setup. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Updater User Id	Shows the identification of the user that made the last update of the Pool – Credit Line Setup.

3.3.1.7 Pool – External CMS Link Create / Display

3.3.1.7.1 Pool – External CMS Link – Search Screen

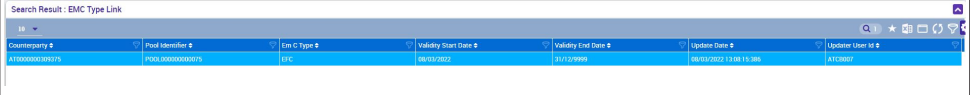
Context of Usage	This screen contains a number of search fields. By inputting the relevant data, you can search for the Pool – External CMS Link. The search results will be displayed in a list. After selecting an entry, you can proceed further by clicking on the buttons that are shown.
Business Case related	Administration of Pools
Screen Access	Depending how the screen is accessed, it can be used to search the valid information of the External CMS Link or the information that requires 4 eyes validation before they can be considered valid in the system. <i>For valid information:</i> Collateral and Credit >> Pool structure >> Collateral Positions >> Pool – External CMS Link Create / Display <i>For information subject to 4 eyes validation:</i>



Pool – External CMS Link – Search screen

Field	Description
Pool Identifier	Enter / Select the identifier of the Pool to which the aggregated value of the collateral position held in the external system managed locally by an NCB is added. Required format is: Max. 30 characters
Pool Reference	Enter the reference of the pool given by the refinancing NCB. Required format is: Max. 255 characters.
External CMS Identifier	Enter / Select the identifier of the External CMS where the collateral position is held. Required format is: Max. 35 characters.
Creation Date	Enter the reference date of the creation of the Pool – External CMS Link or use the calendar icon. Required format is: DD/MM/YYYY
Validity Start Date	Enter the date from which the Pool – External CMS Link is valid. The calendar icon can also be used. Required format is: DD/MM/YYYY.
Validity End Date	Enter the date from which the Pool – External CMS Link is not valid anymore. The calendar icon can also be used. Required format is: DD/MM/YYYY. The Validity End Date must be equal to or later than the Validity Start Date.
Update Date	Enter the reference date of the last update of the Pool – External CMS Link or use the calendar icon. Required format is: DD/MM/YYYY hh:mm:ss:µs
Button	Function
Reset	This function enables you to clear the form.
Create	This function enables you to create a new Pool – External CMS Link. Next screen: – Pool – External CMS Link – Create Screen.
Search	This function enables you to start a search according to the filled in criteria. The results are displayed in a list on the List Screen. Next screen: – Pool – External CMS Link – List Screen.

3.3.1.7.1.1 Pool – External CMS Link – List Screen

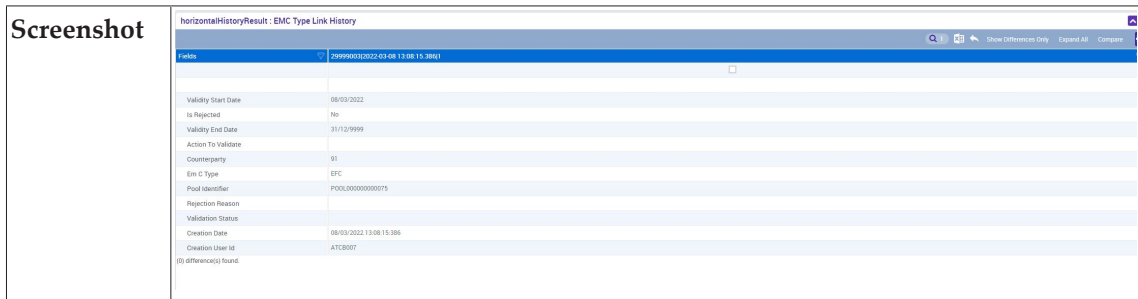
Context of Usage	This screen contains the search results displayed in a list, which is sorted by the values of the 'Update Date' column in descending order (default setting). After selecting an entry, you can proceed further by clicking on the buttons below.
Business Case related	Administration of Pools
Screen Access	Depending how the screen is accessed, it can be used to list the valid information of the External CMS Link or the information that requires 4 eyes validation before they can be considered valid in the system. <i>For valid information:</i> Collateral and Credit >> Pool structure >> Collateral Positions >> Pool – External CMS Link Create / Display >> Click on the search button (after inputting the relevant Data, if needed) <i>For information subject to a 4 eyes validation:</i> Collateral and Credit >> Validation / Rejection >> Validate / Reject Pool Structure Details >> Pool – External CMS Link >> Click on the <i>search</i> button (after inputting the relevant Data, if needed)
Screenshot	

Pool – External CMS Link – List Screen	
Field	Description
Pool Identifier	Shows the identifier of the Pool to which the aggregated value of the collateral position held in the external system managed locally by an NCB is added. Displayed format is: Max. 30 characters
Pool Reference	Shows the reference of the pool given by the refinancing NCB. Displayed format is: Max. 255 characters.
External CMS Identifier	Shows the identifier of the External CMS where the collateral position is held. Displayed format is: Max. 35 characters.
Creation Date	Shows the reference date of the creation of the Pool – External CMS Link or use the calendar icon. Displayed format is: DD/MM/YYYY
Validity Start Date	Shows the date from which the Pool – External CMS Link is valid. Displayed format is: DD/MM/YYYY.
Validity End Date	Shows the date from which the Pool – External CMS Link is not valid anymore. Displayed format is: DD/MM/YYYY. The Validity End Date must be equal to or later than the Validity Start Date.
Update Date	Shows the date and time of the last update of the Pool – External CMS Link. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Updater User Id	Shows the identification of the user that made the last update of the Pool – External CMS Link.
After selecting a record, the following buttons are displayed	

Button	Function
History	This function enables you to access to the historical information of a Pool – External CMS Link. Next screen: – <i>Pool – External CMS Link – History Screen.</i>
Edit	This function enables you to edit a Pool – External CMS Link. Next screen: – <i>Pool – External CMS Link – Edit Screen.</i>
View	This function enables you to access to the detailed information of a Pool – External CMS Link. Next screen: – <i>Pool – External CMS Link – Details Screen.</i>
If the screen is used in the 4 eyes validation context, the following buttons are also displayed when a record is selected	
Button	Function
Compare Draft	In case the 4 eyes validation is required because of an update of the record, this function enables the user to display the change that needs to be validated. Next screen: <i>Pool – External CMS Link – History Screen.</i>
View Draft	This function enables the user to view the draft record to be validated. Next screen: <i>Pool – External CMS Link – Details Screen.</i>
Edit	This function allows the user to edit and modify the draft. Next screen: <i>Pool – External CMS Link – Edit Screen.</i>
Validate	This function allows the user to validate the creation or update of the record so that they can be considered valid in the system
Reject	This function allows the user to reject the creation or update of the record. The draft will be deleted.

3.3.1.7.1.1.1 Pool – External CMS Link – History Screen

Context of Usage	This screen displays historical information that has changed on the selected Pool – External CMS Link. You can check the data, including the user who made the changes, and proceed further by clicking on the buttons that are shown.
Screen Access	<ul style="list-style-type: none"> – Collateral and Credit >> Pool structure >> Collateral Positions >> Pool – External CMS Link Create / Display >> Click on the search button (after inputting the relevant Data, if needed) >> Select a Pool – External CMS Link >> Click on the <i>History</i> button – Collateral and Credit >> Pool Structure >> Collateral Positions >> Pool – External CMS Link Create / Display >> Click on the search button (after inputting the relevant Data, if needed) >> Select a Pool – External CMS Link >> Click on the View button (Pool – External CMS Link – Details Screen) >> Click on the History Button



Pool – External CMS Link – History Screen	
Field	Description
Fields	Shows the fields whose information have been updated since the creation of the selected Pool – External CMS Link.
Timestamp	Shows the date and time when the fields have been updated. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Button	Description
Compare	Shows the differences between the selected records whose data have been updated.
Expand All	Shows the expanded information of the fields whose information have been updated since the creation of the selected Pool – External CMS Link.
Show All	Shows the information that existed before each modification of all the fields regarding the selected Pool – External CMS Link.
Collapse All	Collapse the expanded information of the fields that have been updated since the creation of the selected Pool – External CMS Link.
Show Differences Only	Shows only the fields whose information have been updated since the creation of the selected Pool – External CMS Link.

3.3.1.7.1.2 Pool – External CMS Link – Details Screen

Context of Usage	This screen displays detailed information on the selected Pool – External CMS Link. You can check the data and proceed further by clicking on the buttons.
Screen Access	<p>Depending how the screen is accessed, it can be used to view the valid information of the External CMS Link or the information that requires 4 eyes validation before they can be considered valid in the system.</p> <p><i>For valid information:</i></p> <p>Collateral and Credit >> Pool structure >> Collateral Positions >> Pool – External CMS Link Create / Display >> Click on the search button (after inputting the relevant Data, if needed) >> Select a Pool – External CMS Link >> Click on the View button</p> <p><i>For information subject to a 4 eyes validation:</i></p> <p>Collateral and Credit >> Validation / Rejection >> Validate / Reject Pool Structure Details >> Pool – External CMS Link >> Click on the <i>search</i> button (after inputting the relevant Data, if needed) >> Select a Pool – External CMS Link >> Click on the View button</p>

3.3.2 Global Collateral Position Management

3.3.2.1 Cash as Collateral

3.3.2.1.1 Cash Collateral Instructions

Cash Collateral Instructions are instructions used to mobilise or demobilise Cash as Collateral on a pool of a Counterparty. These instructions can be sent by a Counterparty or an ECMS Party (including an NCB) acting on behalf of a Counterparty or alternatively generated internally by the ECMS itself.

The ECMS manages the following list of Cash Collateral Instructions:

- Cash Mobilisation Instruction
- Cash Demobilisation Instruction
- Automatic Cash Mobilisation
- Automatic Cash Demobilisation Instruction
- Conversion of Cash to Collateral Instruction

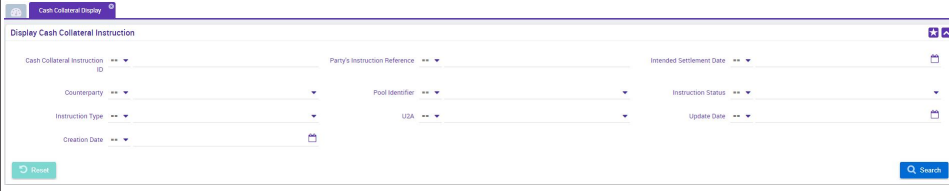
3.3.2.1.1.1 Cash Collateral Instructions – Input Screen

Context of Usage	This screen is used to input manually a Cash Collateral Instruction. Mandatory fields are underlined in red.
Business Case related	Input a Cash Collateral (de)Mobilisation Instruction
Screen Access	Collateral and Credit >> Collateral >> Cash Collateral >> Input Cash Collateral Instruction
Screenshot	

Cash Collateral Instructions – Input screen	
Counterparty Details	
Field	Description
Pool ID*	Enter / Select the identifier of the Pool where the Cash as Collateral is (de)mobilised. Required format is: Max. 30 characters
Instruction Details	
Cash Collateral Instruction ID#	Shows the unique reference identifying the Cash Collateral Instruction assigned by the ECMS. Displayed format is: Max. 30 characters

Party's Instruction Reference*	Enter the Reference of the instruction given by the Instructing Party. Required format is: Max. 30 characters
Instruction Type*	Enter / Select the type of Cash Collateral from the possible values: <ul style="list-style-type: none"> - Mobilisation - Demobilisation - Conversion of cash to collateral
Cash Collateral Asset Identifier	Enter the Cash Collateral Asset Id to be used in the Cash Collateral Instruction
Total Cash Collateral#	Shows the total Cash Collateral in the Pool.
Margin Call Value#	Shows the value of the Margin Call for the Pool
Amount*	Enter the amount in Euro of the cash to be (de)mobilised.
Intended Settlement Date#	Shows the date on which the Cash Collateral Instruction is meant to be settled (set to the current business date).
Button	Function
Reset	This function enables you to clear the form.
Save	This function enables you to save the creation of the Cash Collateral Instruction.

3.3.2.1.1.2 Cash Collateral Instructions – Search Screen

Context of Usage	<p>This screen is used to search Cash Collateral Instructions. It contains the fields that can be used as search criteria.</p> <p>The user captures the fields that correspond to its criteria for searching Cash Collateral Instructions.</p> <p>If a field is left blank, the field is not taken into account as a search criteria.</p> <p>Once search criteria have been filled, by clicking on the search button, the list of instructions fulfilling the criteria will be displayed.</p>
Business Case related	Search/List/View Cash Collateral (de)Mobilisation Instructions
Screen Access	<p>Depending how the screen is accessed, it can be used to search the valid information of the Cash Collateral Instructions or the information that requires 4 eyes validation before they can be considered valid in the system.</p> <p><i>For valid information:</i></p> <p>Collateral and Credit >> Collateral >> Cash Collateral >> Cash Collateral Display</p> <p><i>For information subject to 4 eyes validation:</i></p> <p>Collateral and Credit >> Validation / Rejection >> Validate / Reject Cash Collateral >> Cash Collateral Display</p>
Screenshot	

Cash Collateral Instructions – Search screen	
Field	Description
Cash Collateral instruction ID	Enter the unique reference identifying the Cash Collateral Instruction assigned by the ECMS. Required format is: Max. 30 characters
Party's Instruction Reference	Enter the Reference of the instruction given by the Instructing Party. Required format is: Max. 30 characters
Intended Settlement Date	Enter the reference date on which the Cash Collateral Instruction is meant to be settled or use the calendar icon. Required format is: DD/MM/YYYY
Pool ID	Enter / Select the identifier of the Pool where the Cash as Collateral is (de)mobilised. The magnifier icon can also be used. Required format is: Max. 30 characters
Counterparty	Enter / Select the RIAD Code of the ECMS Counterparty (de)mobilising the Cash as Collateral. Required format is: Max. 30 characters
Instruction Status	Enter / Select the Status of the instruction from the possible values: <ul style="list-style-type: none"> - Cash Incomplete - Cash Rejected - Cash Settled - Cash Instructed - Waiting T2 Confirmation
U2A	Enter / Select whether the instruction was capture in U2A mode or not. The possible values are: <ul style="list-style-type: none"> - Yes - No
Instruction Type	Enter / Select the type of Cash Collateral from the possible values: <ul style="list-style-type: none"> - Mobilisation - Demobilisation - Automatic Mobilisation - Automatic Demobilisation - Conversion of cash to collateral
Update Date	Enter the reference date of the last update of the Cash Collateral Instruction or use the calendar icon. Required format is: DD/MM/YYYY hh:mm:ss:µs
Creation Date	Enter the reference date of the creation of the Cash Collateral Instruction or use the calendar icon. Required format is: DD/MM/YYYY hh:mm:ss:µs
Button	Function
Reset	This function enables you to clear the form.
Search	This function enables you to start a search according to the filled in criteria. The results are displayed in a list on the Cash Collateral Instruction - List Screen.

Next screen:
– Cash Collateral Instruction – List Screen.

3.3.2.1.1.2.1 Cash Collateral Instructions – List screen

Context of Usage	This screen contains the search results displayed in a list, which is sorted by the values of the 'Update Date' column in descending order (default setting). After selecting an entry, you can proceed further by clicking on the buttons below.
Business Case related	Search/List/View Cash Collateral (de)Mobilisation Instructions
Screen Access	Depending how the screen is accessed, it can be used to list the valid information of the Cash Collateral Instructions or the information that requires 4 eyes validation before they can be considered valid in the system. <i>For valid information:</i> Collateral and Credit >> Collateral >> Cash Collateral >> Cash Collateral Display >> Click on the search button (after inputting the relevant data, if needed) <i>For information subject to a 4 eyes validation:</i> Collateral and Credit >> Validation / Rejection >> Validate / Reject Cash Collateral >> Cash Collateral Display >> Click on the <i>search</i> button (after inputting the relevant Data, if needed)
Screenshot	<p>The screenshot shows a table titled 'Cash Collateral Instructions List' with the following columns: Cash Collateral Inst., Party's Instruction, Counterparty, Pool Identifier, Instruction Type, Amount, Intended Settlement, Counterparty Cash, LDA, Business Status, Update Date, Update User, Creation Date, and Creation User. The table contains several rows of data, including entries with amounts like 110.39, 104.4, 139.48, 103.55, 90.59, 77.63, 38.8, 20.98, 12.92, and 44.68.</p>

Cash Collateral Instructions – List screen	
Column	Description
Cash Collateral instruction ID	Shows the unique reference identifying the Cash Collateral Instruction assigned by the ECMS. Displayed format is: Max. 30 characters
Party's Instruction Reference	Shows the Reference of the instruction given by the Instructing Party. Displayed format is: Max. 30 characters
Intended Settlement Date	Shows the reference date on which the Cash Collateral Instruction is meant to be settled. Displayed format is: DD/MM/YYYY
Pool ID	Shows the identifier of the Pool where the Cash as Collateral is (de)mobilised. Displayed format is: Max. 30 characters
Counterparty	Shows the RIAD Code of the ECMS Counterparty (de)mobilising the Cash as Collateral. Displayed format is: Max. 30 characters
Instruction Type	Shows the type of Cash Collateral from the possible values: – Mobilisation

	<ul style="list-style-type: none"> - Demobilisation - Automatic Mobilisation - Automatic Demobilisation - Conversion of cash to collateral
U2A	Shows whether the instruction was capture in U2A mode or not. The possible values are: <ul style="list-style-type: none"> - Yes - No
Instruction Status	Shows the Status of the instruction from the possible values: <ul style="list-style-type: none"> - Cash Incomplete - Cash Rejected - Cash Settled - Cash Instructed - Waiting T2 Confirmation
Amount	Shows the amount in Euro of the cash (de)mobilised.
Action to validate	Present only when the screen is used in the context of 4 eyes validations, Shows if the validation is required following a creation or an update of the record.
Creation Date	Shows the date and time of the creation of the Instruction. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Creation User Id	Shows the identification of the user that created the Instruction.
Update Date	Shows the date and time of the last update of the Instruction. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Updater User Id	Shows the identification of the user that made the last update of the Instruction.
After selecting a record, the following buttons are displayed	
Button	Function
View	This function enables you to access to the detailed information of the selected Instruction. Next screen: - <i>Cash Collateral Instructions – Details</i> Screen.
History	This function enables you to access to the historical information of the selected Instruction. Next screen: - <i>Cash Collateral Instructions – History</i> Screen.
If the screen is used in the 4 eyes validation context, the following buttons are also displayed when a record is selected	
Button	Function
Compare Draft	In case the 4 eyes validation is required because of an update of the record, this function enables the user to display the change that needs to be validated. Next screen: <i>Cash Collateral Instructions– History</i> Screen.

View Draft	This function enables the user to view the draft record to be validated. Next screen: <i>Cash Collateral Instructions – Details</i> Screen.
Edit	This function allows the user to edit and modify the draft. Next screen: <i>Cash Collateral Instructions – Edit</i> Screen.
Validate	This function allows the user to validate the creation or update of the record so that they can be considered valid in the system
Reject	This function allows the user to reject the creation or update of the record. The draft will be deleted.

3.3.2.1.1.2.1. Cash Collateral Instructions – History Screen

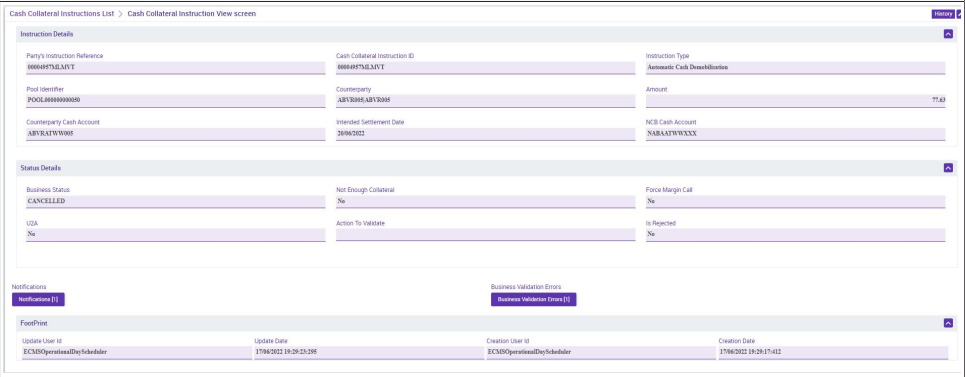
1

Context of Usage	This screen displays historical information that has changed on the selected Cash Collateral Instruction. You can check the data, including the user who made the changes, and proceed further by clicking on the buttons that are shown.																									
Screen Access	<ul style="list-style-type: none"> – Collateral and Credit >> Collateral >> Cash Collateral >> Cash Collateral Display >> Click on the search button (after inputting the relevant data, if needed) >> Select a Cash Collateral Instruction >> Click on the <i>History</i> button – Collateral and Credit >> Collateral >> Cash Collateral >> Cash Collateral Display >> Click on the search button (after inputting the relevant data, if needed) >> Select a Cash Collateral Instruction Click on the <i>View</i> button >> Click on the <i>History</i> button 																									
Screenshot	<p>horizontalHistoryResult : Cash Collateral Movement History</p> <table border="1"> <thead> <tr> <th>Fields</th> <th>00004957NM.MV12022-06-17 19:29:23.295H</th> <th>00004957NM.MV12022-06-17 19:29:19.559J</th> <th>00004957NM.MV12022-06-17 19:29:18.680D</th> <th>00004957NM.MV12022-06-17 19:29:17.412I</th> </tr> </thead> <tbody> <tr> <td>Instruction Status</td> <td>Cash Rejected</td> <td>Cash Rejected</td> <td>Cash Incomplete</td> <td>Draft</td> </tr> <tr> <td>Business Status</td> <td>CANCELLED</td> <td>CANCELLED</td> <td>WAITING VALIDATION</td> <td>WAITING VALIDATION</td> </tr> <tr> <td>Last Notification Out</td> <td>275999008</td> <td></td> <td></td> <td></td> </tr> <tr> <td>Creation Date</td> <td>17/06/2022 19:29:23.295</td> <td>17/06/2022 19:29:19.559</td> <td>17/06/2022 19:29:18.680</td> <td>17/06/2022 19:29:17.412</td> </tr> </tbody> </table> <p>(6 differences) found.</p>	Fields	00004957NM.MV12022-06-17 19:29:23.295H	00004957NM.MV12022-06-17 19:29:19.559J	00004957NM.MV12022-06-17 19:29:18.680D	00004957NM.MV12022-06-17 19:29:17.412I	Instruction Status	Cash Rejected	Cash Rejected	Cash Incomplete	Draft	Business Status	CANCELLED	CANCELLED	WAITING VALIDATION	WAITING VALIDATION	Last Notification Out	275999008				Creation Date	17/06/2022 19:29:23.295	17/06/2022 19:29:19.559	17/06/2022 19:29:18.680	17/06/2022 19:29:17.412
Fields	00004957NM.MV12022-06-17 19:29:23.295H	00004957NM.MV12022-06-17 19:29:19.559J	00004957NM.MV12022-06-17 19:29:18.680D	00004957NM.MV12022-06-17 19:29:17.412I																						
Instruction Status	Cash Rejected	Cash Rejected	Cash Incomplete	Draft																						
Business Status	CANCELLED	CANCELLED	WAITING VALIDATION	WAITING VALIDATION																						
Last Notification Out	275999008																									
Creation Date	17/06/2022 19:29:23.295	17/06/2022 19:29:19.559	17/06/2022 19:29:18.680	17/06/2022 19:29:17.412																						

Cash Collateral Instructions – History Screen	
Field	Description
Fields	Shows the fields whose information have been updated since the creation of the selected Cash Collateral Instruction.
Timestamp	Shows the date and time when the fields have been updated Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Button	Description
Compare	Shows the differences between the selected records whose data have been updated.
Expand All	Shows the expanded information of the fields whose information have been updated since the creation of the selected Cash Collateral Instruction.
Show All	Shows the information that existed before each modification of all the fields regarding the selected Cash Collateral Instruction.
Collapse All	Collapse the expanded information of the fields that have been updated since the creation of the selected Cash Collateral Instruction.

Show Differences Only	Shows only the fields whose information have been updated since the creation of the selected Cash Collateral Instruction.
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3.3.2.1.1.2.2 Cash Collateral Instructions – Details screen

Context of Usage	This screen displays detailed information on the selected Cash Collateral Instruction. You can check the data and proceed further by clicking on the buttons.
Business Case related	Search/List/View Cash Collateral (de)Mobilisation Instructions
Screen Access	Depending how the screen is accessed, it can be used to view the valid information of the Cash Collateral Instructions or the information that requires 4 eyes validation before they can be considered valid in the system. <i>For valid information:</i> Collateral and Credit >> Collateral >> Cash Collateral >> Cash Collateral Display >> Click on the search button (after inputting the relevant data, if needed) >> Click on the <i>View</i> button <i>For information subject to a 4 eyes validation:</i> Collateral and Credit >> Validation / Rejection >> Validate / Reject Cash Collateral >> Cash Collateral Display >> Click on the <i>search</i> button (after inputting the relevant Data, if needed) >> Click on the <i>View</i> button
Screenshot	

Cash Collateral Instructions – Details Screen

Button	Description
History	This function enables you to access to the historical information of the selected Cash Collateral Instruction. Next screen: – <i>Cash Collateral Instructions – History</i> Screen.
Instruction Details	
Field	Description
Party's Instruction Reference	Shows the Reference of the instruction given by the Instructing Party. Displayed format is: Max. 30 characters
Cash Collateral Instruction ID	Shows the unique reference identifying the Cash Collateral Instruction assigned by the ECMS. Displayed format is: Max. 30 characters

Instruction Type	Shows the type of Cash Collateral from the possible values: <ul style="list-style-type: none"> - Mobilisation - Demobilisation - Automatic Mobilisation - Automatic Demobilisation - Conversion of cash to collateral
Pool ID	Shows the identifier of the Pool where the Cash as Collateral is (de)mobilised. Displayed format is: Max. 30 characters
Counterparty	Shows the RIAD Code of the ECMS Counterparty (de)mobilising the Cash as Collateral. Displayed format is: Max. 30 characters
Amount	Shows the amount in Euro of the cash (de)mobilised.
Counterparty Cash Account	Shows the Counterparty External Cash Account reference. Displayed format is: Max. 35 characters.
Intended Settlement Date	Shows the reference date on which the Cash Collateral Instruction is meant to be settled. Displayed format is: DD/MM/YYYY
Payment converted Id.	<i>[For conversion of cash to collateral only]</i> Shows the identifier of the Payment Instruction due to the counterparty to be cancelled because of to the conversion into cash collateral.
NCB Cash Account	Shows the NCB External Cash Account reference. Displayed format is: Max. 35 characters.
Status details	
Instruction Status	Shows the Status of the instruction from the possible values: <ul style="list-style-type: none"> - Cash Incomplete - Cash Rejected - Cash Settled - Cash Instructed - Waiting T2 Confirmation
Not Enough Collateral	Shows whether there is enough Collateral in the Pool or not. The possible values are: <ul style="list-style-type: none"> - Yes - No
Force Margin Call	Shows whether a Margin Call was forced or not. The possible values are: <ul style="list-style-type: none"> - Yes - No
U2A	Shows whether the instruction was capture in U2A mode or not. The possible values are: <ul style="list-style-type: none"> - Yes - No
Action To Validate	Shows if there is any action waiting for validation.

Rejected	Shows if any action subject to validation has been rejected. The possible values are: <ul style="list-style-type: none"> - Yes - No
Foot Print	
Creation Date	Shows the date and time of the creation of the Cash Collateral Instruction. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Creation User Id	Shows the identification of the user that created the Cash Collateral Instruction.
Update Date	Shows the date and time of the update of the Cash Collateral Instruction. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Updater User Id	Shows the identification of the user that made the last update of the Cash Collateral Instruction.
Notifications	
The existing notifications of the Instruction are displayed.	
Button Download allows the user to download a copy of the message received/sent.	
To view the details of an existing Notification, select it and click on the View button.	
Field	Description
Message Identifier	Shows the reference of the Message sent / received.
NSP Date	Shows the date of the message sent / received. Displayed format is: DD/MM/YYYY
NSP Time	Shows the time of the message sent / received. Displayed format is: hh:mm:ss:µs.
Sender Address	Shows the NSP address of the sender ECMS Entity. Displayed format is: Max. 11 characters
Receiver Address	Shows the NSP address of the receiver ECMS Entity. Displayed format is: Max. 11 characters
Notification Status	Shows the status of the Notification from the possible values: <ul style="list-style-type: none"> - Impacted - Impacted Failed - Rejected.
Error Description	Shows the description of the error reported. Displayed format is: Max. 255 characters.
Instruction Details	
Related Notification Msg Ref	Shows the reference of the related notification message.
Status	Shows the status of the notification.
Foot Print	
Creation Date	Shows the date and time of the creation of the Notification. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.

Creation User Id	Shows the identification of the user that created the Notification.
Update Date	Shows the date and time of the update of the Notification. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Updater User Id	Shows the identification of the user that made the last update of the Notification.
Business Validation Errors	
The existing business validation errors of the Instruction are displayed.	
To view the details of an existing business validation error, select it and click on the View button.	
Field	Description
Error Message	Shows the error message related to the business validation. Displayed format is: Max. 255 characters.
His Rule Id	Shows the identifier of the business validation.
Status	Shows the status of the Business Validation.
Foot Print	
Creation Date	Shows the date and time of the creation of the Notification. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Creation User Id	Shows the identification of the user that created the Notification.
Update Date	Shows the date and time of the update of the Notification. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Updater User Id	Shows the identification of the user that made the last update of the Notification.

3.3.2.1.1.3 Cash Collateral Notifications

The Cash Collateral Notifications functionality provides the users with notification information on the Cash Collateral Instructions that have been processed in the ECMS.

3.3.2.1.1.3.1 CCOL Instructions (pacs.010) – Search screen

Context of Usage	<p>This screen is used to search Cash Collateral Instructions Notifications. It contains the fields that can be used as search criteria.</p> <p>The user captures the fields that correspond to its criteria for searching Cash Collateral Instruction Notifications.</p> <p>If a field is left blank, the field is not taken into account as a search criteria.</p> <p>Once search criteria have been filled, by clicking on the search button, the list of records fulfilling the criteria will be displayed.</p>
Business Case related	Search/List/View CCOL Instructions Notifications

Screen Access	Collateral and Credit >> Notification >> Counterparty Notifications >> Cash Collateral Notifications >> CCOL Instructions
Screenshot	

CCOL Instructions (pacs.010) – Search screen	
Field	Description
Message Id	Enter the identifier of the message. Required format is: Max. 30 characters
Instruction Id	Enter the identifier of the instruction. Required format is: Max. 30 characters
End-to-End Id	Enter the Unique identification, as assigned by the initiating party, to unambiguously identify the transaction. This identification is passed on, unchanged, throughout the entire end-to-end chain.
Pool ID	Enter the identifier of the Pool where the Cash as Collateral was (de)mobilised. The magnifier icon can also be used. Required format is: Max. 30 characters
Instructing Agent	Enter the agent that instructs the next party in the chain to carry out the (set of) instruction(s).
Debtor	Enter the Party that owes an amount of money to the (ultimate) creditor.
Instructed Agent	Enter the Agent that is instructed by the previous party in the chain to carry out the (set of) instruction(s).
Creditor	Enter the Party to which an amount of money is due.
Settlement Date	Enter the Settlement Date. Required format is: DD/MM/YYYY
Update Date	Enter the reference date of the last update of the Cash Collateral Notification or use the calendar icon. Required format is: DD/MM/YYYY hh:mm:ss:µs
Button	Function
Reset	This function enables you to clear the form.
Search	This function enables you to start a search according to the filled in criteria. The results are displayed in a list on the CCOL Instructions - List Screen. Next screen: – CCOL Instructions (pacs.010) – List Screen.

3.3.2.1.1.3.1. CCOL Instructions (pacs.010) – List Screen

1

Context of Usage	This screen contains the search results displayed in a list, which is sorted by the values of the 'Update Date' column in descending order (default setting). After selecting an entry, you can proceed further by clicking on the buttons below.
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Business Case related	Search/List/View CCOL Instructions Notifications
Screen Access	Collateral and Credit >> Notification >> Counterparty Notifications >> Cash Collateral Notifications >> CCOL Instructions >> Click on the search button (after inputting the relevant data, if needed)
Screenshot	

CCOL Instructions (pacs.010) – List screen	
Column	Description
Message Id	Shows the identifier of the message. Displayed format is: Max. 30 characters
End-to-End Id	Shows the Unique identification, as assigned by the initiating party, to unambiguously identify the transaction. This identification is passed on, unchanged, throughout the entire end-to-end chain.
Instruction Id	Shows the identifier of the instruction. Displayed format is: Max. 30 characters
Debtor	Shows the Party that owes an amount of money to the (ultimate) creditor.
Instructing Agent	Shows the agent that instructs the next party in the chain to carry out the (set of) instruction(s).
Creditor	Shows the Party to which an amount of money is due.
Instructed Agent	Shows the Agent that is instructed by the previous party in the chain to carry out the (set of) instruction(s).
Instruction Description	Shows the description of the instruction.
Pool ID	Shows the identifier of the Pool where the Cash as Collateral was (de)mobilised. The magnifier icon can also be used. Displayed format is: Max. 30 characters
Amount	Shows the amount of money.
Currency	Shows the denomination currency of money's amount.
Settlement Date	Shows the Settlement Date. Displayed format is: DD/MM/YYYY
Notification Name	Shows the name of the notification.
Notification Status	Shows the status of the notification from possible values: <ul style="list-style-type: none"> – Processed – Processed With Failure – Impacted – Impact Failed – Archived

	– Rejected
Update Date	Shows the reference date of the last update of the Cash Collateral Notification or use the calendar icon. Displayed format is: DD/MM/YYYY hh:mm:ss:µs
Updater User Id	Shows the identification of the user that made the last update of the Notification.
After selecting a record, the following buttons are displayed	
Button	Function
Download	This function enables you to download the selected Notification.

3.3.2.1.1.3.2 CCOL Instruction Status notifications (pacs.002) – Search screen

Context of Usage	<p>This screen is used to search CCOL Instruction Status Notifications. It contains the fields that can be used as search criteria.</p> <p>The user captures the fields that correspond to its criteria for searching CCOL Instruction Status Notifications.</p> <p>If a field is left blank, the field is not taken into account as a search criteria.</p> <p>Once search criteria have been filled, by clicking on the search button, the list of records fulfilling the criteria will be displayed.</p>
Business Case related	Search/List/View CCOL Instructions Status Notifications
Screen Access	Collateral and Credit >> Notification >> Counterparty Notifications >> Cash Collateral Notifications >> CCOL Instruction Status notifications
Screenshot	

CCOL Instruction Status notifications (pacs.002) – Search screen	
Field	Description
Cash Collateral instruction ID	Enter the unique reference identifying the Cash Collateral Instruction assigned by the ECMS. Displayed format is: Max. 30 characters
Party's Instruction Reference	Enter the Reference of the instruction given by the Instructing Party. Required format is: Max. 30 characters
Counterparty	Enter / Select the RIAD Code of the ECMS Counterparty (de)mobilising the Cash as Collateral. Required format is: Max. 30 characters
Original Instruction Type	Enter / Select the type of Cash Collateral Instruction from the possible values: <ul style="list-style-type: none"> – Mobilisation – Demobilisation
Notification Status	Enter / Select the status of the notification from possible values: <ul style="list-style-type: none"> – Processed

	<ul style="list-style-type: none"> - Processed With Failure - Impacted - Impact Failed - Archived - Rejected
Update Date	<p>Enter the reference date of the last update of the Cash Collateral Notification or use the calendar icon.</p> <p>Required format is: DD/MM/YYYY hh:mm:ss:µs</p>
Creation Date	<p>Enter the reference date of the creation of the Cash Collateral Notification or use the calendar icon.</p> <p>Required format is: DD/MM/YYYY hh:mm:ss:µs</p>
Button	Function
Reset	This function enables you to clear the form.
Search	<p>This function enables you to start a search according to the filled in criteria. The results are displayed in a list on the CCOL Instruction Status Notifications - List Screen.</p> <p>Next screen:</p> <ul style="list-style-type: none"> - <i>CCOL Instruction Status notifications (pacs.002) – List Screen.</i>

3.3.2.1.1.3.2. CCOL Instruction Status notifications (pacs.002) – List Screen

1

Context of Usage	This screen contains the search results displayed in a list, which is sorted by the values of the 'Update Date' column in descending order (default setting). After selecting an entry, you can proceed further by clicking on the buttons below.
Business Case related	Search/List/View CCOL Instructions Status Notifications
Screen Access	Collateral and Credit >> Notification >> Counterparty Notifications >> Cash Collateral Notifications >> CCOL Instruction Status notifications >> Click on the search button (after inputting the relevant data, if needed)
Screenshot	

CCOL Instruction Status notifications (pacs.002) – List screen

Column	Description
Cash Collateral instruction ID	<p>Shows the unique reference identifying the Cash Collateral Instruction assigned by the ECMS.</p> <p>Displayed format is: Max. 30 characters</p>
Party's Instruction Reference	<p>Shows the Reference of the instruction given by the Instructing Party.</p> <p>Displayed format is: Max. 30 characters</p>

Counterparty	Shows the RIAD Code of the ECMS Counterparty (de)mobilising the Cash as Collateral. Displayed format is: Max. 30 characters
Original Instruction Type	Shows the type of Cash Collateral Instruction from the possible values: <ul style="list-style-type: none"> - Mobilisation - Demobilisation
Amount	Shows the amount in Euro of the cash (de)mobilised.
Notification Status	Shows the status of the notification from possible values: <ul style="list-style-type: none"> - Processed - Processed With Failure - Impacted - Impact Failed - Archived - Rejected
Creation Date	Shows the date and time of the creation of the Notification. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Creation User Id	Shows the identification of the user that created the Notification.
Update Date	Shows the date and time of the last update of the Notification. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Updater User Id	Shows the identification of the user that made the last update of the Notification.
After selecting a record, the following buttons are displayed	
Button	Function
Download	This function enables you to download the selected Notification.

3.3.2.1.2 Cash Collateral Positions

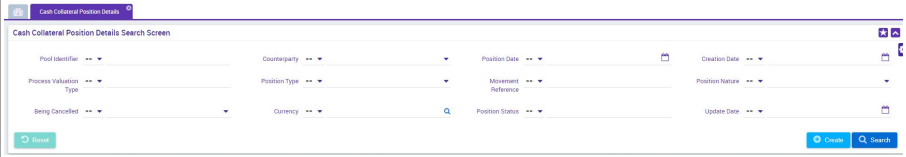
A cash collateral position represents the quantity of cash mobilised on a given ECMS pool at a given point in time.

The ECMS registers the cash mobilised as collateral by Counterparties as Cash Collateral Positions on an ECMS Pool and distinguishes Cash Collateral Detailed Positions that consist in the sum of the quantity of cash per instruction status and per process (Cash Collateral Decrease, Cash Collateral Increase, Cash Collateral Interest Decrease, Cash Collateral Interest Increase) and the Cash Collateral Aggregated Positions that reflect the Cash Position in the Pool by position type (actual provisional, conservative)..

Through the different screens described in this section, an NCB can access to the details of the Cash Collateral Positions.

The ECMS keeps tracks of each cash collateral (de)mobilisation instruction and of the daily calculation of the accrued interest. The Cash Collateral Position is compounded of the amount of cash mobilised, the amount of cash demobilised and the daily accrued interest.

3.3.2.1.2.1 Cash Collateral Detailed Positions – Search Screen

Context of Usage	<p>This screen is used to search Cash Collateral Detailed Positions. It contains the fields that can be used as search criteria.</p> <p>The user captures the fields that correspond to its criteria for searching Cash Collateral Positions.</p> <p>If a field is left blank, the field is not taken into account as a search criteria.</p> <p>Once search criteria have been filled, by clicking on the search button, the list of instructions fulfilling the criteria will be displayed.</p>
Screen Access	<i>Collateral and Credit >> Pool Overview >> Positions >> Cash Collateral Position Details</i>
Screenshot	

Cash Collateral Detailed Positions – Search screen	
Field	Description
Position Date	<p>Enter the reference date of the Cash Collateral Position or use the calendar icon.</p> <p>Required format is: DD/MM/YYYY</p>
Position Status	<p>Enter / Select the status of the Cash Collateral Position from the possible values:</p> <ul style="list-style-type: none"> – Instructed – Settled
Currency	<p>Enter / Select the currency of the Cash Collateral Position. The magnifier icon can also be used.</p> <p>Required format is: Max. 3 characters.</p>
Counterparty	<p>Enter / Select the RIAD Code that identifies the counterparty in the ECMS to whom the Cash Collateral Position belongs.</p> <p>Required format is: Max. 30 characters.</p>
Position Nature	<p>Enter / Select the nature of the Cash Collateral Position from the possible values:</p> <ul style="list-style-type: none"> – Initiation – Return
Position Type	<p>Enter / Select the Type of the Cash Collateral Position from the possible values:</p> <ul style="list-style-type: none"> – Collateral – Interest
Movement Reference	<p>Enter the reference of the movement that affects the Cash Collateral Position.</p> <p>Required format is: Max. 30 characters.</p>
Process Valuation Type	<p>Enter the Type of valuation process of the Cash Collateral Position</p> <p>Required format is: free text</p>

Pool ID	Enter the identifier of the Pool. Required format is: Max. 30 characters
Being Cancelled	Enter / Select whether the Cash Collateral Position is cancelled or not from the possible values: – Yes – No
Update Date	Enter the reference date of the last update of the Cash Collateral Position or use the calendar icon. Required format is: DD/MM/YYYY hh:mm:ss:µs
Creation Date	Enter the reference date of the creation of the Cash Collateral Position or use the calendar icon. Required format is: DD/MM/YYYY hh:mm:ss:µs
Button	Function
Reset	This function enables you to clear the form.
Search	This function enables you to start a search according to the filled in criteria. The results are displayed in a list on the Cash Collateral Position - List Screen. Next screen: – <i>Cash Collateral Detailed Position – List Screen.</i>

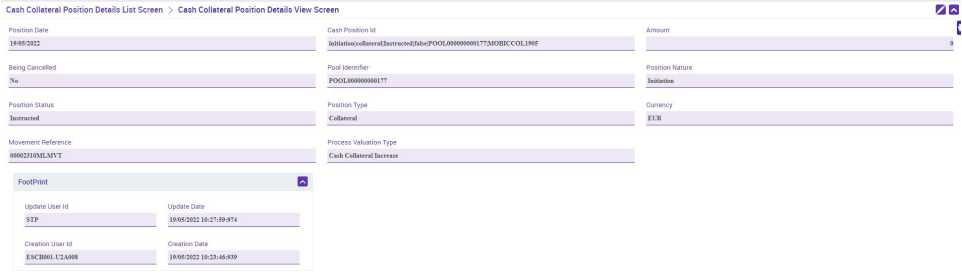
3.3.2.1.2.1.1 Cash Collateral Detailed Positions – List screen

Context of Usage	This screen contains the search results displayed in a list, which is sorted by the values of the 'Update Date' column in descending order (default setting). After selecting an entry, you can proceed further by clicking on the buttons below.
Screen Access	<i>Collateral and Credit >> Pool Overview >> Positions >> Cash Collateral Position Details >> Click on the search button (after inputting the relevant data, if needed)</i>
Screenshot	

Cash Collateral Detailed Positions – List screen	
Column	Description
Counterparty	Shows the RIAD Code that identifies the counterparty in the ECMS to whom the Cash Collateral Position belongs. Displayed format is: Max. 30 characters.
Pool ID	Shows the identifier of the Pool. Displayed format is: Max. 30 characters
Position Date	Shows the reference date of the Cash Collateral Position. Displayed format is: DD/MM/YYYY
Position Type	Shows the Type of the Cash Collateral Position from the possible values:

	<ul style="list-style-type: none"> - Collateral - Interest
Position Status	Shows the status of the Cash Collateral Position from the possible values: <ul style="list-style-type: none"> - Instructed - Settled
Amount	Shows the sum of the cash collateral amount for the Process Valuation Type and the Position Status..
Currency	Shows the currency of the amount that increases / decreases the Cash Collateral Position. Displayed format is: Max. 3 characters.
Process Valuation Type	Shows the Type of valuation process of the Cash Collateral Position from the possible values: <ul style="list-style-type: none"> - Cash Collateral Decrease - Cash Collateral Increase - Cash Collateral Interest Decrease - Cash Collateral Interest Increase
Position Nature	Shows the nature of the Cash Collateral Position from the possible values: <ul style="list-style-type: none"> - Initiation - Return
Movement Reference	Shows the reference of the movement that affects the Cash Collateral Position. Displayed format is: Max. 30 characters.
Being Cancelled	Shows whether the Cash Collateral Position is cancelled or not from the possible values: <ul style="list-style-type: none"> - Yes - No
Creation Date	Shows the date and time of the creation of the Cash Collateral Position. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Creation User Id	Shows the identification of the user that created the Cash Collateral Position.
Update Date	Shows the date and time of the last update of the Cash Collateral Position. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Updater User Id	Shows the identification of the user that made the last update of the Cash Collateral Position.
After selecting a record, the following buttons are displayed	
Button	Function
View	This function enables you to access to the detailed information of the selected Cash Collateral Position. Next screen: <ul style="list-style-type: none"> - <i>Cash Collateral Detailed Positions – Details</i> Screen.

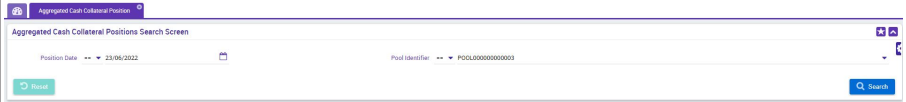
3.3.2.1.2.1.2 Cash Collateral Detailed Positions – Details screen

Context of Usage	This screen displays detailed information on the selected Cash Collateral Position. You can check the data and proceed further by clicking on the buttons.
Screen Access	<i>Collateral and Credit >> Pool Overview >> Positions >> Cash Collateral Position Details >> Click on the search button (after inputting the relevant data, if needed)>> Select a Cash Collateral Position >> Click on the View button</i>
Screenshot	

Cash Collateral Detailed Positions – Details Screen	
Instruction Details	
Field	Description
Cash Position Id.	Show the identifier of the cash collateral position.
Pool ID	Shows the identifier of the Pool. Displayed format is: Max. 30 characters
Position Date	Shows the reference date of the Cash Collateral Position. Displayed format is: DD/MM/YYYY
Position Type	Shows the Type of the Cash Collateral Position from the possible values: <ul style="list-style-type: none"> – Collateral – Interest
Position Status	Shows the status of the Cash Collateral Position from the possible values: <ul style="list-style-type: none"> – Instructed – Settled
Position Nature	Shows the nature of the Cash Collateral Position from the possible values: <ul style="list-style-type: none"> – Initiation – Return
Amount	Shows the sum of the cash collateral amount for the Process Valuation Type and the Position Status.
Currency	Shows the currency of the amount that increases / decreases the Cash Collateral Position. Displayed format is: Max. 3 characters.
Process Valuation Type	Shows the Type of valuation process of the Cash Collateral Position from the possible values: <ul style="list-style-type: none"> – Cash Collateral Decrease – Cash Collateral Increase – Cash Collateral Interest Decrease

	– Cash Collateral Interest Increase
Movement Reference	Shows the reference of the movement that affects the Cash Collateral Position. Displayed format is: Max. 30 characters.
Being Cancelled	Shows whether the Cash Collateral Position is cancelled or not from the possible values: – Yes – No
Foot Print	
Creation Date	Shows the date and time of the creation of the Cash Collateral Position. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Creation User Id	Shows the identification of the user that created the Cash Collateral Position.
Update Date	Shows the date and time of the update of the Cash Collateral Position. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Updater User Id	Shows the identification of the user that made the last update of the Cash Collateral Position.

3.3.2.1.2.2 Cash Collateral Aggregated Positions - Search Screen

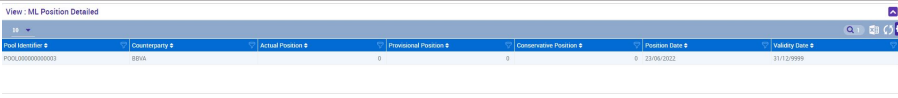
Context of Usage	<p>This screen is used to search Cash Collateral Aggregated Positions. It contains the fields that can be used as search criteria.</p> <p>The user captures the fields that correspond to its criteria for searching Cash Collateral Positions.</p> <p>If a field is left blank, the field is not taken into account as a search criteria.</p> <p>Once search criteria have been filled, by clicking on the search button, the list of instructions fulfilling the criteria will be displayed.</p>
Business Case related	<i>Search/List Cash Collateral Aggregated Positions</i>
Screen Access	<i>Collateral and Credit >> Pool Overview >> Positions >> Aggregated Positions >> Aggregated Cash Collateral Position</i>
Screenshot	

Cash Collateral Aggregated Positions – Search screen

Object	Description
Pool ID*	Enter the identifier of the Pool. Required format is: Max. 30 characters
Position Date*	Enter the reference date of the Cash Collateral Position or use the calendar icon. Required format is: DD/MM/YYYY
Button	Function
Reset	This function enables you to clear the form.

Search	<p>This function enables you to start a search according to the filled in criteria. The results are displayed in a list on the Cash Collateral Aggregated Positions - List Screen.</p> <p>Next screen:</p> <ul style="list-style-type: none"> – Cash Collateral Aggregated Position – List Screen.
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3.3.2.1.2.2.1 Cash Collateral Aggregated Positions - List Screen

Context of Usage	This screen contains the search results displayed in a list, which is sorted by the values of the 'Update Date' column in descending order (default setting). After selecting an entry, you can proceed further by clicking on the buttons below.
Business Case related	Search/List Cash Collateral Aggregated Positions
Screen Access	Collateral and Credit >> Pool Overview >> Positions >> Aggregated Positions >> Aggregated Cash Collateral Position >> Click on the search button (after inputting the relevant data, if needed)
Screenshot	

Cash Collateral Aggregated Positions – List screen	
Column	Description
Counterparty	Shows the RIAD Code that identifies the counterparty in the ECMS to whom the Cash Collateral Position belongs. Displayed format is: Max. 30 characters.
Pool ID	Shows the identifier of the Pool. Displayed format is: Max. 30 characters
Actual Position	Shows the effective quantity of cash in Euro on the Pool taking into account all confirmed cash collateral payments and the accrued interests.
Provisional Position	Shows the forecasted quantity of cash in Euro on the Pool taking into account all confirmed cash collateral payments and all the pending ones. and the accrued interests.
Conservative Position	Shows the conservative quantity of cash in Euro on the Pool (i.e. quantity that is can be counted as collateral) taking into account all confirmed cash collateral payments and all the pending ones for cash reimbursement. and the accrued interests.

3.3.2.1.3 Cash Collateral Interest

A Cash Collateral Accrued Interests represents the daily calculation of the accrued interest for cash mobilised as collateral in a Pool.

The ECMS keeps tracks of each daily calculation of the accrued interest.

Through the different screens described in this section, an NCB user can access to the details of the Cash Collateral Accrued Interest.

3.3.2.1.3.1 Cash Collateral Accrued Interest - Search Screen

Context of Usage	<p>This screen is used to search the calculated Cash Collateral Accrued Interests. It contains the fields that can be used as search criteria.</p> <p>The user captures the fields that correspond to its criteria for searching Cash Collateral Accrued Interests.</p> <p>If a field is left blank, the field is not taken into account as a search criteria.</p> <p>Once search criteria have been filled, by clicking on the search button, the list of instructions fulfilling the criteria will be displayed.</p>
Screen Access	Collateral and Credit >> Collateral >> Cash Collateral >> Cash Collateral Interest >> Monitor Cash Collateral Accrued Interests
Screenshot	

Cash Collateral Accrued Interest – Search screen	
Field	Description
Pool ID	Enter the identifier of the Pool. Required format is: Max. 30 characters
Calculation Date	Enter the date of calculation of the accrued interest or use the calendar icon. Required format is: DD/MM/YYYY
Movement Reference	Enter the reference of the cash collateral movement generated by the calculation of the accrued interests. Displayed format is: Max. 30 characters.
Update Date	Enter the reference date of the last update of the Cash Collateral Position or use the calendar icon. Required format is: DD/MM/YYYY hh:mm:ss:µs
Creation Date	Enter the reference date of the creation of the Cash Collateral Position or use the calendar icon. Required format is: DD/MM/YYYY hh:mm:ss:µs
Button	Function
Reset	This function enables you to clear the form.
Search	<p>This function enables you to start a search according to the filled in criteria. The results are displayed in a list on the Cash Collateral Accrued Interest - List Screen.</p> <p>Next screen:</p> <ul style="list-style-type: none"> – Cash Collateral Accrued Interest – List Screen.

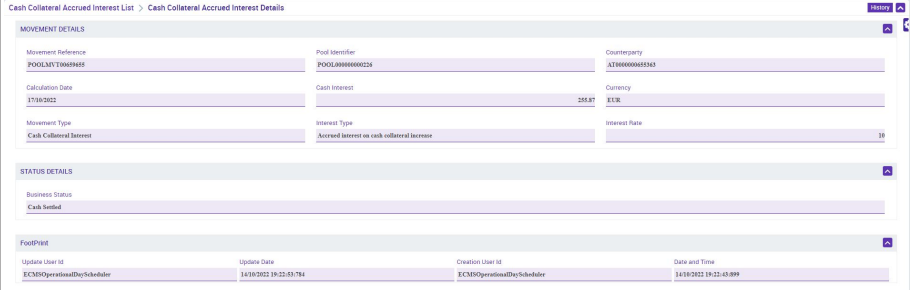
3.3.2.1.3.1.1 Cash Collateral Accrued Interest - List Screen

Context of Usage	<p>This screen contains the search results displayed in a list, which is sorted by the values of the 'Update Date' column in descending order (default setting). After selecting an entry, you can proceed further by clicking on the buttons below.</p>
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Screen Access	Collateral and Credit >> Collateral >> Cash Collateral >> Cash Collateral Interest >> Monitor Cash Collateral Accrued Interests >> Click on the search button (after inputting the relevant data, if needed)
Screenshot	

Cash Collateral Accrued Interest – List screen	
Column	Description
Counterparty	Shows the RIAD Code that identifies the counterparty in the ECMS to whom the Cash Collateral Position belongs. Displayed format is: Max. 30 characters.
Pool ID	Shows the identifier of the Pool. Displayed format is: Max. 30 characters
Calculation Date	Shows the date of calculation of the accrued interest or use the calendar icon. Displayed format is: DD/MM/YYYY
Movement Reference	Shows the reference of the movement that affects the Cash Collateral Position. Displayed format is: Max. 30 characters.
Interest Type	Shows the type of movement generated by the Accrued Interests from the possible value: – Cash Collateral Interest Decrease – Cash Collateral Interest Increase
Currency	Shows the currency of the Accrued Interest Amount. Displayed format is: Max. 3 characters.
Cash Interest	Shows the amount of the Accrued Interest calculated.
Interest Rate	Shows the rate that was used for the calculation of the accrued interests.
Creation Date	Shows the date and time of the creation of the Cash Collateral Position. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Creation User Id	Shows the identification of the user that created the Cash Collateral Position.
Update Date	Shows the date and time of the last update of the Cash Collateral Position. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Updater User Id	Shows the identification of the user that made the last update of the Cash Collateral Position.
After selecting a record, the following buttons are displayed	
Button	Function
View	This function enables you to access to the detailed information of the selected Cash Collateral Position. Next screen: – Cash Collateral Positions – Details Screen.

3.3.2.1.3.1.2 Cash Collateral Accrued Interest - Details Screen

Context of Usage	This screen displays detailed information on the selected Cash Collateral Accrued Interest. You can check the data and proceed further by clicking on the buttons.
Screen Access	Collateral and Credit >> Collateral >> Cash Collateral >> Cash Collateral Interest >> Monitor Cash Collateral Accrued Interests >> Click on the search button (after inputting the relevant data, if needed)>> Select a Cash Collateral Accrued Interest >> Click on the <i>View</i> button
Screenshot	

Cash Collateral Accrued Interest – Details Screen	
Accrued Interest Details	
Field	Description
Counterparty	Shows the RIAD Code that identifies the counterparty in the ECMS to whom the Cash Collateral Position belongs. Displayed format is: Max. 30 characters.
Pool ID	Shows the identifier of the Pool. Displayed format is: Max. 30 characters
Calculation Date	Shows the date of calculation of the accrued interest or use the calendar icon. Displayed format is: DD/MM/YYYY
Movement Reference	Shows the reference of the movement that affects the Cash Collateral Position. Displayed format is: Max. 30 characters.
Interest Type	Shows the type of movement generated by the Accrued Interests from the possible value: <ul style="list-style-type: none"> – Cash Collateral Interest Decrease – Cash Collateral Interest Increase
Currency	Shows the currency of the Accrued Interest Amount. Displayed format is: Max. 3 characters.
Cash Interest	Shows the amount of the Accrued Interest calculated.
Interest Rate	Shows the rate that was used for the calculation of the accrued interests.
Foot Print	
Creation Date	Shows the date and time of the creation of the Cash Collateral Position. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Creation User Id	Shows the identification of the user that created the Cash Collateral Position.
Update Date	Shows the date and time of the update of the Cash Collateral Position.

	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Updater User Id	Shows the identification of the user that made the last update of the Cash Collateral Position.

3.3.2.2 Externally Managed Collateral and impacts

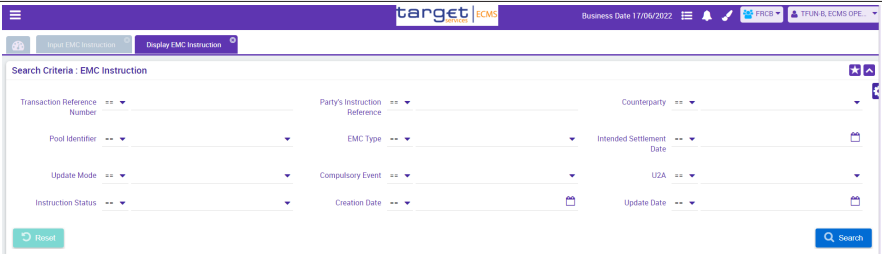
This process describes how the global value of a collateral position, not managed in the ECMS, is recorded in the ECMS. This functionality can only be used by NCB users or NCB systems, not directly by the Counterparty.

The update of an Externally Managed Collateral position is related to:

Reception of an A2A instruction from an External Actor and the message has successfully passed the general business validation checks, or;

Capturing the instruction via U2A and the instruction is validated.

3.3.2.2.1 EMC – Display Screen

Context of Usage	<p>This screen contains a number of search fields regarding the EMC of the Counterparty sent by the local CMS and shows the latest and stored searches. By inputting the relevant data, you can search for the EMC.</p> <p>Depending how the screen is accessed, it can be used to search the valid information of the EMC or the information that requires 4 eyes validation before they can be considered valid in the system.</p> <p>The search results will be displayed in a list. After selecting an entry, you can proceed further by clicking on the buttons below.</p>
Screen Access	<p><i>For valid information:</i></p> <p>Collateral and Credit >> Collateral and Credit >> Collateral >> Externally Managed Collateral >> Display EMC Instruction</p> <p><i>For information subject to 4 eyes validation:</i></p> <p>Collateral and Credit >> Validation / Rejection >> Validate / Reject EMC >> EMC Display</p>
Screenshot	

EMC – Search screen	
Field	Description
Transaction Reference Number	Unique identifier of the instruction in the ECMS
EMC Type	Type of EMC update requested by the NCB (CC, Individual ACC, Portfolio ACC, EFC, RMDB, ASTD, Other)
Counterparty	Enter/Select the unique identifier of the counterparty in the ECMS
Pool Identifier	Enter/Select the unique identifier of the pool in the ECMS

Update Mode	Enter/Select of the update mode ("Delta" or "Cancel & Replace")
Amount	Enter the amount
U2A	Enter/Select "Yes" or "No" (if No = to A2A)
Intended Settlement Date	Enter/Select the ISD (equal or posterior to the current ECMS instruction date)
Creation Date	Enter the creation date of the concerend EMC instruction
Update Date	Enter the update date of the concerend EMC instruction
Instruction Status	Shows the Instruction Status of the EMC instruction. Possiblle values are: <ul style="list-style-type: none"> - "Received", - "Validated", - "Rejected", - "Confirmed"
Button	Function
Reset	This function enables you to clear the form.
Search	This function enables you to start a search according to the filled in criteria. The results are displayed in a list on the List Screen.

3.3.2.2.2 EMC – List Screen

Context of Usage	<p>This screen contains the search results displayed in a list, which is sorted by the values of the 'Update Date' column in descending order (default setting). After selecting an entry, you can proceed further by clicking on the buttons below.</p> <p>Depending how the screen is accessed, it can be used to list the valid information of the EMC or the information that requires 4 eyes validation before they can be considered valid in the system.</p>
Screen Access	<p><i>For valid information:</i></p> <p>Collateral and Credit >> Collateral and Credit >> Collateral >> Externally Managed Collateral >> Display >> Click on the <i>Search</i> button (after inputting the relevant fields, if needed)</p> <p><i>For information subject to a 4 eyes validation:</i></p> <p>Collateral and Credit >> Validation / Rejection >> Validate / Reject EMC >> Cash Collateral Display >> Click on the <i>search</i> button (after inputting the relevant Reference Data, if needed)</p>
Screenshot	<p>The screenshot displays a table titled 'Search Result : EMC Instruction'. The table has the following columns: Transaction Ref, Party's Instruction, Pool Identifier, Identifier, EMC Type, Amount, Currency, Intended Settlement, Update Mode, Compulsory Ev, Instruction Status, Update Date, and Update User. The data rows show various transactions with amounts ranging from 0 to 100,000,000 EUR, all with an update date of 15/06/2022 and a status of 'Settled'.</p>

EMC – List Screen

Field	Description
Transaction Reference Number	Shows unique identifier of the instruction in the ECMS
Party's Instruction Reference	Shows the reference of the instruction given by the instructing Party
Pool Identifier	Shows the unique identifier of the pool id in the ECMS
Counterparty	Shows the unique identifier of the counterparty id in the ECMS
Amount	Shows the amount
Compulsory Event	Shows if the event is compulsory or not ("Yes" or "No")
EMC Type	Shows the type of EMC (CC, Individual ACC, Portfolio ACC, EFC, RMDB, ASTD, Other)
Update mode	Shows the update mode ("Delta" or Cancel & replace")
Instruction Status	Shows the internal ECMS Instruction Status
Intended Settlement Date	Shows the ISD (equal or posterior to the current ECMS instruction date)
Update Date	Shows the Date and Time Format required is: YYYY/MM/DD hh:mm:ss:µs
Creation Date	Shows the Date and Time Format required is: YYYY/MM/DD hh:mm:ss:µs
U2A	Shows "Yes" in case of U2A mode or "No" if A2A mode
After selecting a record, the following buttons are displayed	
Button	Function
History	This function enables you to access to the historical information of the EMC instruction. Next screen: - EMC - History Screen.
View	This function enables you to access to the detailed information of a EMC instruction. Next screen: - EMC - Details Screen.
If the screen is used in the 4 eyes validation context, the following buttons are also displayed when a record is selected	
Button	Function
Compare Draft	In case the 4 eyes validation is required because of an update of the record, this function enables the user to display the change that needs to be validated. Next screen: EMC - History Screen.
View Draft	This function enables the user to view the draft record to be validated. Next screen: EMC - Details Screen.
Edit	This function allows the user to edit and modify the draft. Next screen:

	EMC – Edit Screen.
Validate	This function allows the user to validate the creation or update of the record so that they can be considered valid in the system
Reject	This function allows the user to reject the creation or update of the record. The draft will be deleted.

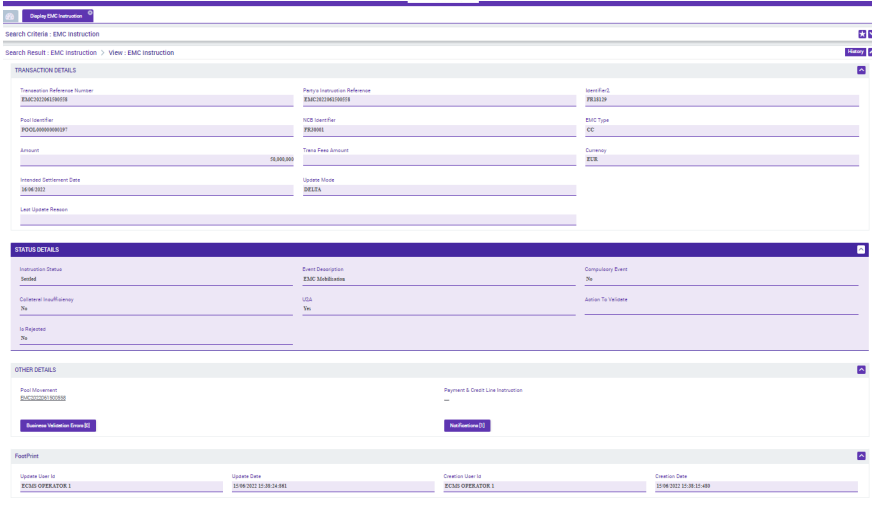
3.3.2.2.3 EMC – History Screen

Context of Usage	This screen displays historical information that has changed on the selected EMC instruction. You can check the data, including the user who made the changes, and proceed further by clicking on the buttons that are shown.
Screen Access	<ul style="list-style-type: none"> – Collateral and Credit >> Collateral >> Externally Managed Collateral >> Display >> Click on the Search button (after inputting the relevant fields, if needed) >> Select a Transaction Reference Number >> Click on the History button – Collateral and Credit >> Collateral >> Externally Managed Collateral >> Display >> Click on the Search button (after inputting the relevant fields, if needed) >> Select a Transaction Reference Number >> Click on the View button - Details Screen >> Click on the History Button
Screenshot	<p>The screenshot shows a table titled 'horizontalHistoryResult - EMC Instruction History'. It compares two states of an EMC instruction. The first state (left) has a creation date of 15/06/2022 15:38:24.861 and a validation status of 'Settled'. The second state (right) has a creation date of 15/06/2022 15:38:15.480 and a validation status of 'Waiting/Validation'. Other fields like Amount, Intended Settlement Date, and Transaction Reference Number are identical in both states.</p>

EMC – History Screen	
Object	Description
Fields	Shows the fields whose information have been updated since the creation of the selected EMC instruction.
Timestamp	Shows the date and time when the fields have been updated. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Button	Description

Compare	Shows the differences between the selected records whose data have been updated.
Expand All	Shows the expanded information of the fields whose information have been updated since the creation of the selected EMC.
Show All	Shows the information that existed before each modification of all the fields regarding the selected EMC.

3.3.2.2.4 EMC – Details Screen

Context of Usage	This screen displays detailed information of the selected EMC instruction.
Screen Access	Collateral and Credit >> Collateral and Credit >> Collateral >> Externally Managed Collateral >> Display EMC Instruction >> Click on the <i>Search</i> button >> Select an EMC Instruction and click on the <i>View</i> button
Screenshot	 <p>The screenshot displays the 'EMC Details' screen. It is divided into several sections: 'TRANSACTION DETAILS' with fields for Transaction Reference Number, Party's Instruction Reference, Identif No, Pool Identifier, ICC Identif, EMC Type, Amount, Trade Price Amount, and Currency; 'STATUS DETAILS' with fields for Instruction Status, Event Description, Compulsory Event, Collateral Involvement, UGA, and Action To Initiate; and 'OTHER DETAILS' with fields for Pool Identifier, Payment ID, Create User ID, Update Date, and Creation Date.</p>

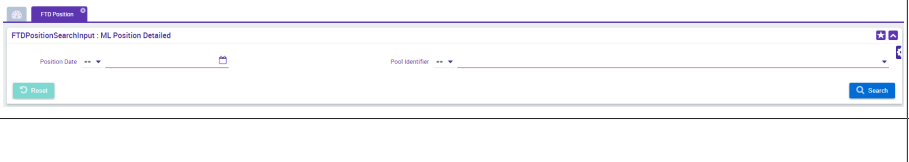
EMC – Details screen	
Button	Description
History	This function enables you to access to the historical information of a EMC instructions. Next screen: – EMC – History Screen.
General Information	
Field	Description
Transaction Reference Number	Shows unique identifier of the instruction in the ECMS
Party's Instruction Reference	Shows the reference of the instruction given by the instructing Party
Instructing Party	Shows the instructing party Id that initiated the instruction
Pool Identifier	Shows the unique identifier of the pool id in the ECMS
Counterparty Identifier	Shows the unique identifier of the counterparty id in the ECMS
Counterparty name	Shows the short name of the Counterparty.

NCB Amount	Shows the amount
Compulsory Event	Shows if the event is compulsory or not (“Yes” or “No”)
EMC Type	Shows the type of EMC (CC, Individual ACC, Portfolio ACC, EFC, RMDB, ASTD, Other)
Instruction Status	Shows the internal ECMS Instruction Status
Intended Settlement Date	Shows the ISD (equal or posterior to the current ECMS instruction date)
Action to Validate	Shows the action to validate
Is Rejected	Shows Boolean value Yes or No
U2A	Shows “Yes” in case of U2A mode or “No” if A2A mode
Footprint	
Creation Date	Shows the date and time of the creation of the EMC. Displayed format is: YYYY/MM/DD hh:mm:ss:µs.
Creation User Id	Shows the identification of the user that created the EMC.
Update Date	Shows the date and time of the update of the EMC. Displayed format is: YYYY/MM/DD hh:mm:ss:µs.
Updater User Id	Shows the identification of the user that made the last update of the EMC.

3.3.3 Monetary Policy Operations


3.3.3.1 MPO Expected Payments Screens

3.3.3.1.1 MPO Expected Payments – search Screen

Context of Usage	This screen contains a number of search fields. By inputting the relevant data, you can search for the Expected payments related to Monetary Policy Operations. The search results will be displayed in a list. After selecting an entry, you can proceed further by clicking on the buttons that are shown.
Business Case related	
Screen Access	Collateral and Credit >> Reporting >> Credit Operations >> MPO Expected Payments
Screenshot	
MPO Expected payments – Search screen	
Field	Description
Counterparty RIAD code	Enter/select the counterparty RIAD code.
Pool identifier	Enter/select the pool identifier.

Operation Reference	Enter the operation reference.
Operation Type	Enter/select the operation type.
Principal or Interest Indicator	Enter/select the principal or interest indicator. Possible values: – Principal – Interest
Intended Payment Date	Enter/select the intended payment date.
Button	Function
Reset	This function enables you to clear the form.
Search	This function enables you to start a search according to the filled in criteria. The results are displayed in a list on the List Screen. Next screen: – <i>MPO Expected payments – List Screen</i>

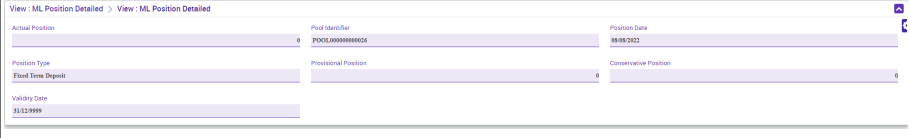
3.3.3.1.2 MPO Expected Payments – List Screen

Context of Usage	This screen contains the search results displayed in a list, which is sorted by the values of the 'Update Date' column in descending order (default setting). After selecting an entry, you can proceed further by clicking on the buttons below.
Business Case related	
Screen Access	Collateral and Credit >> Reporting >> Credit Operations >> MPO Expected Payments >> Click on the search button (after inputting the relevant Reference Data, if needed)
Screenshot	

MPO Expected payments – List Screen	
Field	Description
Payment reference	Shows the reference of the payment
Internal Reference ID	Shows the internal reference ID.
Operation Reference	Shows the reference of the operation
Operation Type	– Shows the operation type.

Counterparty RIAD code	– Shows the counterparty RIAD code.
Pool identifier	– Shows the identifier that uniquely identifies the Pool of the counterparty.
NBC identifier	Shows the identifier that uniquely identifies the NCB.
Amount	Shows the outstanding amount of the expected payment.
Currency	Shows the currency of the expected payment.
Intended Payment Date	Shows the date on which the cash payment will be made and the security will be debited. Displayed format is: DD/MM/YYYY.
Principal or interest indicator	Shows the principal or interest indicator. Possible values: – Principal – Interest
Netting indicator	Shows the netting indicator that distinguish the netted payment and the non netted payments. Possible values: – Yes – No.
Netting payment ID	Shows the netting payment identifier.
Sign	Shows the sign. Possible values: – In – Out
Credit Account	Shows the credit account.
Debitor Account	Shows the debitor account.
Event Description	Shows the event description.
Payment status	Shows the payment status.
After selecting a record, the following buttons are displayed	
Button	Function
View	This function enables you to access to the detailed information of an expected payment related to monetary policy operations. Next screen: – <i>MPO Expected payments – Details</i> Screen.

3.3.3.1.3 MPO Expected Payments – Details Screen

Context of Usage	This screen displays detailed information on the selected expected payment related to monetary policy operations. You can check the data and proceed further by clicking on the buttons.
Business Case related	
Screen Access	Collateral and Credit >> Reporting >> Credit Operations >> MPO Expected Payments >> Click on the search button (after inputting the relevant Reference Data, if needed) >> Select an expected payment >> Click on the <i>View</i> button
Screenshot	

MPO Expected payments – Details Screen	
Field	Description
Payment reference	– Shows the reference of the payment
Internal Reference ID	Shows the internal reference ID.
Counterparty RIAD code	– Shows the counterparty RIAD code.
Pool identifier	– Shows the identifier that uniquely identifies the Pool of the counterparty.
NBC identifier	Shows the identifier that uniquely identifies the NCB.
Amount	Shows the outstanding amount of the expected payment.
Currency	Shows the currency of the expected payment.
Intended Payment Date	Shows the date on which the cash payment will be made and the security will be debited. Displayed format is: DD/MM/YYYY.
Operation Reference	Shows the reference of the operation
Operation Type	Shows the operation type.
Principal or interest indicator	Shows the principal or interest indicator. Possible values: – Principal – Interest
Sign	Shows the sign. Possible values: – In – Out
Credit Account	Shows the credit account.

Debitor Account	Shows the debitor account.
Netting indicator	Shows the netting indicator that distinguish the netted payment and the non netted payments. Possible values: – Yes – No.
Netting payment ID	Shows the netting payment identifier.
Event Description	Shows the event description.
Payment status	Shows the payment status.
Foot Print	
Creation Date	Shows the date and time of the creation of the expected payment. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Creation User Id	Shows the identification of the user that created the expected payment.
Update Date	Shows the date and time of the update of the expected payment. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Updater User Id	Shows the identification of the user that made the last update of the expected payment.

3.3.3.2 Open Market Operations

The following sections describes the screens for the handling of Liquidity Providing Operations in EUR and in currency.

3.3.3.2.1 Open Market Operation – Screens

3.3.3.2.1.1 Open Market Operation – Search Screen

Context of Usage	This screen is used to enter criteria to retrieve the list of operations meeting the entered criteria. The screen contains a number of search fields and shows the latest and stored performed searches. By inputting the relevant data you can search for all operations that fit the input criteria. The search results will be displayed in a list. When selecting a row, you can proceed further by clicking one of the buttons that are shown Depending how the screen is accessed, it can be used to search the valid information of the Open Market Operation or the information that requires 4 eyes validation before they can be considered valid in the system.
Screen Access	<i>For valid information :</i> Collateral and Credit >> Exposure >> Open Market Operations >> Operations >> Display OMO Instruction <i>For information subject to 4 eyes validation:</i>

	Collateral and Credit >> Exposure >> Open Market Operations >> Validate / Reject Operations
Screenshot	

Operations – Search screen

General Information

Field	Description
OMORefNo	Enter the OMO reference number that uniquely identifies the operation in the ECMS. Required Format: YYYYnnnn
Internal Reference ID	Enter the technical reference number that uniquely identifies the operation in the ECMS
Pool Identifier	Enter the pool Identifier
Bidder ID	Enter the counterparty identifier/ Name or retrieve it with the magnifying glass
Segregated Operation Name	Enter the operation type you are looking for. List of possible values : <ul style="list-style-type: none"> - LTRO - TLTRO-I - TLTRO-II - TLTRO-III - TLTRO-IV
FX Currency	Enter/select the currency of the operation
Allotment Date	Enter a date or use the calendar icon to select one Required format is: DD/MM/YYYY.
Settlement Date	Enter a date or use the calendar icon to select one Required format is: DD/MM/YYYY.
Maturity Date	Enter a date or use the calendar icon to select one Required format is: DD/MM/YYYY.
Auction type	Enter/select the auction type from the drop-down menu one of the following values : <ul style="list-style-type: none"> - "Fixed Rate Tender" - "Variable Rate Tender"
To be netted	Yes / No
Netting Reference	Enter the application reference number that uniquely identifies the netting result in the ECMS.
Allotment Status	Possible values: <ul style="list-style-type: none"> - Cancelled - Closed

	<ul style="list-style-type: none"> - Waiting Manual Intervention - Margin Release - Margin Reservation - Partially Reimbursed - Pending Closure - Pending Settlement - Rejected - Settled - Validated - Waiting Validation
Is Amended	Enter Yes or No to display amended instructions
U2A	Enter Yes or No to display U2A instruction
Update date	Enter a date or use the calendar icon to select one Required format is: DD/MM/YYYY.
Button	Function
Reset	This function enables you to clear the form.
Search	This function enables you to start a search according to the filled in criteria. The results are displayed in a list on the List Screen.

3.3.3.2.1.1.1 Open Market Operation – List Screen

Context of Usage	<p>This screen gives the list of operations resulting from the request entered in the display screen after clicking on the button Search. The screen Search is displayed below the query.</p> <p>Depending how the screen is accessed, it can be used to search the valid information of the Open Market Operation or the information that requires 4 eyes validation before they can be considered valid in the system</p>
Screen Access	<p><i>For valid information:</i></p> <p>Collateral and Credit >> Exposure >> Open Market Operations >> Operations >> Display OMO Instruction >> Click on the Search button (after inputting the relevant fields, if needed)</p> <p><i>For information subject to 4 eyes validation:</i></p> <p>Collateral and Credit >> Exposure >> Open Market Operations >> Validate / Reject Waiting Validation >> Click on the Search button (after inputting the relevant fields, if needed)</p>
Screenshot	<p>The screenshot shows a table with the following columns: ID, Internal Ref, MP Operation, Segregated, Pool Identifier, Bidder ID, Counterparty, NCS Identifier, CLM/CR Acco, Allotment Date, Settlement Date, Maturity Date, Normal, Initial Margin, Currency, FX Rate, FX Currency, and FX Amount. The table contains several rows of data, including entries for 'ST570001', 'ANMR0001', 'ANMR0002', 'ANMR0003', 'ANMR0004', 'URLTR0001', 'URLTR0002', 'URLTR0003', 'URLTR0004', and 'URLTR0005'.</p>

Operations – List screen	
General Information	
Field	Description
Internal Reference ID	Shows the reference number that uniquely identifies the operation.
OMORefNo	Shows the OMO reference number that uniquely identifies the operation in the ECMS.
Party's Instruction Reference	Identifier of an allotment given by the user in case of manual input
Bidder ID	Shows the identifier of the Counterparty
Counterparty short name	Shows the short name of the counterparty
Pool Identifier	Shows the identifier that uniquely identifies the Pool of the Counterparty.
Segregated Operation Name	This Field is fulfilled only when Operation Name is equal to Longer term Refinancing. Shows the Segregated Operation Name from the possible values : <ul style="list-style-type: none"> - LTRO - TLTRO-I - TLTRO-II - TLTRO-III - TLTRO-IV
Monetary Policy Operation Type	Shows the 'Monetary Policy operation type referenced on the allotment from the possible values <ul style="list-style-type: none"> - MRO - FTRO - LTRO - SRO - Non Euro LPO - TLTRO-I - TLTRO-II - TLTRO-III - TLTRO-IV
Currency	EUR (currency used in the pool)
Allotment Amount	Shows the amount of the OMO.
FX Currency	Shows the Currency when the operation is in foreign currency
FX Rate	Fx Rate used for the operation
FX Amount	Amount allotted in Foreign currency
Allotment date	Shows the date of the allotment
Settlement Date	Shows the business date at which the operation was/ will be settled
Maturity Date	Shows the business date at which the operation will mature.
To be netted	Yes / No

Netted payment ID	<p>Link reference to the global payment resulting from the netted payments.</p> <p>Technical field fed when the netting process (automatic or manual) is performed.</p> <p>Empty if the netting indicator is set to NO.</p>
Next Interest Calculation Date	Shows the next interest calculation date
Eligible to Early Repayment	Shows if the Operation is eligible to early repayment.
Instruction Status	Status of the operation
Netting Status	Shows the current status of the netting result.
Interest Rate*	Enter the interest Rate to apply for the calculation of the daily accrued interest
Action to validate	<p>Present only when the screen is used in the context of 4 eyes validations,</p> <p>Shows if the validation is required following a creation or an update of the record.</p>
Update User Id	Identifier of the last user updating the operation
Update Date	Date of the last update
After selecting a record, the following buttons are displayed (also available with click on right button)	
Button	Function
History	<p>This function enables you to access to the historical information of an operation.</p> <p>Next screen displayed under the list screen: <i>Operation – History</i> Screen.</p>
View	<p>This function enables you to access to the detailed information of an operation.</p> <p>Next screen displayed under the list screen: <i>Open Market Operation – detail</i> Screen.</p>
If the screen is used in the 4 eyes validation context, the following buttons are also displayed when a record is selected	
Button	Function
Compare Draft	<p>In case the 4 eyes validation is required because of an update of the record, this function enables the user to display the change that needs to be validated.</p> <p>Next screen: – <i>Open Market Operations – History</i> Screen.</p>
View Draft	<p>This function enables the user to view the draft record to be validated.</p> <p>Next screen: – <i>Open Market Operations – Details</i> Screen.</p>
Validate	This function allows the user to validate the creation or update of the record so that they can be considered valid in the system
Reject	This function allows the user to reject the creation or update of the record. The draft will be deleted.

3.3.3.2.1.1.2 Open Market Operation – History screen

Context of Usage	This screen displays historical information that has changed on the selected Instruction. You can check the data and proceed further by clicking on the buttons that are shown.
Screen Access	<ul style="list-style-type: none"> – Collateral and Credit >> Exposure >> Open Market Operations >> Operation >> Display OMO instruction >> Click on the Search button (after inputting the relevant fields, if needed) >> Select an instruction >> Click on the History Button – Collateral and Credit >> Exposure >> Open Market Operations >> Operations >> Display OMO Instruction >> clicking on Search button >> Select an instruction >> Click on the View History
Screenshot	

OMO-Instruction – History Screen	
Field	Description
Fields	Shows the fields whose information have been updated since the creation of the selected instruction.
Timestamp	Shows the date and time when the fields have been updated. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Button	Description
Compare	Shows the differences between the selected records whose data have been updated.
Expand All	Shows the expanded information of the fields whose information have been updated since the creation of the selected Party.
Show All	Shows the information that existed before each modification of all the fields regarding the selected Party.
Collapse All	Collapse the expanded information of the fields that have been updated since the creation of the selected OMO-instruction.
Show Differences Only	Shows only the fields whose information have been updated since the creation of the selected OMO instrution.

3.3.3.2.1.1.3 Open Market Operation --Details screen

Context of Usage	This screen gives a view of selected Open Market Operations resulting from the request
Screen Access	– Collateral and Credit >> Exposure >> Open Market Operations >> Operations >> Display OMO Instruction >> clicking on Search button>> Select an instruction>> <i>Click on the View Button</i>
Screenshot	

OMO-Operations- OMO instruction – Details Screen	
Button	Description
History	This function enables you to access to the historical information of an Early repayment instruction. Next screen: – <i>OMO Instruction – History Screen.</i>
Operation Details	
Field	Description
OMORefNo	Application reference number that uniquely identifies the operation in the ECMS.
Monetary Policy Operation Type	Shows the 'Monetary Policy operation type referenced on the allotment from the possible values – MRO – FTRO – LTRO – SRO – Non Euro LPO – TLTRO-I – TLTRO-II – TLTRO-III – TLTRO-IV
Segregated Operation Name	This Field is fulfilled only when Operation Name is equal to Longer term Refinancing. Shows the Segregated Operation Name from the possible values: – LTRO – TLTRO-I

	<ul style="list-style-type: none"> - TLTRO-II - TLTRO-III - TLTRO-IV
Operation Type	<p>Values:</p> <ul style="list-style-type: none"> - Standard Tender - Quick Tender - Bilateral procedure
Allotment Method	<ul style="list-style-type: none"> - Single Rate Auction - Multiple Rates Auction
Operation Name	<p>List of values:</p> <ul style="list-style-type: none"> - Main Refinancing - Longer-term Refinancing - Fine-tuning - Structural - Other
TransactionType	Transaction type, which can take one of the following values: "Reverse Transactions", "Fixed-Term Deposits" or "Repayment".
Interest Rate	Current interest rate of the operation
Spread	Spread to be applied to the interest rate
Currency	EUR (currency used in the pool)
FX Currency	Shows the Currency when the operation is in foreign currency
Reference Entity	This field is free text in TOP. It defines the underlying interest rate, e.g. average MRO rate used for the computation of the applicable interest rate. This field requires the selection of 'Spread' in the field 'Quotation'.
Settlement Date	Displayed format: DD/MM/YYYY
Maturity Date	Displayed format: DD/MM/YYYY.
Duration of Operation	Number of days between the Value Date and the Maturity Date.
Allotment Details	
Internal Reference ID	Technical reference of the allotment given by the system
Bidder ID	Shows the identifier of the Counterparty
NCB Identifier	Counterparty's NCB. The ISO-country code is the SenderReference in the TOP file.
Instruction Status	Shows the status of the OMO instruction.
Nominal	Shows the amount of the OMO instruction.
Allotment Amount	Shows the amount of the OMO.
FX Amount	Amount allotted in Foreign currency
InitialMargin	<p>Value of initial margin calculated by applying the initial margin rate (according to the Initial Margin Rate table) to the AllotmentAmount.</p> <p>For non-euro operations lasting more than one week, the initial margin value is revalued every week after the revaluation of the outstanding amount with the value of the exchange rate provided by MID.</p>

Externally Covered Amount	Part of the allotment covered by an amount of collateral not in ECMS
Eligible to Early Repayment	Yes or No
Is fully Early repaid	Yes or No
Preliminary Collateral Insufficiency	Shows that this Allotment instruction has created an insufficiency of collateral Values : Yes: There is not enough collateral to include this movement in CL calculation. No: There is enough collateral to include this movement in CL calculation.
Operation Amounts in EUR	
Margin Amount	Shows the amount of the Initial Margin
Accrued interest at Maturity	Calculated interest to be paid on maturity date
Collateral Amount Needed	Nominal – Externally Covered Amount
Provisional Maturity Amount	Amount of collateral needed on maturity date
Remaining Amount To Return	Outstanding amount of the allotment to reimburse
Reimbursed Amount	Amount reimbursed due to early repayment
Operation Amounts in original Currency	
Fx Currency	Shows the Currency when the operation is in foreign currency
Fx Rate	Shows the currency Fx rate used for the operation
Fx amount	Shows the amount allotted in foreign currency
Interest	
Day Basis	Method used for the interest calculation (e.g. Actual On 360)
Accrued Interest	Accrued interest amount.
Externally Covered Interest	Part of interest covered externally. This field is filled in if externally covered amount is different from 0.
Last Interest Calculation Date	Last time the process of interest calculation ran. Displayed format: DD/MM/YYYY
Next Interest Calculation Date	Next time the process of interest calculation will run. Displayed format: DD/MM/YYYY
Footprint	
Update User Id	Shows the identification of the user that made the last update.
Update Date	Shows the date and time of the Early Repayment last update. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Creation User Id	Shows the identification of the user that created the data.
Creation Date	Shows the date and time of the Early Repayment creation. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.

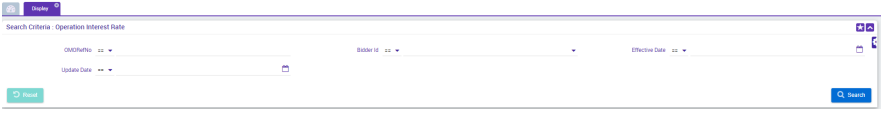
Payments Details	
Button	Movement Details
To be netted	Yes or No
Netted payment ID	Link reference to the global payment resulting from the netted payments. Technical field fed when the netting process (automatic or manual) is performed. Empty if the netting indicator is set to NO.
Netting Status	Shows the current status of the netting result.
Display Movements	
App reference	Shows the reference number that uniquely identifies the movement
OMORefNo	Shows the OMO reference number that uniquely identifies the operation in the ECMS.
Bidder ID	Shows the Identifier of the Counterparty
Pool Reference	Shows the Identifier that uniquely identifies the Pool of the Counterparty.
Amount	Shows the Movement Interest Amount
Intended payment date	Shows the Intended payment Date of Initiation movement Format : DD/MM/YYYY
Modification reason	Shows the modification reason related to the interest movement
Event description	Event type
Collateral Insufficiency	Shows the indicator of collateral insufficiency
Status	Shows the status of the movement
Updater user Id	Shows the identification of the user that made the last update
Update date	Shows the date and time of the last update. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Initiation & Return	
Button	Movements Details
Display Movement	
App reference	Shows the reference number that uniquely identifies the movement
OMORefNo	Shows the OMO reference number that uniquely identifies the operation in the ECMS.
Bidder ID	Shows the Identifier of the Counterparty
Pool Reference	Shows the Identifier that uniquely identifies the Pool of the Counterparty.
Amount	Shows the Movement Amount
Intended settlement date	Shows the Intended settlement Date movement Format : DD/MM/YYYY
Modification reason	Shows the modification reason related to the movement
Event description	Event type
Collateral Insufficiency check	Shows the indicator of collateral insufficiency
Status	Shows the status of the movement

Updater user Id	Shows the identification of the user that made the last update
Update date	Shows the date and time of the last update. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Button	Position Details
Search Result : Cash Position	
Bidder ID	Shows the Identifier of the Counterparty to whom the cash collateral position belongs
Process reference	Shows the reference number that uniquely identifies the operation
Pool Identifier	Shows the Identifier that uniquely identifies the Pool of the Counterparty.
Position Date	Shows the Date of the Cash Collateral Position Displayed format is: DD/MM/YYYY hh:mm:ss:µs
Position Status	Shows the status of the Cash Collateral Position from the possible values : <ul style="list-style-type: none"> - Instructed - Settled
Book Amount	Shows the book amount of the Cash Collateral Position
Margin Amount	Shows the amount of the initial margin
Amount	Shows the amount of the Cash Collateral Position
Currency	Shows the currency of the Cash Collateral Position
Position Type	Shows the type of the Cash Collateral position from the possible value : <ul style="list-style-type: none"> - Collateral - Interest
Validity Date	
Update Date	Shows the reference date and time of the last update of the cash collateral position. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Update User Id	Shows the identification of the user that made the last update
Creation Date	Shows the reference date and time of the creation of the Cash Collateral Position. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Creator User Id	Shows the identification of the user that made the creation
Process Valuation Type	Shows the Type of valuation process of the Cash Collateral Position from the possible values: <ul style="list-style-type: none"> - Cash Collateral Decrease - Cash Collateral Increase - Cash Collateral Interest Decrease - Cash Collateral Interest Increase
Position Nature	Shows the nature of the Cash Collateral Position from the possible values: <ul style="list-style-type: none"> - Initiation - Return

Being cancelled	Shows whether the Cash Collateral Position is cancelled or not from the possible values: - Yes - No
Business Validation Error	
Error Message	Shows the error reason in case of incomplete instruction.
His rule ID	Has Incomplete Status Identifier.
Status	Shows the status of the early repayment instruction.

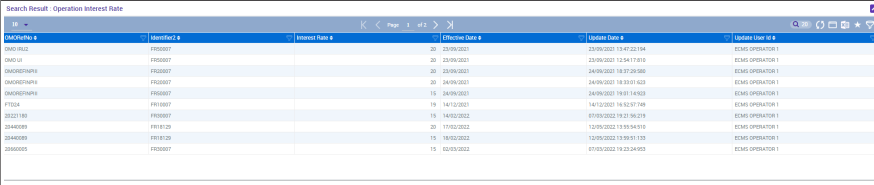
3.3.3.2.2 Open Market Operation – Interest Rates screens

3.3.3.2.2.1 Open Market Operation – Interest Rate Search Screen

Context of Usage	This screen is used to enter criteria to retrieve the list of interest rates input manually. The screen contains a number of searches fields and shows the latest and stored performed searches. By inputting the relevant data, you can search for all interest rates that fit the input criteria. The search results will be displayed in a list. When selecting a row, you can proceed further by clicking one of the buttons that are shown
Screen Access	Collateral and Credit >> Exposure >>OMO Interest Rates >> Display
Screenshot	


Interest Rates – Search screen	
Search Criteria : Operation Interest Rate	
Field	Description
Internal Reference ID	Enter the Transaction Reference Number that uniquely identify the allotment in ECMS. (This identifier is called “internal Reference ID” in the Open market Operation screens).
Interest Rate	Enter the interest rate to be used to calculate the daily accrued interest for the selected operation.
Effective Date	Enter the date from which the input interest rate is applicable regardless of the input date.
Update Date	Shows the update date filled in automatically
Button	Function
Reset	This function enables you to clear the form.
Search	This function enables you to start a search according to the filled in criteria. The results are displayed in a list on the List Screen.

3.3.3.2.2.2 Open Market Operation – Interest Rates List Screen

Context of Usage	This screen gives the list of interest rates input manually and resulting from the request entered in the display screen after clicking on the button Search. The screen Search is displayed below the query.
Screen Access	Collateral and Credit >> Exposure >>OMO Interest Rates >> Display >> Click on the Search button (after inputting the relevant fields, if needed)
Screenshot	

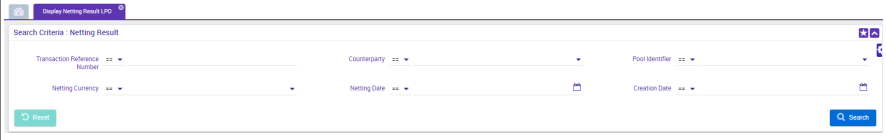
Interest Rates – List screen	
Search Result : Operation Interest Rate	
Field	Description
Internal Reference ID	Shows the Transaction Reference Number that uniquely identify the operation in ECMS.
Interest Rate	Shows the interest rate to be used to calculate the daily accrued interest for a given operation.
Effective Date	Shows the date from which the input interest rate is applicable regardless of the input date.
Is Rejected	A Boolean showing whether the input interest rate is rejected or not: Yes or No
Rejection Reason	Shows in case of rejection the reason why the input interest rate is rejected.
Update Date	Shows the date and time of the last update of the operation interest rate. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Update User Id	Shows the identification of the user that made the last update of the operation interest rate.
After selecting a record, the following buttons are displayed (also available with click on right button)	
Button	Function
History	This function enables you to access to the historical information of an operation. Next screen displayed under the list screen: <i>Operation – History Screen.</i>
View	This function enables you to access to the detailed information of an operation interest rate. Next screen displayed under the list screen: <i>Interest Rates – Details screen.</i>

3.3.3.2.2.3 Open Market Operation – Interest Rate Details Screen

Context of Usage	This screen displays detailed information of the selected interest rate related to an operation.
Screen Access	Collateral and Credit >> Exposure >> OMO Interest Rates >> Display >> Click on the <i>Search</i> button (after inputting the relevant fields, if needed) >> Select an Operation interest rate >> Click on the <i>View</i> button
Screenshot	
Interest Rate – Details screen	
View: Operation Interest Rate	
Field	Description
Internal Reference ID	Shows the transaction reference number that uniquely identifies the operation in the ECMS. Required format is: Max. 16 characters.
Effective Date	Shows the date from which the recorded interest rate is applicable regardless of the input date. Required/Displayed format is: DD/MM/YYYY.
Is Rejected	Shows if any input/update waiting for validation was already rejected. The possible values are: – Yes – No
Action To Validate	Shows if there is any input or update waiting for validation
Interest Rate	Shows the interest rate to be used to calculate the daily accrued interest for a given operation.
Footprint	
Creation Date	Shows the date and time of the input of the operation interest rate. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Creation User Id	Shows the identification of the user that created the interest rate.
Update Date	Shows the date and time of the last update of the operation interest rate. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Update User Id	Shows the identification of the user that made the last update of the operation interest rate.

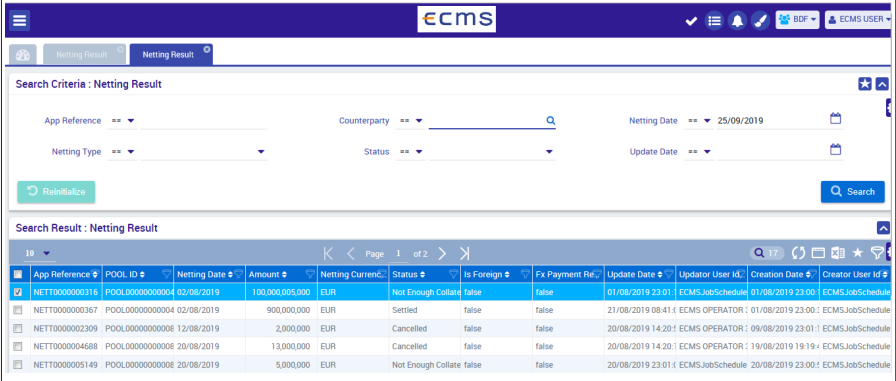
3.3.3.2.3 Open Market Operation – Netting Result screens

3.3.3.2.3.1 Open Market Operation – Netting Result Search Screen

Context of Usage	This screen is used to enter criteria to retrieve the list of netting results stemming from the netting process execution. The screen contains number of searches fields and shows the latest and stored performed searches. By inputting the relevant data, you can search for all netting results rates that meet the input criteria. The search results will be displayed in a list. When selecting a row, you can proceed further by clicking one of the buttons that are shown
Screen Access	Collateral and Credit >>Exposure >> LP Operations Netting >> Netting Result LPO
Screenshot	

Interest Rates – Search screen	
Search Criteria : Netting Result	
Field	Description
App Reference	Enter the application reference number that uniquely identifies the netting result in the ECMS.
Bidder ID	Enter the counterparty identifier or retrieve it with the magnifying glass
Pool Identifier	Shows the identifier that uniquely identifies the Pool.
Netting Date	Enter the date when the ECMS nets the operations and their corresponding payments into one single payment. The calendar icon can also be used. Required format is: DD/MM/YYYY
Netting Type	Enter/Select the type of netting result from the possible values: <ul style="list-style-type: none"> - LPO (MLOR included) - FTDASCOLLATERAL - FTD
Netting Currency	ISO-code of the currency e.g.: JPY.
Status	Enter/Select the status of the netting result
Update Date	Select the date and time of the last update of the netting result. The calendar icon can also be used Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Button	Function
Reset	This function enables you to clear the form.
Search	This function enables you to start a search according to the filled in criteria. The results are displayed in a list on the List Screen.

3.3.3.2.3.2 Open Market Operation – Netting Result List Screen

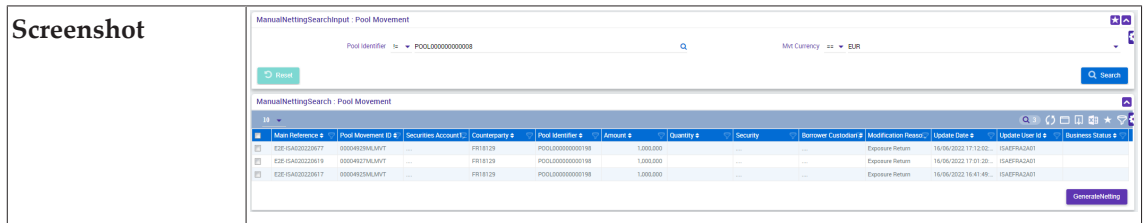
Context of Usage	This screen gives the list of netting results stemming from the netting process execution and resulting from the request entered in the display screen after clicking on the button Search, you can proceed further by clicking on the buttons below.																																																																								
Screen Access	Collateral and Credit >> Exposure >> LP Operations Netting >> Netting Result >> Click on the <i>search</i> button (after inputting the relevant Reference Data, if needed)																																																																								
Screenshot	 <p>The screenshot shows the ECMS interface for the 'Netting Result' screen. It includes a search criteria section with fields for App Reference, Counterparty, Netting Date, Netting Type, Status, and Update Date. Below the search criteria is a table with the following data:</p> <table border="1"> <thead> <tr> <th>App Reference</th> <th>POOL ID</th> <th>Netting Date</th> <th>Amount</th> <th>Netting Currency</th> <th>Status</th> <th>Is Foreign</th> <th>Fx Payment Re</th> <th>Update Date</th> <th>Updater User Id</th> <th>Creation Date</th> <th>Creator User Id</th> </tr> </thead> <tbody> <tr> <td>NETT0000000316</td> <td>POOL000000000004</td> <td>02/08/2019</td> <td>100,000,005,000</td> <td>EUR</td> <td>Not Enough Collat</td> <td>false</td> <td>false</td> <td>01/08/2019 23:01</td> <td>ECMS.JobSchedule</td> <td>01/08/2019 23:00</td> <td>ECMS.JobSchedule</td> </tr> <tr> <td>NETT0000000357</td> <td>POOL000000000004</td> <td>02/08/2019</td> <td>900,000,000</td> <td>EUR</td> <td>Settled</td> <td>false</td> <td>false</td> <td>21/08/2019 08:41</td> <td>ECMS OPERATOR</td> <td>01/08/2019 23:00</td> <td>ECMS.JobSchedule</td> </tr> <tr> <td>NETT0000002309</td> <td>POOL000000000006</td> <td>12/08/2019</td> <td>2,000,000</td> <td>EUR</td> <td>Cancelled</td> <td>false</td> <td>false</td> <td>20/08/2019 14:20</td> <td>ECMS OPERATOR</td> <td>09/08/2019 23:01</td> <td>ECMS.JobSchedule</td> </tr> <tr> <td>NETT0000004688</td> <td>POOL000000000006</td> <td>20/08/2019</td> <td>13,000,000</td> <td>EUR</td> <td>Cancelled</td> <td>false</td> <td>false</td> <td>20/08/2019 14:20</td> <td>ECMS OPERATOR</td> <td>19/08/2019 19:19</td> <td>ECMS.JobSchedule</td> </tr> <tr> <td>NETT0000005149</td> <td>POOL000000000006</td> <td>20/08/2019</td> <td>5,000,000</td> <td>EUR</td> <td>Not Enough Collate</td> <td>false</td> <td>false</td> <td>20/08/2019 23:01</td> <td>ECMS.JobSchedule</td> <td>20/08/2019 23:00</td> <td>ECMS.JobSchedule</td> </tr> </tbody> </table>	App Reference	POOL ID	Netting Date	Amount	Netting Currency	Status	Is Foreign	Fx Payment Re	Update Date	Updater User Id	Creation Date	Creator User Id	NETT0000000316	POOL000000000004	02/08/2019	100,000,005,000	EUR	Not Enough Collat	false	false	01/08/2019 23:01	ECMS.JobSchedule	01/08/2019 23:00	ECMS.JobSchedule	NETT0000000357	POOL000000000004	02/08/2019	900,000,000	EUR	Settled	false	false	21/08/2019 08:41	ECMS OPERATOR	01/08/2019 23:00	ECMS.JobSchedule	NETT0000002309	POOL000000000006	12/08/2019	2,000,000	EUR	Cancelled	false	false	20/08/2019 14:20	ECMS OPERATOR	09/08/2019 23:01	ECMS.JobSchedule	NETT0000004688	POOL000000000006	20/08/2019	13,000,000	EUR	Cancelled	false	false	20/08/2019 14:20	ECMS OPERATOR	19/08/2019 19:19	ECMS.JobSchedule	NETT0000005149	POOL000000000006	20/08/2019	5,000,000	EUR	Not Enough Collate	false	false	20/08/2019 23:01	ECMS.JobSchedule	20/08/2019 23:00	ECMS.JobSchedule
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Netting Results – List Screen	
Field	Description
App Reference	Shows the application reference number that uniquely identifies the netting result in the ECMS. Displayed format is: Max. 30 characters.
POOL IDENTIFIER	Shows the identifier that uniquely identifies the Pool of the Counterparty.
Bidder ID	Shows the identifier that uniquely identifies the Counterparty.
Counterparty short name	Shows the counterparty short name when the counterparty ID is filed in.
Netting Date	Shows the date when the ECMS nets the operations and their corresponding payments into one single payment. The calendar icon can also be used. Required format is: DD/MM/YYYY
Amount	Shows the netting amount.
Netting Currency	Shows the currency of the netting amount.
Status	Shows the current status of the netting result.
Is Foreign	Shows whether the netting result is belonging to OMO in EUR or in currency. Possible values are: – Yes – No
Fx Payment Received	Shows whether the FX Payment is received and confirmed or not. Possible values are: – Yes – No
Creation Date	Shows the date and time of the creation of the netting result. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Creation User Id	Shows the identification of the user that initiated the netting process and created the netting result.

Netting Currency	Shows the currency of the netting amount and corresponds to the currency of all operations netted together.
Netting Date	Shows the date when the ECMS nets the operations and their corresponding payments into one single payment. It corresponds to the SD or MD of operations that fits the current business date. Displayed format is: DD/MM/YYYY
Action To Validate	Shows if there is any input or update waiting for validation.
Error Description	Shows the error reason in case of failed Netting Result.
Status	Shows the current status of the Netting Result.
Netting Movement	Shows the application reference to uniquely identify the movement stemming from the netting of nominal for all OMOs involved in the netting result.
Footprint	
Creation Date	Shows the date and time of the Netting Result creation. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Creation User Id	Shows the identification of the user that created the Netting Result.
Update Date	Shows the date and time of the last update of the Netting Result. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Update User Id	Shows the identification of the user that made the last update of the Netting Result.
Button	Function
Maturing Early Repayments	This function enables you to consult the maturing early repayments involved in the netting result
Maturing Operations	This function enables you to consult the maturing operations involved in the netting result
Settling Operations	This function enables you to consult the settling operations involved in the netting result

3.3.3.2.3.4 Open Market Operation – LPO Manual Netting Screen

Context of Usage	This screen gives the list of expected payments that are subject to netting and whose settlement date is reached. These payments with payment date equal to the current business day are recovered according to the mandatory entry of search criteria. The screen contains number of mandatory searches fields and shows the latest and stored performed searches. Afterwards you can proceed further by clicking on the buttons below.
Screen Access	Collateral and Credit >> Exposure >> LPO Operations Netting >> Manual Netting >> Click on the <i>Search</i> button (after the mandatory entry of selection criteria) >> Select a set of expected payments that fit the entered search criteria >> Click on the <i>GenerateNetting</i> button



Netting– Manual Netting	
ManualNettingSearchInput: Pool Movement	
Field	Description
Bidder ID	Enter the Identifier that uniquely identify the counterparty
Pool identifier	Enter the identifier that uniquely identify the Pool of the Counterparty in the ECMS. The input is mandatory before performing the search.
Movement Currency	Enter the currency of the operations to be netted together manually.
Button	Function
Search	This function enables you to start a search according to the filled in criteria. The results are displayed in a list on the List Screen.
Reset	This function enables you to clear the form.
Manual Netting Search: Pool Movement	
Select all operations to be netted together and click on GenerateNetting button.	
Button	Description
History	This function enables you to access to the historical information of the expected payments. Next screen: <i>Open Market Operation – History Screen.</i>
GenerateNetting	This function enables you to generate netting only for the selected payments.
View	This function enables you to view details of selected payments.
Field	Description
Main Reference	Shows the technical reference number that uniquely identifies the OMO or the transaction reference that uniquely identifies the Marginal Lending in the ECMS.
App Reference	Shows the Application reference number that uniquely identifies the expected payment related to the operation in the ECMS.
Bidder ID	Shows the identifier of the Counterparty.
Pool identifier	Shows the identifier that uniquely identify the Pool of the Counterparty in the ECMS and that fit the selection criteria.
Amount	Shows the outstanding amount of the operation to settle or to reimburse whose settlement date is reached (included payments stemming from early repayment)
Movement Currency	Shows the currency of the expected payment that fit the selection criteria.
Business Status	Shows the current business status of the expected payment.
Update Date	Shows the date and time of the expected payment last update.

	Displayed format is: DD/MM/YYYY hh:mm:ss:µs
Update User Id	Shows the identification of the user that made the last update of the expected payment.
Creation Date	Shows the date and time of the expected payment creation. Displayed format is: DD/MM/YYYY hh:mm:ss:µs
Creation User Id	Shows the identification of the user that created the expected payment.

3.3.3.2.4 Open Market Operation –Fixed Term Deposit: FTD

3.3.3.2.4.1 Open Market Operation –Fixed Term Deposit -Search screen

Context of Usage	<p>This screen is used to enter criteria to retrieve the list of operations meeting the entered criteria.</p> <p>Depending how the screen is accessed, it can be used to search the valid information of the Open Market Operation or the information that requires 4 eyes validation before they can be considered valid in the system</p>
Screen Access	<p><i>For valid information:</i></p> <p>Collateral and Credit >>Collateral >> Fixed Term Deposit >> Display FTD Operation</p> <p><i>For information subject to 4 eyes validation:</i></p> <p>Collateral and Credit >>Collateral >> Fixed Term Deposit >> Validate / Reject Fixed Term Deposit</p>
Screenshot	

Fixed Term Deposit -Search	
Search Criteria : Fixed Term Deposit	
Field	Description
Internal Reference ID	Enter Identifier of an allotment given by the user or by the sender in case of A2A process. Max. XX characters.
OMORefNo	Enter the Application reference number that uniquely identifies the FTD operation in the ECMS. Required Format: YYYYnnnn
FTD Identifier	Enter the <u>technical</u> reference of FTD number that uniquely identifies the FTD instruction in the ECMS. Format :

	2 character ISO code of the country + 12 alphanumeric characters + 1 key number Max. 15 characters.
Pool identifier	Enter the Pool identifier.
Bidder ID	Enter the identifier of the Counterparty. Required format is: Max. XX characters.
Allotment Date	Enter the Allotment date of the instruction. Displayed format : DD/MM/YYYY
Settlement Date	Enter the Settlement date of the instruction. Displayed format : DD/MM/YYYY
Maturity Date	Enter the Maturity date of the instruction. Displayed format : DD/MM/YYYY
All Method	Enter the allotment method. Possible values: – Single Rate Auction – Multiple Rate Auction
Used as Collateral	Enter if used as collateral or not : – Yes: FTD is used as collateral – No : FTD is not used as collateral
Netting Reference	Enter the Netting Reference
To be Netted	Enter if the instruction has to be netted.
Allotment Status	Enter the status of the Fixed Term Deposit instruction.
Is Amended	Possible Values: – Yes – No
U2A	Enter if the instruction has been entered via U2A. Possible Values: – Yes – No
Update date	Enter the Date of the last update. Displayed format : DD/MM/YYYY hh:mm:ss:µs
Button	Function
Reset	This function enables you to clear the form.
Search	This function enables you to start a search according to the filled in criteria. The results are displayed in a list on the List Screen.

3.3.3.2.4.1.1 Open Market Operation –Fixed Term Deposit -List screen

Context of Usage	This screen gives the list of operations resulting from the request entered in the display screen after clicking on the button Search. The screen Search is displayed below the query.																																																																																																																																																																																																																																																										
Screen Access	<p><i>For valid information:</i></p> <p>Collateral and Credit >>Collateral >> Fixed Term Deposit >> Display FTD Operation >> Click on the Search button (after inputting the relevant fields, if needed)</p> <p><i>For information subject to 4 eyes validation:</i></p> <p>Collateral and Credit >>Collateral >> Fixed Term Deposit >> Validate / Reject Fixed Term Deposit >> Click on the Search button (after inputting the relevant fields, if needed)</p>																																																																																																																																																																																																																																																										
Screenshot	<p>The screenshot shows a search result for 'Fixed Term Deposit'. The table contains the following data rows:</p> <table border="1"> <thead> <tr> <th>OMORNo</th> <th>Internal</th> <th>Bidder ID</th> <th>Pool Id</th> <th>Counterparty</th> <th>NCB Id</th> <th>CLM CB</th> <th>Segreg</th> <th>Nominal</th> <th>Currency</th> <th>Allotment Date</th> <th>Settle Date</th> <th>Maturity Date</th> <th>Used As Collateral</th> <th>First Hit</th> <th>Accrued</th> <th>Last Hit</th> <th>Next Hit</th> <th>To Be</th> <th>Netting</th> <th>Netting</th> <th>Interest</th> <th>Substa</th> <th>Update</th> <th>Update</th> </tr> </thead> <tbody> <tr> <td>testAcc</td> <td>FTD002</td> <td>FR1000</td> <td>POOL00</td> <td>FR3000</td> <td>---</td> <td>---</td> <td>---</td> <td>1,850</td> <td>EUR</td> <td>15/06/22</td> <td>16/06/22</td> <td>17/06/2022</td> <td>No</td> <td>0.4</td> <td>0.00</td> <td>17/06/22</td> <td></td> <td>No</td> <td>NETT00</td> <td>Settled</td> <td>Termina</td> <td></td> <td>15/06/22</td> <td>STP</td> </tr> <tr> <td>testAcc</td> <td>FTD002</td> <td>FR1000</td> <td>POOL00</td> <td>FR3000</td> <td>---</td> <td>---</td> <td>---</td> <td>1,850</td> <td>EUR</td> <td>15/06/22</td> <td>16/06/22</td> <td>17/06/2022</td> <td>No</td> <td>0.4</td> <td>0.00</td> <td>17/06/22</td> <td></td> <td>No</td> <td></td> <td></td> <td>Termina</td> <td></td> <td>15/06/22</td> <td>STP</td> </tr> <tr> <td>FTD002</td> <td>FTD002</td> <td>FR1812</td> <td>POOL00</td> <td>FR3000</td> <td>---</td> <td>---</td> <td>---</td> <td>50,000</td> <td>EUR</td> <td>15/06/22</td> <td>16/06/22</td> <td>17/06/2022</td> <td>No</td> <td>10</td> <td>13,888</td> <td>17/06/22</td> <td></td> <td>No</td> <td></td> <td></td> <td>Termina</td> <td></td> <td>15/06/22</td> <td>STP</td> </tr> <tr> <td>OMORE</td> <td>FTD002</td> <td>FR1812</td> <td>POOL00</td> <td>FR3000</td> <td>---</td> <td>---</td> <td>---</td> <td>15,000</td> <td>EUR</td> <td>15/06/22</td> <td>15/06/22</td> <td>16/06/2022</td> <td>Yes</td> <td>10</td> <td>4,166.67</td> <td>16/06/22</td> <td></td> <td>No</td> <td></td> <td></td> <td>Termina</td> <td></td> <td>15/06/22</td> <td>STP</td> </tr> <tr> <td>TESTAC</td> <td>FTD002</td> <td>FR1000</td> <td>POOL00</td> <td>FR3000</td> <td>---</td> <td>---</td> <td>---</td> <td>1,950</td> <td>EUR</td> <td>15/06/22</td> <td>15/06/22</td> <td>16/06/2022</td> <td>Yes</td> <td>-0.5</td> <td>-0.03</td> <td>16/06/22</td> <td></td> <td>No</td> <td>NETT00</td> <td>Settled</td> <td>Termina</td> <td></td> <td>15/06/22</td> <td>STP</td> </tr> <tr> <td>testAcc</td> <td>FTD002</td> <td>FR3000</td> <td>POOL00</td> <td>FR3000</td> <td>---</td> <td>---</td> <td>---</td> <td>1,850</td> <td>EUR</td> <td>16/06/22</td> <td>16/06/22</td> <td>17/06/2022</td> <td>No</td> <td>-0.4</td> <td>0</td> <td>17/06/22</td> <td></td> <td>No</td> <td></td> <td></td> <td>Termina</td> <td></td> <td>15/06/22</td> <td>ECMS O</td> </tr> <tr> <td>TESTAC</td> <td>FTD002</td> <td>FR3000</td> <td>POOL00</td> <td>FR3000</td> <td>---</td> <td>---</td> <td>---</td> <td>1,950</td> <td>EUR</td> 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Id	Counterparty	NCB Id	CLM CB	Segreg	Nominal	Currency	Allotment Date	Settle Date	Maturity Date	Used As Collateral	First Hit	Accrued	Last Hit	Next Hit	To Be	Netting	Netting	Interest	Substa	Update	Update	testAcc	FTD002	FR1000	POOL00	FR3000	---	---	---	1,850	EUR	15/06/22	16/06/22	17/06/2022	No	0.4	0.00	17/06/22		No	NETT00	Settled	Termina		15/06/22	STP	testAcc	FTD002	FR1000	POOL00	FR3000	---	---	---	1,850	EUR	15/06/22	16/06/22	17/06/2022	No	0.4	0.00	17/06/22		No			Termina		15/06/22	STP	FTD002	FTD002	FR1812	POOL00	FR3000	---	---	---	50,000	EUR	15/06/22	16/06/22	17/06/2022	No	10	13,888	17/06/22		No			Termina		15/06/22	STP	OMORE	FTD002	FR1812	POOL00	FR3000	---	---	---	15,000	EUR	15/06/22	15/06/22	16/06/2022	Yes	10	4,166.67	16/06/22		No			Termina		15/06/22	STP	TESTAC	FTD002	FR1000	POOL00	FR3000	---	---	---	1,950	EUR	15/06/22	15/06/22	16/06/2022	Yes	-0.5	-0.03	16/06/22		No	NETT00	Settled	Termina		15/06/22	STP	testAcc	FTD002	FR3000	POOL00	FR3000	---	---	---	1,850	EUR	16/06/22	16/06/22	17/06/2022	No	-0.4	0	17/06/22		No			Termina		15/06/22	ECMS 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OMORNo	Internal	Bidder ID	Pool Id	Counterparty	NCB Id	CLM CB	Segreg	Nominal	Currency	Allotment Date	Settle Date	Maturity Date	Used As Collateral	First Hit	Accrued	Last Hit	Next Hit	To Be	Netting	Netting	Interest	Substa	Update	Update																																																																																																																																																																																																																																			
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Fixed Term Deposit – List screen	
Fixed Term Deposit	
Field	Description
OMORRefNo	Shows application reference number that uniquely identifies the FTD operation in the ECMS.
Internal Reference ID	Enter Identifier of an FTD mobilization given by the user in case of manual mobilization, by the sender in case of A2A process.
Bidder ID	Shows the identifier of the Counterparty.
Pool identifier	Shows the pool identifier.
Counterparty's MCA	Shows the Counterparty's MCA.
NCB identifier	Shows the NCB identifier.
CLM CB Account	Shows the CLM CB Account.
Segregated Operation Type	Shows the Segregated Operation Type.
Nominal	Shows FTD's instruction principal amount.
Currency	Shows the Currency of the instruction.
Allotment Date	Shows the Allotment date of the instruction. Displayed format : DD/MM/YYYY
Settlement Date	Shows the Settlement date of the instruction. Displayed format : DD/MM/YYYY
Maturity Date	Shows the Maturity date of the instruction. Displayed format : DD/MM/YYYY
Used as collateral	Shows Type of FTD : if used as collateral or not :

	<ul style="list-style-type: none"> – Yes: FTD is used as collateral – No : FTD is not used as collateral
Interest Rate	Shows the Interest Rate.
Accrued Interest Rate	Shows the Accrued Interest Rate.
Last Interest Calculation Date	Shows the Date of the last interest calculation date. Displayed format : DD/MM/YYYY
Next Interest Calculation Date	Shows the Date of the next interest calculation date. Displayed format : DD/MM/YYYY
To be Netted	Shows “Yes” if the instruction has to be netted, otherwise “No”.
Netting Reference	Shows the Netting reference if the instruction is netted.
Netting Status	Shows the Netting Status.
Allotment Status	Shows the status of the allotment
Status Reason	Shows the Status Reason.
Action to validate	Present only when the screen is used in the context of 4 eyes validations, Shows if the validation is required following a creation or an update of the record.
Update Date	Shows the Date of the last update. Displayed format : DD/MM/YYYY hh:mm:ss:µs
Update User Id	Shows the Identifier of the last user updating the operation
After selecting a record, the following buttons are displayed (also available with click on right button)	
Button	Function
History	This function enables you to access to the historical information of a FTD operation. Next screen displayed under the list screen: <i>Fixed Term Deposit – History Screen.</i>
View	This function enables you to access to the detailed information of an operation. Next screen displayed under the list screen: <i>Fixed Term Deposit – Details screen.</i>
If the screen is used in the 4 eyes validation context, the following buttons are also displayed when a record is selected	
Button	Function
Compare Draft	In case the 4 eyes validation is required because of an update of the record, this function enables the user to display the change that needs to be validated. Next screen: – Fixed term Deposit – <i>History Screen.</i>
View Draft	This function enables the user to view the draft record to be validated. Next screen: – Fixed term Deposit – <i>Details Screen.</i>

Validate	This function allows the user to validate the creation or update of the record so that they can be considered valid in the system
Reject	This function allows the user to reject the creation or update of the record. The draft will be deleted.

3.3.3.2.4.1.2 Open Market Operation –Fixed Term Deposit –History screen

Context of Usage	This screen displays historical information that has changed on the selected Instruction. You can check the data and proceed further by clicking on the buttons that are shown.
Screen Access	<ul style="list-style-type: none"> – Collateral and Credit >>Collateral >> Fixed Term Deposit >>Display>> Click on the Search button (after inputting the relevant fields, if needed)>> Select an instruction>> <i>Click on the History Button</i> – Collateral and Credit >>Collateral >> Fixed Term Deposit >>Display>> Click on the Search button (after inputting the relevant fields, if needed)>> <i>Click on the View Button</i>>> Click on the View History
Screenshot	<p>The screenshot shows a table titled 'horizontalHistoryResult : Fixed Term Deposit History'. The table has four columns representing different instructions. The first column is 'Fields', and the subsequent columns are 'FTID:0022061500261 2022-06-15 14:54:09.6713', 'FTID:0022061500261 2022-06-15 14:54:09.4902', and 'FTID:0022061500261 2022-06-15 14:54:09.3191'. The rows list various fields such as Instruction Status, Creation Date, Internal Reference ID, Can Modify, Coverage Rate, Exposure Class Name, Exposure Type, Generate Loan Schedule, Has Termination Date, Is Partially Reimbursed, Is Rejected, Loan, Loan Currency Pair, Margin Type, Note Contract, Related Contracts, Settlement Date, Accounting Rate, Accrued Accounting Interest, and Accrued Accounting Interest Currency.</p>

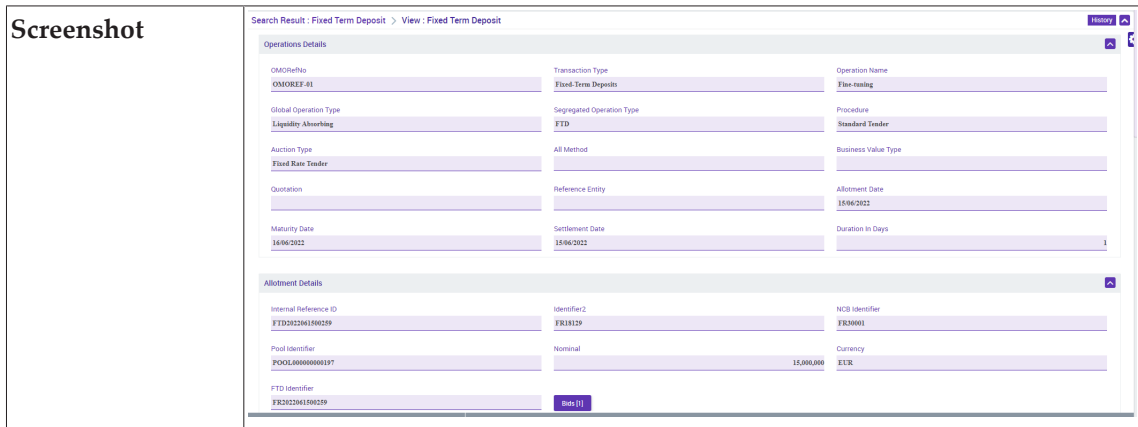
Fixed Term Deposit – History Screen	
Field	Description
Fields	Shows the fields whose information have been updated since the creation of the selected instruction.
Timestamp	Shows the date and time when the fields have been updated. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Accrued interest	Value of Accrued interest
Nominal	Shows FTD’s instruction principal amount.
Contract Value to Return	Shows FTD value to be reimbursed.
Next interest rate calculation Date	Date of next interest calculation date. Displayed format : DD/MM/YYYY
Pool identifier	Shows the identifier that uniquely identifies the Pool of the Counterparty.
Accrued interest at maturity	Shows expected value of accrued interest at maturity date

Provisional Maturity amount	Shows expected value of accrued interest at maturity date added to nominal amount to be reimbursed.
Status	Shows the status of the FTD instruction.
Used as collateral	Shows Type of FTD : if used as collateral or not : Yes: FTD is used as collateral No : FTD is not used as collateral
Update Date	Date of the last update. Displayed format : DD/MM/YYYY hh:mm:ss:µs
Button	Description
Compare	Shows the differences between the selected records whose data have been updated.
Expand All	Shows the expanded information of the fields whose information have been updated since the creation of the selected Party.
Show All	Shows the information that existed before each modification of all the fields regarding the selected Party.
Collapse All	Collapse the expanded information of the fields that have been updated since the creation of the selected TFD-instruction.
Show Differences Only	Shows only the fields whose information have been updated since the creation of the selected FTD instruction.

Fixed Term Deposit –History	
Field	Description
Internal reference ID	Enter an Identifier for the FTD mobilization Required format is: Max. XX characters.
OMORefNo	Enter application reference number that uniquely identifies the FTD operation in the ECMS. Required format is: Max. XX characters.

3.3.3.2.4.1.3 Open Market Operation –Fixed Term Deposit-Details screen

Context of Usage	This screen gives a view of selected Fixed Term Deposit instruction resulting from the request
Screen Access	– Collateral and Credit >>Collateral >> Fixed Term Deposit >>Display FTD Operation>> Click on the Search button (after inputting the relevant fields, if needed)>> Select an instruction>> <i>Click on the View Button</i>



Fixed Term Deposit –Details

OMO-Fixed Term Deposit– Details Screen

Button	Description
History	This function enables you to access to the historical information of a Fixed term Deposit Operation. Next screen: – FTD – History Screen.

Fixed Term Deposit

Field	Description
OMORefNo	Shows Application reference number that uniquely identifies the FTD operation in the ECMS.
Internal Reference ID	Shows Identifier of an FTD mobilization given by the user in case of manual mobilization, by the sender in case of A2A process. Max 16. Format ‘FT’DYYYYMMDDnnnnn’’
FTD Identifier	Shows the technical reference of FTD number that uniquely identifies the FTD instruction in the ECMS. Format : (2 character ISO code of the country + 12 alphanumeric characters + 1 key number Max. 15 characters.
Pool Identifier	Shows the identifier that uniquely identifies the Pool of the Counterparty.
Counterparty ID	Shows the identifier of the Counterparty
Pool Operation Type	Shows the Pool Operation type : “FTD”
Nominal	Shows FTD’s instruction principal amount.
Settlement Date	Settlement date of the instruction. Displayed format : DD/MM/YYYY
Maturity Date	Date of the Maturity date. Displayed format : DD/MM/YYYY

Interest Details

Field	Description
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Interest rate	Shows FTD interest rate
Accrued interest	Value of Accrued interest
Last interest Calculation Date	Date of last interest calculation date. Displayed format : DD/MM/YYYY
Next interest rate calculation Date	Date of next interest calculation date. Displayed format : DD/MM/YYYY
Movements Details	
Button	Description
Initiation	This function enables you to access to the initiation movement Next screen: <i>View : Fixed Term Deposit Initiation</i>
Return	This function enables you to access to the return movement Next screen: <i>View : Fixed Term Deposit Return</i>
Interest Initiation	This function enables you to access to the interest initiation movement Next screen: <i>View : Fixed Term Deposit Interest Initiation</i>
Interest Return	This function enables you to access to the interest return movement Next screen: <i>View : Fixed Term Deposit Return</i>
View : Fixed Term Deposit Initiation	
Field	Description
App Reference	Shows Application Reference of initiation movement
Currency	Shows the currency of initiation movement
Status	Shows status of initiation movement
View : Fixed Term Deposit Initiation Foot print	
Field	Description
Updater User Id	Shows the identification of the user that made the last update.
Update Date	Shows the date and time of the last update. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Creation User Id	Shows the identification of the user that created the data.
Creation Date	Shows the date and time of the creation. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
View : Fixed Term Deposit Initiation Movement	
Field	Description
App Reference	Shows Application Reference of initiation movement
MainReference	Shows the technical reference of FTD number that uniquely identifies the FTD instruction in the ECMS.

Pool Identifier	Shows the identifier that uniquely identifies the Pool of the Counterparty.
Amount	Shows FTD's instruction initiation movement amount.
Movement Currency	Shows the currency of initiation movement
Intended settlement date	Intended settlement date of initiation movement Displayed format is: DD/MM/YYYY
Status	Shows the status of the FTD instruction.
Not Enough Collateral	Shows that this FTD movement has created in case of insufficiency of collateral : Yes: There is not enough collateral to include this movement in CL calculation. No: There is enough collateral to include this movement in CL calculation.
View : Fixed Term Deposit Return	
Collateral >> Fixed Term Deposit >>Display>> Click on the Search button (after inputting the relevant fields, if needed)>> Select an instruction>> <i>Click on the View Button</i> >> Click on Returns button >> <i>Select line</i> >> <i>View</i>	
Field	Description
App Reference	Shows Application Reference of return movement
Theoretical Settlement Date	Theoretical Settlement Date of return movement
Status	Shows status of initiation movement
View : Fixed Term Deposit return Foot print	
Field	Description
Updater User Id	Shows the identification of the user that made the last update.
Update Date	Shows the date and time of the movement last update. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Creation User Id	Shows the identification of the user that created the data.
Creation Date	Shows the date and time of the movement. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Fixed Term Deposit Return Mvt	
Collateral >> Fixed Term Deposit >>Display>> Click on the Search button (after inputting the relevant fields, if needed)>> Select an instruction>> <i>Click on the View Button</i> >> Click on Returns button >> <i>Select line</i> >> <i>View</i> >> <i>Movement Select line</i> >> <i>View</i> >>	
Field	Description
App Reference	Shows Application Reference of return movement
Main Reference	Shows the reference of FTD number that uniquely identifies the FTD instruction in the ECMS.
Modification Reason	Shows the modification Reason on the Credit Line.
Pool Identifier	Shows the identifier that uniquely identifies the Pool of the Counterparty.
Counterparty	Shows the identifier of the Counterparty

Intended Settlement Date	Intended settlement date of return movement Displayed format is: DD/MM/YYYY
Real Settlement Date	Real settlement date of return movement Displayed format is: DD/MM/YYYY
Not enough collateral	Shows that this FTD movement has created in case of insufficiency of collateral : Yes: There is not enough collateral to include this movement in CL calculation. No: There is enough collateral to include this movement in CL calculation.
Status	Shows status of return movement
Fixed Term Deposit Interest Initiation	
Collateral >> Fixed Term Deposit >>Display>> Click on the Search button (after inputting the relevant fields, if needed)>> Select an instruction>> <i>Click on the View Button</i> >> Click on interest initiation button	
Field	Description
App Reference	Shows Application Reference of interest initiation movement
Status	Shows status of interest initiation movement
Fixed Term Deposit Interest Initiation Mvt	
Collateral >> Fixed Term Deposit >>Display>> Click on the Search button (after inputting the relevant fields, if needed)>> Select an instruction>> <i>Click on the View Button</i> >> Click on interest initiation button>> Movement	
Field	Description
App Reference	Shows Application Reference of interest initiation movement
Main Reference	Shows the reference of FTD number that uniquely identifies the FTD instruction in the ECMS.
Pool Identifier	Shows the identifier that uniquely identifies the Pool of the Counterparty.
Amount	Value of last calculated interest
Movement currency	Currency of the movement
Intended Settlement Date	Intended settlement date of interest initiation movement Displayed format is: DD/MM/YYYY
Status	Shows status of interest initiation movement
Not enough collateral	Shows that this FTD movement has created in case of insufficiency of collateral : Yes: There is not enough collateral to include this movement in CL calculation. No: There is enough collateral to include this movement in CL calculation.
Fixed Term Deposit Interest Return	
Collateral >> Fixed Term Deposit >>Display>> Click on the Search button (after inputting the relevant fields, if needed)>> Select an instruction>> <i>Click on the View Button</i> >> Click on interest return button	
Field	Description
App Reference	Shows Application Reference of interest return movement

Status	Shows status of interest return movement
Fixed Term Deposit Interest Return Mvt	
Collateral >> Fixed Term Deposit >>Display>> Click on the Search button (after inputting the relevant fields, if needed)>> Select an instruction>> <i>Click on the View Button</i> >> Click on interest return button>> Movement	
Field	Description
App Reference	Shows Application Reference of interest return movement
Main Reference	Shows the reference of FTD number that uniquely identifies the FTD instruction in the ECMS.
Pool Identifier	Shows the identifier that uniquely identifies the Pool of the Counterparty.
Amount	Value of last calculated interest
Movement currency	Currency of the movement
Intended Settlement Date	Intended settlement date of interest initiation movement Displayed format is: DD/MM/YYYY
Status	Shows status of interest initiation movement
Not enough collateral	Shows that this FTD movement has created in case of insufficiency of collateral : Yes: There is not enough collateral to include this movement in CL calculation. No: There is enough collateral to include this movement in CL calculation.
Business Validation Error	
Error Message	Shows the error reason in case of incomplete instruction.
HIS rule ID	Has Incomplete Status Identifier.
Status	Shows the status of the TFD operation

3.3.3.2.4.2 Open Market Operation –Fixed Term Deposit-Netting

Context of Usage	This screen is used to Settle instructions at settlement date in case of contingency situation.
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Screen Access	– Collateral and Credit >>Collateral >> Fixed Term Deposit >>Contingency>> Settle
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Screenshot	
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Fixed Term Deposit –Settle	
Field	Description
Position Id	Enter application reference number that uniquely identifies the FTD instruction in the ECMS. Required format is: Max. XX characters.

Party's instruction Reference	Enter an Identifier for the FTD mobilization Required format is: Max. XX characters.
Bidder ID	Enter the identifier of the Counterparty.
Status	Status of the Fixed Term Deposit.
After clicking on Search button, you can Select an instruction and press on of available buttons.	
Fixed Term Deposit	
Button	Description
History	This function enables you to access to the historical information of Early repayment instruction. Next screen: <i>FTD – History</i> Screen.
SettleFixedTerm-Deposit	This function enables you settle selected instruction.
View	This function enables you to retrieve selected FTD instruction information.
Field	Description
Position Id	Shows application reference number that uniquely identifies the FTD operation in the ECMS. Max. XX characters.
Party's instruction Reference	Enter an Identifier for the FTD mobilization Required format is: Max. XX characters.
Bidder ID	Shows the identifier of the Counterparty.
Nominal	Shows the nominal amount of FTD
Status	Status of the FTD instruction
Used As Collateral	Show is FTD is used as collateral, possible values : Yes: FTD is used as collateral No : FTD is not used as collateral
Settlement Date	Shows Settlement Date of FTD instruction Displayed format is: DD/MM/YYYY
Maturity date	Shows Maturity Date of FTD instruction Displayed format is: DD/MM/YYYY
Update Date	Shows the date and time of the last update. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Update User Id	Shows the identification of the user that made the last update.
Next Interest calculation date	Shows the next interest calculation date value : Displayed format is: DD/MM/YYYY

3.3.3.2.4.2.1 Open Market Operation –Fixed Term Deposit-Netting-Result

Context of Usage	This screen is used to display netting result of FTD (to be discussed if LPO included) operations.
-------------------------	--

Screen Access	– Collateral and Credit >> Collateral >> Fixed Term Deposit >>Netting>> Netting Result
Screenshot	

Search Criteria : Netting Result	
Search Criteria : Netting Result	
Field	Description
App Reference	Enter application reference number that uniquely identifies the Netting process in the ECMS. Required format is: Max. XX characters.
Bidder ID	Enter the identifier of the Counterparty.
Netting Date	Enter date of netting or select it from calendar Required format is: DD/MM/YYYY
Netting type	Select from the netting type from combo box
Status	Status of the netting process.
After clicking on Search button, you can Select an instruction and press on of available buttons.	
Search Result : Netting Result	
Button	Description
View	This function enables you to retrieve selected FTD instruction information.
Field	Description
OMORefNo	Shows application reference number that uniquely identifies the FTD operation in the ECMS. Max. XX characters.
Pool Identifier	Shows the identifier that uniquely identifies the Pool.
Netting Date	Shows the date of netting Displayed format is: DD/MM/YYYY
Amount	Shows the netting result value.
Netting Currency	Shows the netting currency
Status	Status of the Netting.
Fx Payment Received (if keep OMO netting result in this screen)	Shows if Payment is received in case of non-eur liquidity providing operation.
Update Date	Shows the date and time of the last update. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Update User Id	Shows the identification of the user that made the last update.

Creation Date	Shows the date and time of the additional code creation. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Creation User Id	Shows the identification of the user that created the data.
View : Netting Result	
App Reference	Enter application reference number that uniquely identifies the Netting process in the ECMS. Required format is: Max. XX characters.
Pool Identifier	Shows the identifier that uniquely identifies the Pool.
Amount	Shows the netting result value.
Is Rejected	Shows if Netting is Rejected : Boolean value : Yes : Netting is Rejected No : Netting is not Accepted
Netting Currency	Shows the netting currency
Netting Date	Shows the date of netting Displayed format is: DD/MM/YYYY
Error Description	Shows error Description in case the netting is Rejected
Status	Status of the netting process.
Netting Movement	The Movement reference on Credit Line
Currency	Shows the netting currency result.
FootPrint	
Update Date	Shows the date and time of the last update. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Update User Id	Shows the identification of the user that made the last update.
Creation Date	Shows the date and time of the additional code creation. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Creation User Id	Shows the identification of the user that created the data.
After 3 Sections related to netting elements are available, you can have details by clicking on the respective button : Maturing Early Repayment Maturing Operation Settling Operation	

3.3.3.2.4.2.2 Open Market Operation –Fixed Term Deposit-Manual Netting

Context of Usage	This screen is used to display netting result of FTD (to be discussed if LPO included) operations.
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Screen Access	– Collateral and Credit >> Collateral >> Fixed Term Deposit >>Netting FTD >> Manual Netting FTD
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Screenshot	
Search Criteria : Manual Netting	
ManualFTDNettingSearchInput : Pool Movement	
Field	Description
OMORefNo	Enter application reference number that uniquely identifies the FTD operation in the ECMS. Required format is: Max. XX characters.
Internal reference ID	Enter the reference of FTD instruction that uniquely identifies the FTD instruction in the ECMS.
Pool Identifier	Enter the identifier that uniquely identifies the Pool.
Bidder ID	Enter the identifier of the Counterparty.
Creation Date	Shows the date and time of the additional code creation. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Update Date	Shows the date and time of the last update. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
After clicking on Search button, you can Select an instruction and press on of available buttons.	
ManualNettingSearch : Pool Movement	
Button	Description
View	This function enables you to retrieve selected FTD instruction information.
History	This function enables you to access to the historical information of Early repayment instruction. Next screen: <i>FTD – History</i> Screen.
GenerateNetting	This function allows you to net selected instructions from the list.
Field	Description
Main Reference	Enter the technical reference of FTD instruction that uniquely identifies the FTD instruction in the ECMS.
Internal Reference ID	Shows application reference number that uniquely identifies the FTD instruction in the ECMS. Max. XX characters.
Business Status	Shows the Status of the Netting.
Bidder ID	Enter the identifier of the Counterparty.
Pool identifier	Shows the identifier that uniquely identifies the Pool.
Amount	Shows the netting result value.
Modification reason	Credit Line modification reason related to the FTD movement.
Update Date	Shows the date and time of the last update. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Update User Id	Shows the identification of the user that made the last update.

3.3.3.2.5 Open Market Operation –Early repayment

3.3.3.2.5.1 Open Market Operation –Early repayment-Search screen

Context of Usage	<p>This screen is used to enter criteria to retrieve the list of operations meeting the entered criteria.</p> <p>Depending how the screen is accessed, it can be used to search the valid information of the Open Market Operation or the information that requires 4 eyes validation before they can be considered valid in the system</p>
Screen Access	<p><i>For valid information:</i></p> <p>Collateral and Credit >>Exposure >> Open Market Operations >> Early Repayment >> Display Early Repayment Instruction</p> <p><i>For information subject to 4 eyes validation:</i></p> <p>Collateral and Credit >>Exposure >> Open Market Operations >> Early Repayment >> Validate / Reject Waiting validation</p>
Screenshot	

OMO-Early Repayment-Search	
Search Criteria : Principal Early Repayment Mvt	
Field	Description
OMORefNo	Enter Reference of the operation. Max. 8 characters (format YYYYnnnn)
Repaid OMORefNo	Enter the OMORefNo of the Operation to be repaid. Max. 8 characters (format: YYYYnnnn)
Pool Identifier	Enter the pool Identifier Required format is: Max. 30 characters
Counterparty	Enter the counterparty identifier or retrieve it with the magnifying glass. Max. XX characters
Allotment Date	Enter/Select the Date of the allotment date. Required format : DD/MM/YYYY
	Enter/Select the Date of the settlement date. Required format : DD/MM/YYYY
	Enter/Select "Yes" if the instruction has been entered via U2A, otherwise "No" for A2A.
	Enter the Allotment Status.
Update Date	Date of the last update. Required format : DD/MM/YYYY hh:mm:ss:µs
Button	Function

Reset	This function enables you to clear the form.
Search	This function enables you to start a search according to the filled in criteria. The results are displayed in a list on the List Screen.

3.3.3.2.5.2 Open Market Operation –Early repayment-List screen

Context of Usage	<p>This screen gives the list of early repayment operations resulting from the request entered in the display screen after clicking on the button Search. The screen Search is displayed below the query.</p> <p>Depending how the screen is accessed, it can be used to search the valid information of the Early Repayment or the information that requires 4 eyes validation before they can be considered valid in the system.</p>
Screen Access	<p><i>For valid information</i></p> <p>Collateral and Credit >>Exposure >> Open Market Operations >> Early Repayment >> Display Early Repayment Instruction >> Click on the Search button (after inputting the relevant fields, if needed)</p> <p><i>For information subject to 4 eyes validation:</i></p> <p>Collateral and Credit >>Exposure >> Open Market Operations >> Early Repayment >> Validate / Reject Early Repayment >> Click on the Search button (after inputting the relevant fields, if needed)</p>
Screenshot	

OMO-Early Repayment – List screen	
Search Result : Principal Early Repayment Mvt	
Field	Description
Repaid OMORefNo	Enter the OMORefNo of the Operation to be repaid. Max. 8 characters (format: YYYYnnnn)
OMORefNo	Shows the application reference number that uniquely identifies the early repayment operation in the ECMS.
Modification reason related to the ER	Shows the Credit Line modification reason related to the Early repayment movement.
Bidder ID	Shows the identifier of the Counterparty
Counterparty short name	Shows the short name of the counterparty
Pool Identifier	Shows the identifier that uniquely identifies the Pool of the Counterparty.
Intended settlement date	Shows the intended settlement date of the early repayment
Amount	Shows the amount of the Early repayment instruction.
To be netted	Indicator (Yes/No) showing if the early repayment can be netted.

Update User Id	Identifier of the last user updating the operation
Update Date	Date of the last update Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Status	Shows the status of the early repayment instruction. Possible values : <ul style="list-style-type: none"> - Received - Validated - Rejected - Payments prepared - Pending payment - Cancelled - Closed
Action to validate	Present only when the screen is used in the context of 4 eyes validations, Shows if the validation is required following a creation or an update of the record.
After selecting a record, the following buttons are displayed (also available with click on right button)	
Button	Function
History	This function enables you to access to the historical information of an operation. Next screen displayed under the list screen: <i>Early Repayment – History Screen.</i>
View	This function enables you to access to the detailed information of an operation. Next screen displayed under the list screen: <i>Early Repayment – details Screen.</i>
If the screen is used in the 4 eyes validation context, the following buttons are also displayed when a record is selected	
Button	Function
Compare Draft	In case the 4 eyes validation is required because of an update of the record, this function enables the user to display the change that needs to be validated. Next screen: <ul style="list-style-type: none"> - Open Market Operations – Early Repayment – <i>History Screen.</i>
View Draft	This function enables the user to view the draft record to be validated. Next screen: <ul style="list-style-type: none"> - Open Market Operations – Early Repayment – <i>Details Screen.</i>
Validate	This function allows the user to validate the creation or update of the record so that they can be considered valid in the system
Reject	This function allows the user to reject the creation or update of the record. The draft will be deleted.

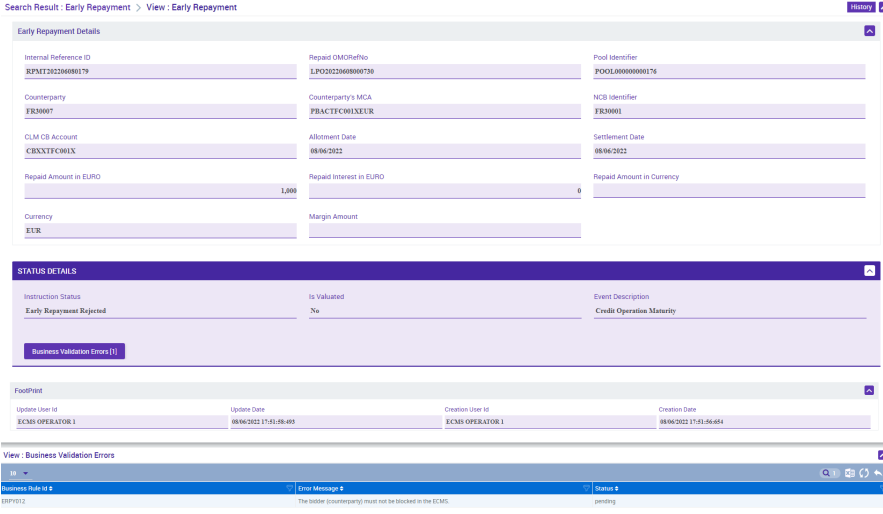
3.3.3.2.5.3 Open Market Operation – Early repayment-History screen

Context of Usage	This screen displays historical information that has changed on the selected Instruction. You can check the data and proceed further by clicking on the buttons that are shown.
Screen Access	<ul style="list-style-type: none"> – Collateral and Credit >>Exposure >> Open Market Operations >> Early Repayment>> Display Early Repayment Instruction >> Click on the Search button (after inputting the relevant fields, if needed)>> Select an instruction>> <i>Click on the History Button</i> – Collateral and Credit >>Exposure >> Open Market Operations >> Early Repayment >> Display >> clicking on Search button>> Select an instruction>> <i>Click on the View Button</i>>> Click on the View History
Screenshot	

OMO-Early Repayment – History Screen	
Field	Description
Fields	Shows the fields whose information have been updated since the creation of the selected instruction.
Timestamp	Shows the date and time when the fields have been updated. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Button	Description
Compare	Shows the differences between the selected records whose data have been updated.
Expand All	Shows the expanded information of the fields whose information have been updated since the creation of the selected Party.
Show All	Shows the information that existed before each modification of all the fields regarding the selected Party.
Collapse All	Collapse the expanded information of the fields that have been updated since the creation of the selected early repayment.
Show Differences Only	Shows only the fields whose information have been updated since the creation of the selected early repayment

3.3.3.2.5.4 Open Market Operation –Early repayment-Details screen

Context of Usage	This screen gives a view of selected Open Market Operations resulting from the request
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Screen Access	<p>– Collateral and Credit >>Exposure >> Open Market Operations >> Early Repayment>> Display Early Repayment Instruction >> Click on the Search button (after inputting the relevant fields, if needed)>> Select an instruction>> <i>Click on the View Button</i></p>
Screenshot	

OMO-Early Repayment – Details Screen

Button	Description
History	<p>This function enables you to access to the detailed information of an Early repayment instruction.</p> <p>Next screen:</p> <ul style="list-style-type: none"> – <i>Early repayment – History Screen.</i>

Principal Early Repayment Mvt

Field	Description
RepaymentRefNo	Application reference number that uniquely identifies the early repayment operation in the ECMS.
Repaid OMORefNo	Enter the OMORefNo of the Operation to be repaid. Max. 8 characters (format: YYYYnnnn)
Modification reason related to the ER	Credit Line modification reason related to the Early repayment movement.
Pool Identifier	Shows the identifier that uniquely identifies the Pool of the Counterparty.
Bidder ID	Shows the identifier of the Counterparty
Counterparty's short name	Shows the short name of the counterparty
Repaid Amount in euro	Shows the amount of the Early repayment instruction.(in currency) for euro amount
Currency	EUR (currency used in the pool)
Repaid Interest in euro	Interest amount calculated for the repayment operation, in euro
Repaid Amount in currency	Shows the amount of the early repayment in foreign currency when the operation is in foreign currency
FX Currency	Shows the currency when the operation is in foreign currency

Repaid Interest in currency	Interest amount calculated for the repayment operation of non-euro credit operations
Intended Settlement Date	Date of the Settlement date that has been instructed.
Trade Date	Date of the Trade date. Displayed format : DD/MM/YYYY
Real Settlement Date	Date of the effective Settlement date. Displayed format : DD/MM/YYYY
STATUS DETAILS	
Business Status	Shows the status of the early repayment instruction. The possible values are : <ul style="list-style-type: none"> - Received - Validated - Rejected - Payments prepared - Pending payment - Cancelled - Closed
Footprint	
Update User Id	Shows the identification of the user that made the last update.
Update Date	Shows the date and time of the Early Repayment last update. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Creation User Id	Shows the identification of the user that created the data.
Creation Date	Shows the date and time of the Early Repayment creation. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Business Validation Error	
Error Message	Shows the error reason in case of incomplete instruction.
Business rule ID	Has Incomplete Status Identifier.

3.3.3.3 Standing Facilities

Marginal Lending on Request (MLOR) can be used by Counterparties to receive overnight credit from an NCB at a pre-specified interest rate against eligible assets. Access to Marginal Lending on Request is reserved to Counterparties endorsed to access the marginal lending facilities.

Automatic Marginal Lending (AML) is the automatic transformation of intraday credit in overnight credit, triggered by the CLM at the end of the CLM business day. AML is triggered in CLM when the total amount of all the Counterparty's accounts is not sufficient to cover the intraday credit granted on the Counterparty's MCA. The AML can be updated in the ECMS, however it cannot be created in the ECMS.

3.3.3.3.1 Standing Facilities – Input Screen

Context of Usage	This screen contains a number of fields regarding the Standing Facilities. You can enter new data for a Marginal Lending on Request Instruction. Afterwards you can proceed further by clicking on the buttons below.
Screen Access	Collateral and Credit >> Exposure >> Standing Facilities >> Input Standing Facilities Instruction
Screenshot	

Standing Facilities – Input screen	
General Information	
Field	Description
Transaction Reference	Shows the reference that uniquely identifies the instruction transaction. Required format is: Max. XX characters.
Counterparty Transaction Reference Number*	Enter/Select the Counterparty Transaction reference that uniquely identifies the Counterparty's instruction. Required format is: Max. XX characters.
Pool Id*	Enter/Select the identifier of the Pool providing the assets.
Counterparty Id*	Enter/Select the identifier that uniquely identifies the Counterparty. Required format is: Max. XX characters.
NCB Id*	Enter/Select the identifier that uniquely identifies the NCB. After entering the Pool Id, the NCB Id is shown. Required format is: Max. XX characters.
Instruction Description*	Shows "MLOR"
Amount*	Enter the amount.
Currency*	Shows "EUR".
Instruction Date*	Shows the business date on which the instruction is created. Required format is: DD/MM/YYYY.
Intended Settlement Date*	Enter/Select the business date on which the instruction will be settled. Either the current business date or current business date + 1. The calendar icon can also be used. Required format is: DD/MM/YYYY.
Maturity Date*	Enter/Select the business date on which the instruction will mature. The calendar icon can also be used. After entering the Intended Settlement Date, the Maturity Date is shown (Intended Settlement Date +1). Required format is: DD/MM/YYYY.
Last Update Reason	Enter the description for the creation.

Button	Function
Reset	This function enables you to clear the form.
Save	This function enables you to save the creation of an instruction.

3.3.3.3.2 Standing Facilities – Search Screen

Context of Usage	<p>This screen contains a number of search fields and shows the latest and stored searches. By inputting the relevant data, you can search for the Standing Facilities.</p> <p>The search results will be displayed in a list. After selecting an entry, you can proceed further by clicking on the buttons that are shown.</p> <p>This function is available only in U2A mode.</p> <p>Depending how the screen is accessed, it can be used to search the valid information or the information that requires 4 eyes validation before they can be considered valid in the system.</p>
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Screen Access	<p><i>For valid information:</i></p> <p>Collateral and Credit >> Exposure >> Standing Facilities >> Display Standing Facilities Instruction</p> <p><i>For information subject to 4 eyes validation:</i></p> <p>Collateral and Credit >> Validation / Rejection >> Validate / Reject Standing Facilities >> Standing Facilities</p>
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Screenshot	
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Standing Facilities – Search screen

Field	Description
Transaction Reference	Enter/Select the reference that uniquely identifies the instruction. Required format is: Max. XX characters.
Counterparty Transaction Reference Number	Enter/Select the Counterparty Transaction reference that uniquely identifies the Counterparty's instruction. Required format is: Max. XX characters.
Counterparty Identifier	Enter/Select the identifier that uniquely identifies the Counterparty.
Pool Identifier	Enter/Select the identifier of the Pool providing the assets.
Instruction Description	Enter/Select "AML" or "MLOR"
Instruction Date	Enter/Select the business date on which the instruction was entered. The calendar icon can also be used.
Intended Settlement Date	Enter/Select the business date on which the instruction will be settled. The calendar icon can also be used.

	Required format is: DD/MM/YYYY.
Maturity Date	Shows the business date on which the instruction will mature. The calendar icon can also be used. Required format is: DD/MM/YYYY.
Instruction Status	Enter/Select the status of the instruction.
U2A	Enter/Select "Yes" if the instruction has been entered via U2A, otherwise "No" for A2A.
Update Date	Enter/Select the business date on which the instruction will be settled. The calendar icon can also be used. Required format is: DD/MM/YYYY.
Button	Function
Reset	This function enables you to clear the form.
Search	This function enables you to start a search according to the filled in criteria. The results are displayed in a list on the List Screen.

3.3.3.3.2.1 Standing Facilities – List screen

Context of Usage	<p>This screen contains the search results displayed in a list, which is sorted by the values of the 'Update Date' column in descending order (default setting). After selecting an entry, you can proceed further by clicking on the buttons below.</p> <p>This function is available only in U2A mode.</p> <p>Depending how the screen is accessed, it can be used to list the valid information or the information that requires 4 eyes validation before they can be considered valid in the system.</p>
Screen Access	<p><i>For valid information:</i></p> <p>Collateral and Credit >> Exposure >> Standing Facilities >> Display Standing Facilities Instruction >> Click on the <i>search</i> button (after inputting the relevant fields, if needed)</p> <p><i>For information subject to a 4 eyes validation:</i></p> <p>Collateral and Credit >> Validation / Rejection >> Validate / Reject Standing Facilities >> Standing Facilities >> Click on the <i>search</i> button (after inputting the relevant Standing Facilities, if needed)</p>
Screenshot	

Standing Facilities – List Screen	
Field	Description
Transaction Reference	Shows the reference that uniquely identifies the instruction.

Counterparty Transaction Reference Number	Shows the Counterparty Transaction reference that uniquely identifies the Counterparty's instruction.
Counterparty ID	Shows the identifier that uniquely identifies the Counterparty.
Counterparty's MCA	Shows the identifier of the Main Cash Account of the Counterparty
Pool ID	Shows the identifier that uniquely identifies the Pool.
NCB Id	Shows the identifier that uniquely identifies the NCB.
NCB's CB Account	Shows the identifier of the Central Bank Account of the NCB.
Instruction Description	"AML" or "MLOR"
Amount	Shows the amount.
Interest Rate	Shows the Interest Rate of the instruction
Accrued Interest	Shows the Accrued Interest of the instruction
Currency	Shows "EUR".
Instruction Date	Shows the business date on which the instruction is created. Displayed format is: DD/MM/YYYY.
Intended Settlement Date	Shows the business date on which the instruction will be settled. Either the current business date or current business date + 1. Displayed format is: DD/MM/YYYY.
Maturity Date	Shows the business date on which the instruction will mature. Displayed format is: DD/MM/YYYY.
To be Netted	Shows "Yes" if the instruction has been netted, otherwise "No".
Netting Reference	Shows the Netting reference if the instruction is netted.
Netting Status	Shows the Netting status.
U2A	Shows "Yes" if the instruction has been entered via U2A, otherwise "No" for A2A.
Instruction Status	Shows the Instruction status. Possible values: <ul style="list-style-type: none"> - "Waiting Manual Intervention" in case the message is pending, or the CLM notification has failed, or in case of business validation failures so that the NCB user can update the reference data - "Waiting Validation" in case of 4 eyes validation for U2A instruction - "Waiting Settlement Date" in case of Intended Settlement Date = D+1 - "Pending Settlement" in case instruction recycled if Collateral insufficiency check detected or Absolute Credit Limit breached, or in case of payments for which a CLM notification has not been received - "Rejected" based on ECMS validation, CLM notification or NCB user rejection - "Cancelled" if requested by NCB user or Counterparty

	<ul style="list-style-type: none"> - "Pending Closure" in case of payments for which a CLM notification has not been received - "Settled" based on CLM notification for the opening and interest - "Closed" based on CLM notification for the closing, after reimbursement of principal and interest on maturity date.
Status Reason	Shows the Status Reason.
Action to validate	Present only when the screen is used in the context of 4 eyes validations. Shows if the validation is required following a creation or an update of the record.
Update Date	Shows the date and time of the last update. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Updater User Id	Shows the identification of the user that made the last update.
After selecting a record, the following buttons are displayed	
Button	Function
History	This function enables you to access to the historical information of an instruction. Next screen: - <i>Standing Facilities – History</i> Screen.
View	This function enables you to access to the detailed information of an instruction. Next screen: - <i>Standing Facilities – Details</i> Screen.
If the screen is used in the 4 eyes validation context, the following buttons are also displayed when a record is selected	
Button	Function
Compare Draft	In case the 4 eyes validation is required because of an update of the record, this function enables the user to display the change that needs to be validated. Next screen: <i>Standing Facilities – History</i> Screen.
View Draft	This function enables the user to view the draft record to be validated. Next screen: <i>Standing Facilities – Details</i> Screen.
Edit	This function allows the user to edit and modify the draft. Next screen: <i>Standing Facilities – Edit</i> Screen.
Validate	This function allows the user to validate the creation or update of the record so that they can be considered valid in the system
Reject	This function allows the user to reject the creation or update of the record. The draft will be deleted.

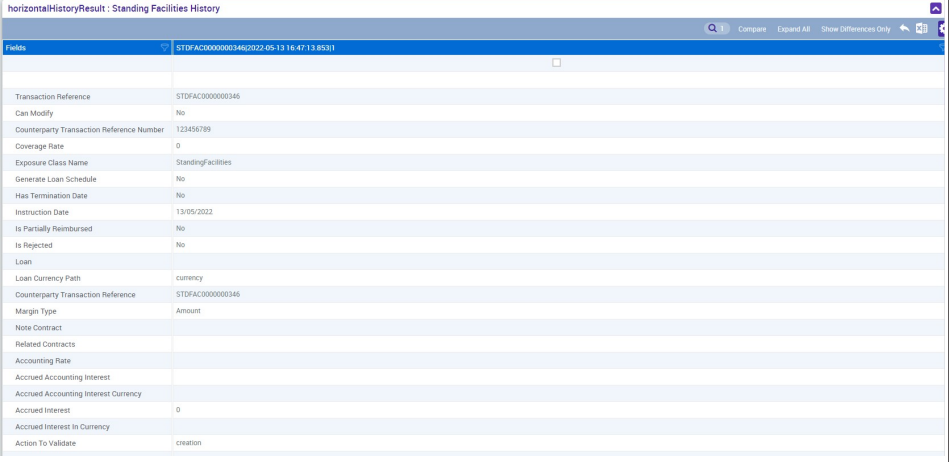
3.3.3.3.2.1.1 Standing Facilities – Edit Screen

Context of Usage	This screen contains a number of fields regarding the Standing Facilities that you can edit when in 4 eyes operation. Afterwards you can proceed further by clicking on the buttons below. This function is available only in U2A mode.
Screen Access	Collateral and Credit >> Exposure >> Standing Facilities >> Display Standing Facilities Instruction >> Click on the <i>Search</i> button (after inputting the relevant fields, if needed) >> Select a Standing Facilities >> Click on the <i>Edit</i> button
Screenshot	

Standing Facilities – Edit Screen	
General Information	
Field	Description
Transaction Reference	Shows the reference that uniquely identifies the instruction.
Counterparty Transaction Reference Number	Shows the Counterparty Transaction reference that uniquely identifies the Counterparty’s instruction.
Pool Id	Shows the identifier that uniquely identifies the Pool.
Exposure Classification	Shows the type of exposure.
Counterparty Id	Shows the identifier that uniquely identifies the Counterparty.
Counterparty’s MCA	Shows the identifier of the Main Cash Account of the Counterparty
CLM CB Account	Shows the Identifier of the Account of the Central Bank
Status	Shows the status.
Amount	Shows the amount. This field can be updated.
Remaining Amount	Shows the remaining amount.
Currency	Shows “EUR”.
Instruction Description	Shows “AML” or “MLOR”.
Interest Rate	Shows the Interest rate of the Marginal Lending on Request.
Instruction Date	Shows the business date on which the instruction is created. Displayed format is: DD/MM/YYYY.

Intended Settlement Date	Shows the business date on which the instruction will be settled. Either the current business date or current business date + 1. This field can be updated. Required format is: DD/MM/YYYY.
Maturity Date	Shows the business date on which the instruction will mature. This field can be updated. Required format is: DD/MM/YYYY.
Payment Reference	Shows the reference used in the payment process for this instruction.
Creation Date	Shows the date and time of the creation. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Creation User Id	Shows the identification of the user that created the instruction.
Update Date	Shows the date and time of the last update. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Updater User Id	Shows the identification of the user that made the last update.
Last Update reason	Reason for update (information provided by the NCB user or Counterparty).
Interest	
Field	Description
Start Excluded	Toggle button
Basis Point	Shows the Basis Point from the drop-down menu (Percent, Per Thousand, Per Ten Thousand, Per Million)
Margin	Shows the margin
Days Base	Shows the Days Base from the drop-down menu (360 or 365 or Actual)
Interest Rate	Shows the Interest Rate
End Excluded	Toggle button
Periodicity	Shows the Periodicity from the drop-down menu (Daily, Weekly, etc)
Interest Type	Shows the Interest Type from the drop-down menu (Linear, Compound)
Next Interest Calculation Date	Shows the Next Interest Calculation Date. The calendar icon can also be used. Required format is: DD/MM/YYYY.
Footprint	
Updater User Id	Shows the identification of the user that made the last update.
Update Date	Shows the date and time of the last update. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Creation User Id	Shows the identification of the user that created the data.
Creation Date	Shows the date and time of the creation. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Button	Function
Cancel	This function enables you to cancel the modification.
Save	This function enables you to save the modification.

3.3.3.3.2.1.2 Standing Facilities – History Screen

Context of Usage	<p>This screen displays historical information that has changed on the selected Standing Facilities. You can check the data, including the user who made the changes, and proceed further by clicking on the buttons that are shown.</p> <p>This function is available only in U2A mode.</p>																																												
Screen Access	<ul style="list-style-type: none"> – Collateral and Credit >> Exposure >> Standing Facilities >> Display Standing Facilities Instruction >> Click on the search button (after inputting the relevant fields, if needed) >> Select a Standing Facilities >> Click on the History button – Collateral and Credit >> Exposure >> Standing Facilities >> Display Standing Facilities Instruction >> Click on the <i>search</i> button (after inputting the relevant fields, if needed) >> Select a Standing Facilities >> Click on the <i>View</i> button (Standing Facilities - <i>Details</i> Screen >> <i>Click on the History Button</i>) 																																												
Screenshot	 <p>The screenshot shows a web interface titled "horizontalHistoryResult : Standing Facilities History". It features a search bar with the value "STDFAC00000003462022-05-13 16:47:13.85311". Below the search bar is a table with the following fields and values:</p> <table border="1"> <tr><td>Transaction Reference</td><td>STDFAC0000000346</td></tr> <tr><td>Can Modify</td><td>No</td></tr> <tr><td>Counterparty Transaction Reference Number</td><td>123456789</td></tr> <tr><td>Coverage Rate</td><td>0</td></tr> <tr><td>Exposure Class Name</td><td>StandingFacilities</td></tr> <tr><td>Generate Loan Schedule</td><td>No</td></tr> <tr><td>Has Termination Date</td><td>No</td></tr> <tr><td>Instruction Date</td><td>13/05/2022</td></tr> <tr><td>Is Partially Reimbursed</td><td>No</td></tr> <tr><td>Is Rejected</td><td>No</td></tr> <tr><td>Loan</td><td></td></tr> <tr><td>Loan Currency Path</td><td>currency</td></tr> <tr><td>Counterparty Transaction Reference</td><td>STDFAC0000000346</td></tr> <tr><td>Margin Type</td><td>Amount</td></tr> <tr><td>Note Contract</td><td></td></tr> <tr><td>Related Contracts</td><td></td></tr> <tr><td>Accounting Rate</td><td></td></tr> <tr><td>Accrued Accounting Interest</td><td>No</td></tr> <tr><td>Accrued Accounting Interest Currency</td><td></td></tr> <tr><td>Accrued Interest</td><td>0</td></tr> <tr><td>Accrued Interest In Currency</td><td></td></tr> <tr><td>Action To Validate</td><td>creation</td></tr> </table>	Transaction Reference	STDFAC0000000346	Can Modify	No	Counterparty Transaction Reference Number	123456789	Coverage Rate	0	Exposure Class Name	StandingFacilities	Generate Loan Schedule	No	Has Termination Date	No	Instruction Date	13/05/2022	Is Partially Reimbursed	No	Is Rejected	No	Loan		Loan Currency Path	currency	Counterparty Transaction Reference	STDFAC0000000346	Margin Type	Amount	Note Contract		Related Contracts		Accounting Rate		Accrued Accounting Interest	No	Accrued Accounting Interest Currency		Accrued Interest	0	Accrued Interest In Currency		Action To Validate	creation
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Accrued Interest	0																																												
Accrued Interest In Currency																																													
Action To Validate	creation																																												

Standing Facilities – History Screen	
Field	Description
Fields	Shows the fields whose information have been updated since the creation of the selected instruction.
Timestamp	Shows the date and time when the fields have been updated. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Button	Description
Compare	Shows the differences between the selected records whose data have been updated.
Expand All	Shows the expanded information of the fields whose information have been updated since the creation of the selected instruction.
Show All	Shows the information that existed before each modification of all the fields regarding the selected instruction.
Collapse All	Collapse the expanded information of the fields that have been updated since the creation of the selected instruction.

Show Differences Only	Shows only the fields whose information have been updated since the creation of the selected instruction.
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3.3.3.3.2.1.3 Standing Facilities – Details Screen

Context of Usage	This screen displays detailed information of the selected Standing Facilities. This function is available only in U2A mode.
Screen Access	Collateral and Credit >> Exposure >> Standing Facilities >> Display Standing Facilities Instruction >> Click on the <i>search</i> button (after inputting the relevant fields, if needed)>> Select a Standing Facilities >> Click on the <i>View</i> button
Screenshot	

Standing Facilities – Details screen	
Button	Description
History	This function enables you to access to the historical information of an instruction. Next screen: – <i>Standing Facilities – History</i> Screen.
General Information	
Field	Description
Transaction Reference	Shows the reference that uniquely identifies the instruction.

Counterparty Transaction Reference Number	Shows the reference that uniquely identifies the Counterparty's Transaction instruction.
Instruction Description	Shows the Standing Facilities Type "AML" or "MLOR".
Pool Id	Shows the identifier that uniquely identifies the Pool.
Counterparty Id	Shows the identifier that uniquely identifies the Counterparty.
Counterparty's MCA	Shows the identifier of the Main Cash Account of the Counterparty
NCB Id	Shows the identifier that uniquely identifies the NCB. Required format is: Max. XX characters.
CLM CB Account	Shows the Identifier of the Account of the Central Bank
Amount	Shows the amount.
Currency	Shows "EUR".
Instruction Date	Shows the business date on which the instruction is created. Displayed format is: DD/MM/YYYY.
Intended Settlement Date	Shows the business date on which the instruction will be settled. Displayed format is: DD/MM/YYYY.
Maturity Date	Shows the business date on which the instruction will mature. Displayed format is: DD/MM/YYYY.
Last Update reason	Reason for update (information provided by the NCB user or Counterparty).
Amounts and Interest	
Reference Rate	Shows the Type of Reference Rate
Days Base	Shows the Days Base used for the Interest calculation
Interest Rate	Shows the Interest rate of the Marginal Lending on Request.
Provisional Interest in EUR	Shows the amount of Provisional Interest Amount at Maturity of the instruction
Provisional Maturity Amount in EUR	Shows the amount of Provisional Maturity Amount of the instruction
Accrued Interest	Shows the amount of Accrued Interest of the instruction
Last Interest Calculation Date	Shows the last date on which the Interest was calculated. Displayed format is: DD/MM/YYYY.
Status Details	
Instruction Status	Shows the Instruction status.

Sub-Status	Shows the Sub-status.
U2A	Shows “Yes” if the instruction has been entered via U2A, otherwise “No” for A2A.
Payment Details	
To be Netted	Shows “Yes” if the instruction has been netted, otherwise “No”.
Netting Reference	Shows the Netting reference if the instruction is netted.
Netting Status	Shows the Netting status.
Other Details	
Section buttons	Description
Business Validation Errors [n]	Section to view the details of the Business Validation Errors. [n] corresponds to the number of Business Validation Errors
Notifications [n]	Section to view the details of the related notifications sent and received. [n] corresponds to the number of Notification.
Business Validation Errors	
The existing business validation errors of the Instruction are displayed.	
To view the details of an existing business validation error, select it and click on the View button.	
Field	Description
Error Message	Shows the error message related to the business validation rule. Displayed format is: Max. 255 characters.
Business Rule Id	Shows the identifier of the business validation.
Status	Shows the status of the Business Validation.
Notifications [n]	
Field	Description
Table with the list of Notification received and sent	
Notification Name	Name of the notification sent or received
Message Reference	Reference of the notification message
Notification status	Status of the notification
Direction	Indicator stating that the notification has been received or sent by the ECMS.
Update Date	Date and time when the notification was last updated in the ECMS
Updater User Id	Shows the identification of the user that made the last update of the instruction.
Action	
Download	Button to download the Notification Message
View Message	Button to view the Notification Message
View	Button to view the details of the Notification

Validation details	
Action To Validate	Shows if there is any action waiting for validation.
Is Rejected	Shows if any action subject to validation has been rejected. The possible values are: <ul style="list-style-type: none"> - Yes - No
Footprint	
Creation Date	Shows the date and time of the creation of the instruction. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Creation User Id	Shows the identification of the user that created the instruction.
Update Date	Shows the date and time of the update of the instruction. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Updater User Id	Shows the identification of the user that made the last update of the instruction.

3.3.3.3.2.2 Standing Facilities – Cancel Screen

Context of Usage	This screen provides the possibility to cancel the selected Standing Facilities. You can check the data, including the user who made the changes, and proceed further by clicking on the buttons that are shown.
Screen Access	<ul style="list-style-type: none"> - Collateral and Credit >> Exposure >> Standing Facilities >> Cancel Standing Facilities Instruction >> Click on the search button (after inputting the relevant fields, if needed) >> Select a Standing Facilities >> Click on the <i>Cancel</i> button - Collateral and Credit >> Exposure >> Standing Facilities >> Cancel Standing Facilities Instruction >> Click on the <i>search</i> button (after inputting the relevant fields, if needed) >> Select a Standing Facilities >> Click on the <i>View</i> button (Standing Facilities - <i>Details</i> Screen >> <i>Click on the Cancel</i> Button
Screenshot	

Standing Facilities – Cancel Screen	
Field	Description
Transaction Reference	Shows the reference that uniquely identifies the instruction.
Counterparty Transaction Reference Number	Shows the Counterparty Transaction reference that uniquely identifies the Counterparty's instruction.
Pool ID	Shows the identifier that uniquely identifies the Pool.
Counterparty ID	Shows the identifier that uniquely identifies the Counterparty.

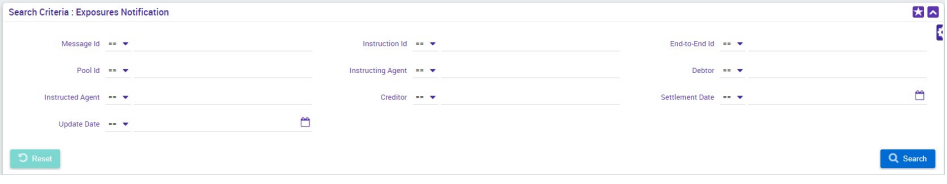
Counterparty's MCA	Shows the identifier of the Main Cash Account of the Counterparty
NCB Id	Shows the identifier that uniquely identifies the NCB.
NCB's CB Account	Shows the identifier of the Cash Account of the NCB.
Instruction Description	"MLOR"
Amount	Shows the amount.
Interest Rate	Shows the Interest Rate of the instruction
Accrued Interest	Shows the Accrued Interest of the instruction
Currency	Shows "EUR".
Instruction Date	Shows the business date on which the instruction is created. Displayed format is: DD/MM/YYYY.
Intended Settlement Date	Shows the business date on which the instruction will be settled. Either the current business date or current business date + 1. Displayed format is: DD/MM/YYYY.
Maturity Date	Shows the business date on which the instruction will mature. Displayed format is: DD/MM/YYYY.
To be Netted	Shows "Yes" if the instruction has been netted, otherwise "No".
Netting Reference	Shows the Netting reference if the instruction is netted.
Netting Status	Shows the Netting status.
Instruction Status	Shows the Instruction status.
Sub-Status	Shows the Sub-status.
U2A	Shows "Yes" if the instruction has been entered via U2A, otherwise "No" for A2A.
Action to validate	Shows if the validation is required following a creation or an update of the record.
Update Date	Shows the date and time of the last update. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Updater User Id	Shows the identification of the user that made the last update.
Last Update reason	Shows the reason for update (information provided by the NCB user or Counterparty).
After selecting a record, the following buttons are displayed	
Button	Function
History	This function enables you to access to the historical information of an instruction. Next screen: – <i>Standing Facilities – History</i> Screen.
Cancel	This function allows the user cancel the record so that they can be considered cancelled in the system

View	This function enables you to access to the detailed information of an instruction. Next screen: – <i>Standing Facilities – Details</i> Screen.
If the screen is used in the 4 eyes validation context, the following buttons are also displayed when a record is selected	
Button	Function
Compare Draft	In case the 4 eyes validation is required because of an update of the record, this function enables the user to display the change that needs to be validated. Next screen: <i>Standing Facilities – History</i> Screen.
View Draft	This function enables the user to view the draft record to be validated. Next screen: <i>Standing Facilities – Details</i> Screen.

3.3.3.3.3 Standing Facilities Notifications

The Standing Facilities Notifications functionality provides the Counterparty users with notification information on the Marginal Lending instructions that have been processed in the ECMS.

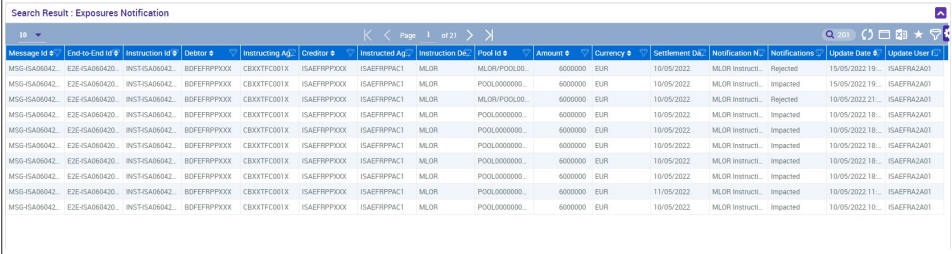
3.3.3.3.3.1 MLOR Instruction Notifications – Search Screen

Context of Usage	This screen contains a number of search fields and shows the latest and stored searches. By inputting the relevant data, you can search for the MLOR Notifications. The search results will be displayed in a list. After selecting an entry, you can proceed further by clicking on the buttons that are shown.
Screen Access	Collateral and Credit >> Notifications >> Counterparties Notifications >> Standing Facilities Notifications >> MLOR Instruction Notifications
Screenshot	

MLOR Instruction Notifications – Search screen	
Field	Description
Message Identifier	Enter/Select the reference that uniquely identifies the notification.
Instruction Identifier	Enter/Select the reference that uniquely identifies the instruction generating the notification.
End-to-End Identifier	Enter/Select the End-to-End Identifier that uniquely identifies the transaction throughout the lifecycle.
Pool Id	Enter/Select the identifier of the Pool providing the assets.
Instructing Agent	Enter/Select the identifier that uniquely identifies the Instructing Agent.

Debtor	Enter/Select the identifier that uniquely identifies the Debtor.
Instructed Agent	Enter/Select the identifier that uniquely identifies the Instructed Agent.
Creditor	Enter/Select the identifier that uniquely identifies the Creditor.
Settlement Date	Enter/Select the Settlement date. The calendar icon can also be used. Required format is: DD/MM/YYYY.
Update Date	Enter/Select the date and time of the last update. The calendar icon can also be used. Required format is: DD/MM/YYYY.
Button	Function
Reset	This function enables you to clear the form.
Search	This function enables you to start a search according to the filled in criteria. The results are displayed in a list on the List Screen.

3.3.3.3.3.1.1 MLOR Instruction Notifications – List screen

Context of Usage	This screen contains the search results displayed in a list, which is sorted by the values of the 'Update Date' column in descending order (default setting). After selecting an entry, you can proceed further by clicking on the buttons below. This function is available only in U2A mode.
Screen Access	Collateral and Credit >> Notifications >> Counterparties Notifications >> Standing Facilities Notifications >> MLOR Instruction Notifications >> Display >> Click on the <i>search</i> button (after inputting the relevant fields, if needed)
Screenshot	

MLOR Instruction Notifications – List Screen	
Field	Description
Message Identifier	Shows the reference that uniquely identifies the notification.
End-to-End Identifier	Shows the End-to-End Identifier that uniquely identifies the transaction throughout the lifecycle.
Instruction Identifier	Shows the reference that uniquely identifies the instruction generating the notification.
Pool Identifier	Shows the identifier of the Pool providing the assets.
Instructing Agent	Shows the identifier that uniquely identifies the Instructing Agent.
Debtor	Shows the identifier that uniquely identifies the Debtor.

Instructed Agent	Shows the identifier that uniquely identifies the Instructed Agent.
Creditor	Shows the identifier that uniquely identifies the Creditor.
Instruction Description	Shows the type of instruction. Possible values: "MLOR"
Notification Name	Shows the type of notification. Possible values: "Credit Transfer Notification" "MLOR Instruction Notification"
Amount	Shows the amount.
Currency	Shows "EUR".
Settlement Date	Shows the business date on which instruction was settled. Required format is: DD/MM/YYYY.
Notification Status	Shows the status.
Update Date	Shows the date and time of the last update. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Updater User Id	Shows the identification of the user that made the last update.
After selecting a record, the following buttons are displayed	
Button	Function
View Message	This function enables you to access to the detailed information of message for an instruction.
Download	This function enables you to download the instruction selected.

3.3.3.3.2 MLOR Cancellation Notifications – Search Screen

Context of Usage	<p>This screen contains a number of search fields and shows the latest and stored searches. By inputting the relevant data, you can search for the MLOR Cancellation Instructions.</p> <p>The search results will be displayed in a list. After selecting an entry, you can proceed further by clicking on the buttons that are shown.</p> <p>This function is available only in U2A mode.</p>
Screen Access	Collateral and Credit >> Notifications >> Counterparties Notifications >> Standing Facilities Notifications >> MLOR Cancellation Instruction Status Notifications
Screenshot	

MLOR Cancellation Notifications – Search screen	
Field	Description
Message Reference	Enter/Select the reference that uniquely identifies the notification.

Instructing Agent	Enter/Select the identifier that uniquely identifies the Instructing Agent.
Instructed Agent	Enter/Select the identifier that uniquely identifies the Instructed Agent.
Cancellation Status	Enter/Select the status of the Cancellation.
Original Message Identifier	Enter/Select the reference that uniquely identifies the Original Message.
Original End-to-End Identifier	Enter/Select the End-to-End Identifier that uniquely identifies the Original transaction throughout the lifecycle.
Original Message Name	Enter/Select the name that identifies the Original Message.
Notification Status	Enter/Select the status of the notification.
Update Date	Enter/Select the update date. The calendar icon can also be used. Required format is: DD/MM/YYYY.
Button	Function
Reset	This function enables you to clear the form.
Search	This function enables you to start a search according to the filled in criteria. The results are displayed in a list on the List Screen.

3.3.3.3.2.1 MLOR Cancellation Notifications – List screen

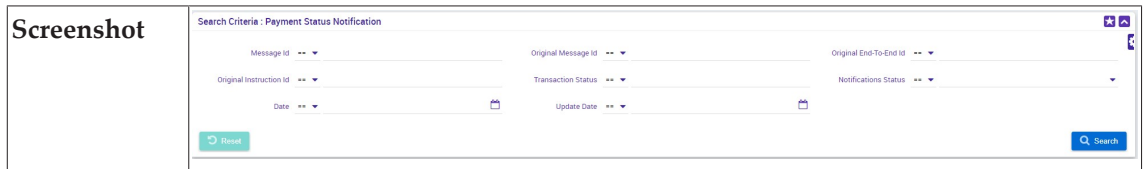
Context of Usage	This screen contains the search results displayed in a list, which is sorted by the values of the 'Update Date' column in descending order (default setting). After selecting an entry, you can proceed further by clicking on the buttons below. This function is available only in U2A mode.																																																																																																																																												
Screen Access	Collateral and Credit >> Notifications >> Counterparties Notifications >> Standing Facilities Notifications >> MLOR Cancellation Instruction Status Notifications >> Display >> Click on the <i>search</i> button (after inputting the relevant fields, if needed)																																																																																																																																												
Screenshot	<p>The screenshot shows a search result for 'Payment Cancellation Status Notification'. The table below represents the data visible in the screenshot:</p> <table border="1"> <thead> <tr> <th>Message Reference</th> <th>Instructed Agent</th> <th>Instructing Agent</th> <th>Cancellation Status</th> <th>Original Message</th> <th>Original Message</th> <th>Original Instruct</th> <th>Original End-to-End</th> <th>Original UETR</th> <th>Notification Name</th> <th>Notifications Status</th> <th>Direction</th> <th>Update Date</th> <th>Update User</th> </tr> </thead> <tbody> <tr> <td>CNCL00000000</td> <td>ISAEFRPAC1</td> <td>CBXITFC01X</td> <td>accepted</td> <td>MSG-ISA2402202</td> <td>INST-ISA2402202</td> <td>E2E-ISA2402202</td> <td>c7777003-0000-4</td> <td></td> <td>Standing Facility</td> <td>Processed</td> <td>Out</td> <td>05/04/2022 13:4</td> <td>ECMS OPERATO</td> </tr> <tr> <td>CNCL00000000</td> <td>ISAEFRPAC1</td> <td>CBXITFC01X</td> <td>accepted</td> <td>MSG-ISA2402202</td> <td>INST-ISA2402202</td> <td>E2E-ISA2402202</td> <td>c7777003-0000-4</td> <td></td> <td>Standing Facility</td> <td>Processed</td> <td>Out</td> <td>05/04/2022 13:4</td> <td>ISAEFRAC2A01</td> </tr> <tr> <td>CNCL00000000</td> <td>ISAEFRPAC1</td> <td>CBXITFC01X</td> <td>rejected</td> <td>MSG-ISA2402202</td> <td>INST-ISA2402202</td> <td>E2E-ISA2402202</td> <td>c7777003-0000-4</td> <td></td> <td>Standing Facility</td> <td>Processed</td> <td>Out</td> <td>05/04/2022 13:4</td> <td>ISAEFRAC2A01</td> </tr> <tr> <td>CNCL00000000</td> <td>ISAEFRPAC1</td> <td>CBXITFC01X</td> <td>accepted</td> <td>MSG-ISA2402202</td> <td>INST-ISA2402202</td> <td>E2E-ISA2402202</td> <td>c7777003-0000-4</td> <td></td> <td>Standing Facility</td> <td>Processed</td> <td>Out</td> <td>05/04/2022 12:1</td> <td>ISAEFRAC2A01</td> </tr> <tr> <td>CNCL00000000</td> <td>ISAEFRPAC1</td> <td>CBXITFC01X</td> <td>rejected</td> <td>MSG-ISA2402202</td> <td>INST-ISA2402202</td> <td>E2E-ISA2402202</td> <td>c7777003-0000-4</td> <td></td> <td>Standing Facility</td> <td>Processed</td> <td>Out</td> <td>05/04/2022 12:0</td> <td>ISAEFRAC2A01</td> </tr> <tr> <td>CNCL00000000</td> <td>ISAEFRPAC1</td> <td>CBXITFC01X</td> <td>accepted</td> <td>MSG-ISA2402202</td> <td>INST-ISA2402202</td> <td>E2E-ISA2402202</td> <td>c7777003-0000-4</td> <td></td> <td>Standing Facility</td> <td>Processed</td> <td>Out</td> <td>05/04/2022 12:0</td> <td>ECMS OPERATO</td> </tr> <tr> <td>CNCL00000000</td> <td>ISAEFRPAC1</td> <td>CBXITFC01X</td> <td>rejected</td> <td>MSG-ISA2402202</td> <td>INST-ISA2402202</td> <td>E2E-ISA2402202</td> <td>c7777003-0000-4</td> <td></td> <td>Standing Facility</td> <td>Processed</td> <td>Out</td> <td>24/02/2022 12:0</td> <td>ISAEFRAC2A01</td> </tr> <tr> <td>CNCL00000000</td> <td>ISAEFRPAC1</td> <td>CBXITFC01X</td> <td>rejected</td> <td>MSG-ISA2402202</td> <td>INST-ISA2402202</td> <td>E2E-ISA2402202</td> <td>c7777003-0000-4</td> <td></td> <td>Standing Facility</td> <td>Processed</td> <td>Out</td> <td>24/02/2022 12:0</td> <td>ISAEFRAC2A01</td> </tr> <tr> <td>CNCL00000000</td> <td>ISAEFRPAC1</td> <td>CBXITFC01X</td> <td>rejected</td> <td>MSG-ISA2402202</td> <td>INST-ISA2402202</td> <td>E2E-ISA2402202</td> <td>c7777003-0000-4</td> <td></td> <td>Standing Facility</td> <td>Processed</td> <td>Out</td> <td>24/02/2022 09:2</td> <td>ISAEFRAC2A01</td> </tr> </tbody> </table>	Message Reference	Instructed Agent	Instructing Agent	Cancellation Status	Original Message	Original Message	Original Instruct	Original End-to-End	Original UETR	Notification Name	Notifications Status	Direction	Update Date	Update User	CNCL00000000	ISAEFRPAC1	CBXITFC01X	accepted	MSG-ISA2402202	INST-ISA2402202	E2E-ISA2402202	c7777003-0000-4		Standing Facility	Processed	Out	05/04/2022 13:4	ECMS OPERATO	CNCL00000000	ISAEFRPAC1	CBXITFC01X	accepted	MSG-ISA2402202	INST-ISA2402202	E2E-ISA2402202	c7777003-0000-4		Standing Facility	Processed	Out	05/04/2022 13:4	ISAEFRAC2A01	CNCL00000000	ISAEFRPAC1	CBXITFC01X	rejected	MSG-ISA2402202	INST-ISA2402202	E2E-ISA2402202	c7777003-0000-4		Standing Facility	Processed	Out	05/04/2022 13:4	ISAEFRAC2A01	CNCL00000000	ISAEFRPAC1	CBXITFC01X	accepted	MSG-ISA2402202	INST-ISA2402202	E2E-ISA2402202	c7777003-0000-4		Standing Facility	Processed	Out	05/04/2022 12:1	ISAEFRAC2A01	CNCL00000000	ISAEFRPAC1	CBXITFC01X	rejected	MSG-ISA2402202	INST-ISA2402202	E2E-ISA2402202	c7777003-0000-4		Standing Facility	Processed	Out	05/04/2022 12:0	ISAEFRAC2A01	CNCL00000000	ISAEFRPAC1	CBXITFC01X	accepted	MSG-ISA2402202	INST-ISA2402202	E2E-ISA2402202	c7777003-0000-4		Standing Facility	Processed	Out	05/04/2022 12:0	ECMS OPERATO	CNCL00000000	ISAEFRPAC1	CBXITFC01X	rejected	MSG-ISA2402202	INST-ISA2402202	E2E-ISA2402202	c7777003-0000-4		Standing Facility	Processed	Out	24/02/2022 12:0	ISAEFRAC2A01	CNCL00000000	ISAEFRPAC1	CBXITFC01X	rejected	MSG-ISA2402202	INST-ISA2402202	E2E-ISA2402202	c7777003-0000-4		Standing Facility	Processed	Out	24/02/2022 12:0	ISAEFRAC2A01	CNCL00000000	ISAEFRPAC1	CBXITFC01X	rejected	MSG-ISA2402202	INST-ISA2402202	E2E-ISA2402202	c7777003-0000-4		Standing Facility	Processed	Out	24/02/2022 09:2	ISAEFRAC2A01
Message Reference	Instructed Agent	Instructing Agent	Cancellation Status	Original Message	Original Message	Original Instruct	Original End-to-End	Original UETR	Notification Name	Notifications Status	Direction	Update Date	Update User																																																																																																																																
CNCL00000000	ISAEFRPAC1	CBXITFC01X	accepted	MSG-ISA2402202	INST-ISA2402202	E2E-ISA2402202	c7777003-0000-4		Standing Facility	Processed	Out	05/04/2022 13:4	ECMS OPERATO																																																																																																																																
CNCL00000000	ISAEFRPAC1	CBXITFC01X	accepted	MSG-ISA2402202	INST-ISA2402202	E2E-ISA2402202	c7777003-0000-4		Standing Facility	Processed	Out	05/04/2022 13:4	ISAEFRAC2A01																																																																																																																																
CNCL00000000	ISAEFRPAC1	CBXITFC01X	rejected	MSG-ISA2402202	INST-ISA2402202	E2E-ISA2402202	c7777003-0000-4		Standing Facility	Processed	Out	05/04/2022 13:4	ISAEFRAC2A01																																																																																																																																
CNCL00000000	ISAEFRPAC1	CBXITFC01X	accepted	MSG-ISA2402202	INST-ISA2402202	E2E-ISA2402202	c7777003-0000-4		Standing Facility	Processed	Out	05/04/2022 12:1	ISAEFRAC2A01																																																																																																																																
CNCL00000000	ISAEFRPAC1	CBXITFC01X	rejected	MSG-ISA2402202	INST-ISA2402202	E2E-ISA2402202	c7777003-0000-4		Standing Facility	Processed	Out	05/04/2022 12:0	ISAEFRAC2A01																																																																																																																																
CNCL00000000	ISAEFRPAC1	CBXITFC01X	accepted	MSG-ISA2402202	INST-ISA2402202	E2E-ISA2402202	c7777003-0000-4		Standing Facility	Processed	Out	05/04/2022 12:0	ECMS OPERATO																																																																																																																																
CNCL00000000	ISAEFRPAC1	CBXITFC01X	rejected	MSG-ISA2402202	INST-ISA2402202	E2E-ISA2402202	c7777003-0000-4		Standing Facility	Processed	Out	24/02/2022 12:0	ISAEFRAC2A01																																																																																																																																
CNCL00000000	ISAEFRPAC1	CBXITFC01X	rejected	MSG-ISA2402202	INST-ISA2402202	E2E-ISA2402202	c7777003-0000-4		Standing Facility	Processed	Out	24/02/2022 12:0	ISAEFRAC2A01																																																																																																																																
CNCL00000000	ISAEFRPAC1	CBXITFC01X	rejected	MSG-ISA2402202	INST-ISA2402202	E2E-ISA2402202	c7777003-0000-4		Standing Facility	Processed	Out	24/02/2022 09:2	ISAEFRAC2A01																																																																																																																																

MLOR Cancellation Notifications – List Screen	
Field	Description

Message Reference	Shows the reference that uniquely identifies the notification.
Instructing Agent	Shows the identifier that uniquely identifies the Instructing Agent.
Instructed Agent	Shows the identifier that uniquely identifies the Instructed Agent.
Cancellation Status	Shows the status of the Cancellation.
Original Message Identifier	Shows the reference that uniquely identifies the Original Message.
Original Message Name	Shows the name that identifies the Original Message.
Original Instruction Identifier	Shows the reference that uniquely identifies the Original Instruction.
Original End-to-End Identifier	Shows the End-to-End Identifier that uniquely identifies the Original transaction throughout the lifecycle.
Original UETR	Shows the UETR universally unique identifier generated by the initiating party of a transaction that identifies the Original Message.
Notification Name	Shows the type of notification. Possible values: "Standing Facilities Cancellation"
Notification Status	Shows the status.
Direction	Shows the direction. Possible values: "In", "Out".
Update Date	Shows the date and time of the last update. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Updater User Id	Shows the identification of the user that made the last update.
After selecting a record, the following buttons are displayed	
Button	Function
View Message	This function enables you to access to the detailed information of message for a notification.
Download	This function enables you to download the notification selected.

3.3.3.3.3 MLOR Status Notifications – Search Screen

Context of Usage	<p>This screen contains a number of search fields and shows the latest and stored searches. By inputting the relevant data, you can search for the MLOR Status Notifications.</p> <p>The search results will be displayed in a list. After selecting an entry, you can proceed further by clicking on the buttons that are shown.</p> <p>This function is available only in U2A mode.</p>
Screen Access	Collateral and Credit >> Notifications >> Counterparties Notifications >> Standing Facilities Notifications >> MLOR Instruction Status Notifications



MLOR Status Notifications – Search screen	
Field	Description
Message Identifier	Enter/Select the reference that uniquely identifies the notification.
Original Message Identifier	Enter/Select the reference that uniquely identifies the Original Message.
Original End-to-End Identifier	Enter/Select the Original End-to-End Identifier that uniquely identifies the Original transaction throughout the lifecycle.
Original Instruction Identifier	Enter/Select the reference that uniquely identifies the Original instruction generating the notification.
Transaction Status	Enter/Select the status of the Transaction.
Notification Status	Enter/Select the status of the notification.
Date	Enter/Select the date and time of the processing. The calendar icon can also be used. Required format is: DD/MM/YYYY.
Update Date	Enter/Select the date and time of the last update. The calendar icon can also be used. Required format is: DD/MM/YYYY.
Button	Function
Reset	This function enables you to clear the form.
Search	This function enables you to start a search according to the filled in criteria. The results are displayed in a list on the List Screen.

3.3.3.3.3.1 MLOR Status Notifications – List screen

Context of Usage	This screen contains the search results displayed in a list, which is sorted by the values of the 'Update Date' column in descending order (default setting). After selecting an entry, you can proceed further by clicking on the buttons below. This function is available only in U2A mode.
Screen Access	Collateral and Credit >> Notifications >> Counterparties Notifications >> Standing Facilities Notifications >> MLOR Instruction Status Notifications >> Display >> Click on the <i>search</i> button (after inputting the relevant fields, if needed)

Screenshot

Message Id	Original Message Id	Original Message No.	Original Instruction Id.	Original End-To-End Id.	Transaction Status	Notification Name	Direction	Notifications Status	Update Date	Updater User Id
39056620	MSG-ISA06042022023	paacs 009	INSTISA06042022023	E2E-ISA06042022023	Rejected	Marginal Lending Status	Out	Processed	15/05/2022 19:51:16.9	ISAEFFRAZ401
32086620	STDFAC0000000342		STDFAC0000000342	STDFAC0000000342	Rejected	Marginal Lending Status	Out	Processed	12/05/2022 11:14:58.2	FRICBJDA-01
32076620	STDFAC0000000341		STDFAC0000000341	STDFAC0000000341	Rejected	Marginal Lending Status	Out	Processed	12/05/2022 10:01:47.4	FRICBJDA-01
31986620	STDFAC0000000339		STDFAC0000000339	STDFAC0000000339	Rejected	Marginal Lending Status	Out	Processed	11/05/2022 20:42:22.8	ECMS OPERATOR 1
31976620	STDFAC0000000338		STDFAC0000000338	STDFAC0000000338	Rejected	Marginal Lending Status	Out	Processed	11/05/2022 20:40:23.8	ECMS OPERATOR 1
31966620	STDFAC0000000337		STDFAC0000000337	STDFAC0000000337	Rejected	Marginal Lending Status	Out	Processed	11/05/2022 20:38:17.0	ECMS OPERATOR 1
31956620	STDFAC0000000336		STDFAC0000000336	STDFAC0000000336	Rejected	Marginal Lending Status	Out	Processed	11/05/2022 20:32:01.1	ECMS OPERATOR 1
31946620	STDFAC0000000335		STDFAC0000000335	STDFAC0000000335	Rejected	Marginal Lending Status	Out	Processed	11/05/2022 20:29:47.9	ECMS OPERATOR 1
31936620	STDFAC0000000334		STDFAC0000000334	STDFAC0000000334	Rejected	Marginal Lending Status	Out	Processed	11/05/2022 20:17:43.6	ECMS OPERATOR 1
27896620		paacs 009	INSTISA06042022022	E2E-ISA06042022022	Closed	Marginal Lending Status	Out	Processed	10/05/2022 19:13:49.6	ECMS OPERATOR 1

MLOR Status Notifications – List Screen	
Field	Description
Message Identifier	Shows the reference that uniquely identifies the notification.
Original Message Identifier	Shows the reference that uniquely identifies the Original Message.
Original Message Name	Shows the name that identifies the Original Message.
Original Instruction Identifier	Shows the reference that uniquely identifies the Original Instruction.
Original End-to-End Identifier	Shows the End-to-End Identifier that uniquely identifies the Original transaction throughout the lifecycle.
Transaction Status	Shows the status.
Notification Name	Shows the type of notification. Possible values: “Marginal Lending Status”, “Marginal Lending Status Rejection”
Direction	Shows the direction. Possible values: “In”, “Out”.
Notification Status	Shows the status.
Update Date	Shows the date and time of the last update. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Updater User Id	Shows the identification of the user that made the last update.
After selecting a record, the following buttons are displayed	
Button	Function
View Message	This function enables you to access to the detailed information of message for a notification.
Download	This function enables you to download the instruction selected.

3.3.3.3.4 AML Instruction Notifications – Search Screen

Context of Usage	<p>This screen contains a number of search fields and shows the latest and stored searches. By inputting the relevant data, you can search for the AML Notifications.</p> <p>The search results will be displayed in a list. After selecting an entry, you can proceed further by clicking on the buttons that are shown.</p>
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Screen Access	Collateral and Credit >> Notifications >> Counterparties Notifications >> Standing Facilities Notifications >> AML Instruction Notifications
Screenshot	

AML Instruction Notifications – Search screen	
Field	Description
Message Identifier	Enter/Select the reference that uniquely identifies the notification.
Cash Account Identifier	Enter/Select the Cash Account that is impacted by the notification.
Value Date	Enter/Select the Value date. The calendar icon can also be used. Required format is: DD/MM/YYYY.
Notification Status	Enter/Select the status of the notification.
Processing Date	Enter/Select the Processing date. The calendar icon can also be used. Required format is: DD/MM/YYYY.
Update Date	Enter/Select the date and time of the last update. The calendar icon can also be used. Required format is: DD/MM/YYYY.
Button	Function
Reset	This function enables you to clear the form.
Search	This function enables you to start a search according to the filled in criteria. The results are displayed in a list on the List Screen.

3.3.3.3.4.1 AML Instruction Notifications – List screen

Context of Usage	This screen contains the search results displayed in a list, which is sorted by the values of the 'Update Date' column in descending order (default setting). After selecting an entry, you can proceed further by clicking on the buttons below. This function is available only in U2A mode.
Screen Access	Collateral and Credit >> Notifications >> Counterparties Notifications >> Standing Facilities Notifications >> AML Instruction Notifications >> Display >> Click on the <i>search</i> button (after inputting the relevant fields, if needed)
Screenshot	

AML Instruction Notifications – List Screen	
Field	Description
Message Identifier	Shows the reference that uniquely identifies the notification.
Message Name	Shows the name that identifies the Message.
Cash Account Identifier	Shows the Cash Account that is impacted by the notification.
Balance Amount	Shows the amount.
Currency	Shows “EUR”.
Credit Debit Indicator	Show the Credit or Debit indicator for the notification
Balance Type	Shows the Balance Type for the notification. Possible values : “OPNG”
Balance Status	Shows the Balance Status.
Value Date	Shows the date and time of the value. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Notification Name	Shows the type of notification. Possible values: “AML Instruction Notification”
Notification Status	Shows the status.
Update Date	Shows the date and time of the last update. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Updater User Id	Shows the identification of the user that made the last update.
After selecting a record, the following buttons are displayed	
Button	Function
Download	This function enables you to download the instruction selected.

3.3.4 Global Credit Position Management

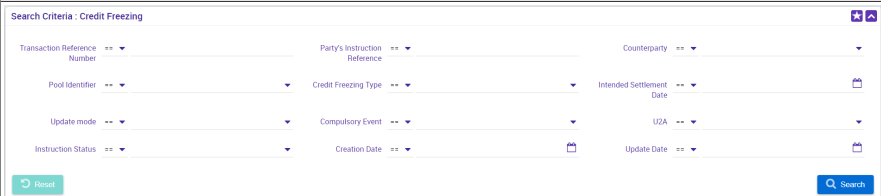
3.3.4.1 Credit Freezing

3.3.4.1.1 Credit Freezing – Create Screen

Context of Usage	This screen contains several fields regarding a credit freezing instruction. You can enter manually the data and then click in the button Save
Screen Access	Exposure >> Credit Freezing >> Input Credit Freezing Instruction
Screenshot	

Credit Freezing – Create screen	
Field	Description
Transaction Reference Number	Unique transaction identifier in the ECMS. It's always auto-completed when opening the screen to input a new credit freezing instruction.
Party's Instruction Reference	Reference of the instruction given by the instructing Party
Pool Identifier	Identifier of the ECMS Pool where the credit freezing is authorised.
Counterparty	Identifier of the ECMS Party that initiated the instruction.
Credit Freezing Type	Type of credit freezing update requested by the Counterparty / NCB.
Amount	Amount of credit freezing update.
Currency	Always equal to EUR
Intended Settlement Date	Intended Settlement Date corresponds to the current ECMS business date as Credit Freezing Instructions are processed during the day-time process.
Update Mode	"DELTA" or "CANCEL AND REPLACE"
Compulsory Event	Yes or No
Last Update Reason	Reason for update (information provided by the NCB user or Counterparty).
Button	Function
Reset	This function enables you to clear the form.
Save	This function enables you to save the creation of a credit freezing instruction.

3.3.4.1.2 Credit Freezing – Search Screen

Context of Usage	<p>This screen is used to enter criteria to retrieve the list of credit freezing instructions meeting the entered criteria. The screen contains a number of search fields and shows the latest and stored performed searches. By inputting the relevant data you can search for all the instructions that fit the input criteria. The search results will be displayed in a list. When selecting a row, you can proceed further by clicking one of the buttons that are shown</p> <p>Depending how the screen is accessed, it can be used to search the valid information of the credit freezing instruction or the information that requires 4 eyes validation before they can be considered valid in the system.</p>
Screen Access	<p><i>For valid information :</i></p> <p>Exposure >> Credit Freezing >> Display Credit Freezing Instruction</p> <p><i>For information subject to 4 eyes validation:</i></p> <p>Exposure >> Credit Freezing >> Credit Freezing Instruction Waiting for validation</p>
Screenshot	

Operations – Search screen	
General Information	
Field	Description
Transaction Reference Number	Enter the transaction reference number
Party's Instruction Reference	Enter the party's instruction reference
Pool Identifier	Select a pool identifier from the list displayed
Counterparty	Select a counterparty from the list displayed
Credit Freezing Type	Select a credit freezing type from the list displayed
Intended Settlement Date	Enter a date or use the calendar icon to select one. Required format is: DD/MM/YYYY
Update Mode	Select an update mode from the list displayed
Compulsory Event	Enter Yes or No to display instructions with compulsory events or not.
U2A	Enter Yes or No to display U2A instructions
Instruction Status	Select one status among the possible list of credit freezing instruction statuses
Creation Date	Enter a date or use the calendar icon to select one. Required format is: DD/MM/YYYY
Update Date	Enter a date or use the calendar icon to select one. Required format is: DD/MM/YYYY
Button	Function
Reset	This function enables you to clear the form.
Search	This function enables you to start a search according to the filled in criteria. The results are displayed in a list on the List Screen.

3.3.4.1.2.1 Credit Freezing – List Screen

Context of Usage	<p>This screen gives the list of credit freezing instructions resulting from the request entered in the display screen after clicking on the button Search. The screen Search is displayed below the query.</p> <p>Depending how the screen is accessed, it can be used to search the valid information of the credit freezing instructions or the information that requires 4 eyes validation before they can be considered valid in the system</p>
Screen Access	<p><i>For valid information:</i></p> <p>Exposure >> Credit Freezing >> Display Credit Freezing Instruction >> Click on the Search button (after inputting the relevant fields, if needed)</p> <p><i>For information subject to 4 eyes validation:</i></p>

Exposure >> Credit Freezing >> Credit Freezing Instruction Waiting for validation >> Click on the Search button (after inputting the relevant fields, if needed)

Screenshot

Transaction Ref.	Party's Instruc.	Pool Identifier	Counterparty	Credit Freezon	Amount	Currency	Intended Sett.	Update mode	Compulsory Ee	U2A	Instruction St	Update Date	Update User
CF2022061600	CF2022061600	POOL00000000	FR18129	CPTY	0	EUR	16/06/2022	CANCEL AND R.	No	Yes	Settled	16/06/2022 15...	ECMS OPERAT.
CF2022061600	CF2022061600	POOL00000000	FR18129	CPTY	80,000,000	EUR	16/06/2022	CANCEL AND R.	No	Yes	Settled	16/06/2022 15...	ECMS OPERAT.
CF2022061600	CF2022061600	POOL00000000	FR18129	CPTY	0	EUR	16/06/2022	CANCEL AND R.	No	Yes	Settled	16/06/2022 15...	ECMS OPERAT.
CF2022061600	CF2022061600	POOL00000000	FR18129	CPTY	20,000,000	EUR	16/06/2022	DELTA	No	Yes	Settled	16/06/2022 15...	ECMS OPERAT.
CF2022061600	CF2022061600	POOL00000000	FR18129	CPTY	20,000,000	EUR	16/06/2022	CANCEL AND R.	No	Yes	Settled	16/06/2022 15...	ECMS OPERAT.
CF2022061600	CF2022061600	POOL00000000	FR18129	CPTY	0	EUR	16/06/2022	CANCEL AND R.	No	Yes	Settled	16/06/2022 15...	ECMS OPERAT.
CF2022061600	CF2022061600	POOL00000000	FR18129	CPTY	0	EUR	16/06/2022	CANCEL AND R.	No	Yes	Rejected	16/06/2022 15...	ECMS OPERAT.
CF2022061600	CF2022061600	POOL00000000	FR18129	CPTY	25,000,000	EUR	16/06/2022	CANCEL AND R.	No	Yes	Settled	16/06/2022 14...	ECMS OPERAT.
CF2022061600	CF2022061600	POOL00000000	FR18129	CPTY	0	EUR	17/06/2022	CANCEL AND R.	No	Yes	Settled	16/06/2022 12...	ECMS OPERAT.
CF2022061600	CF2022061600	POOL00000000	FR18129	CPTY	20,000,000	EUR	16/06/2022	CANCEL AND R.	No	Yes	Settled	16/06/2022 12...	ECMS OPERAT.

Credit Freezing – List screen

General Information

Field	Description
Transaction Reference Number	Unique transaction identifier in the ECMS
Party's Instruction Reference	Reference of the instruction given by the instructing Party
Pool Identifier	Pool identifier
Counterparty	Counterparty
Credit Freezing Type	Type of credit freezing update requested by the Counterparty / NCB
Amount	Amount of credit freezing update.
Currency	Always equal to EUR.
Intended Settlement Date	The settlement date of the credit freezing update
Update Mode	“DELTA” or “CANCEL AND REPLACE”
Compulsory Eevent	Yes or No
U2A	Yes or No
Instruction Status	Status of the Credit Freezing instruction. Possible values: <ul style="list-style-type: none"> – Queued – WaitingValidation – Incomplete – Settled – Rejected – To Be Recycled – NotValuated – InitContingencyEcons
Update date	Date of the update of the credit freezing instruction
Update User Id	Identifier of the user who updated the credit freezing
After selecting a record, the following buttons are displayed (also available with click on right button)	
Button	Function

History	This function enables you to access to the historical information of a credit freezing instruction. Next screen displayed under the list screen: <i>Credit Freezing – History Screen.</i>
View	This function enables you to access to the detailed information of a credit freezing Next screen displayed under the list screen: <i>Credit Freezing – detail Screen.</i>
If the screen is used in the 4 eyes validation context, the following buttons are also displayed when a record is selected	
Button	Function
Compare Draft	In case the 4 eyes validation is required because of an update of the record, this function enables the user to display the change that needs to be validated. Next screen: – <i>Credit Freezing – History Screen.</i>
View Draft	This function enables the user to view the draft record to be validated. Next screen: – <i>Credit Freezing – detail Screen.</i>
Validate	This function allows the user to validate the creation or update of the record so that they can be considered valid in the system
Reject	This function allows the user to reject the creation or update of the record. The draft will be deleted.

3.3.4.1.2.2 Credit Freezing – History screen

Context of Usage	This screen displays historical information that has been changed on the selected Instruction. You can check the data and proceed further by clicking on the buttons that are shown.																																													
Screen Access	– Exposure >> Credit Freezing >> Display Credit Freezing Instruction >> Click on the Search button (after inputting the relevant fields, if needed)>> Select an instruction>> <i>Click on the History Button</i>																																													
Screenshot	 <p>The screenshot shows a web interface titled 'horizontalHistoryResult : Credit Freezing History'. It displays a comparison table between two records. The table has columns for various fields and their values for each record. The fields include Pool Movement, Instruction Status, Creation Date, Transaction Reference Number, Is Contingency Inst, Is Reimbursed, Is Rejected, Update mode, Action To Validate, Amount, Counterparty, Party's Instruction Reference, Credit Freezing Type, and Currency.</p> <table border="1"> <thead> <tr> <th>Field</th> <th>Record 1 (CF20220616001146)</th> <th>Record 2 (CF20220616001146)</th> </tr> </thead> <tbody> <tr> <td>Pool Movement</td> <td>CF20220616001146</td> <td>CF20220616001146</td> </tr> <tr> <td>Instruction Status</td> <td>Sent</td> <td>Waiting/oldstoc</td> </tr> <tr> <td>Creation Date</td> <td>16/06/2022 15:39:37.897</td> <td>16/06/2022 15:39:35.223</td> </tr> <tr> <td>Transaction Reference Number</td> <td>CF20220616001146</td> <td>CF20220616001146</td> </tr> <tr> <td>Is Contingency Inst</td> <td>No</td> <td>No</td> </tr> <tr> <td>Is Reimbursed</td> <td>No</td> <td>No</td> </tr> <tr> <td>Is Rejected</td> <td>No</td> <td>No</td> </tr> <tr> <td>Update mode</td> <td>CANCEL AND REPLACE</td> <td>CANCEL AND REPLACE</td> </tr> <tr> <td>Action To Validate</td> <td></td> <td></td> </tr> <tr> <td>Amount</td> <td>80,000,000</td> <td>80,000,000</td> </tr> <tr> <td>Counterparty</td> <td>S4</td> <td>S4</td> </tr> <tr> <td>Party's Instruction Reference</td> <td>CF20220616001146</td> <td>CF20220616001146</td> </tr> <tr> <td>Credit Freezing Type</td> <td>CFTY</td> <td>CFTY</td> </tr> <tr> <td>Currency</td> <td>EUR</td> <td>EUR</td> </tr> </tbody> </table>	Field	Record 1 (CF20220616001146)	Record 2 (CF20220616001146)	Pool Movement	CF20220616001146	CF20220616001146	Instruction Status	Sent	Waiting/oldstoc	Creation Date	16/06/2022 15:39:37.897	16/06/2022 15:39:35.223	Transaction Reference Number	CF20220616001146	CF20220616001146	Is Contingency Inst	No	No	Is Reimbursed	No	No	Is Rejected	No	No	Update mode	CANCEL AND REPLACE	CANCEL AND REPLACE	Action To Validate			Amount	80,000,000	80,000,000	Counterparty	S4	S4	Party's Instruction Reference	CF20220616001146	CF20220616001146	Credit Freezing Type	CFTY	CFTY	Currency	EUR	EUR
Field	Record 1 (CF20220616001146)	Record 2 (CF20220616001146)																																												
Pool Movement	CF20220616001146	CF20220616001146																																												
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Creation Date	16/06/2022 15:39:37.897	16/06/2022 15:39:35.223																																												
Transaction Reference Number	CF20220616001146	CF20220616001146																																												
Is Contingency Inst	No	No																																												
Is Reimbursed	No	No																																												
Is Rejected	No	No																																												
Update mode	CANCEL AND REPLACE	CANCEL AND REPLACE																																												
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Amount	80,000,000	80,000,000																																												
Counterparty	S4	S4																																												
Party's Instruction Reference	CF20220616001146	CF20220616001146																																												
Credit Freezing Type	CFTY	CFTY																																												
Currency	EUR	EUR																																												

Credit Freezing – History Screen	
Field	Description

Fields	Shows the fields whose information have been updated since the creation of the selected instruction.
Timestamp	Shows the date and time when the fields have been updated. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Button	Description
Compare	Shows the differences between the selected records whose data have been updated.
Expand All	Shows the expanded information of the fields whose information have been updated since the creation of the credit freezing instruction.
Show All	Shows the information that existed before each modification of all the fields regarding the selected credit freezing instruction.
Collapse All	Collapse the expanded information of the fields that have been updated since the creation of the selected credit freezing instruction.
Show Differences Only	Shows only the fields whose information have been updated since the creation of the selected credit freezing instruction.

3.3.4.1.2.3 Credit Freezing - Details screen

Context of Usage	This screen gives a view of selected credit freezing instruction resulting from the request
Screen Access	Exposure >> Credit Freezing >> Display Credit Freezing Instruction >> clicking on Search button>> Select an instruction>> <i>Click on the View Button</i>
Screenshot	<p>The screenshot shows a web interface for viewing credit freezing details. It includes sections for 'INSTRUCTION DETAILS', 'STATUS DETAILS', and 'OTHER DETAILS'. The 'INSTRUCTION DETAILS' section contains the following fields:</p> <ul style="list-style-type: none"> Transaction Reference Number: CF20220616001146 Party's Instruction Reference: CF20220616001146 Counterparty: FR18129 Pool Identifier: POOL00000000198 Credit Freezing Type: CPTY Amount: 80,000,000 Currency: EUR Intended Settlement Date: 16/06/2022 Update mode: CANCEL AND REPLACE Last Update Reason: (empty field)

Credit Freezing – Details Screen	
Instruction Details	
Field	Description
Transaction Reference Number	Unique transaction identifier in the ECMS
Party's Instruction Reference	Reference of the instruction given by the instructing Party
Counterparty	Unique RIAD identifier of the Counterparty
Pool Identifier	Identifier of the ECMS Pool where the credit freezing is authorised
Credit Freezing Type	Type of credit freezing update requested by the Counterparty / NCB

Amount	Amount of credit freezing update.
Currency	Always equal to EUR
Intended Settlement Date	The intended settlement date of the credit freezing instruction
Update Mode	"DELTA" or "CANCEL AND REPLACE"
Last Update Reason	The reason for the last update
Status Details	
Instruction Status	Status of the Credit Freezing instruction. Possible values: <ul style="list-style-type: none"> - Queued - WaitingValidation - Incomplete - Settled - Rejected - To Be Recycled - NotValuated - InitContingencyEcons
Event Description	Credit Freezing Position increase or decrease
Comulsory Event	Yes or No
Absolute Credit Limit Breached	Yes or No
Collateral Insufficiency	Yes or No
U2A	Yes or No
Action To Validate	Displays the action to validate when the status of the instruction is "Waiting for Global Credit Position Update"
Is Rejected	Yes or No
Other Details	
Pool Movement	Displays a link to a pool movement related to the credit freezing update
Payment & Credit Line Instruction	Displays a link to a payment and credit line instruction related to the credit freezing update
Business Validation Errors	Displays a list of business validation errors when the instruction is not yet validated by ECMS
Notifications	Displays the list of notifications Incoming and Outgoing: <ul style="list-style-type: none"> - Message received for credit freezing instruction creation with A2A mode (camt.998) - Message feedback sent from ECMS (camt.025)
Footprint	
Updater User Id	Shows the identification of the user that made the last update.
Update Date	Shows the date and time of the instruction last update. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Creation User Id	Shows the identification of the user that created the instruction.
Creation Date	Shows the date and time of the instruction creation. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.

Pool Movements	
Field	Description
Pool Movement ID	Shows the reference number that uniquely identifies the movement
Counterparty	Shows the Identifier of the Counterparty
Pool Identifier	Shows the Identifier that uniquely identifies the Pool of the Counterparty.
Event Description	Credit Freezing Position increase or decrease
Movement Origin	Initiation or Return
Amount	Shows the Movement Instruction Amount
Pool Variation Amount	Shows the Pool Variation Amount
Intended Settlement Date	The intended settlement date of the credit freezing instruction
Instruction Status	The status of the instruction
Is Valuated	Yes or No
Force Margin Call	Yes or No
Absolut Credit Limit Breached	Yes or No
Collateral Insufficiency	Yes or No
Payment and Credit Line Instruction	
Field	Description
Transaction Reference Number	Unique transaction identifier in the ECMS
Pool Identifier	Identifier of the ECMS Pool where the credit freezing is authorised
Counterparty	Unique RIAD identifier of the Counterparty
Payment Type	Displays the payment type: MCL, SCL, etc...
New Credit Line Amount	Displays the new credit line amount once the payment is settled
Credit Line Amount Variation	Displays the credit line variation
Variation Sign	Decrease or Increase
Payment Amount	Displays the payment amount
Currency	Always equal to EUR
ECMS Reference	The ECMS reference of payment
Value Date	The payment value date
Cancellation Reference	The cancellation reference if the payment is cancelled
Bundling Reference	Displays the bundling reference
Instruction Status	Displays the status of the payment instruction: <ul style="list-style-type: none"> - Cancelled - Generated - Instructed - Pending

	<ul style="list-style-type: none"> - Rejected - Settled
Status	Displays the status of the payment instruction: <ul style="list-style-type: none"> - Cancelled - Generated - Instructed - Pending - Rejected - Settled
Notifications	Displays the list of notifications Incoming and Outgoing:
Business Validation Errors	
Field	Description
Business Rule Id	Displays the business rule id
Error Message	Displays the error description
Status	
Notifications	
Field	Description
Message Reference	Reference of the outgoing or incoming message
Notification Name	Name of the notification: Credit Freezing Instruction Notification
Direction	In or Out
Notification Status	The status of the notification: <ul style="list-style-type: none"> - Processed - Processed With Failure - Impacted - Impact Failed - Archived - Rejected
Update Date	Shows the date and time of the notification last update. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Update User Id	Shows the identification of the user that made the last update.

3.3.4.1.3 Credit Freezing Notifications

The Credit Freezing Notifications functionality provides the users with notification information on the Credit Freezing instructions that have been processed in the ECMS.

3.3.4.1.3.1 Credit Freezing Notifications – Search Screen

Context of Usage	This screen contains a number of search fields and shows the latest and stored searches. By inputting the relevant data, you can search for the Credit Freezing Notifications. The search results will be displayed in a list. After selecting an entry, you can proceed further by clicking on the buttons that are shown.
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Screen Access	Notification >> Counterparties Notifications >> Credit Freezing Notification
Screenshot	

Credit Freezing Notifications – Search screen

Field	Description
Message Id	Enter/Select the reference that uniquely identifies the message.
Pool Identifier	Enter/Select the identifier that uniquely identifies the Pool.
Credit Freezing Type	Enter/Select the credit freezing type
Mode	Enter/Select the Update mode: Required: "DELTA" or "CANCEL AND REPLACE".
Direction	Enter/Select from a drop-down menu. Possible values: "In", "Out".
Value Date	Enter/Select the value date of the notification (Intended Settlement Date). The calendar icon can also be used. Required format is: DD/MM/YYYY.
Notification Status	Enter/Select from a drop-down list the possible status that could be: <ul style="list-style-type: none"> - Processed - Processed With Failure - Impacted - Impact Failed - Archived - Rejected
Creation Date	Enter/Select the creation date of the notification. The calendar icon can also be used. Required format is: DD/MM/YYYY hh:mm:ss:sss
Button	Function
Reset	This function enables you to clear the form.
Search	This function enables you to start a search according to the filled in criteria. The results are displayed in a list on the List Screen. Next screen: <i>Credit Freezing Notifications – List Screen.</i>

3.3.4.1.3.2 Credit Freezing Notifications – List Screen

Context of Usage	This screen contains the search results displayed in a list, which is sorted by the values of the 'Update Date' column in descending order (default setting). After selecting an entry, you can proceed further by clicking on the buttons below.
Screen Access	Notifications >> Counterparties Notifications >> Credit Freezing Notifications >> Display >> Click on the <i>search</i> button (after inputting the relevant fields, if needed)
Screenshot	

Credit Freezing Notifications – List Screen	
Field	Description
Message Id	Shows the reference that uniquely identifies the notification.
Pool Id	Shows the identifier that uniquely identifies the Pool.
Credit Freezing Type	Shows the Credit Freezing Type.
Amount	Shows the amount for the notification.
Update mode	Shows the update mode: "DELTA" or "CANCEL AND REPLACE".
Intended Settlement Date	Shows the business settlement date on which the instruction will be settled. Displayed format is: DD/MM/YYYY.
Notification Status	Shows the notification status of the notification.
Direction	Shows the message direction (In or Out) of ECMS.
Update Date	Shows the date and time of the last update. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Updater User Id	Shows the identification of the user that made the last update.
Last Update Reason	Shows reason for update (information provided by the NCB user or Counterparty).
After selecting a record, the following buttons are displayed	
Button	Function
Download	This function enables you to download the notification selected.

3.3.4.2 Credit Line and impacts

The ECMS manages different types of internal Credit Lines:

- The Suggested Credit Line (SCL) is calculated by the ECMS, any time during night time and the day time cycle, due to any events impacting the collateral position or the credit position, by calculating the difference between the global value of the collateral position (taking account of the relative limit) and the global value of the credit position.
- The Expected Credit Line (ECL) corresponds to the Last value of SCL sent to CLM in case of Floating Credit Line or to the MaCL taking account the absolute limit if any. The ECL is not relevant for a Counterparty without own MCA, nor for a Counterparty with own MCA on its pools without Credit Line configuration (value always equal to 0).
- The Real Credit Line (RCL) or Current Credit Line corresponds to the last credit line settled in CLM and confirms the credit line value received in the ECMS from CLM. The RCL can be different from the ECL value when at least one modification of the credit line is not yet settled from CLM.
- The Maximum Credit Line is performed in the ECMS in cancel and replace mode. The maximum credit line is a cap calculated to the value of the SCL (no impact on the value of the SCL). This cap reduces the value of the ECL sent to CLM. The maximum credit line update instruction can only be received from eligible Counterparties or NCB users.

3.3.4.2.1 Maximum Credit Line

3.3.4.2.1.1 MaCL (for the CPTY) – Create Screen

Context of Usage	This screen contains a number of fields regarding the MaCL of the Counterparty. You can enter new data. Afterwards you can proceed further by clicking on the buttons below.
Screen Access	Payment And Credit Line Instruction >> MaCL Instructions >> Input MaCL instruction
Screenshot	

MaCL (for the CPTY) – Create screen	
Instruction Details	
Field	Description
Transaction Reference Number	Unique identifier of the instruction in the ECMS: automatically field in
Party's Instruction Reference	Enter/select the reference of the instruction given by the instructing Party Required format is: Max. 30 characters.
Counterparty Identifier	Automatically field in by the ECMS (once the Pool Identifier is selected)
Pool Identifier	Enter/Select from the drop-down menu the unique identifier of the pool in the ECMS Required format is: Max. 30 characters.

Instructing Party	Enter/Select the value: "Counterparty" (when the request is linked to an update of Counterparty MaCL) or "NCB" (when the request is linked to an update of NCB MaCL)
Amount	Enter the amount of the requested maximum credit line.
Amount of maximum credit line fixed by NCB	Automatically field in by the ECMS
Compulsory Event	Automatically field in with "No" (Counterparty)
Intended Settlement Date	Enter/Select the ISD (equal or posterior to the current ECMS instruction date)
Last Update reason	Enter the reason for update
Currency	Authomatically field in with "EUR"
NCB Identifier	Authomatically field in by the ECMS (with the RIAD Code of the refinancing NCB)
Button	Function
Save	This function enables you to save the creation of the MaCL counterparty
Reset	This function enables you to clear the form.

3.3.4.2.1.2 MaCL – Search Screen

Context of Usage	<p>This screen contains a number of search fields and shows the latest and stored searches. By inputting the relevant data, you can search for the MaCL.</p> <p>The search results will be displayed in a list. After selecting an entry, you can proceed further by clicking on the buttons that are shown.</p>
Screen Access	Collateral and Credit >> Payment And Credit Line Instruction >> MaCL Instructions >> Display MaCL Instruction
Screenshot	

MaCL – Search screen	
Field	Description
Transaction reference number	Enter the unique identifier of the MaCL instruction
Party's Instruction Reference	Enter/select the reference (given by the instructing party) of the MaCL instruction
Counterparty identifier	<p>Enter/Select from the drop-down menu the RIAD code identifier that uniquely identifies the Counterparty.</p> <p>The required format is : Max 30 characters</p>

Pool Identifier	Enter/Select from the drop-down menu the Pool ID.
Instructing Party	Enter/Select from the drop-down menu the instructing party that initiated the instruction (NCB or Counterparty)
U2A	Enter/Select from the drop-down menu if the instruction was captured in U2A mode ("Yes") or not ("No")
Intended Settlement Date	Enter/Select the instruction date of the creation of the MaCL. Required format is: DD/MM/YYYY
Creation Date	Enter/Select the Instruction Date of the creation of the MaCL. Required format is: DD/MM/YYYY hh:mm:ss:µs
Status	Enter/Select the status of the MaCL. The possible values are : <ul style="list-style-type: none"> - Waiting validation - Sent for settlement - Rejected - Settled - Canceled
Compulsory event	Enter/select from the drop-down menu the Compulsory Event attribute value Possible values are : <ul style="list-style-type: none"> - Yes - No
Update Date	Enter/Select an update date of the creation of the MaCL. Required format is: DD/MM/YYYY hh:mm:ss:µs
Button	Function
Reset	This function enables you to clear the form.
Search	This function enables you to start a search according to the filled in criteria. The results are displayed in a list on the List Screen.

3.3.4.2.1.3 MaCL– List screen

Context of Usage	This screen contains the search results displayed in a list, which is sorted by the values of the 'Update Date' column in descending order (default setting). After selecting an entry, you can proceed further by clicking on the buttons below. Depending how the screen is accessed, it can be used to search the valid information of the MaCL or the information that requires 4 eyes validation before they can be considered valid in the system
Screen Access	<i>For valid information</i> Collateral and Credit >> Payment And Credit Line Instruction >> MaCL Instructions >> Display MaCL Instruction >> Click on the <i>search</i> button (after inputting the relevant fields, if needed) <i>For information subject to 4 eyes validation:</i>

Collateral and Credit >> Payment And Credit Line Instruction >> Validation / Rejection >> Validate / Reject Max Credit Line >> Click on the *search* button (after inputting the relevant Reference Data, if needed)

Screenshot

Transaction refer.	Party's Instruction	Identifier	Pool Identifier	Amount	Currency	Intended Settlement	Instructing Party	Compulsory Event	Amount of maximum	Instruction Status	Update User Id	Last Update Date
MACL20220210912	MACL20220210912	FR18129	POOL000000000200	99,999,999,999	EUR	17/06/2022	NCB	Yes	99,999,999,999	Settled	ECMS OPERATOR 1	21/06/2022 10:59:2...
MACL20220609065	MACL20220609065	FR18129	POOL000000000184	99,999,999,999	EUR	06/06/2022	NCB	Yes	99,999,999,999	Rejected	ECMS OPERATOR 1	06/06/2022 20:27:4...
MACL20220602060	MACL20220602060	FR18129	POOL000000000189	800,000,000	EUR	01/06/2022	COUNTERPARTY	No	99,999,999,999	Settled	ECMS OPERATOR 1	02/06/2022 17:18:2...
MACL20220530097	MACL20220530097	FR18129	POOL000000000189	99,999,999,999	EUR	27/05/2022	NCB	Yes	99,999,999,999	Settled	STP	30/05/2022 12:59:0...
MACL20220518089	MACL20220518089	FR18129	POOL000000000185	99,999,999,999	EUR	19/05/2022	NCB	Yes	99,999,999,999	Settled	ECMS OPERATOR 1	18/05/2022 18:54:0...
MACL202205150824	MSG-ISAEFFPPX06	FR18129	POOL000000000078	500,000,000	EUR	19/05/2022	COUNTERPARTY	No	0	Rejected	ISAEFFA2401	15/05/2022 00:55:2...
MACL202205110817	MACL202205110817	FR30007	POOL000000000176	99,999,999,999	EUR	11/05/2022	NCB	Yes	99,999,999,999	Rejected	ECMS OPERATOR 1	11/05/2022 12:35:4...
MACL202205100816	MACL202205100816	FR18129	POOL000000000184	99,999,999,999	EUR	10/05/2022	NCB	Yes	99,999,999,999	Settled	ECMS OPERATOR 1	10/05/2022 18:47:5...
MACL202205100813	MACL202205100813	FR18129	POOL000000000183	99,999,999,999	EUR	10/05/2022	NCB	Yes	99,999,999,999	Settled	STP	10/05/2022 17:08:2...
MACL202204140778	MACL202204140778	FR18129	POOL000000000175	99,999,999,999	EUR	14/04/2022	NCB	Yes	99,999,999,999	Rejected	ECMS OPERATOR 1	14/04/2022 14:56:1...

MaCL – List Screen	
Field	Description
Transaction Reference Number	Shows unique identifier of the instruction in the ECMS: automatically field in
Party's Instruction Reference	Shows the reference of the instruction given by the instructing Party
Counterparty Identifier	Shows the RIAD code of the counterparty in the ECMS
Pool Identifier	Shows the unique identifier of the pool id in the ECMS
Instructing Party	Shows the instructing party Id that initiated the instruction (NCB or Counterparty)
Amount	Shows the amount
Amount of maximum credit line fixed by the NCB	Shows the NCB amount
Currency	Shows the currency ("EUR")
Compulsory Event	Shows if the event is compulsory or not ("Yes" or "No")
Instruction Status	Shows the internal ECMS status The possible values are : <ul style="list-style-type: none"> - Waiting validation - Sent for settlement - Rejected - Settled - Canceled
Intended Settlement Date	Shows the ISD (equal or posterior to the current ECMS instruction date)
Action to validate	Present only when the screen is used in the context of 4 eyes validations, Shows if the validation is required following a creation or an update of the record.
Update Date	Shows the Date and Time
Update User Id	Shows the Updater User Id
After selecting a record, the following buttons are displayed	

Button	Function
History	This function enables you to access to the historical information of the MaCL instruction. Next screen: – <i>MaCL – History</i> Screen.
View	This function enables you to access to the detailed information of a MaCL instruction. Next screen: – <i>MaCL – Details</i> Screen.
If the screen is used in the 4 eyes validation context, the following buttons are also displayed when a record is selected	
Button	Function
Compare Draft	In case the 4 eyes validation is required because of an update of the record, this function enables the user to display the change that needs to be validated. Next screen: <i>MaCL – History</i> Screen.
View Draft	This function enables the user to view the draft record to be validated. Next screen: <i>MaCL – Details</i> Screen.
Validate	This function allows the user to validate the creation or update of the record so that they can be considered valid in the system
Reject	This function allows the user to reject the creation or update of the record. The draft will be deleted.

3.3.4.2.1.4 MaCL – History Screen

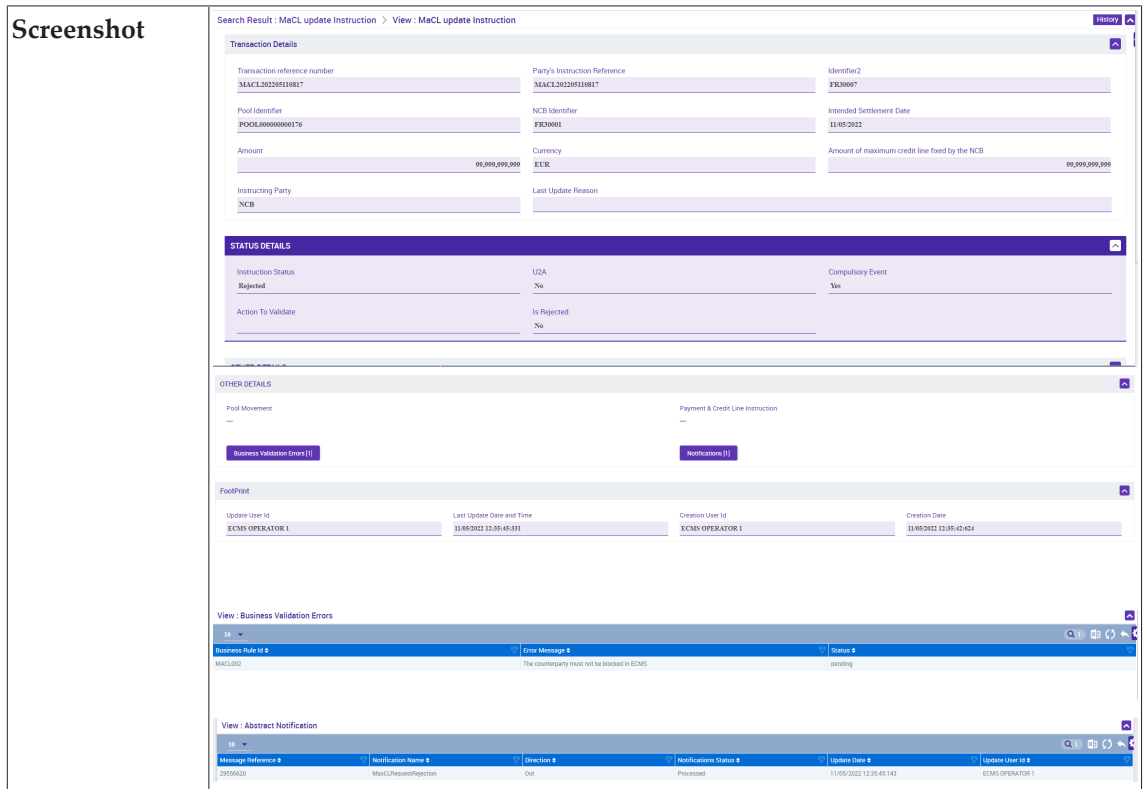
Context of Usage	This screen displays historical information that has changed on the selected MaCL. You can check the data, including the user who made the changes, and proceed further by clicking on the buttons that are shown.
Screen Access	<ul style="list-style-type: none"> – Collateral and Credit >> Payment And Credit Line Instruction >> MaCL Instructions >> Display MaCL Instruction >> Click on the search button (after inputting the relevant fields, if needed) >> Select a Transaction reference number >> Click on the History button – Collateral and Credit >> Payment And Credit Line Instruction >> MaCL Instructions >> Display MaCL Instruction >> Click on the <i>search</i> button (after inputting the relevant fields, if needed) >> Select a Transaction reference number >> Click on the <i>View</i> button - <i>Details</i> Screen >> Click on the <i>History</i> Button

Fields	499999002022-05-11 12:35:45.3314	499999002022-05-11 12:35:43.8653	499999002022-05-11 12:35:43.6412	499999002022-05-11 12:35:42.6241
Instruction Status	Rejected	Rejected	Incomplete	WaitingValidation
Last Notification Out	2949999133			
Creation Date	11/05/2022 12:35:45.331	11/05/2022 12:35:43.865	11/05/2022 12:35:43.641	11/05/2022 12:35:42.624
Compulsory Event	Yes	Yes	Yes	Yes
UZA	No	No	No	No
Is Rejected	No	No	No	No
Instructing Party	NCB	NCB	NCB	NCB
Intended Settlement Date	11/05/2022	11/05/2022	11/05/2022	11/05/2022
Action To Validate				
Amount	99,999,999,999	99,999,999,999	99,999,999,999	99,999,999,999
Counterparty	39	39	39	39
Party's Instruction Reference	MACL202205110817	MACL202205110817	MACL202205110817	MACL202205110817
Last Update Reason				
Currency	EUR	EUR	EUR	EUR
Institute CL	Yes	Yes	Yes	Yes
Instruction Date	11/05/2022	11/05/2022	11/05/2022	11/05/2022

MaCL – History Screen	
Field	Description
Fields	Shows the fields whose information have been updated since the creation of the selected MaCL.
Timestamp	Shows the date and time when the fields have been updated. Displayed format is: DD/MM/YYYY hh:mm:ss:μs.
Button	Description
Compare	Shows the differences between the selected records whose data have been updated.
Expand All	Shows the expanded information of the fields whose information have been updated since the creation of the selected MaCL.
Show All	Shows the information that existed before each modification of all the fields regarding the selected MaCL.
Collapse All	Collapse the expanded information of the fields that have been updated since the creation of the selected MaCL.
Show Differences Only	Shows only the fields whose information have been updated since the creation of the selected MaCL.

3.3.4.2.1.5 MaCL – Details Screen

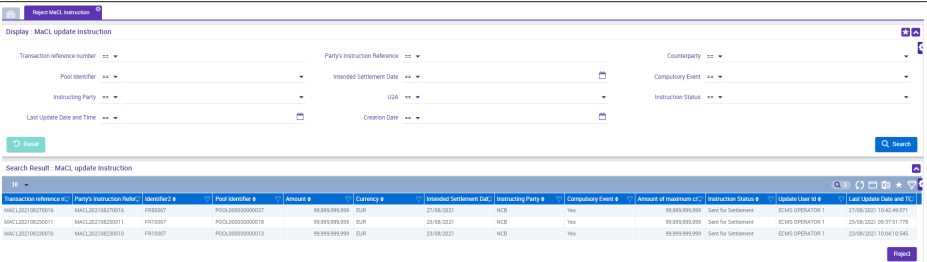
Context of Usage	This screen displays detailed information of the selected MaCL.
Screen Access	Collateral and Credit >> Payment And Credit Line Instruction >> MaCL Instructions >> Display MaCL Instruction >> Click on the <i>search</i> button (after inputting the relevant fields, if needed) >> Select a Transaction Reference Number >> Click on the <i>View</i> button



MaCL – Details screen	
Button	Description
History	This function enables you to access to the historical information of a MaCL. Next screen: – <i>MaCL – History</i> Screen.
Transaction Details	
Field	Description
Transaction Reference Number	Shows the unique identifier of the instruction in the ECMS: automatically field in
Party's Instruction Reference	Shows the reference of the instruction given by the instructing Party
Counterparty Identifier	Shows the RIAD code of the counterparty in the ECMS
Pool Identifier	Shows the unique identifier of the pool id in the ECMS
Instructing Party	Shows the instructing party Id that initiated the instruction
Amount	Shows the amount
Currency	Shows the currency (“EUR”)
Amount of maximum credit line fixed by the NCB	Shows the NCB amount
Compulsory Event	Shows if the event is compulsory or not (“Yes” or “No”)

Instruction Status	Shows the internal ECMS status The possible values are : – Waiting validation – Sent for settlement – Rejected – Settled – Canceled
Intended Settlement Date	Shows the ISD (equal or posterior to the current ECMS instruction date)
Last Update reason	Shows the reason for update
U2A	Shows if the instruction was captured in U2A mode (“Yes”) or not (“No”).
Action To Validate	Shows the nature of the action to validate.
Is rejected	Shows if the instruction was rejected.
Rejection Reason	Shows the reason of the instruction rejection
Other details	
Pool Movement	This link enables to display information about the MaCL Movement: Next screen: MaCL Movement – Details screen
Payment & Credit Line instruction	This link enables to display information about the Payment and Credit Line Instruction Next screen: Payment and Credit Line Instruction – Details screen
Footprint	
Creation Date	Shows the date and time of the creation of the MaCL. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Creation User Id	Shows the identification of the user that created the MaCL.
Update Date	Shows the date and time of the update of the MaCL. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Update User Id	Shows the identification of the user that made the last update of the MaCL.
Button	Description
Notifications	This function enables you to display the MaCL notifications related to selected MaCL. Next screen : MaCL Notifications - list screen is displayed. It is possible to view details and download the MaCL notification
Business Validation Error	This function enables you to display the Business Validation Errors Next screen : Business Validation Errors – List screen

3.3.4.2.1.6 MaCL – Reject Screen

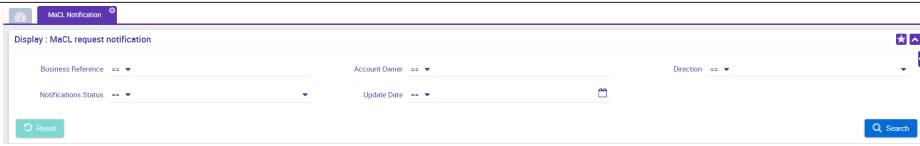
Context of Usage	This screen contains the MaCL which can be rejected. Afterwards you can proceed further by clicking on the buttons below.
Screen Access	Collateral and Credit >> Payment And Credit Line Instructio >> MaCL Instructions >> Reject MaCL Instruction >> Click on the <i>Search</i> button (after inputting the relevant fields, if needed)
Screenshot	 <p>The screenshot shows the 'Reject MaCL Instruction' screen. It features a search form with fields for Transaction-reference number, Party's Instruction Reference, Counterparty, Pool Identifier, Intended Settlement Date, Compulsory Event, Instructing Party, U2A, Instruction Status, Last Update Date and Time, and Creation Date. Below the form is a search result table with columns: Transaction-reference #, Party's Instruction Reference #, Instruct #, Pool Identifier #, Amount #, Currency #, Intended Settlement Date, Instructing Party #, Compulsory Event #, Amount of maximum CL, Instruction Status #, Update User ID #, and Last Update Date and Time. The table contains three rows of data.</p>

MaCL - Reject Screen	
Field	Description
Transaction reference number	Enter the instruction reference that identifies the MaCL instruction.
Party's instruction Reference	Enter the Party's Instructin Reference.
Counterparty identifier	Enter/Select from the drop-down menu the RIAD code identifier that uniquely identifies the Counterparty. The required format is : Max 30 characters. .
Pool Identifier	Enter/Select from the drop-down menu the Pool ID.
Instructing Party	Enter/Select from the drop-down menu the instructing party that initiated the instruction
Intended settlement Date	Enter/Select the intended settlement date of the MaCL instruction. Required format is: DD/MM/YYYY hh:mm:ss:µs
Compulsory Event	Enter/Select if the event is compulsory or not ("Yes" or "Not")
Instruction Status	Enter/Select the status of the MaCL. Required format is: DD/MM/YYYY hh:mm:ss:µs
Update Date	Enter/Select an update date of the creation of the MaCL. Required format is: DD/MM/YYYY hh:mm:ss:µs
U2A	Enter/Select if the instruction was captured in U2A mode ("Yes") or not ("No").
Creation Date	Enter/Select the date of the creation of the instruction.
Button	Function
Reset	This function enables you to clear the form.
Search	This function enables you to start a search according to the filled in criteria. The results are displayed in a list on the List Screen.

This section contains the search results displayed in a list, which is sorted by the values of the 'Update Date' column in descending order (default setting). After selecting an entry, you can proceed further by clicking on the buttons below	
MaCL Reject List	
Field	Description
Transaction Reference Number	Shows unique identifier of the instruction in the ECMS: automatically field in
Party's Instruction Reference	Shows the reference of the instruction given by the instructing Party
Counterparty Identifier	Shows the RIAD code of the counterparty in the ECMS
Pool Identifier	Shows the unique identifier of the pool id in the ECMS
Instructing Party	Shows the instructing party Id that initiated the instruction
Amount	Shows the Maximum Credit Line value set by the Counterparty
Amount if maximum credit line fixed by the NCB	Shows the Maximum Credit Line value authorised by the NCB
Compulsory Event	– Shows if the event is compulsory or not ("Yes" or "No")
Instruction Status	Shows the internal ECMS status <ul style="list-style-type: none"> – Waiting validation – Sent for settlement – Rejected – Settled Canceled
Intended Settlement Date	Shows the ISD (equal or posterior to the current ECMS instruction date)
Last Update and time	Shows the Date and Time
Update User Id	Shows the Updater User Id
After selecting a record, the following buttons are displayed	
Button	Function
Reject	This function enables you to reject the selected MaCL instruction.
History	This function enables you to access to the historical information of the MaCL instruction. Next screen: <i>MaCL – History</i> Screen.
View	This function enables you to access to the detailed information of a MaCL instruction. Next screen: <i>MaCL – Details</i> Screen.

3.3.4.2.1.7 MaCL Notification

3.3.4.2.1.7.1 MaCL Notification – Search Screen

Context of Usage	<p>This screen contains a number of search fields and shows the latest and stored searches. By inputting the relevant data, you can search for the notification MaCL.</p> <p>The search results will be displayed in a list. After selecting an entry, you can proceed further by clicking on the buttons that are shown.</p>
Screen Access	Collateral and Credit >> Notification >> Counterparties Notifications >> MaCL Notification
Screenshot	

MaCL Notification – Search screen	
Field	Description
Transaction Reference Number	Enter/Select the unique identifier of the instruction in the ECMS: automatically field in
Pool Identifier	Enter/Select from the drop-down menu the Pool ID.
Instructing Party	Enter/Select from the drop-down menu the instructing party That initiated the instruction
Instruction Date	Enter/Select the Instruction Date of the creation of the MaCL. Required format is: DD/MM/YYYY hh:mm:ss:µs
Intended Settlement Date	Enter/Select the ISD (equal or posterior to the current ECMS instruction date) Required format is: DD/MM/YYYY
Status	Enter/Select the status of the MaCL.
In / Out	Enter/Select if the MaCL notification is In or Out from ECMS.
Button	Function
Reset	This function enables you to clear the form.
Search	This function enables you to start a search according to the filled in criteria. The results are displayed in a list on the List Screen.

3.3.4.2.1.7.2 MaCL Notification – List Screen

Context of Usage	<p>This screen contains the search results displayed in a list, which is sorted by the values of the 'Creation Date' column in descending order (default setting). After selecting an entry, you can proceed further by clicking on the buttons below.</p>
Screen Access	Collateral and Credit >> Notification >> Counterparties Notifications >> MaCL Notification >> Click on the <i>Search</i> button (after inputting the relevant fields, if needed)

Screenshot

MaCL Notification – List Screen	
Field	Description
Transaction Reference Number	Shows the unique identifier of the instruction in the ECMS
Message Reference	Shows the message reference of the instruction in the ECMS
Counterparty Identifier	Shows the RIAD code of the counterparty in the ECMS
Pool Identifier	Shows from the drop-down menu the Pool ID.
Instructing Party Id	Shows from the drop-down menu the instructing party that initiated the instruction
Instruction Date	Shows the Instruction Date of the creation of the MaCL. Required format is: DD/MM/YYYY hh:mm:ss:µs
Intended Settlement Date	Shows the ISD (equal or posterior to the current ECMS instruction date) Displayed format is: DD/MM/YYYY
Status	Shows the status of the MaCL.
Amount	Shows the amount of the MaCL (CPTY)
Amount of maximum credit line fixed by NCB	Shows the NCB amount
Compulsory Event	Shows if the event is compulsory or not (“Yes” or “No”)
In / Out	Shows if the MaCL notification is In or Out from ECMS.
Update User Id	Shows the Updater User Id
After selecting a record, the following buttons are displayed	
Button	Function
Download	This function enables you to access to the download of the MaCL notification. Next step: – Record the MaCL Notification.
View	This function enables you to access to the detailed information of a MaCL notification. Next screen: – MaCL Notification – Details Screen.

3.3.4.2.1.7.3 MaCL Notification – Details Screen

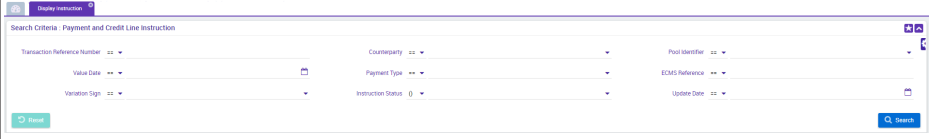
Context of Usage	This screen displays detailed information of the selected MaCL Notification.
Screen Access	Collateral and Credit >> Notification >> Counterparties Notifications >> MaCL Notification >> Click on the <i>Search</i> button (after inputting the relevant fields, if needed) >> Select a Transaction Reference Number >> Click on the <i>View</i> button
Screenshot	

MaCL Notification– Details screen	
General Information	
Field	Description
Transaction Reference Number	Shows the unique identifier of the instruction in the ECMS
Message Reference	Shows the message reference of the instruction in the ECMS
Counterparty Identifier	Shows the RIAD code of the counterparty in the ECMS
Pool Identifier	Shows from the drop-down menu the Pool ID.
Instructing Party Id	Shows from the drop-down menu the instructing party
Instruction Date	Shows the Instruction Date of the creation of the MaCL. Required format is: DD/MM/YYYY hh:mm:ss:µs
Intended Settlement Date	Shows the ISD (equal or posterior to the current ECMS instruction date) Displayed format is: DD/MM/YYYY
Status	Shows the status of the MaCL.
Amount	Shows the amount of the MaCL
Amount of maximum credit line fixed by NCB	Shows the NCB amount
Compulsory Event	Shows if the event is compulsory or not (“Yes” or “No”)
In / Out	Shows if the MaCL notification is In or Out from ECMS.
Instruction Status	Shows the internal ECMS status
NSP Date	Shows the date of the notification MaCL
NSP Time	Shows the time of the notification MaCL
Sender Address	Shows the Sender Address

Receiver Address	Shows the receiver Address
Footprint	
Creation Date	Shows the date and time of the creation of the MaCL notification. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Creation User Id	Shows the identification of the user that created the MaCL notification.
Update Date	Shows the date and time of the update of the MaCL notification. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Update User Id	Shows the identification of the user that made the last update of the MaCL notification.

3.3.4.2.2 Credit Line & Payment Instructions (MCL, CP & RP)

3.3.4.2.2.1 Credit Line & Payment Instructions – Search Screen

Context of Usage	This screen contains a number of search fields and shows the latest and stored searches. By inputting the relevant data, you can search for the Credit line history. The search results will be displayed in a list. After selecting an entry, you can proceed further by clicking on the buttons that are shown.
Screen Access	Collateral and Credit >> Payment And Credit Line Instruction >> Display Instruction
Screenshot	

Credit Line & Payment Instructions – Search screen	
Field	Description
Transaction Reference Number	Enter/Select unique transaction identifier
Counterparty	Enter/Select from the drop-down menu the RIAD code identifier that uniquely identifies the Counterparty. The required format is : Max 30 characters.
Pool Identifier	Enter/Select from the drop-down menu the Pool ID.
ECMS Reference (Pool Movement)	Enter the reference to the related credit or collateral events which moved the pool In case of netting, the 'ECMS Reference' is filled in with the transaction reference number of the netting result
Payment Type	Enter/Select the payment type (All/MCL/CP/RP)
Netting indicator	Enter/Select the value of the Netting indicator (Yes/No) in order to distinguish netted / non-netted payments

Instruction Status	Enter/Select the status of the MCL or CP or RP.
Update Date	Enter/Select an update date of the creation of the MCL/CP/RP. Required format is: DD/MM/YYYY hh:mm:ss:µs
Button	Function
Reset	This function enables you to clear the form.
Search	This function enables you to start a search according to the filled in criteria. The results are displayed in a list on the List Screen.

3.3.4.2.2.2 Credit Line & Payment Instructions – List screen

Context of Usage	This screen contains the search results displayed in a list, which is sorted by the values of the 'Update Date' column in descending order (default setting). After selecting an entry, you can proceed further by clicking on the buttons below.
Screen Access	Collateral and Credit >> Payment And Credit Line Instruction >> Display Instruction >> Click on the <i>Search</i> button (after inputting the relevant fields, if needed)
Screenshot	

Credit Line & Payment Instructions – List Screen	
Field	Description
Transaction Reference Number	Shows unique identifier of the instruction in the ECMS: automatically field in
ECMS Reference (Pool Movement)	Shows the ECMS reference (related to the credit or the collateral event)
Counterparty Id.	Shows the RIAD code of the counterparty in the ECMS
Pool Identifier	Shows the unique identifier of the pool id in the ECMS
Value Date	Shows of the Value Date Format required is: DD/MM/YYYY hh:mm:ss:µs
Payment Type	Shows the payment type (MCL or CP or RP)
Credit Line Variation Amount	Shows the amount of the variation of the Credit Line (in case of MCL or CP)

Suggested Credit Line	Shows the amount of the SCL (in case of MCL or CP)
Expected Credit line	Shows the amount of the ECL (in case of MCL or CP)
Real Credit line	Shows the amount of the RCL (in case of MCL or CP)
Payment Amount	Shows the payment amount (in case of CP or RP)
Currency	Shows the currency (in case of MCL or CP or RP)
Instruction Status	Shows the internal ECMS status
After selecting a record, the following buttons are displayed	
Button	Function
History	This function enables you to access to the historical information of the payment or MCL instruction. Next screen: – <i>Credit Line & Payment Instructions – History Screen.</i>
View	This function enables you to access to the detailed information of a a payment or MCL instruction. Next screen: – <i>Credit Line & Payment Instructions – Details Screen.</i>

3.3.4.2.2.3 Credit Line & Payment Instructions – History Screen

Context of Usage	This screen displays historical information that has changed on the selected MCL or CP or RP. You can check the data, including the user who made the changes, and proceed further by clicking on the buttons that are shown.
Screen Access	<ul style="list-style-type: none"> – Collateral and Credit >> Payment And Credit Line Instruction >> Display Instruction >> Click on the Search button (after inputting the relevant fields, if needed) >> Select a MCL or CP or RP >> Click on the History button – Collateral and Credit >> Payment And Credit Line Instruction >> Display Instruction >> Click on the <i>Search</i> button (after inpMCL or CP or RP >> Click on the <i>View</i> button - <i>Details Screen</i> >> <i>Click on the History Button</i>

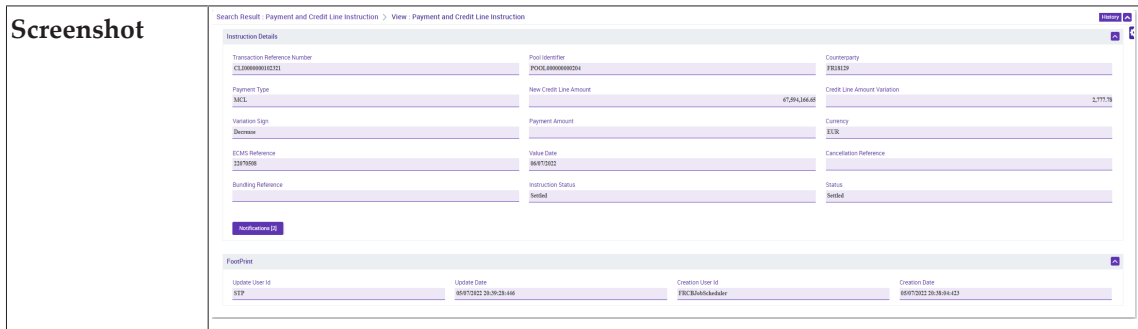
Screenshot

Fields	Timestamp	Timestamp	Timestamp	Timestamp	Timestamp
Instruction Status	Confirmed	Rejected	Rejected	Cancelled	Cancelled
Is Confirmation Received	Yes	No	No	No	No
Is Updated From RTGS	Yes	Yes	No	No	No
Last Notification In	370002146	370002146			
Last Notification Out	370002145	370002145	370002145		
When Credit Line Amended	07/04/2016 05	07/04/2016 05	07/04/2016 05	07/04/2016 05	07/04/2016 05
Sent to RTGS	Yes	Yes	Yes	No	No
Status	Sent	MCL Confirmed	MCL Waiting Confirmation	Rejected	Waiting for Previous Modification
Creation Date	05/07/2012 20:30:28.446	05/07/2012 20:30:27.396	05/07/2012 20:30:53.982	05/07/2012 20:30:55.839	05/07/2012 20:30:04.495
Creation User ID	STP	STP	STP	STP	STP
Transaction Reference Number	CL00000010221	CL00000010221	CL00000010221	CL00000010221	CL00000010221
Is For Adjustment	No	No	No	No	No
Is Rejected	No	No	No	No	No
Action To Validate					
Asynchronous Sending	No	No	No	No	No
Counterparty	BA	BA	BA	BA	BA
Standing Reference					
Cancellation Reference					
CI Blocking Reference					
CI Rejected	No	No	No	No	No
Internal Asset Account					
Currency	EUR	EUR	EUR	EUR	EUR
Excess ECL	0	0	0	0	0
Initiate CL	No	No	No	No	No
Initiation Reference					
Is Not MCL	No	No	No	No	No
Is Subject To CL	Yes	Yes	Yes	Yes	Yes

Credit Line & Payment Instructions– History Screen	
Field	Description
Fields	Shows the fields whose information have been updated since the creation of the selected MCL or CP or RP.
Timestamp	Shows the date and time when the fields have been updated. Displayed format is: DD/MM/YYYY hh:mm:ss.us.
Button	Description
Compare	Shows the differences between the selected records whose data have been updated.
Expand All	Shows the expanded information of the fields whose information have been updated since the creation of the selected MCL or CP or RP.
Show All	Shows the information that existed before each modification of all the fields regarding the selected MCL or CP or RP.
Collapse All	Collapse the expanded information of the fields that have been updated since the creation of the selected MCL or CP or RP.
Show Differences Only	Shows only the fields whose information have been updated since the creation of the selected MCL or CP or RP L.

3.3.4.2.2.4 Credit Line & Payment Instructions – Details Screen

Context of Usage	This screen displays detailed information of the selected MCL or CP or RP.
Screen Access	Collateral and Credit >> Payment And Credit Line Instruction >> Display Instruction >> Click on the <i>Search</i> button (after inputting the relevant fields, if needed) >> Select a MCL or CP or RP >> Click on the <i>View</i> button



Credit Line & Payment Instructions – Details screen

Button	Description
History	This function enables you to access to the historical information of a MCL or CP or RP. Next screen: – <i>Credit Line & Payment Instructions – History</i> Screen.

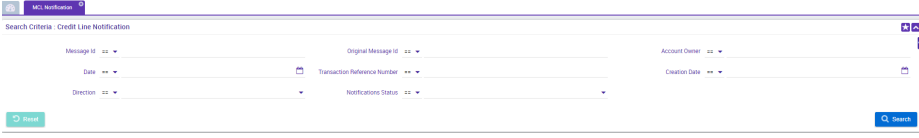
General Information

Field	Description
Transaction Reference Number	Shows unique identifier of the instruction in the ECMS: automatically field in
ECMS Reference (Pool Movement)	Shows the ECMS reference (related to the credit or the collateral event)
Counterparty Id.	Shows the RIAD code of the counterparty in the ECMS
Pool Id	Shows the unique identifier of the pool id in the ECMS
Value Date	Shows of the Value Date Format required is: DD/MM/YYYY hh:mm:ss:µs
Intended Settlement Date	Shows the ISD Format required is: DD/MM/YYYY hh:mm:ss:µs
Payment Type	Shows the payment type (MCL or CP or RP)
Credit Line Variation	Shows the amount of the variation of the Credit Line (in case of MCL or CP)
Suggested Credit Line	Shows the amount of the SCL (in case of MCL or CP)
Expected Credit line	Shows the amount of the ECL (in case of MCL or CP)
Real Credit Line	Shows the amount of the RCL (in case of MCL or CP)
Payment Amount	Shows the payment amount (in case of CP or RP)
CLM Main Cash Account	Shows the CLM Main Cash Account (in case of CP or RP)
Currency	Shows the currency (in case of MCL or CP or RP)
Instruction Status	Shows the internal ECMS status

Button	Description
Notifications	<p>This function enables you to display the notifications related to the selected MCL/CP/RP.</p> <p>Next screen : MCL Notifications - list screen is displayed. It is possible to view details and download the MCL/CP/RP notifications.</p>

3.3.4.2.3 MCL Notification

3.3.4.2.3.1 MCL Notification – Search Screen

Context of Usage	<p>This screen contains a number of search fields and shows the latest and stored searches. By inputting the relevant data, you can search for the notification MCL.</p> <p>The search results will be displayed in a list. After selecting an entry, you can proceed further by clicking on the buttons that are shown.</p>
Screen Access	Collateral and Credit >> Notification >> CLM Notifications >> MCL Notification
Screenshot	

MCL Notification – Search screen	
Field	Description
Transaction Reference Number	Enter/Select the unique identifier of the instruction in the ECMS: automatically field in
Counterparty Id.	Shows the RIAD code of the counterparty in the ECMS
Pool ID	Enter/Select from the drop-down menu the Pool ID.
Creation Date	Enter/Select the Creation Date of the MCL instruction. Required format is: DD/MM/YYYY
Notification Status	Enter/Select the status of the MCL.
In / Out	Enter/Select if the MCL notification is In or Out from ECMS.
Button	Function
Reset	This function enables you to clear the form.
Search	This function enables you to start a search according to the filled in criteria. The results are displayed in a list on the List Screen.

3.3.4.2.3.2 MCL Notification– List screen

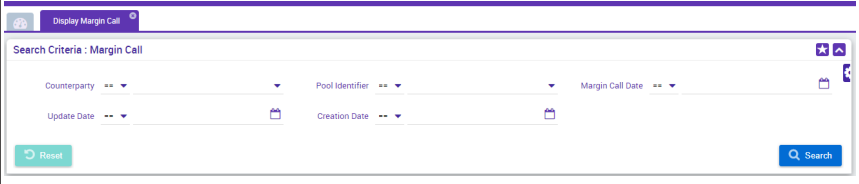
Context of Usage	This screen contains the search results displayed in a list, which is sorted by the values of the 'Creation Date' column in descending order (default setting). After selecting an entry, you can proceed further by clicking on the buttons below.
Screen Access	Collateral and Credit >> Notification >> CLM Notifications >> MCL Notification >> Click on the <i>Search</i> button (after inputting the relevant fields, if needed)
Screenshot	

MCL Notification – List Screen	
Field	Description
Transaction Reference Number	Shows the unique identifier of the instruction in the ECMS: automatically field in
Message Reference	Shows the message reference of the instruction in the ECMS
Counterparty Id.	Shows the RIAD code of the counterparty in the ECMS
Pool ID	Shows from the drop-down menu the Pool ID.
Amount	Shows the amount of the MCL
Creation Date	Shows the Instruction Date of the creation of the MCL. Displayed format is: DD/MM/YYYY hh:mm:ss:µs
Notification Status	Shows the status of the MCL.
In / Out	Shows if the MCL notification is In or Out from ECMS.
Update Date	Shows the last update date/time of the MCL instruction Displayed format is : DD/MM/YYYY hh:mm:ss: µs
Update User Id	Shows the Updater User Id
Update Date	Shows the date and time of the last update. Displayed format is: DD/MM/YYYY hh:mm:ss:µs
After selecting a record, the following buttons are displayed	
Button	Function
Download	This function enables you to access to the download of the MCL notification. Next step: – Record the MCL Notification.

3.3.4.3 Margin Call and impacts

3.3.4.3.1 Margin Call

3.3.4.3.1.1 Margin Call– Search Screen

Context of Usage	<p>This screen contains a number of search fields and shows the latest and stored searches. By inputting the relevant data, you can search the margin call.</p> <p>The search results will be displayed in a list. After selecting an entry, you can proceed further by clicking on the buttons that are shown.</p>
Screen Access	Collateral and Credit >> Pool Overview >> Display Margin Call
Screenshot	

Margin Call – Search screen	
Field	Description
Counterparty	<p>Enter/Select from the drop-down menu the RIAD code identifier that uniquely identifies the counterparty in the ECMS</p> <p>The required format is : Max 30 characters</p>
Pool Identifier	<p>Enter/Select from the drop-down menu the unique identifier of the pool id in the ECMS</p>
Margin Call Date	<p>Enter/Select the date and time of the margin call or specify the date min of the range of dates</p> <p>Required format is: DD/MM/YYYY</p>
Update Date	<p>Enter/Select the date and time of the update of the margin call or specify the date min of the range of dates</p> <p>Required format is: DD/MM/YYYY</p>
Creation Date	<p>Enter/Select the date and time of the creation of the margin call or specify the date min of the range of dates</p> <p>Required format is: DD/MM/YYYY</p>
Button	Function
Reset	This function enables you to clear the form.
Search	This function enables you to start a search according to the filled in criteria. The results are displayed in a list on the List Screen.

3.3.4.3.1.2 Margin Call – List screen

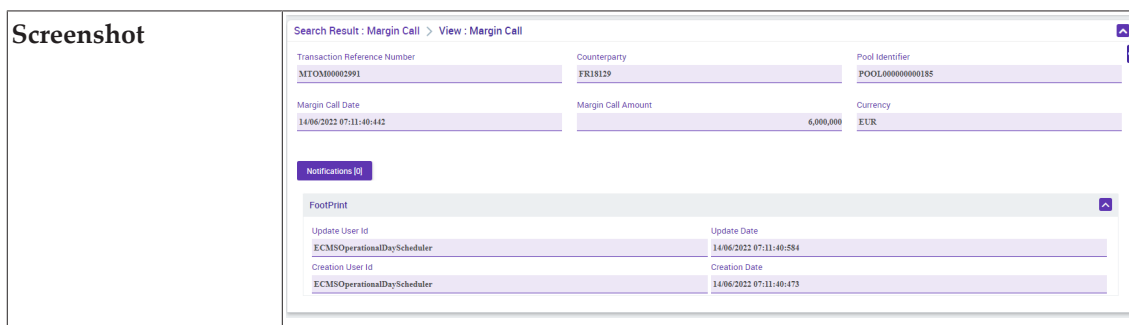
Context of Usage	<p>This screen contains the search results displayed in a list, which is sorted by the values of the 'Update Date' column in descending order (default setting). After selecting an entry, you can proceed further by clicking on the buttons below.</p>
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Screen Access	Collateral and Credit >> Pool Overview >> Display Margin Call >> Click on the <i>Search</i> button (after inputting the relevant fields, if needed)
Screenshot	

Margin Call – List Screen	
Field	Description
Transaction Reference Number	Shows the unique identifier of the instruction in ECMS.
Pool Identifier	Shows the unique identifier of the pool id in the ECMS
Counterparty	Shows the Counterparty Identifier
Margin call Date	Shows the mark to market date (related to the event) of the margin call Displayed format is: DD/MM/YYYY hh:mm:ss:µs
Margin Call Amout	Shows the remaining amount of the Margin call. The Margin call amount correspond to Credit position value (in euros) – Collateral position value (in euros).
Currency	Shows the currency reported in the notification
Creation Date	Shows the date and time of the creation of the margin call. Displayed format is: DD/MM/YYYY hh:mm:ss:µs
Creation User Id	Shows the identification of the user that created the Margin Call Displayed format is: DD/MM/YYYY hh:mm:ss:µs
After selecting a record, the following buttons are displayed	
Button	Function
View	This function enables you to access to the detailed information of a margin call history. Next screen: – <i>Margin call – Details</i> Screen.

3.3.4.3.1.3 Margin Call – Details Screen

Context of Usage	This screen displays detailed information of the selected Margin Call.
Screen Access	Collateral and Credit >> Pool Overview >> Display Margin Call >> Click on the <i>Search</i> button (after inputting the relevant fields, if needed) >> Select a margin call >> Click on the <i>View</i> button

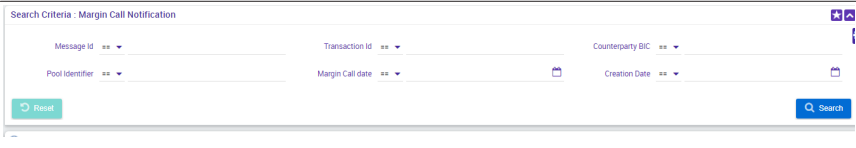


Margin Call – Details screen	
General Information	
Field	Description
Transaction Reference ID	Shows the unique identifier of the instruction in the ECMS
Counterparty	Shows the Counterparty Identifier.
Pool Identifier	Shows the unique identifier of the pool in the ECMS.
Margin Call Date	Shows the mark to market date (related to the event) of the margin call Displayed format is: DD/MM/YYYY hh:mm:ss:µs
Margin Call Amount	Shows the remaining amount of the Margin call. The Margin call amount correspond to Credit position value (in euros) – Collateral position value (in euros).
Currency	Shows the currency reported in the notification
Footprint	
Creation Date	Shows the date and time of the creation of the margin call. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Creation User Id	Shows the identification of the user that created the margin call.
Update Date	Shows the date and time of the update of the margin call. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Update User Id	Shows the identification of the user that made the last update of the margin call.
Button	Description
Notifications	This function enables you to display the Margin call notification related to the selected Margin call. Next screen : Margin Call Notifications - list screen is displayed. It is possible to view details and download the Margin call notification

3.3.4.3.2 Margin Call Notification

3.3.4.3.2.1 Margin Call Notification – Search Screen

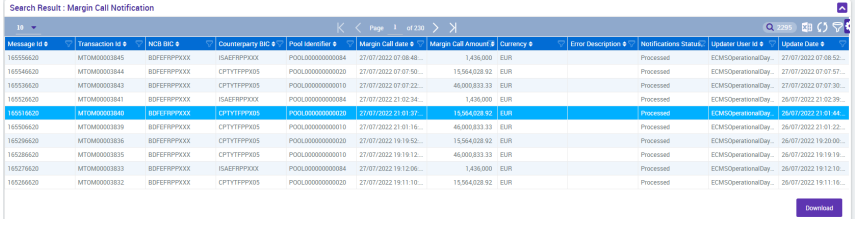
Context of Usage	This screen contains a number of search fields and shows the latest and stored searches. By inputting the relevant data, you can search for the margin call notification. The search results will be displayed in a list. After selecting an entry, you can proceed further by clicking on the buttons that are shown.
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Screen Access	Collateral and Credit >> Notification >> Counterparties Notifications >> Margin Call Notification
Screenshot	

Margin Call Notification – Search screen

Field	Description
Message id	Enter the message reference of the instruction in the ECMS
Transaction Id	Enter the unique identifier of the instruction in the ECMS
Pool Identifier	Enter the Pool ID.
Counterparty BIC	Enter the RIAD code identifier that uniquely identifies the Counterparty. The required format is : Max 30 characters
Creation Date	Enter/Select the creation date of the Margin call. Required format is: DD/MM/YYYY hh:mm:ss:µs
Margin Call Date	Enter/Select the update Date of the creation of the Margin call. Required format is: DD/MM/YYYY hh:mm:ss:µs
Button	Function
Reset	This function enables you to clear the form.
Search	This function enables you to start a search according to the filled in criteria. The results are displayed in a list on the List Screen.

3.3.4.3.2.2 Margin Call Notification– List screen

Context of Usage	This screen contains the search results displayed in a list, which is sorted by the values of the 'Creation Date' column in descending order (default setting). After selecting an entry, you can proceed further by clicking on the buttons below.
Screen Access	Collateral and Credit >> Notification >> Counterparties Notifications >> Margin Call Notification >> Click on the <i>Search</i> button (after inputting the relevant fields, if needed)
Screenshot	

Margin call Notification – List Screen

Field	Description
Transaction id	Shows the unique identifier of the instruction in the ECMS
Message Id	Shows the message reference of the instruction in the ECMS
Pool Identifier	Shows the Pool ID.

Counterparty BIC	Shows the Counterparty Bic
NCB BIC	Shows the NCB BIC
Margin Call Date	Shows the Date of the Margin call. Required format is: DD/MM/YYYY hh:mm:ss:µs
Update Date	Shows the update Date of the creation of the Margin call. Required format is: DD/MM/YYYY hh:mm:ss:µs
Notification Status	Shows the status of the Margin Call Notification.
Currency	Shows the currency reported in the notification
Error description	Shows the error description of the Margin Call.
Margin Call Amount	Shows the amount of the Margin Call
Update User Id	Shows the Updater User Id
After selecting a record, the following buttons are displayed	
Button	Function
Download	This function enables you to access to the download of the Margin call notification. Next step: – <i>Record the Margin call Notification.</i>

3.3.5 Credit Claims

Credit Claims are part of the collateral accepted in the pool of Counterparties. If the NCB has chosen to manage the CC in the ECMS, their Counterparties send CC files via A2A or CC instructions via U2A to the ECMS to feed the Counterparty pools. The screens described below explain the manual management of Credit Claims (U2A instructions or other manual interventions)

3.3.5.1 Credit Claims

3.3.5.1.1 Credit Claims File – Create Screen

Context of Usage	This screen is used to manually enter a credit claim file with registration instruction(s), update instruction(s), outstanding amount update instruction(s), rating registration instruction(s), mobilisation or a demobilisation instruction(s) of a credit claim in ECMS.
Screen Access	Collateral >> Credit Claims >> Credit Claim File >> Search/Input Credit Claims File
Screenshot	

Credit Claims File – Create Screen	
Field	Description
ECMS Internal Reference*	The ECMS internal reference of the credit claims file automatically filled by the system which is the unique reference of the file managed internally in ECMS
File Reference*	Enter the file reference which is the unique reference of the file in counterparty side
Counterparty*	Enter the counterparty identifier
Intended settlement date*	File automatically with the current business date. Required format is: DD/MM/YYYY
Credit Claim Registration / Update Instruction (For more details refer to the sections: – Instruction of Credit Claim Registration – Instruction of Credit Claim Update – Instruction of Credit Claim Outstanding Amount Update)	
Rating Registration / Update Instruction (For more details, refer to the sections: – Instruction of Rating Registration – Instruction of Rating Update)	
Mobilisation Instruction (For more details, refer to the sections: Instruction of Credit Claim Mobilisation)	
Demobilisation Instruction (For more details, refer to the sections: Instruction of Credit Claim Demobilisation)	
Action	
Button	Description
Reset	This function enables you to clear the form.
Save	This function enables you to save the creation of the credit claim file
Cancel	This function enables you to cancel the input and return back to the main screen

3.3.5.1.1.1 Instruction of Credit Claim Registration

Context of Usage	This screen is used to manually enter a credit claim registration instruction once the instruction type to create chosen by the user is “CCR”
Screen Access	Collateral >> Credit Claims >> Credit Claim File >> Search/Input Credit Claims File

Screenshot	
	<p>The screenshot shows the 'Credit Claim Instruction' registration form. It includes sections for:</p> <ul style="list-style-type: none"> INSTRUCTION DETAILS: ECMS Internal Reference, Instruction Reference, Operation Type, Governing Law, Creditor. CREDIT CLAIM DETAILS: Credit Claim Ref, Credit Claim Contract Number, Outstanding Amount, Currency, Maturity Date, Origination Date. DEBTOR DETAILS: Debtor, Debtor Code Type. GUARANTOR DETAILS: Guarantor, Guarantor Code Type, Guarantee Ref. OTHER DETAILS: Loan Type, Set Off Risk Indicator, Negative Cashflow, Subordination, Agent Bank, Changing Interest Rate Indicator, Changing Interest Rate Indicator Date, Interest Rate Type.

Instruction of Credit Claim Registration	
Instruction Details	
Field	Description
ECMS Internal Reference*	The ECMS internal reference of the credit claim registration instruction automatically filled by the system
Instruction Reference*	Input the unique reference of the instruction in counterparty side
Operation Type*	Automatically filled by "CCR"
Governing Law*	Select the country governing law of the credit claim from the drop down list
Creditor*	Select the RIAD Code of the creditor from the drop down list
Credit Claim Details	
Field	Description
Credit Claim Reference*	Input the identifier of the credit claim for the Counterparty
Credit Claim Contract Number	Input the credit claim contract number
Outstanding Amount*	Input the outstanding amount of the credit claims.
Currency*	Input the currency of the Credit Claim. By default EUR.
Maturity Date*	Input the maturity date of the credit claim. Required format is: DD/MM/YYYYY.
Origination date*	Input the origination date of the credit claim. Required format is: DD/MM/YYYYY.
Debtor Details	
Debtor Ref	Input the National identifier of the debtor
Debtor Name	Input the name of the debtor
Debtor Code Type	Input the Debtor Code

Guarantor Details	
Guarantor Ref	Input the National identifier of the Guarantor
Guarantor Name	Input the name of the Guarantor
Guarantor Code Type	Input the Guarantor Code Type
Guarantee Reference	Input the Guarantee reference if existing given by the Counterparty.
Other Details	
Field	Description
Loan Type*	Select the Type of loan from a drop down list Required format is: 4 characters.
Set-off Risk Indicator*	Select the set-off risk indicator from the drop down list: Y(existence of a set off risk) / N or NA (to be used when not applicable for the NCB)
Negative Cashflow	Mandatory if the interest rate type is VAR. Field to be set to Y if the interest rate + spread leads to an eventual negative cashflow. Y/N
Subordination*	Select the value Y/N from the drop down list
Agent Bank	To be filled in in case of syndicated loan.
Changing Interest Rate Indicator*	Select from the drop down list the indicator to be used in case the Credit Claim has a condition where the interest type can be changed from Variable into Fixed or vice versa Y/N
Changing Interest Rate Indicator Date	Input the changing interest rate indicator date to be used in case the Credit Claim has a condition where the interest type can be changed from Variable into Fixed or vice versa Required format is: DD/MM/YYYYY.
Interest Rate Type*	Select from the drop down list FIX or VAR
Reference Index	Input the Referenced Eurosystem Index. To be filled in if the Interest RateType is VAR
Reference Index Comment	Input the Indication of the Reference Index used. To be filled if the Reference Index is 'Other'.
Cap Indicator	To be filled in if the Interest RateType is VAR. Possible values from the drop down list: - true - false
Resetting Period More Than One Year	To be filled in if the Interest RateType is VAR. Possible values from the drop down list: - true - false
Action	

Button	Description
Reset	This function enables you to clear the form.
Cancel	This function enables you to cancel the input and return back to the main screen

3.3.5.1.1.2 Instruction of Credit Claim Update

Context of Usage	This screen is used to manually enter a credit claim update instruction once the instruction type to create chosen by the user is "CCU"
Screen Access	Collateral >> Credit Claims >> Credit Claim File >> Search/Input Credit Claims File
Screenshot	

Instruction of Credit Claim Update	
Instruction Details	
Field	Description
Credit Claim*	Select the credit claim asset to update, once selected ECMS will fill the fields below with the values related to this asset
Credit Claim Details	
Field	Description
Current Outstanding Amount	It displays the current outstanding amount value of the selected credit claim asset
Governing Law	It displays the country governing law of the selected credit claim asset
Debtor	It displays the debtor RIAD Code of the selected credit claim asset
Guarantor	It displays the guarantor national code of the selected credit claim asset
Guarantee Ref	It displays the guarantee reference of the selected credit claim asset
Instruction Details	
Field	Description
ECMS Internal Reference	It displays the ECMS internal reference of the selected credit claim asset

Instruction Reference	Input the instruction reference of the credit claim update
Operation Type	Filled automatically by the value "CCU"
Update Date Instruction	Input the update date of the credit claim asset
Data To Update	
Field	Description
New Outstanding Amount	It displays the Current Outstanding Amount of the selected credit claim asset and could be updated to a new value (Current Outstanding Amount value)
Currency	It displays the Currency of the selected credit claim asset (Value that couldn't be modified)
Maturity Date	It displays the Maturity Date of the selected credit claim asset
Origination Date	It displays the Origination Date of the selected credit claim asset
Loan Type	It displays the Loan Type of the selected credit claim asset
Set Off Risk Indicator	It displays the Set Off Risk Indicator of the selected credit claim asset
Negative Cashflow	It displays the Negative Cashflow of the selected credit claim asset
Subordination	It displays the Subordination of the selected credit claim asset
Agent Bank	It displays the Agent Bank of the selected credit claim asset
Changing Interest Rate Indicator	It displays the Changing Interest Rate Indicator of the selected credit claim asset
Changing Interest Rate Indicator Date	It displays the Changing Interest Rate Indicator Date of the selected credit claim asset
Interest Rate Type	It displays the Interest Rate Type of the selected credit claim asset
Cap Indicator	It displays the Cap Indicator of the selected credit claim asset or could be newly filled if the interest rate type updated to "VAR"
Reference Index	It displays the Reference Index of the selected credit claim asset or could be newly filled if the interest rate type updated to "VAR"
Reference Index Comment	It displays the Reference Index Comment of the selected credit claim asset or could be newly filled if the interest rate type updated to "VAR"
Resetting Period More Than One Year	It displays the Resetting Period More Than One Year of the selected credit claim asset or could be newly filled if the interest rate type updated to "VAR"
Anacredit Identifiers	
Oa Id	Displays the Observed Agent Identifier
Contract Id	Displays the Contract Identifier
Instrmnt Id	Displays the Instrument Identifier
Action	
Button	Description
Reset	This function enables you to clear the form.
Cancel	This function enables you to cancel the input and return back to the main screen

3.3.5.1.1.3 Instruction of Credit Claim Outstanding Amount Update

Context of Usage	This screen is used to manually enter a credit claim outstanding amount update instruction once the instruction type to create chosen by the user is "CCOAU"
Screen Access	Collateral >> Credit Claims >> Credit Claim File >> Search/Input Credit Claims File
Screenshot	

Instruction of Credit Claim Outstanding Amount Update	
Credit Claim Details	
Field	Description
Credit Claim*	Select the credit claim asset to update, once selected ECMS will fill the fields below with the values related to this asset
Current Outstanding Amount	It displays the current outstanding amount value of the selected credit claim asset
Instruction Details	
Field	Description
ECMS Internal Reference	It displays the ECMS internal reference of the selected credit claim asset
Instruction Reference	Input the instruction reference of the credit claim update
Operation Type	Filled automatically by the value "CCOAU"
Update Date Instruction	Input the update date of the credit claim asset
New Outstanding Amount	It displays the Current Outstanding Amount of the selected credit claim asset and could be updated to the new value (Current Outstanding Amount value)
Currency	It displays the Currency "EUR" of the selected credit claim asset (Value that couldn't be modified)
Action	
Button	Description
Reset	This function enables you to clear the form.
Cancel	This function enables you to cancel the input and return back to the main screen

3.3.5.1.1.4 Instruction of Rating Registration

Context of Usage	This screen is used to manually enter a rating registration instruction
Screen Access	Collateral >> Credit Claims >> Credit Claim File >> Search/Input Credit Claims File
Screenshot	

Instruction of Rating Registration	
Instruction Details	
Field	Description
Debtor	Select from the drop down list the national identifier of the debtor
Debtor Code type	The debtor code type is filled by ECMS automatically once the debtor is chosen
Guarantor	Select from the drop down list the national identifier of the guarantor
Guarantor Code type	The guarantor code type is filled by ECMS automatically once the guarantor is chosen
ECMS Internal Reference	The ECMS Internal Reference is filled automatically
Instruction Reference	Input the instruction reference of the counterparty
Operation Type	Select the value RR for registration of an obligor rating
Rating Date	Input the rating date Format required: DD/MM/YYYY
Rating Validity Date	Input the rating validity date Format required: DD/MM/YYYY
Rating Type	Select from the drop down list the rating type "IRB" or "RT"
Credit Assessment System	Is filled with the credit assessment system identifier once the rating type is chosen
Credit Assessment System Code	Is filled with the credit assessment system code once the rating type is chosen. Possible value is "IRB" or "RT"
Default Probability	Input the probability of default
Action	
Button	Description

Reset	This function enables you to clear the form.
Cancel	This function enables you to cancel the input and return back to the main screen

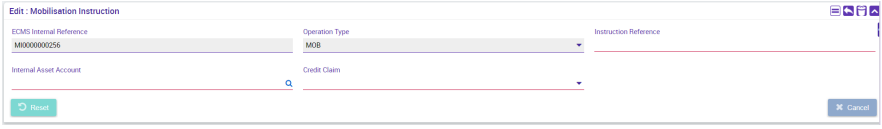
3.3.5.1.1.5 Instruction of Rating Update

Context of Usage	This screen is used to manually enter a rating update instruction
Screen Access	Collateral >> Credit Claims >> Credit Claim File >> Search/Input Credit Claims File
Screenshot	

Instruction of Rating Update	
Instruction Details	
Field	Description
Debtor	Select from the drop down list the national identifier of the debtor
Debtor Code type	The debtor code type is filled by ECMS automatically once the debtor is chosen
Guarantor	Select from the drop down list the national identifier of the guarantor
Guarantor Code type	The guarantor code type is filled by ECMS automatically once the guarantor is chosen
ECMS Internal Reference	The ECMS Internal Reference is filled automatically
Instruction Reference	Input the instruction reference of the counterparty
Operation Type	Select the value RU for an update of an obligor rating
Rating Date	Input the rating date Format required: DD/MM/YYYY
Rating Validity Date	Input the rating validity date Format required: DD/MM/YYYY
Rating Type	Select from the drop down list the rating type "IRB" or "RT"
Credit Assessment System	Is filled with the credit assessment system identifier once the rating type is chosen
Credit Assessment System Code	Is filled with the credit assessment system code once the rating type is chosen. Possible value is "IRB" or "RT"
Default Probability	Input the probability of default
Action	

Button	Description
Reset	This function enables you to clear the form.
Cancel	This function enables you to cancel the input and return back to the main screen

3.3.5.1.1.6 Instruction of Credit Claim Mobilisation

Context of Usage	This screen is used to manually enter a credit claim mobilisation instruction
Screen Access	Collateral >> Credit Claims >> Credit Claim File >> Search/Input Credit Claims File
Screenshot	

Instruction of Credit Claim Mobilisation	
Instruction Details	
Field	Description
ECMS Internal Reference	The ECMS Internal Reference is filled automatically
Operation Type	The operation type is filled automatically with the value "MOB"
Instruction Reference	Input the instruction reference of the counterparty
Internal asset account	Select from the list of safekeeping account the internal asset account that will be used to mobilise the credit claim
Credit Claim	Select from the drop down list the credit claim asset to be mobilised
Action	
Button	Description
Reset	This function enables you to clear the form.
Cancel	This function enables you to cancel the input and return back to the main screen

3.3.5.1.1.7 Instruction of Credit Claim Demobilisation

Context of Usage	This screen is used to manually enter a credit claim demobilisation instruction
Screen Access	Collateral >> Credit Claims >> Credit Claim File >> Search/Input Credit Claims File

<p>Screenshot</p>	
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Instruction of Credit Claim Demobilisation

Instruction Details	
Field	Description
ECMS Internal Reference	The ECMS Internal Reference is filled automatically
Operation Type	The operation type is filled automatically with the value “DEMOP”
Instruction Reference	Input the instruction reference of the counterparty
Internal asset account	Select from the list of safekeeping account the internal asset account that will be used to demobilise the credit claim
Credit Claim	Select from the drop down list the credit claim asset to be demobilised
Action	
Button	Description
Reset	This function enables you to clear the form.
Cancel	This function enables you to cancel the input and return back to the main screen

3.3.5.1.2 Credit Claims File – Search Screen

<p>Context of Usage</p>	<p>This screen is used to search and monitor the manually entered credit claim files and the received ones by A2A mode with registration instruction(s), update instruction(s), outstanding amount update instruction(s), rating registration instruction(s), mobilisation or a demobilisation instruction(s) of a credit claim in ECMS.</p>
<p>Screen Access</p>	<p>Collateral >> Credit Claims >> Credit Claim File >> Search/Input Credit Claims File</p>
<p>Screenshot</p>	

Credit Claims File – Search Screen

Field	Description
ECMS Internal Reference	Input the ECMS internal unique reference when the file was created by U2A mode
File Reference	Input the file reference when the file was received by A2A mode
Counterparty	Input the counterparty RIAD Code

Intended Settlement Date	Input the intended settlement date Format: DD/MM/YYYY
Preparation Date Time	Input the preparation date time Format: DD/MM/YYYY hh:mm:ss:sss
CC File Status	Input the credit claim file status that could be one from the following statuses: <ul style="list-style-type: none"> - Rejected - Queued - WaitingCutOffTime - WaitingSettlementDate - Processed - WaitingPreviousCCFile - ManageCCFileInstruction - Draft
U2A	Input Yes or No
Action	
Button	Description
Reset	This function enables you to clear the form.
Search	This function enables you to start a search according to the criteria entered. The results are displayed in a list on a screen below. Next screen: <ul style="list-style-type: none"> • Credit Claims file – List Screen

3.3.5.1.2.1 Credit Claims File – List Screen

Context of Usage	This screen contains a list of credit claims files. The credit claims files listed correspond to the search criteria captured in the credit claims files – Search screen. The list displayed can be sorted and filtered by the fields displayed. The list can be exported.
Screen Access	Collateral >> Credit Claims >> Credit Claim File >> Search/Input Credit Claims File
Screenshot	

Credit Claims File – Search Screen

Field	Description
ECMS Internal Reference	The ECMS internal unique reference when the file was created by U2A mode
File Reference	The file reference when the file was received by A2A mode
Counterparty	The counterparty RIAD Code
Intended Settlement Date	The intended settlement date Format: DD/MM/YYYY
Settlement Date	The settlement date Format: DD/MM/YYYY
CC File Status	The file status that could be one from the following values: <ul style="list-style-type: none"> - Rejected - Queued - WaitingCutOffTime - WaitingSettlementDate - Processed - WaitingPreviousCCFile - ManageCCFileInstruction - Draft
U2A	Yes or No
Update Date	Update date of the file
Updater User Id	The user that has updated the file
Creation Date	Creation date of the file
Creation User Id	The user that has created the file
Action	
Button	Description
View	This function enables you to view the details of the selected credit claim file. The Details screen is displayed replacing the current screen. Next screen: Credit Claim File – Details Screen
Excel Export	This function enables you to export the displayed list of the credit claims file(s) in an excel file.

3.3.5.1.2.2 Credit Claims File – Details Screen

Context of Usage	This screen displays detailed information on the selected received or manually input credit claims file. You can check the data and proceed further by clicking on the buttons.
	Display the details of a credit claims file
Screen Access	Collateral >> Credit Claims >> Credit Claim File >> Search/Input Credit Claims File >> Click on the <i>Search</i> button (after having input the relevant criteria, if needed) >> Select a credit claims file >> Click on the <i>View</i> button

Screenshot

The screenshot displays the 'Credit Claims File - Details' screen. It is organized into several sections:

- CC File Details:** Includes fields for ECMS Internal Reference (42000000000000000000), File Reference (CC-0000-00000000000000000000), Counterparty (FR18129), U2A (No), Number of CC Registration (0), Number of CC Updates (0), Number of CCOAU (0), Number of RR (0), Number of RU (0), and Number of CC Demob (0).
- Dates:** Shows Intended Settlement Date (09/08/2022), Preparation Date Time (20/05/2022 08:00:00:000), and Settlement Date (09/08/2022).
- Status:** CC File Status is 'Processed'. There is a 'Business Validation Errors' link.
- Notifications:** Last Notification In (42000000000000000000) and Last Notification Out (42000000000000000000). A 'Notifications' link is present.
- SubScreens:** Includes links for Modification Instruction (M), Demobilisation Instruction (D), and Credit Claim Instruction (I). There are also links for Rating Instruction and Rating Instruction (R).
- Footer:** A 'FootPrint' link is located at the bottom.

Credit Claims File – Details Screen	
CC File Details	
Fied	Description
ECMS Internal Reference	Displays the ECMS internal reference of the credit claims file automatically filled by the system which is the unique reference of the file managed internally in ECMS
File Reference	Displays the file reference which is the unique reference of the file in counterparty side
Counterparty	Displays the counterparty RIAD Code
U2A	Displays Yes or No
Number Of CC Registration	Displays the number Of CC Registration
Number Of CC Updates	Displays the number Of CC Updates
Number Of CCOAU	Displays the number Of CCOAU
Number Of RR	Displays the number Of RR
Number Of RU	Displays the number Of RU
Number Of CC Demob	Displays the number Of CC Demob
Dates	
Fied	Description
Intended Settlement Date	Displays the Intended Settlement Date
Preparation Date Time	Displays the Preparation Date Time
Settlement Date	Displays the Settlement Date
Status	
Fied	Description
CC File Status	Displays the status of the file

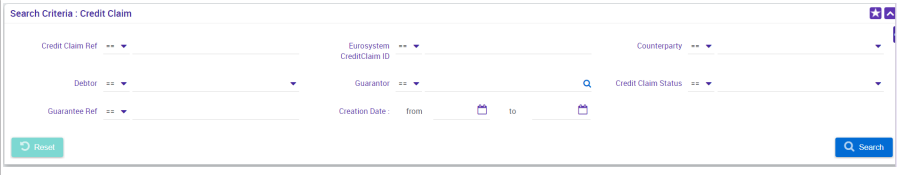
Business Validation Errors	Displays the business validation errors when the file is not processed
Notifications	
Fied	Description
Last Notification In	Displays the incoming notification identifier (Identifier of the received credit claims file)
Last Notification Out	Displays the outgoing notification identifier (Identifier of the Admi.007 for instance when the credit claims file is rejected)
Notifications	Displays as a sub-screen the notification details
Subscreen	
Fied	Description
Mobilisation Instruction	Displays the number of the Mobilisation Instructions and the details of these instructions as a sub-screen
Demobilisation Instruction	Displays the number of the Demobilisation Instructions and the details of these instructions as a sub-screen
Credit Claim Instruction	Displays the number of the Credit Claim Instructions (Registration, Update) and the details of these instructions as a sub-screen
Rating Instruction	Displays the number of the Rating Instructions and the details of these instructions as a sub-screen
Mobilisation Instruction	
Fied	Description
ECMS Internal Reference	Displays the ECMS Internal Reference
Operation Type	Displays the operation type with the value "MOB"
Instruction Reference	Displays the instruction reference of the counterparty
Internal asset account	Displays the safekeeping account the internal asset account that is used to mobilise the credit claim
Credit Claim	Displays the the credit claim asset to be mobilised
ECMS Internal Reference	Displays the ECMS Internal Reference
Business Status	Displays the business status of the instruction
Demobilisation Instruction	
Fied	Description
ECMS Internal Reference	Displays the ECMS Internal Reference
Operation Type	Displays the operation type with the value "DEMOB"
Instruction Reference	Displays the instruction reference of the counterparty
Internal asset account	Displays the safekeeping account the internal asset account that is used to mobilise the credit claim
Credit Claim	Displays the the credit claim asset to be mobilised
ECMS Internal Reference	Displays the ECMS Internal Reference
Business Status	Displays the business status of the instruction

Credit Claims Instruction	
Fied	Description
Instruction Details	
Fied	Description
ECMS Internal Reference	Displays the ECMS internal reference of the credit claim registration instruction automatically filled by the system
Instruction Reference	Displays the unique reference of the instruction in counterparty side
Operation Type	Displays the value "CCR", "CCU" or "CCOAU"
Governing Law	Displays the country governing law of the credit claim
Creditor	Displays the RIAD Code of the creditor
Credit Claims Details	
Fied	Description
Credit Claim Reference	Displays the identifier of the credit claim for the Counterparty
Credit Claim Contract Number	Displays the credit claim contract number
Outstanding Amount	Displays the outstanding amount of the credit claims.
Currency	Displays the currency of the Credit Claim. By default EUR.
Maturity Date	Displays the maturity date of the credit claim.
Origination date	Displays the origination date of the credit claim.
Debtor Details	
Fied	Description
Debtor ID	Displays the RIAD code of the Creditor.
Debtor Code Type	Displays the Debtor Code Type.
Guarantor Details	
Fied	Description
Guarantor ID	Displays the RIAD code of the Guarantor.
Guarantor Code Type	Displays the Guarantor Code Type.
Guarantee Reference	Displays the Guarantee reference if existing given by the Counterparty.
Other Details	
Fied	Description
Loan Type	Displays the Type of loan
Set-off Risk Indicator	Displays the set-off risk indicator. Y(existence of a set off risk) / N or NA (to be used when not applicable for the NCB)
Negative Cashflow	Displays Y or N
Subordination	Displays the value Y/N
Agent Bank	Displays the Agent bank value
Changing Interest Rate Indicator	Displays the value Y/N
Changing Interest Rate Indicator Date	Displays the Changing Interest Rate Indicator Date

Interest Rate Type	Displays FIX or VAR
Reference Index	Displays the Referenced Eurosystem Index. To be filled in if the Interest RateType is VAR
Reference Index Comment	Displays the Reference Index Comment. To be filled if the Reference Index is 'Other'.
Cap Indicator	Displays the value (True or False). To be filled in if the Interest RateType is VAR.
Resetting Period More Than One Year	Displays the value (True or False). To be filled in if the Interest RateType is VAR.
Rating Instruction	
Fied	Description
Debtor	Displays the Debtor RIAD Code
Guarantor	Displays the Guarantor national identifier
ECMS Internal Reference	Displays the ECMS Internal Reference
Instruction Reference	Displays the Instruction Reference
Operation Type	Displays "RR" or "RU"
Rating Date	Displays the Rating Date
Rating Validity Date	Displays the Rating Validity Date
Rating Type	Displays the Rating Type
Credit Assessment System Code	Displays the Credit Assessment System Code
Default Probability	Displays the Default Probability
Instruction Status	Displays the Instruction Status
Business Status	Displays the Business Status
comment	Displays the comment
Business Validation Errors	Displays the Business Validation Errors
Footprint	
Updater User Id	Shows the identification of the user that made the last update.
Update Date	Shows the date and time of the last update. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Creation User Id	Shows the identification of the user that created the received EMCC file.
Creation Date	Shows the date and time of the received EMCC file creation. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.

3.3.5.1.3 Credit Claims Asset – Search Screen

Context of Usage	<p>This screen contains a number of search fields. By inputting the relevant data, you can search for one or several credit claims asset.</p> <p>The search results will be displayed in a list. After selecting an entry, you can proceed further by clicking on the buttons that are shown.</p>
Business Case related	Search/List/View Credit Claims

Screen Access	Collateral >> Credit Claims >> Credit Claims
Screenshot	

Credit Claims Asset – Search screen	
Search Criteria	
Field	Description
Credit Claim Reference	Reference given by the Counterparty
Eurosystem Credit Claim ID	Eurosystem reference given by the ECMS
Counterparty	RIAD code of the Counterparty
Debtor	Debtor Code
Guarantor	Guarantor Code
Credit Claim status	Possible Values: <ul style="list-style-type: none"> – Registered – Mobilised – Demobilised – Blocked For NCB
Guarantee Ref	Reference of the guarantee
Creation Date From.....To....	Creation Date range (From and To) Format: DD/MM/YYYY hh:mm:ss:sss
Action	
Button	Function
Reset	This function enables you to clear the form.
Search	This function enables you to start a search according to the filled in criteria. The results are displayed in a list on the List Screen. Next screen: <ul style="list-style-type: none"> – Credit claims Asset – List Screen.

3.3.5.1.3.1 Credit Claims Asset – List Screen

Context of Usage	This screen contains a list of Credit Claims asset corresponding to the search criteria entered in the search screen. The list is displayed after clicking on the Search button The list can be sorted and filtered using by the field displayed. The list can be exported.
Business Case related	Search/List/View Credit Claims Asset

Screen Access	Collateral and credit >> Collateral >> Credit Claims >> Display credit Claims >> Click on the search button (after inputting the relevant search criteria, if needed)
Screenshot	<p>The screenshot shows a search result for 'Credit Claim' with a table of 10 records. The table columns are: Eurosystem ID, Credit Claim ID, Counterparty, Creditor, Guarantee Ref, Credit Claim St., Credit Claim Ty., Eligibility Status, Outstanding A., Currency, End Date, Black Listed, Update Date, and Updater User Id. The records show various statuses like 'Registered', 'Mobilised', 'Pending Eligible', and 'Not Eligible'.</p>

Credit Claims Asset – List screen	
Content of the list	
Field	Description
Eurosystem Credit Claim ID	Eurosystem reference given by the ECMS
Credit Claim Reference	Reference given by the Counterparty
Counterparty	RIAD code of the Counterparty
Creditor	RIAD code of the Creditor
Guarantee ref	Reference of the guarantee
Credit Claim status	Possible Values: <ul style="list-style-type: none"> – Registered – Mobilised – Demobilised – Blocked For NCB
Credit Claim Type	Displays the Type of Credit Claim. Possible values <ul style="list-style-type: none"> – CC – ACC
Eligibility Status	Possible Values: <ul style="list-style-type: none"> – Eligible – Not Eligible
Outstanding Amount	Displays the Outstanding Amount
Currency	EUR
End Date	Displays the end date Format: DD/MM/YYYY
Black Listed	Yes or No
Update Date	Displays the update date of the credit claim asset Format: DD/MM/YYYY hh:mm:ss:sss
Updater User Id	Displays the updater user id of the asset

Action	
Button	Function
View	This function enables you to start a search according to the filled in criteria. The results are displayed in a list on the List Screen. Next screen: – <i>Credit claims Asset – detail</i> Screen.
History	This function enables you to access to the historical information of a Credit claim. Next screen: <i>Credit Claim Asset – History</i> Screen.

3.3.5.1.3.2 Credit Claims Asset – Details Screen

Context of Usage	This screen contains the detailed information of the Credit Claim Asset selected by clicking on the View button in the previous screen.
Business Case related	Search/List/View Credit Claims Asset
Screen Access	Collateral >> Credit Claims >> Credit Claims >> Click on the search button (after inputting the relevant search criteria, if needed)>> Click on the view button
Screenshot	<p>The screenshot shows the 'Credit Claims Asset – Details' screen. It features a search bar at the top with the text 'Search Result: Credit Claim > View: Credit Claim'. Below this, there are several sections of data fields:</p> <ul style="list-style-type: none"> OBLIGOR DETAILS: Debtor (FR88750813), Guarantor (FR38022780), Guarantee Ref (GREF-CCAG90822002), Guarantee Amount. CREDIT CLAIM DETAILS: Governing Law (FR), Is Cross Border (No), Outstanding Amount (5,000,000), Currency (EUR), Origination Date (01/06/2022), End Date (31/08/2022), Interest Rate Type (VAR), Changing Interest Rate Indicator (True), Loan Type (REGU), Reference Index (10MEURBOR), Set Off Risk Indicator (N), Negative Cashflow (false), Recurring Period More Than One Year (false), Subordination (false), Cap Indicator (True), Changing Interest Rate Indicator Date (10/06/2022), Agent Bank (CREDIT), Update Date CC (09/08/2022), Reference Index Comment. STATUS DETAILS: Credit Claim Status (Registered), Black Listed (No), Processed (No), Is Close Linked (No). ELIGIBILITY DETAILS: Eligibility Status, Credit Claim Type, Obligor Used For Eligibility, Cpi, Rating Source Type.

Credit Claims Asset – Details screen	
General information	
Field	Description

Credit Claim Reference	Reference given by the Counterparty
Eurosystem Credit Claim ID	Eurosystem reference given by the ECMS
Contract Number	Contract Number given by the counterparty
Counterparty	RIAD code of the Counterparty
Creditor	RIAD code of the Creditor
Pool Identifier	The pool identifier where the credit claims is or will be mobilised
NCB Account	The Internal asset account
Obligor Details	
Field	Description
Debtor	Displays the debtor national identifier
Guarantor	Displays the guarantor national identifier
Guarantee Ref	Displays the guarantee reference
Guarantee Amount	Displays the guarantee amount
Credit Claim Details	
Field	Description
Governing Law	Displays the governing law country code of the credit claim
Is Cross Border	Yes or No
Outstanding Amount	Displays the Outstanding Amount
Currency	EUR
Origination Date	Displays the Origination Date
End Date	Displays the End Date
Interest Rate Type	Displays the Interest Rate Type
Changing Interest Rate Indicator	True or False
Loan Type	Displays the Loan Type
Reference Index	Displays the Reference Index
Set Off Risk Indicator	"N" or "Y"
Negative Cash-flow	True or False
Resetting Period More Than One Year	True or False
Subordination	True or False
Cap Indicator	True or False

Changing Interest Rate Indicator Date	Displays the date when the interest rate changing indicator is filled Format: DD/M/YYYY
Agent Bank	Displays the Agent Bank
Reference Index Comment	Displays the reference index comment when the interest rate changing indicator is filled
Status Details	
Field	Description
Credit Claim Status	Possible Values: <ul style="list-style-type: none"> - Registered - Mobilised - Demobilised - Blocked For NCB
Black Listed	Y or N
Processed	Y or N
Is Close Linked	Y or N
Eligibility Details	
Field	Description
Eligibility Status	Eligible or Not Eligible
Footprint	
Creation Date	Shows the date and time of the credit claim asset creation. Displayed format is: DD/MM/YYYYYY hh:mm:ss:µs.
Creation User Id	Shows the identification of the user that created the credit claim asset.
Update Date	Shows the date and time of the last update of the credit claim asset. Displayed format is: DD/MM/YYYYYY hh:mm:ss:µs.
Updater User Id	Shows the identification of the user that made the last update of the credit claim asset.

3.3.5.1.3.3 Credit Claims Asset – History Screen

Context of Usage	This screen displays historical information that has changed on the selected Credit Claim Asset. You can check the data, including the user who made the changes, and proceed further by clicking on the buttons that are shown.
Screen Access	- Collateral >> Credit Claims >> Credit Claims >> Click on the search button (after inputting the relevant search criteria, if needed)>>> Select a Credit Claim Asset >> Click on the <i>History</i> button

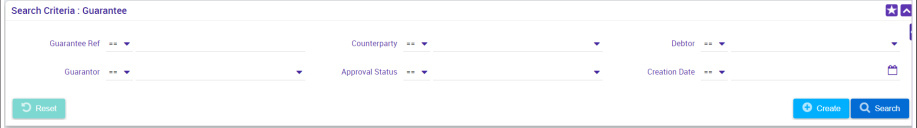
Screenshot																																																																					
<p>The screenshot shows a table titled 'horizontalHistoryResult : Credit Claim History'. It compares three credit claim assets across various fields. The first asset is 'Not Eligible', while the other two are 'Eligible'. The table includes fields such as Eligibility Status, Creation Date, Credit Claim Ref, Contract Number, Eurosystem CreditClaim ID, End Date, Interest Rate Type, Outstanding Amount, Set Off Risk Indicator, Subordination, Agent Bank, Black Listed, Counterparty, Cap Indicator, and Changing Interest Rate Indicator Date.</p> <table border="1"> <thead> <tr> <th>Fields</th> <th>CCREFCCF0208202239 2022-08-05 13:43:31.575 3</th> <th>CCREFCCF0208202239 2022-08-05 13:43:30.616 2</th> <th>CCREFCCF0208202239 2022-08-05 13:42:03.295 1</th> </tr> </thead> <tbody> <tr> <td>Eligibility Status</td> <td>Not Eligible</td> <td></td> <td></td> </tr> <tr> <td>Creation Date</td> <td>05/08/2022 13:43:31.575</td> <td>05/08/2022 13:43:30.616</td> <td>05/08/2022 13:42:03.295</td> </tr> <tr> <td>Credit Claim Ref</td> <td>CCREFCCF0208202239</td> <td>CCREFCCF0208202239</td> <td>CCREFCCF0208202239</td> </tr> <tr> <td>Changing Interest Rate Indicator</td> <td>true</td> <td>true</td> <td>true</td> </tr> <tr> <td>Contract Number</td> <td>CCCCF0208202239</td> <td>CCCCF0208202239</td> <td>CCCCF0208202239</td> </tr> <tr> <td>Eurosystem CreditClaim ID</td> <td>FRISAE224273494</td> <td>FRISAE224273494</td> <td>FRISAE224273494</td> </tr> <tr> <td>End Date</td> <td>07/08/2022</td> <td>07/08/2022</td> <td>07/08/2022</td> </tr> <tr> <td>Interest Rate Type</td> <td>VAR</td> <td>VAR</td> <td>VAR</td> </tr> <tr> <td>Outstanding Amount</td> <td>5,000,000</td> <td>5,000,000</td> <td>5,000,000</td> </tr> <tr> <td>Set Off Risk Indicator</td> <td>N</td> <td>N</td> <td>N</td> </tr> <tr> <td>Subordination</td> <td>false</td> <td>false</td> <td>false</td> </tr> <tr> <td>Agent Bank</td> <td>CREDIT</td> <td>CREDIT</td> <td>CREDIT</td> </tr> <tr> <td>Black Listed</td> <td>No</td> <td>No</td> <td>No</td> </tr> <tr> <td>Counterparty</td> <td>B4</td> <td>B4</td> <td>B4</td> </tr> <tr> <td>Cap Indicator</td> <td>true</td> <td>true</td> <td>true</td> </tr> <tr> <td>Changing Interest Rate Indicator Date</td> <td>05/08/2022</td> <td>05/08/2022</td> <td>05/08/2022</td> </tr> </tbody> </table>		Fields	CCREFCCF0208202239 2022-08-05 13:43:31.575 3	CCREFCCF0208202239 2022-08-05 13:43:30.616 2	CCREFCCF0208202239 2022-08-05 13:42:03.295 1	Eligibility Status	Not Eligible			Creation Date	05/08/2022 13:43:31.575	05/08/2022 13:43:30.616	05/08/2022 13:42:03.295	Credit Claim Ref	CCREFCCF0208202239	CCREFCCF0208202239	CCREFCCF0208202239	Changing Interest Rate Indicator	true	true	true	Contract Number	CCCCF0208202239	CCCCF0208202239	CCCCF0208202239	Eurosystem CreditClaim ID	FRISAE224273494	FRISAE224273494	FRISAE224273494	End Date	07/08/2022	07/08/2022	07/08/2022	Interest Rate Type	VAR	VAR	VAR	Outstanding Amount	5,000,000	5,000,000	5,000,000	Set Off Risk Indicator	N	N	N	Subordination	false	false	false	Agent Bank	CREDIT	CREDIT	CREDIT	Black Listed	No	No	No	Counterparty	B4	B4	B4	Cap Indicator	true	true	true	Changing Interest Rate Indicator Date	05/08/2022	05/08/2022	05/08/2022
Fields	CCREFCCF0208202239 2022-08-05 13:43:31.575 3	CCREFCCF0208202239 2022-08-05 13:43:30.616 2	CCREFCCF0208202239 2022-08-05 13:42:03.295 1																																																																		
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Subordination	false	false	false																																																																		
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Black Listed	No	No	No																																																																		
Counterparty	B4	B4	B4																																																																		
Cap Indicator	true	true	true																																																																		
Changing Interest Rate Indicator Date	05/08/2022	05/08/2022	05/08/2022																																																																		

Credit Claim Asset – History Screen	
Field	Description
Eurosystem Credit Claim ID	Eurosystem reference given by the ECMS
Credit Claim Reference	Reference given by the Counterparty
Counterparty	RIAD code of the Counterparty
Creditor	RIAD code of the Creditor
Guarantee ref	Reference of the guarantee
Credit Claim status	Possible Values: <ul style="list-style-type: none"> – Registered – Mobilised – Demobilised – Blocked For NCB
Credit Claim Type	Displays the Type of Credit Claim. Possible values <ul style="list-style-type: none"> – CC – ACC
Eligibility Status	Possible Values: <ul style="list-style-type: none"> – Eligible – Not Eligible
Outstanding Amount	Displays the Outstanding Amount
Currency	EUR
End Date	Displays the end date Format: DD/MM/YYYY
Black Listed	Yes or No
Update Date	Displays the update date of the credit claim asset Format: DD/MM/YYYY hh:mm:ss:sss
Updater User Id	Displays the updater user id of the asset
Button	Description

Compare	Shows the differences between the selected records whose data have been updated.
Expand All	Shows the expanded information of the fields whose information have been updated since the creation of the selected Credit Claim Asset.
Show Differences Only	Shows only the fields whose information have been updated since the creation of the selected Credit Claim Asset.

3.3.5.1.4 Guarantee

3.3.5.1.4.1 Guarantee – Search Screen

Context of Usage	This screen contains a number of search fields. By inputting the relevant data, you can search for the Guarantees.
Business case related	Search/List/View/History Guarantees
Screen Access	Collateral >> Credit Claims >> Guarantee
Screenshot	

Guarantees – Search Screen	
Field	Description
Guarantee Ref	Enter/Select the reference given by the counterparty for the guarantee.
Counterparty Identifier	Enter/Select the RIAD Code of the Counterparty.
Debtor Ref	Enter/Select the reference given by the Counterparty for the Obligor as a Debtor
Guarantor Ref	Enter/Select the reference given by the Counterparty for the Obligor as a Guarantor.
Approval status	Select the status of the guarantee from the possible values: <ul style="list-style-type: none"> – Approval required – Approved – Not approved
Creation Date	Enter the reference date of the creation of the guarantee. The calendar icon can also be used. Required format is: DD/MM/YYYY hh:mm:ss:sss
Button	Function
Reset	This function enables you to clear the form.
Create	This function enables you to create manually a guarantee. Next screen: <i>Guarantees – CreateScreen.</i>

Search	<p>This function enables you to start a search according to the filled in criteria. The results are displayed in a list on the List Screen.</p> <p>Next screen:</p> <ul style="list-style-type: none"> - <i>Guarantees – List Screen.</i>
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3.3.5.1.4.1.1 Guarantee – List Screen

Context of Usage	This screen contains the Guarantees, displayed in a list. After selecting an entry, you can proceed further by clicking on the buttons.
Business case related	Search/List/View Guarantees
Screen Access	Collateral >> Credit Claims >> Guarantee >> Click on the <i>Search</i> button
Screenshot	

Guarantee – List Screen	
Field	Description
Guarantee Ref	Shows the reference given by the counterparty for the guarantee.
Counterparty	Shows the RIAD Code of the Counterparty.
Debtor	Shows the reference given by the Counterparty for the Obligor as a Debtor
Guarantor	Shows the reference given by the Counterparty for the Obligor as a Guarantor.
Approval status	Shows the status of the guarantee from the possible values: <ul style="list-style-type: none"> - Approval required - Approved - Not approved
Guarantee Amount	Shows the amount of the guarantee.
Validity Start Date	Shows the date from when the guarantee is active. Displayed format is: DD/MM/YYYY
No end date	Shows whether the guarantee is unlimited in time or not from the possible values: <ul style="list-style-type: none"> - Y - N (default value)
Unlimited Amount Flag	Shows whether the amount of the guarantee is unlimited or not from the possible values: <ul style="list-style-type: none"> - Y - N (default value)
Update Date	Shows the reference date of the last update of the guarantee.

	Displayed format is: DD/MM/YYYY hh:mm:ss:sss
Updater User Id	Shows the user identifier who has done the last update of the guarantee
Button	Function
View	This function enables you to access to the detailed information of a guarantee. Next screen: – <i>Guarantee - Details</i> Screen.
History	This function enables you to access to the historical information of a guarantee. Next screen: – <i>Guarantee - History</i> Screen.
Edit	This function enables you to update the data of a guarantee. Next screen: – <i>Guarantee - Edit</i> Screen.

3.3.5.1.4.1.2 *Guarantee – Details Screen*

Context of Usage	This screen displays detailed information on the selected Guarantee.
Business Case related	
Screen Access	Collateral >> Credit Claims >> Guarantee >> Click on the <i>Search</i> button >> Select a Guarantee >> Click on the <i>View</i> button
Screenshot	

Guarantee – Details Screen	
Field	Description
Guarantee Ref	Shows the reference given by the counterparty for the guarantee.
Counterparty Identifier	Shows the RIAD Code of the Counterparty.
Debtor	Shows the national identifier of the debtor given by the Counterparty for the Obligor as a Debtor
Guarantor	Shows the national identifier of the debtor given by the Counterparty for the Obligor as a Guarantor.
Guarantee Amount	Shows the amount of the guarantee.
Currency	Shows the currency of the guarantee amount.

Validity End date	Shows the date until when the guarantee is active. The calendar icon can also be used. Displayed format is: DD/MM/YYYY
Validity End date	Shows the date until when the guarantee is active. The calendar icon can also be used. Displayed format is: DD/MM/YYYY
No end date	Shows whether the guarantee is unlimited in time or not from the possible values: – Y – N
Unlimited Amount	Shows whether the amount of the guarantee is unlimited or not from the possible values: – Y – N
Approval status	Shows the status of the guarantee from the possible values: – Approval required – Approved – Not approved
Comment	Shows an optional free comment about the guarantee.
Foot Print	
Creation Date	Shows the date/time of the creation of the guarantee. Displayed format is: DD/MM/YYYY hh:mm:ss
Creator User Id	Shows the identification of the user that created the Guarantee.
Update Date	Shows the date/time of the last update of the guarantee. Displayed format is: DD/MM/YYYY hh:mm:ss
Updater User Id	Shows the identification of the user that made the last update of the guarantee.

3.3.5.1.4.1.3 Guarantee – History Screen

Context of Usage	This screen contains the historical data of a Guarantee, displayed in a list which is sorted by the values of the 'Update Date' column in descending order (default setting).
Business case related	Search/List/View/History Guarantees
Screen Access	Collateral >> Credit Claims >> Guarantee >> Click on the <i>Search</i> button >> Select a Guarantee >> Click on the <i>History</i> button

Screenshot

horizontalHistoryResult : Guarantee History				
Fields	FR9X00442022-04-12 16:28:34.5204	FR9X00442022-04-12 16:05:31.0933	FR9X00442022-04-12 14:21:17.9502	FR9X00442022-04-12 11:47:53.0621
Approval Status	Not Approved	Not Approved	Not Approved	
Guarantee Amount	58	45	45	45
Guarantor	37	IENCB	9405946	9405946
Creation Date	12/04/2022 16:28:34.520	12/04/2022 16:05:31.093	12/04/2022 14:21:17.950	12/04/2022 11:47:53.062
Guarantee Ref	FR9X0044	FR9X0044	FR9X0044	FR9X0044
Is Active	Yes	Yes	Yes	Yes
Is Rejected	No	No	No	No
Action To Validate				
Validity Start Date	12/04/2022	12/04/2022	12/04/2022	12/04/2022
Being Deactivated	No	No	No	No
Counterparty	37	37	37	37
Comment	Test DDF	Test DDF	Test DDF	Test DDF
Currency	USD	USD	USD	USD
Deactivated Permanently	No	No	No	No
Debtor	41	41	41	41

Guarantee – History Screen	
Field	Description
Guarantee Ref	Shows the reference given by the counterparty for the guarantee.
Counterparty Identifier	Shows the RIAD Code of the Counterparty.
Debtor	Shows the national identifier given by the Counterparty for the Obligor as a Debtor.
Guarantor	Shows the national identifier given by the Counterparty for the Obligor as a Guarantor.
Comment	Shows an optional free comment about the guarantee.
Guarantee Amount	Shows the amount of the guarantee.
Unlimited Amount Flag	Shows whether the amount of the guarantee is unlimited or not from the possible values: – Y – N
Currency	Shows the currency of the guarantee amount.
Validity Start Date	Shows the date from when the guarantee is active. Displayed format is: DD/MM/YYYY
Validity End date	Shows the date until when the guarantee is active. Displayed format is: DD/MM/YYYY
No end date flag	Shows whether the guarantee is unlimited in time or not from the possible values: – Y – N
Approval status	Shows the status of the guarantee from the possible values: – Approval required – Approved – Not approved
Creation Date	Shows the reference date of the creation of the guarantee. Displayed format is: DD/MM/YYYY hh:mm:ss
Creator User Id	Shows the identification of the user that created the Guarantee.

Update Date	Shows the date/time of the last update of the guarantee. Displayed format is: DD/MM/YYYY hh:mm:ss
Updater User Id	Shows the identification of the user that made the last update of the guarantee.
Button	Description
Compare	Shows the differences between the selected records whose data have been updated.
Expand All	Shows the expanded information of the fields whose information have been updated since the creation of the selected Guarantee.
Show Differences Only	Shows only the fields whose information have been updated since the creation of the selected Guarantee.

3.3.5.1.4.2 Guarantee – Create Screen

Context of Usage	This screen contains a number of required fields (Mandatory and Optional). By inputting the relevant data, you can save and create a Guarantee.
Business case related	Creation of Guarantees
Screen Access	Collateral >> Credit Claims >> Guarantee >> Click on the <i>Create</i> button
Screenshot	

Guarantee – Create Screen	
Field	Description
Guarantee Ref*	Enter/Select the reference given by the counterparty for the guarantee. Mandatory
Counterparty Identifier*	Enter/Select the RIAD Code of the Counterparty. Mandatory
Debtor*	Enter/Select the national identifier given by the Counterparty for the Obligor as a Debtor Mandatory
Guarantor*	Enter/Select the national identifier given by the Counterparty for the Obligor as a Guarantor. Mandatory
Guarantee Amount*	Enter a guarantee Amount Mandatory

Currency*	EUR Mandatory
Validity Start Date*	Automatically filled with the business date and could be modified by the user Mandatory
Validity End Date	Enter a validity end date if needed Optional
No End Date*	“Y” or “N” Mandatory
Unlimited Amount*	“Y” or “N” Mandatory
Comment	Optional comment
Button	Function
Reset	This function enables you to clear the form.
Save	This function enables you to save the entered data for a guarantee. Next screen: <i>Guarantees – ListScreen.</i>
Cancel	This function enables you to cancel the creation of the guarantee.

3.3.5.1.5 Credit Claim Instructions

3.3.5.1.5.1 Credit Claims Instruction – Search Screen

Context of Usage	This screen is used to search and monitor the manually entered credit claim instructions and the received ones by A2A mode with registration instruction(s), update instruction(s), outstanding amount update instruction(s) in ECMS
Screen Access	Collateral >> Credit Claims >> Credit Claim Instruction >> Display Credit Claim Instruction
Screenshot	

Credit Claims Instruction – Search Screen	
Field	Description
ECMS Internal Reference	Input the ECMS internal unique reference when the file was created by U2A mode
Instruction Reference	Input the instruction reference
Credit Claim Ref	Input the credit claims reference

Creditor	Input the creditor RIAD Code
Debtor	Input the debtor national identifier
Operation Type	Input the operation type from the drop down list
Business Status	Input the Business Status
Creation Date	Input the Creation Date Format: DD/MM/YYYY hh:mm:ss:sss
Action	
Button	Description
Reset	This function enables you to clear the form.
Search	This function enables you to start a search according to the criteria entered. The results are displayed in a list on a screen below. Next screen: <ul style="list-style-type: none"> • Display Credit Claim Instructions – List Screen

3.3.5.1.5.1.1 Credit Claims Instruction – List Screen

Context of Usage	<p>This screen contains a list of credit claim instructions.</p> <p>The credit claims instructions listed correspond to the search criteria captured in the credit claims instructions – Search screen.</p> <p>The list displayed can be sorted and filtered by the fields displayed.</p> <p>The list can be exported.</p>
Screen Access	Collateral >> Credit Claims >> Credit Claim Instruction >> Display Credit Claim Instruction
Screenshot	<p>The screenshot shows a search result table for 'Credit Claim Instruction'. The table has 20 columns: Instruct#, ECMS Int#, Business, Instruct#, Credit Cl#, Credit Cl#, Creditor #, Debtor #, Debtor, Guarant#, Guarant#, Origina#, Operatio#, Govern#, Guarant#, Curren#, Outstan#, Maturity, Creation, Creation, Update#, Update#. The data rows show various credit claim instructions with details like currency (EUR), maturity dates, and creation dates.</p>

Credit Claims Instruction – List Screen	
Field	Description
Instruction Reference	Displays the Instruction Reference of the counterparty
ECMS Internal Reference	Displays the ECMS unique identifier of the credit claim instruction
Business Status	Displays the business status
Credit Claim Ref	Displays the reference of the credit claim communicated by the counterparty
Credit Claim Contract Number	Displays the reference of the credit claim contract number communicated by the counterparty
Creditor	Displays the creditor RIAD Code

Debtor	Displays the debtor national identifier
Debtor Code Type	Displays the debtor national identifier code type
Guarantor	Displays the guarantor national identifier
Guarantor Code Type	Displays the guarantor national identifier code type
Origination Date	Displays the origination date of the credit claim Format: DD/MM/YYYY
Operation Type	Displays the operation type (CCR/CCU/CCOAU)
Governing Law	Displays the governing country code of the credit claim
Guarantee Ref	Displays the guarantee reference if existing
Currency	EUR
Outstanding Amount	Outstanding Amount
Maturity Date	Displays the maturity date of the credit claim Format: DD/MM/YYYY
Creation User Id	The user that has created the instruction
Creation Date	Creation date of the instruction
Updater User Id	The user that has updated the instruction
Update Date	Update date of the instruction
Action	
Button	Description
View	This function enables you to view the details of the selected credit claim instruction. The Details screen is displayed replacing the current screen. Next screen: Credit Claim Instruction – Details Screen
Excel Export	This function enables you to export the displayed list of the credit claims instruction(s) in an excel file.

3.3.5.1.5.1.2 Credit Claims Instruction – Details Screen

Context of Usage	This screen displays detailed information on the selected received or manually input credit claims instruction. You can check the data and proceed further by clicking on the buttons.
Screen Access	Collateral >> Credit Claims >> Credit Claim Instruction >> Display Credit Claim Instruction >> Click on the <i>Search</i> button (after having input the relevant criteria, if needed) >> Select a credit claims instruction >> Click on the <i>View</i> button

Screenshot	
	<p>Instruction Details</p> <p>ECMS Internal Reference: CCM00000154 Instruction Reference: INST120200001 Operation Type: CCR</p> <p>Update Date Instruction: 10/02/2022</p> <p>Credit Claims Details</p> <p>Credit Claim Ref: CCFR181290001 Credit Claim Contract Number: CCFR001 Outstanding Amount: 13,500,000</p> <p>Currency: EUR Maturity Date: 03/05/2022 Origination Date: 10/02/2022</p> <p>Governing Law: FR Comment:</p> <p>Debtor Details</p> <p>Debtor: FR20007 Debtor Code Type: FR_SIREN_CD</p> <p>Guarantor Details</p> <p>Guarantor: FR10007 Guarantor Code Type: FR_SIREN_CD Guarantor Ref: FR00001</p> <p>Other Details</p> <p>Loan Type: CREO Interest Rate Type: VAR Set Off Risk Indicator: N</p> <p>Subordination: false Reference Index: 10MUD00R Cap Indicator: true</p> <p>Reference Index Comment: Reseting Period More Than One Year: false Negative Cashflow: false</p> <p>Changing Interest Rate Indicator: true Changing Interest Rate Indicator Date: 11/02/2022 Oa ID:</p> <p>Contract ID: Instrument ID:</p> <p>FootPrint:</p>

Credit Claims Instruction – Details Screen	
Instruction Details	
Fied	Description
ECMS Internal Reference	Displays the ECMS internal reference of the credit claim registration instruction automatically filled by the system
Instruction Reference	Displays the unique reference of the instruction in counterparty side
Operation Type	Displays the value “CCR”, “CCU” or “CCOAU”
Update Date Instruction	Displays the update date Format: DD/MM/YYYY
Credit Claims Details	
Fied	Description
Credit Claim Reference	Displays the identifier of the credit claim for the Counterparty
Credit Claim Contract Number	Displays the credit claim contract number
Outstanding Amount	Displays the outstanding amount of the credit claims.
Currency	Displays the currency of the Credit Claim. By default EUR.
Maturity Date	Displays the maturity date of the credit claim.
Origination date	Displays the origination date of the credit claim.
Governing Law	Displays the governing country code of the credit claim
Comment	Displays the comment
Debtor Details	
Fied	Description

Debtor ID	Displays the RIAD code of the Creditor.
Debtor Code Type	Displays the Debtor Code Type.
Guarantor Details	
Fied	Description
Guarantor ID	Displays the RIAD code of the Guarantor.
Guarantor Code Type	Displays the Guarantor Code Type.
Guarantee Reference	Displays the Guarantee reference if existing given by the Counterparty.
Other Details	
Fied	Description
Loan Type	Displays the Type of loan
Set-off Risk Indicator	Displays the set-off risk indicator. Y(existence of a set off risk) / N or NA (to be used when not applicable for the NCB)
Negative Cashflow	Displays Y or N
Subordination	Displays the value Y/N
Agent Bank	Displays the Agent bank value
Changing Interest Rate Indicator	Displays the value Y/N
Changing Interest Rate Indicator Date	Displays the Changing Interest Rate Indicator Date
Interest Rate Type	Displays FIX or VAR
Reference Index	Displays the Referenced Eurosystem Index. To be filled in if the Interest RateType is VAR
Reference Index Comment	Displays the Reference Index Comment. To be filled if the Reference Index is 'Other'.
Cap Indicator	Displays the value (True or False). To be filled in if the Interest RateType is VAR.
Resetting Period More Than One Year	Displays the value (True or False). To be filled in if the Interest RateType is VAR.
Oa Id	Displays the observed agent identifier of the credit claim
Contract Id	Displays the Contract identifier of the credit claim
Instrmnt Id	Displays the instrument identifier of the credit claim
Footprint	
Updater User Id	Shows the identification of the user that made the last update.
Update Date	Shows the date and time of the last update. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Creation User Id	Shows the identification of the user that created the credit claim instruction.
Creation Date	Shows the date and time of the credit claim instruction creation. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.

3.3.5.1.5.2 Credit Claims Mobilisation Instruction – Search Screen

Context of Usage	This screen is used to search and monitor the manually entered credit claim mobilisation instructions and the received ones by A2A mode in ECMS
Screen Access	Collateral >> Credit Claims >> Credit Claim Instruction >> Display Mobilisation Instruction
Screenshot	

Credit Claims Mobilisation Instruction – Search Screen	
Field	Description
ECMS Internal Reference	Input the ECMS internal unique reference when the file was created by U2A mode
Instruction Reference	Input the instruction reference
Counterparty	Input the Counterparty RIAD Code
Internal Asset Account	Input the internal asset account on which the mobilisation of credit claims is received
Credit Claim Id	Input the ECMS credit claim identifier
Business Status	Input the Business Status
Instruction Status	Input the Instruction Status
Action	
Button	Description
Reset	This function enables you to clear the form.
Search	This function enables you to start a search according to the criteria entered. The results are displayed in a list on a screen below. Next screen: <ul style="list-style-type: none"> • Display Credit Claim Mobilisation Instructions – List Screen

3.3.5.1.5.2.1 Credit Claims Mobilisation Instruction – List Screen

Context of Usage	<p>This screen contains a list of credit claim mobilisation instructions.</p> <p>The credit claims mobilisation instructions listed correspond to the search criteria captured in the credit claims mobilisation instructions – Search screen.</p> <p>The list displayed can be sorted and filtered by the fields displayed.</p> <p>The list can be exported.</p>
Screen Access	Collateral >> Credit Claims >> Credit Claim Instruction >> Display Mobilisation Instruction

Screenshot	

Credit Claims Mobilisation Instruction – List Screen

Field	Description
ECMS Internal Reference	Displays the ECMS internal unique reference when the file was created by U2A mode
Instruction Reference	Displays the instruction reference
Business Status	Displays the Business Status
Instruction Status	Displays the Instruction Status
Counterparty	Displays the Counterparty RIAD Code
Internal Asset Account	Displays the internal asset account on which the mobilisation of credit claims is received
Credit Claim Ref	Displays the credit claim reference communicated by the counterparty
Credit Claim Id	Displays the ECMS credit claim identifier
Outstanding Amount	Displays the outstanding amount value
Update Date	Displays the update date of the mobilisation instruction Format: DD/MM/YYYY hh:mm:ss:sss
Updater User Id	Displays the updater user id of the mobilisation instruction
Action	
Button	Description
View	This function enables you to view the details of the selected credit claim mobilisation instruction. The Details screen is displayed replacing the current screen. Next screen: Credit Claim Mobilisation Instruction – Details Screen
Excel Export	This function enables you to export the displayed list of the credit claims mobilisation instruction(s) in an excel file.

3.3.5.1.5.2.2 Credit Claims Mobilisation Instruction – Details Screen

Context of Usage	This screen displays detailed information on the selected received or manually input credit claims mobilisation instruction. You can check the data and proceed further by clicking on the buttons.

Screen Access	Collateral >> Credit Claims >> Credit Claim Instruction >> Display Mobilisation Instruction >> Click on the <i>Search</i> button (after having input the relevant criteria, if needed) >> Select a credit claims mobilisation instruction >> Click on the <i>View</i> button
Screenshot	

Credit Claims Mobilisation Instruction – Details Screen

Instruction Details	
Fied	Description
ECMS Internal Reference	Displays the ECMS internal reference of the credit claim mobilisation instruction automatically filled by the system
Instruction Reference	Displays the unique reference of the instruction in counterparty side
Operation Type	Displays the value “MOB”
Internal Asset Account	Displays the internal asset account
Credit Claim Id	Displays the ECMS credit claim identifier
Outstanding Amount	Displays the outstanding amount value
Business Status	Displays the business status
Footprint	
Updater User Id	Shows the identification of the user that made the last update.
Update Date	Shows the date and time of the last update. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Creation User Id	Shows the identification of the user that created the mobilisation instruction.
Creation Date	Shows the date and time of the mobilisation instruction creation. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.

3.3.5.1.5.3 Credit Claims Demobilisation Instruction – Search Screen

Context of Usage	This screen is used to search and monitor the manually entered credit claim demobilisation instructions and the received ones by A2A mode in ECMS
Screen Access	Collateral >> Credit Claims >> Credit Claim Instruction >> Display Demobilisation Instruction
Screenshot	

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Credit Claims Demobilisation Instruction – Search Screen	
Field	Description
ECMS Internal Reference	Input the ECMS internal unique reference when the file was created by U2A mode
Instruction Reference	Input the instruction reference
Counterparty	Input the Counterparty RIAD Code
Internal Asset Account	Input the internal asset account on which the demobilisation of credit claims is received
Credit Claim Id	Input the ECMS credit claim identifier
Business Status	Input the Business Status
Instruction Status	Input the Instruction Status
Action	
Button	Description
Reset	This function enables you to clear the form.
Search	This function enables you to start a search according to the criteria entered. The results are displayed in a list on a screen below. Next screen: <ul style="list-style-type: none"> • Display Credit Demobilisation Instructions – List Screen

3.3.5.1.5.3.1 Credit Claims Demobilisation Instruction – List Screen

Context of Usage	<p>This screen contains a list of credit claim demobilisation instructions. The credit claims demobilisation instructions listed correspond to the search criteria captured in the credit claims demobilisation instructions – Search screen.</p> <p>The list displayed can be sorted and filtered by the fields displayed. The list can be exported.</p>
Screen Access	Collateral >> Credit Claims >> Credit Claim Instruction >> Display Demobilisation Instruction
Screenshot	

Credit Claims Demobilisation Instruction – List Screen	
Field	Description

ECMS Internal Reference	Displays the ECMS internal unique reference when the file was created by U2A mode
Instruction Reference	Displays the instruction reference
Business Status	Displays the Business Status
Instruction Status	Displays the Instruction Status
Counterparty	Displays the Counterparty RIAD Code
Internal Asset Account	Displays the internal asset account on which the demobilisation of credit claims is received
Credit Claim Ref	Displays the credit claim reference communicated by the counterparty
Credit Claim Id	Displays the ECMS credit claim identifier
Outstanding Amount	Displays the outstanding amount value
Update Date	Displays the update date of the demobilisation instruction Format: DD/MM/YYYY hh:mm:ss:sss
Updater User Id	Displays the updater user id of the demobilisation instruction
Action	
Button	Description
View	This function enables you to view the details of the selected credit claim demobilisation instruction. The Details screen is displayed replacing the current screen. Next screen: Credit Claim Demobilisation Instruction – Details Screen
Excel Export	This function enables you to export the displayed list of the credit claims demobilisation instruction(s) in an excel file.

3.3.5.1.5.3.2 Credit Claims Demobilisation Instruction – Details Screen

Context of Usage	This screen displays detailed information on the selected received or manually input credit claims demobilisation instruction. You can check the data and proceed further by clicking on the buttons.
Screen Access	Collateral >> Credit Claims >> Credit Claim Instruction >> Display Demobilisation Instruction >> Click on the <i>Search</i> button (after having input the relevant criteria, if needed) >> Select a credit claims demobilisation instruction >> Click on the <i>View</i> button
Screenshot	<p>The screenshot displays the 'View: Demobilisation Instruction' screen. It features a search bar at the top with the text 'Search Result: Demobilisation Instruction'. Below the search bar, there are several input fields for search criteria: ECMS Internal Reference (DMH000000003), Operation Type (DEM0B), Instruction Reference (testtrmf), Internal Asset Account (CPYSFRACC02), Credit Claim (CCR610), and Outstanding Amount (13,500,000). A 'Status Details' section is visible, showing 'Business Status' as 'Confirmed' and 'Business Validation Errors' as 'Business Validation Errors (0)'. There is also a 'FootPrint' section at the bottom.</p>

Credit Claims Demobilisation Instruction – Details Screen	
Instruction Details	
Field	Description
ECMS Internal Reference	Displays the ECMS internal reference of the credit claim demobilisation instruction automatically filled by the system
Instruction Reference	Displays the unique reference of the instruction in counterparty side
Operation Type	Displays the value “DEMOB”
Internal Asset Account	Displays the internal asset account
Credit Claim Id	Displays the ECMS credit claim identifier
Outstanding Amount	Displays the outstanding amount value
Business Status	Displays the business status
Footprint	
Updater User Id	Shows the identification of the user that made the last update.
Update Date	Shows the date and time of the last update. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Creation User Id	Shows the identification of the user that created the demobilisation instruction
Creation Date	Shows the date and time of the demobilisation creation. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.

3.3.5.1.5.4 Credit Rating Instruction – Search Screen

Context of Usage	This screen is used to search and monitor the manually entered credit rating instructions and the received ones by A2A mode in ECMS
Screen Access	Collateral >> Credit Claims >> Credit Claim Instruction >> Display Rating Instruction
Screenshot	

Credit Rating Instruction – Search Screen	
Field	Description
ECMS Internal Reference	Input the ECMS internal unique reference when the file was created by U2A mode
Credit Assessment System Code	Input the credit assessment system code “IRB” or “RT”
Instruction Reference	Input the instruction reference

Rating Date	Input the rating date Format: DD/MM/YYYY
Rating Type	Input the rating type from the drop down list: "IRB" or "RT"
Rating Validity Date	Input the rating validity date Format: DD/MM/YYYY
Operation Type	Input the operation type from the drop down list: "RR" or "RU"
Debtor	Input the debtor national identifier from the drop down list
Creation Date	Input the Creation Date Format: DD/MM/YYYY hh:mm:ss:sss
Action	
Button	Description
Reset	This function enables you to clear the form.
Search	This function enables you to start a search according to the criteria entered. The results are displayed in a list on a screen below. Next screen: <ul style="list-style-type: none"> • Display Credit Claim Rating Instructions – List Screen

3.3.5.1.5.4.1 Credit Rating Instruction – List Screen

Context of Usage	This screen contains a list of credit rating instructions. The credit rating instructions listed correspond to the search criteria captured in the credit rating instructions – Search screen. The list displayed can be sorted and filtered by the fields displayed. The list can be exported.																																																																																																																																																																																														
Screen Access	Collateral >> Credit Claims >> Credit Claim Instruction >> Display Rating Instruction																																																																																																																																																																																														
Screenshot	<p>The screenshot shows a table with the following data rows:</p> <table border="1"> <thead> <tr> <th>ECMS Intd</th> <th>Credit Ass</th> <th>Default Pr</th> <th>Instru</th> <th>Rating Da</th> <th>Rating Ty</th> <th>Rating VA</th> <th>Business</th> <th>Instru</th> <th>Debtor</th> <th>Debtor Co</th> <th>Guarantor</th> <th>Guarantor</th> <th>Operation</th> <th>Is Report</th> <th>Credit Ass</th> <th>comment</th> <th>Update Da</th> <th>Update U</th> </tr> </thead> <tbody> <tr> <td>R0000000</td> <td>FR10007</td> <td>0.566655</td> <td>R0000000</td> <td>25/02/202</td> <td>IRB</td> <td>10/03/202</td> <td>Confirmed</td> <td>Confirmed</td> <td>FR20007</td> <td>FR_SIREN...</td> <td></td> <td></td> <td>RR</td> <td>Yes</td> <td>37</td> <td></td> <td>25/02/202</td> <td>ECMS OPE</td> </tr> <tr> <td>R0000000</td> <td>FR10007</td> <td>0.566656</td> <td>R0000000</td> <td>28/02/202</td> <td>IRB</td> <td>23/03/202</td> <td>Confirmed</td> <td>Confirmed</td> <td>FR20007</td> <td>FR_SIREN...</td> <td></td> <td></td> <td>RR</td> <td>Yes</td> <td>37</td> <td></td> <td>25/02/202</td> <td>ECMS OPE</td> </tr> <tr> <td>R0000000</td> <td>IRB</td> <td>0.566656</td> <td>R0000000</td> <td>28/02/202</td> <td>IRB</td> <td>17/03/202</td> <td>Confirmed</td> <td>Confirmed</td> <td>FR50007</td> <td>FR_SIREN...</td> <td></td> <td></td> <td>RR</td> <td>Yes</td> <td>41</td> <td></td> <td>25/02/202</td> <td>ECMS OPE</td> </tr> <tr> <td>R0000000</td> <td>FR18129</td> <td>0.157</td> <td>FR30007T</td> <td>07/06/202</td> <td>IRB</td> <td>31/12/202</td> <td>Rejected</td> <td>RIRejected</td> <td>FR585750</td> <td>FR_SIREN...</td> <td></td> <td>FR_SIREN...</td> <td>RR</td> <td>Yes</td> <td>...</td> <td></td> <td>07/06/202</td> <td>STP</td> </tr> <tr> <td>R0000000</td> <td>FR18129</td> <td>0.157</td> <td>FR30007R</td> <td>07/06/202</td> <td>IRB</td> <td>31/12/202</td> <td>Confirmed</td> <td>Confirmed</td> <td>FR585750</td> <td>FR_SIREN...</td> <td></td> <td>FR_SIREN...</td> <td>RR</td> <td>Yes</td> <td>84</td> <td></td> <td>07/06/202</td> <td>STP</td> </tr> <tr> <td>R0000000</td> <td>IRB FR181</td> <td>0.157</td> <td>FR30007R</td> <td>08/06/202</td> <td>IRB</td> <td>31/12/202</td> <td>Confirmed</td> <td>Confirmed</td> <td>FR585750</td> <td>FR_SIREN...</td> <td></td> <td>FR_SIREN...</td> <td>RR</td> <td>Yes</td> <td>84</td> <td></td> <td>08/06/202</td> <td>STP</td> </tr> <tr> <td>R0000000</td> <td>IRB FR181</td> <td>0.157</td> <td>FR30007R</td> <td>10/06/202</td> <td>IRB</td> <td>31/12/202</td> <td>Rejected</td> <td>RIRejected</td> <td></td> <td>FR_SIREN...</td> <td></td> <td>FR_SIREN...</td> <td>RR</td> <td>Yes</td> <td>84</td> <td>Obligor Int...</td> <td>10/06/202</td> <td>STP</td> </tr> <tr> <td>R0000000</td> <td>IRB FR181</td> <td>0.157</td> <td>FR30007R</td> <td>10/06/202</td> <td>IRB</td> <td>31/12/202</td> <td>Rejected</td> <td>RIRejected</td> <td></td> <td>FR_SIREN...</td> <td></td> <td>FR_SIREN...</td> <td>RR</td> <td>Yes</td> <td>84</td> <td>Obligor Int...</td> <td>10/06/202</td> <td>STP</td> </tr> <tr> <td>R0000000</td> <td>FR18129</td> <td>0.157</td> <td>FR30007H</td> <td>07/06/202</td> <td>IRB</td> <td>31/12/202</td> <td>Rejected</td> <td>RIRejected</td> <td>FR585750</td> <td>FR_SIREN...</td> <td></td> <td>FR_SIREN...</td> <td>RR</td> <td>No</td> <td>...</td> <td></td> <td>13/06/202</td> <td>STP</td> </tr> </tbody> </table>	ECMS Intd	Credit Ass	Default Pr	Instru	Rating Da	Rating Ty	Rating VA	Business	Instru	Debtor	Debtor Co	Guarantor	Guarantor	Operation	Is Report	Credit Ass	comment	Update Da	Update U	R0000000	FR10007	0.566655	R0000000	25/02/202	IRB	10/03/202	Confirmed	Confirmed	FR20007	FR_SIREN...			RR	Yes	37		25/02/202	ECMS OPE	R0000000	FR10007	0.566656	R0000000	28/02/202	IRB	23/03/202	Confirmed	Confirmed	FR20007	FR_SIREN...			RR	Yes	37		25/02/202	ECMS OPE	R0000000	IRB	0.566656	R0000000	28/02/202	IRB	17/03/202	Confirmed	Confirmed	FR50007	FR_SIREN...			RR	Yes	41		25/02/202	ECMS OPE	R0000000	FR18129	0.157	FR30007T	07/06/202	IRB	31/12/202	Rejected	RIRejected	FR585750	FR_SIREN...		FR_SIREN...	RR	Yes	...		07/06/202	STP	R0000000	FR18129	0.157	FR30007R	07/06/202	IRB	31/12/202	Confirmed	Confirmed	FR585750	FR_SIREN...		FR_SIREN...	RR	Yes	84		07/06/202	STP	R0000000	IRB FR181	0.157	FR30007R	08/06/202	IRB	31/12/202	Confirmed	Confirmed	FR585750	FR_SIREN...		FR_SIREN...	RR	Yes	84		08/06/202	STP	R0000000	IRB FR181	0.157	FR30007R	10/06/202	IRB	31/12/202	Rejected	RIRejected		FR_SIREN...		FR_SIREN...	RR	Yes	84	Obligor Int...	10/06/202	STP	R0000000	IRB FR181	0.157	FR30007R	10/06/202	IRB	31/12/202	Rejected	RIRejected		FR_SIREN...		FR_SIREN...	RR	Yes	84	Obligor Int...	10/06/202	STP	R0000000	FR18129	0.157	FR30007H	07/06/202	IRB	31/12/202	Rejected	RIRejected	FR585750	FR_SIREN...		FR_SIREN...	RR	No	...		13/06/202	STP
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Credit Rating Instruction – List Screen	
Field	Description
ECMS Internal Reference	Displays the ECMS unique identifier of the credit claim instruction
Credit Assessment System Code	Displays the credit assessment system code: "IRB" or "RT"
Default of Probability	Displays the default of probability value

Instruction Reference	Displays the Instruction Reference of the counterparty
Rating Date	Displays the rating date Format : DD/MM/YYYY
Rating Type	Displays the rating type: "IRB" or "RT"
Rating Validity Date	Displays the rating validity date Format : DD/MM/YYYY
Business Status	Displays the business status
Instruction Status	Displays the instruction status
Debtor	Displays the debtor national identifier
Debtor Code Type	Displays the debtor code type
Guarantor	Displays the guarantor national identifier
Guarantor Code Type	Displays the guarantor code type
Operation Type	Displays the operation type: "RR" or "RU"
Updater User Id	The user that has updated the instruction
Update Date	Update date of the instruction
Action	
Button	Description
View	This function enables you to view the details of the selected credit rating instruction. The Details screen is displayed replacing the current screen. Next screen: Credit Rating Instruction – Details Screen
Excel Export	This function enables you to export the displayed list of the credit rating instruction(s) in an excel file.

3.3.5.1.5.4.2 Credit Rating Instruction – Details Screen

Context of Usage	This screen displays detailed information on the selected received or manually input credit rating instruction. You can check the data and proceed further by clicking on the buttons.
Screen Access	Collateral >> Credit Claims >> Credit Claim Instruction >> Display Rating Instruction >> Click on the <i>Search</i> button (after having input the relevant criteria, if needed) >> Select a credit rating instruction >> Click on the <i>View</i> button
Screenshot	

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Credit Rating Instruction – Details Screen	
Obligor	
Fied	Description
Debtor	Displays the debtor national identifier of the debtor
Guarantor	Displays the debtor national identifier of the guarantor
Fied	Description
ECMS Internal Reference	Displays the ECMS internal reference of the credit claim registration instruction automatically filled by the system
Instruction Reference	Displays the unique reference of the instruction in counterparty side
Operation Type	Displays the value "RR" or "RU".
Rating Date	Displays the rating date Format: DD/MM/YYYY
Rating Validity Date	Displays the rating validity date Format: DD/MM/YYYY
Rating Type	Displays the rating type "IRB" or "RT"
Credit Assessment System Code	Displays the credit assessment system code
Default of Probability	Displays the default of probability value
Instruction Status	
Fied	Description
Instruction Status	Displays the instruction status
Business Status	Displays the business status
Comment	Displays the comment
Business Validation Errors	Displays the business validation errors
Footprint	
Updater User Id	Shows the identification of the user that made the last update.
Update Date	Shows the date and time of the last update. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Creation User Id	Shows the identification of the user that created the credit claim rating instruction.
Creation Date	Shows the date and time of the credit claim rating instruction creation. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.

3.3.5.2 Credit Claims Positions

3.3.5.2.1 Credit Claim Position – Search Screen

Context of Usage	<p>This screen contains a number of search fields. By inputting the relevant data, you can search for one or several credit claims position.</p> <p>The search results will be displayed in a list. After selecting an entry, you can proceed further by clicking on the buttons that are shown.</p>
Business Case related	
Screen Access	Pool Overview >> Positions >> CreditClaim Position Details
Screenshot	

Credit Claim Position – Search Screen	
Search Criteria	
Field	Description
Pool Identifier	Put the pool identifier
Counterparty	Put the RIAD code of the Counterparty.
Position Date	Put the settlement date of the last Credit Claim Instruction that updates the position. Format: DD/MM/YYYY
Creation Date	Put the Creation date of the position Format: DD/MM/YYYY hh:mm:ss:sss
Position Type	Put the value Collateral
Movement Reference	Put the movement reference
Position Nature	Put Initiation for mobilisation and Return for demobilisation
Position Status	The Status of the Position. Possible values: – Settled – Instructed – Cancelled
Update Date From ... To ...	Put the range of the update date Format: DD/MM/YYYY hh:mm:ss:sss
Action	
Button	Function
Reset	This function enables you to clear the form.
Search	This function enables you to start a search according to the filled in criteria. The results are displayed in a list on the List Screen.

	Next screen: – Credit Claims Position – List Screen.
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3.3.5.2.1.1 Credit Claim Position – List Screen

Context of Usage	This screen contains a list of Credit Claims positions corresponding to the search criteria entered in the search screen. The list is displayed after clicking on the Search button. The list can be sorted and filtered using by the field displayed. The list can be exported.
Business Case related	
Screen Access	Pool Overview >> Positions >> CreditClaim Position Details >> Click on the search button (after inputting the relevant search criteria, if needed)
Screenshot	

Credit Claim Position – List screen	
Content of the list	
Field	Description
Last Movement ID	Displays the movement identifier
Credit Claim ID	Displays the credit claim asset identifier
Counterparty	Displays the Counterparty RIAD Code
Pool Identifier	Displays the Pool identifier impacted by credit claims position
Outstanding Amount	Displays the Outstanding Amount
Currency	EUR
Applied Haircut	Displayed the applied haircut value
Collateral Value	Displays the amount value of the credit claim after haircut
Position Nature	Displays Initiation for the mobilised credit claims and Return for the demobilised credit claims
Position Status	Displays the status of the position. Possible values: – Settled – Instructed – Cancelled
Position Date	Displays the Position Date Format: DD/MM/YYYY

Validity Date	Displays the Validity Date Format: DD/MM/YYYY
Update Date	Displays the update date of the credit claim position
Updater User Id	Displays the updater user id of the credit claim position
Action	
Button	Function
View	This function enables you to show the details of the selected Credit Claim Position. The results are displayed in a list on the Detail Screen. Next screen: – <i>Credit claims position – detail</i> Screen.

3.3.5.2.1.2 Credit Claims Position – Details Screen

Context of Usage	This screen contains the detailed information of the Credit Claim selected by clicking on the View button in the previous screen.
Business Case related	
Screen Access	Pool Overview >> Positions >> CreditClaim Position Details >> Click on the search button (after inputting the relevant search criteria, if needed)>> Select a Credit Claim Position >> Click on the view button
Screenshot	<p>The screenshot displays the 'Credit Claim Position' details screen. It is organized into several sections:</p> <ul style="list-style-type: none"> Position Details: Includes fields for Pool Identifier (POOL000000000020), Counterparty (41), Position Status (Instructed), Position Type (Collateral), Position Nature (Initiation), Being Cancelled (No), Position Date (17/09/2021), and Amount (0). CreditClaim Details: Includes Process Reference (CCRX557), Internal Account (CPY5FBACC02), Cross Border Account, and Cps (1). HairCut Details: Includes Hair Cut CC, Hair Cut ACC, Add Hair Cut CC (0), Mark Down CC (0), and Total CC Hair Cut (0). Business Rules: Includes Black Listed (No), Close Link Detected (No), Is Matured (Yes), Is Residual Met Breached (No), Is Eligible (Yes), and Credit Rating Not Eligible (No). Amounts: Includes Book Amount (0), Book Currency (EUR), Margin Amount (0), Currency (EUR), Fx, and Fx Date. Valuation Status: Includes Valuation Failure Reason and Value Date (12/08/2022). FootPrint: A field at the bottom of the screen.

Credit Claims Position – Details Screen

Position Details

Field	Description
Pool Identifier	Displays the Pool identifier impacted by credit claims position
Counterparty	Displays the Counterparty RIAD Code
Position Nature	Displays Initiation for the mobilised credit claims and Return for the demobilised credit claims
Position Status	Displays the status of the position. Possible values: – Settled – Instructed – Cancelled
Last Movement ID	Displays the movement Identifier
Position Date	Displays the Position Date Format: DD/MM/YYYY
Validity Date	Displays the Validity Date Format: DD/MM/YYYY
Credit Claim Details	
Credit Claim ID	Displays the credit claim identifier
ECMS Counterparty Asset Account Id	Displays the internal asset account identifier
ECMS Cross NCB Asset Accounts Id	Displays the external asset account identifier
Cqs	Displays the CQS value
Haircut Details	
Haircut CC	Displays the value of the haircut applied for the credit claim
Haircut ACC	Displays the value of the haircut applied additional credit claim
Additional Haircut	Displays the value of the applied additional haircut
Markdown	Displays the markdown applied haircut
Applied Haircut	Displays the total value of the applied haircut
Amounts	
Outstanding Amount	Displays the value of the Outstanding Amount
Currency	EUR
Collateral Value	Displays the amount of the collateral value of the credit claim after haircut
Book Currency	EUR
Valuation Status	
Valuation Failure Reason	Displays the failure reason in the case the valuation of the credit claim fails

Value Date	Displays the date of the assessment of the credit claim
Foot print	
Updater User Id	Shows the identification of the user that made the last update.
Update Date	Shows the date and time of the movement last update. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Creation User Id	Shows the identification of the user that created the data.
Creation Date	Shows the date and time of the movement. Displayed format is DD/MM/YYYY hh:mm:ss:µs.

3.3.6 Multi-pooling

The ECMS supports multi-pooling functionalities meaning that depending on the needs, a Counterparty can hold different dedicated pools of collateral for different purposes or specific types of Eurosystem operations. A transfer of asset is also referred to as a reallocation of asset.

There are two functionalities for transferring assets in the same Counterparty:

- Reallocation of assets from one Internal Counterparty Asset Account to another Internal Counterparty Asset Account. These accounts could be within the same pool or belong to two different pools of the same Counterparty. The assets could be in the same or a different T2S Securities Account for Regular Collateral, but held in the same CSD with the same NCB. The assets can be marketable assets or non-marketable assets such as Credit Claims, FTD collateral, or Cash as Collateral. For marketable assets, the quantity amount available to be transferred can be changed, whereas for the other types of assets the actual position is transferred in its entirety. No transfer occurs between CSD accounts, as the transfer is only between internal Counterparty Asset Accounts. The functionality is available to the Counterparty and NCB user.
- Reallocation of assets from an External T2S Securities Account for Regular Collateral to another External T2S Securities Account for Regular Collateral of the same NCB in the same CSD or in a different CSD. These assets belong to a given Counterparty and there is no movement in or between pools or Internal Counterparty Asset Accounts. For marketable assets, the quantity amount to be transferred cannot be changed. The functionality is available to the NCB user.

The same screen is used by the NCB user or Counterparty for both these functionalities for transferring assets in the same Counterparty.

These screens are used either for reallocations generating an asset transfer on a T2S External Asset Account or for reallocations not generating a movement on a T2S External Asset Account as it generates an internal movement only. In this case, some fields might be left blank or not and the ECMS deduces whether or not a market movement has to be generated and sent to T2S for settlement.

There is also a functionality used by the NCB user for transferring assets between Counterparties or between Counterparty and NCB:

- Reallocation of assets between Counterparties, or from one counterparty to an NCB (or vice versa). This results in a reallocation of asset between Internal ECMS Counterparty Asset Accounts (or Internal ECMS Counterparty Asset Account and Internal NCB Internal Asset Account) within the same or a different T2S Securities Account for Regular Collateral in the same CSD or a different CSD.

In addition, there is a screen available to NCB users for the demobilisation of marketable assets or non-marketable assets such as Credit Claims, FTD collateral, or Cash as Collateral from an NCB Internal Asset Account.

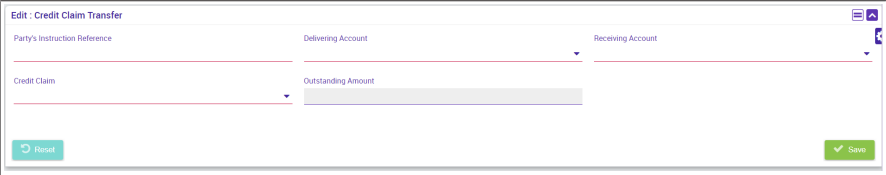
Note: Cross-NCB transfers are out of scope for the multi-pooling functionality.

3.3.6.1 Multi-pooling for Credit Claims

This section describes the Multi-pooling functionalities that can be performed by an NCB user or a Counterparty by transferring Credit Claims from one Internal Counterparty Asset Account to another Internal Counterparty Asset Account, of the same Counterparty and same NCB..


3.3.6.1.1 Multi-pooling for Credit Claims Transfer

3.3.6.1.1.1 Multi-pooling for Credit Claims Transfer – Input Screen

Context of Usage	This screen contains a number of fields regarding the source account, the destination account and Credit Claims to be transferred. The first screen is an initial input screen to identify the positions to transfer. You can enter new data. Afterwards you can proceed further by clicking on the buttons below.
Screen Access	Transfer >> Credit Claim >> Input Credit Claim Transfer
Screenshot	

Multi-pooling for Credit Claims Transfer – Input Screen	
Field	Description
Party's Instruction Reference*	Enter the reference that uniquely identifies the Party's instruction.
Delivering Account Id*	Enter/Select the Delivering Account where the CC is held (source account).
Receiving Account Id*	Enter/Select the Receiving Account where the CC is held (source account).
Credit Claim*	Enter/Select the ECMS Credit Claims Identifier
Out-standingAmount#	Shows the amount of Credit Claims available on the delivering account. Required format is: Amount with 2 decimals.
Button	Function
Reset	This function enables you to clear the form.
Save	This function enables you to save the creation of an instruction.

3.3.6.1.1.2 Multi-pooling for Credit Claims Transfer – Search Screen

Context of Usage	<p>This screen contains a number of search fields and shows the latest and stored searches. By inputting the relevant data, you can search for the Credit Claims Transfer Instructions.</p> <p>The search results will be displayed in a list. After selecting an entry, you can proceed further by clicking on the buttons that are shown.</p> <p>Depending how the screen is accessed, it can be used to search the valid information or the information that requires 4 eyes validation before they can be considered valid in the system.</p>
Screen Access	<p><i>For valid information:</i></p> <p>Transfer >> Credit Calaim >> Display Credit Claim Transfer</p> <p><i>For information subject to 4 eyes validation:</i></p> <p>Transfer >> Credit Calaim >> Validate / Reject Credit Claim Transfer</p>
Screenshot	

Multi-pooling for Credit Claims Transfer – Search Screen	
Field	Description
Party's Instruction Reference	Enter/Select the reference that uniquely identifies the Party's instruction.
Delivering Account	Enter/Select the Delivering Account (Counterparty or NCB) where the CC is held (source account)
Receiving Account	Enter/Select the Receiving Account (Counterparty or NCB) where the CC will be held
Button	Function
Reset	This function enables you to clear the form.
Search	<p>This function enables you to start a search according to the filled in criteria. The results are displayed in a list on the List Screen.</p> <p>Next screen:</p> <ul style="list-style-type: none"> - <i>Multi-pooling for Credit Claims Transfer – List Screen</i>

3.3.6.1.1.3 Multi-pooling for Credit Claims Transfer – List screen

Context of Usage	This screen contains the search results displayed in a list. After selecting an entry, you can proceed further by clicking on the buttons below.
Screen Access	Transfer >> Credit Calaim >> Display Credit Claims Transfer >> Click on the <i>search</i> button (after inputting the relevant fields, if needed)

Party's Instruction Reference	Delivering Account	Receiving Account	Credit Claim	Action To Validate	Is Rejected	Update User Id	Update Date
TRNSFSP	CPY1FRACC03	CPY1FRACC04	CCR0570		No	ECMS OPERATOR 1	05/10/2021 18:03:34:201
TRNSFNTRNDP	CPY1FRACC02	CPY1FRACC03	CCR0567		No	ECMS OPERATOR 1	05/10/2021 17:28:05:804
TRNSFBCTPY	CPY1FRACC02	CPY1FRACC02	CCR0566		No	ECMS OPERATOR 1	04/10/2021 18:32:51:187
TRNSFCTPY	CPY1FRACC02	CPY1FRACC02	CCR0565		No	ECMS OPERATOR 1	04/10/2021 18:28:33:015
TRNSFBTWCPTY	CPY1FRACC02	CPY1FRACC02	CCR0565		No	ECMS OPERATOR 1	04/10/2021 18:21:32:199
testtransfer	CPY1FRACC02	CPY1FRACC02	CCR0557		No	ECMS OPERATOR 1	30/09/2021 18:50:25:613

Multi-pooling for Credit Claims Transfer – List screen

Field	Description
Party's Instruction Reference	Shows the reference that uniquely identifies the Party's instruction.
Delivering Account	Shows the Delivering Account (Counterparty or NCB) where the CC is held (source account)
Receiving Account	Shows the Receiving Account (Counterparty or NCB) where the CC is transferred (destination account)
Credit Claim	Shows the credit claim reference received in the credit claim file
Action to Validate	Action required for the validation of the instruction
Is rejected	Indicator stating that the instruction is rejected.
Update Date	Shows the update date or use the calendar icon. Displayed format is : DD/MM/YYYY hh:mm:ss:sss
Updater User Id	Shows the identification of the user that made the last update.
After selecting a record, the following buttons are displayed	
Button	Function

View	This function enables you to access to the detailed information of a Credit Claims Transfer. Next screen: – <i>Multi-pooling for Credit Claims Transfer – Details screen</i>
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If the screen is used in the 4 eyes validation context, the following buttons are also displayed when a record is selected

Button	Function
View Draft	This function enables the user to view the draft record to be validated. Next screen: <i>Multi-pooling - Credit Claims Transfer – Details Screen.</i>
Validate	This function allows the user to validate the creation or update of the record so that they can be considered valid in the system
Reject	This function allows the user to reject the creation or update of the record. The attribute is Rejected will be set to Yes. The user have to enter the rejection reason.

3.3.6.1.1.3.1 *Multi-pooling for Credit Claims Transfer – Details Screen*

Context of Usage	This screen displays detailed information of the selected Credit Claims Transfer instruction.
Screen Access	Collateral and Credit >> Transfer >> Credit Claims >> Display Credit Claims Transfer >> Click on the <i>search</i> button (after inputting the relevant fields, if needed) >> Select a Credit Claims >> Click on the <i>View</i> button
Screenshot	

Multi-pooling for Credit Claims Transfer – Details Screen	
Field	Description
Party's Instruction Reference	Shows the reference that uniquely identifies the Party's instruction.
Delivering Account	Shows the Delivering Account (Counterparty or NCB) where the CC is held (source account)
Receiving Account	Shows the Receiving Account (Counterparty or NCB) where the CC is transferred (destination account)
Credit Claim	Shows the Credit Claim reference received in the credit claim file
Action to Validate	Indicates if there is an action required for the validation of the instruction
Is rejected	Indicator stating that the instruction is rejected or not. (Yes or No)
Footprint	
Creation Date	Shows the date and time of the creation of the instruction. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Creation User Id	Shows the identification of the user that created the instruction.
Update Date	Shows the date and time of the update of the instruction. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Updater User Id #	Shows the identification of the user that made the last update of the instruction.

3.3.6.1.1.3.2 Multi-pooling for Credit Claims Transfer – Validate / Reject Transfer

Context of Usage	This screen contains a table with the result of a search of a Credit Calims Internal Transfer instructions, for consultation and selection of an instruction to be validated.
Screen Access	Transfer >> Credit Calaim >> Validate / Reject Credit Claim Transfer >> <i>Search</i> Transfer
Screenshot	

Party's Instruction Reference	Delivering Account	Receiving Account	Credit Claim	Action To Validate	Is Rejected	Update User Id	Update Date
TRNSFSP	CPY1FRACC03	CPY1FRACC04	CCR0570		No	ECMS OPERATOR 1	05/16/2021 18:03:34.201
TRNSFINTRNDP	CPY1FRACC02	CPY1FRACC03	CCR0567		No	ECMS OPERATOR 1	05/16/2021 17:28:05.804
TRNSFCPTY	CPY1FRACC02	CPY3FRACC02	CCR0566		No	ECMS OPERATOR 1	04/16/2021 18:32:51.187
TRNSFCPTY	CPY1FRACC02	CPY3FRACC02	CCR0565		No	ECMS OPERATOR 1	04/16/2021 18:32:51.015
TRNSFETWCPPTY	CPY1FRACC02	CPY3FRACC02	CCR0565		No	ECMS OPERATOR 1	04/16/2021 18:21:32.199
ccstransfer	CPY3FRACC02	CPY1FRACC02	CCR0557		No	ECMS OPERATOR 1	30/09/2021 18:50:25.613

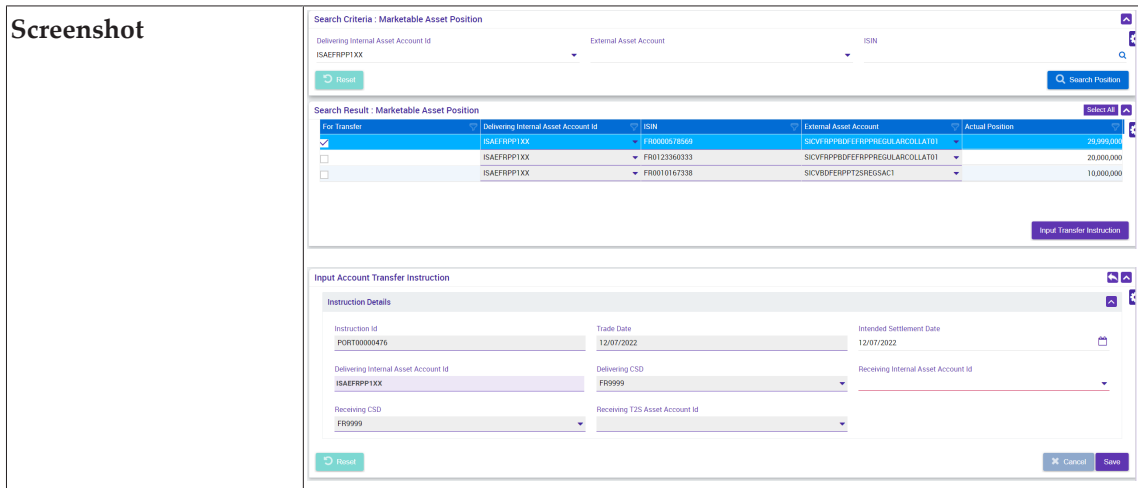
Multi-pooling for Credit Claims Transfer – Validate / Reject Transfer	
Field	Description
Party's Instruction Reference	Shows the reference that uniquely identifies the Party's instruction.
Delivering Account	Shows the Delivering Account (Counterparty or NCB) where the CC is held (source account)
Receiving Account	Shows the Receiving Account (Counterparty or NCB) where the CC is transferred (destination account)
Credit Claim	Shows the credit claim reference received in the credit claim file
Action to Validate	Action required for the validation of the instruction
Is rejected	Indicator stating that the instruction is rejected.
Update Date	Shows the update date or use the calendar icon. Displayed format is : DD/MM/YYYY hh:mm:ss:sss
Updater User Id	Shows the identification of the user that made the last update.
After selecting a record, the following buttons are displayed	
Button	Description
Validate	This function enables the user to validate the instruction.
Reject	This function enables the user to discard the instruction.

3.3.6.2 Multi-pooling Same Counterparty Same CSD for Marketable Assets

This section describes the Multi-pooling functionalities that can be performed by an NCB user or a Counterparty by transferring marketable assets from one Internal Counterparty Asset Account to another Internal Counterparty Asset Account, or from an External T2S Securities Account for Regular Collateral to another External T2S Securities Account for Regular Collateral of the same Counterparty and same NCB in the same CSD.

3.3.6.2.1 Multi-pooling Same Counterparty Same CSD for Marketable Assets – Input Screen

Context of Usage	This screen contains a number of fields regarding the source account, the destination account and marketable assets to be transferred. The first screen is an initial input screen to identify the positions to transfer. You can enter new data. Afterwards you can proceed further by clicking on the buttons below.
Screen Access	Instructions and Positions >> Multi-pooling >> Input Same Counterparty Transfer



Multi-pooling Same Counterparty Same CSD for Marketable Assets – Input screen

Field	Description
Delivering Internal Asset Account Id	Enter/Select the Delivering Internal Asset Account Id where the asset is held (source account). Displayed format is: Max. 35 characters (Optional)
External Asset Account	Enter/Select the External Asset Account where the asset is held (source account). Displayed format is: Max. 35 characters (Optional)
One or both of the fields above must be selected: The Delivering Internal Asset Account Id or the External Asset Account must be filled or the both in order to search the securities position to transfer.	
ISIN	Enter/Select the identification of the marketable asset (ISIN) Required format is: Max. 12 characters. (Optional)
Button	Function
Reset	This function enables you to clear the form.
Search	This function enables you to start a search according to the filled in criteria. The results are displayed in a list on the List Screen.

Marketable Asset Position – Search (Sub-Screen)

Context of Usage	This screen allows to the user to select the securities position to transfer.
Field	Description
For Transfer	Check box field to select a position to transfer.
Delivering Internal Asset Account Id	The Delivering Internal Asset Account Id where the asset is held (source account). Displayed format is: Max. 35 characters
ISIN	ISIN of the Marketable Asset Displayed format is: Max. 12 characters
External Asset Account	The External Asset Account where the asset is held (source account). Displayed format is: Max. 35 characters
Actual Position	Shows the quantity/Position to be transferred.This can be updated to a lower quantity/position
Button	Function

Input Transfer Instruction	This button allows to open a subscreen to input the transfer instruction according to the select securities position
Instruction input details (Sub-Screen)	
Context of Usage	This screen contains a number of fields regarding the source account, the user has to select the Receiving Internal Asset Account and the Receiving T2S Asset Account.
Field	Description
Instruction Id	Field filled automatically by ECMS and cannot be modified by the user
Trade Date	Field filled automatically by ECMS = Business Date and cannot be modified by the user Displayed format is: DD/MM/YYYY
Intended Settlement Date	Field filled automatically by ECMS = Business Date and can be modified by the user to put the settlement date in the future if needed Displayed format is: DD/MM/YYYY
Delivering Internal Asset Account Id	Field filled automatically by ECMS and cannot be modified by the user Displayed format is: Max. 35 characters
Delivering CSD	Field filled automatically by ECMS and cannot be modified by the user
Receiving Internal Asset Account Id	Receiving internal asset account id to be entered by the user. Required format is: Max. 35 characters
Receiving CSD	Field filled automatically by ECMS and cannot be modified by the user
Receiving T2S Asset Account Id	Filled automatically by the corresponding T2S Account Id once the Receiving Internal Asset Account Id is entered by the user Displayed format is: Max. 35 characters
Button	Function
Reset	This function enables you to clear the form.
Cancel	This function enables you to cancel the transfer instruction input
Save	This function enables you to save the entered data and the transfer instruction object

3.3.6.2.2 Multi-pooling Same Counterparty Same CSD for Marketable Assets – Search screen

Context of Usage	This screen is used to enter criteria to retrieve the list of transfer instructions meeting the entered criteria. The screen contains a number of search fields and shows the latest and stored performed searches. By inputting the relevant data you can search for all the instructions that fit the input criteria. The search results will be displayed in a list. When selecting a row, you can proceed further by clicking one of the buttons that are shown
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	Depending how the screen is accessed, it can be used to search the valid information of the transfer instruction or the information that requires 4 eyes validation before they can be considered valid in the system.
Screen Access	<p><i>For valid information :</i></p> <p>Instructions and Positions >> Multipolling Instruction >> Display Transfer</p> <p><i>For information subject to 4 eyes validation:</i></p> <p>Instructions and Positions >> Multipolling Instruction >> Validate / Reject Transfer</p>
Screenshot	

Multi-pooling Same Counterparty Same CSD for Marketable Assets – Counterparty Delivery Position - Search Screen	
Field	Description
Party's Instruction Reference	Enter the party's instruction reference that's automatically filled by ECMS during the instruction input Required format is: Max XX characters
ISIN	Select the ISIN to transfer Required format is: Max 12 characters
Intended Settlement Date	Enter a date or use the calendar icon to select one. Required format is: DD/MM/YYYY
Creation Date	Enter a date or use the calendar icon to select one. Required format is: DD/MM/YYYY hh:mm:ss:sss
Delivering Counterparty	Select the Delivering Counterparty Required format is: Max XX characters
Receiving Counterparty	Select the Receiving Counterparty Required format is: Max XX characters
After selecting a record or several records, the following buttons are displayed	
Button	Function
Reset	This function enables you to clear the form.
Search	This function enables you to start a search according to the filled in criteria. The results are displayed in a list on the List Screen.

3.3.6.2.3 Multi-pooling Same Counterparty Same CSD for Marketable Assets – List Screen

Context of Usage	This screen contains the search results displayed in a list, which is sorted by the values of the 'Update Date' column in descending order (default setting). After selecting an entry, you can proceed further by clicking on the buttons below.
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	Depending how the screen is accessed, it can be used to list the valid information or the information that requires 4 eyes validation before they can be considered valid in the system.
Screen Access	For valid information: Instructions and Positions >> Multi-pooling >> Display Transfer >> Display >> Click on the <i>search</i> button (after inputting the relevant fields, if needed) For information subject to a 4 eyes validation: Instructions and Positions >> Multi-pooling >> Display Transfer >> Validation / Rejection >> Validate / Reject Transfer >> Click on the search button (after inputting the relevant fields, if needed)
Screenshot	

Multi-pooling – Transfer Instructions List Screen	
Field	Description
Instruction Id	Shows the Instruction Reference that identifies the instruction. Displayed format is: Max XX characters
Application Reference Number	Shows the application reference that uniquely identifies the instruction. Displayed format is: Max XX characters
Delivering Counterparty Id.	Shows the identifier that uniquely identifies the Delivering Counterparty. Displayed format is: Max XX characters
ISIN	Shows the identification of the marketable asset. Displayed format is: Max. 12 characters.
Quantity/Position	Shows the quantity/Position to be transferred. Displayed format is: Max. XX characters.
Trade Date	Shows the Trade date in the ECMS of the instruction from the ECMS Party that initiated the instruction Displayed format is: DD/MM/YYYY
Intended Settlement Date	Shows the business date on which the instruction will be settled. Displayed format is: DD/MM/YYYY
Updater User Id.	Identifier of the user responsible for the last update of the instruction. Displayed format is: Max XX characters
Update Date	Date and time when the instruction was last updated in the ECMS. Display format is: DD/MM/YYYY hh:mm:ss:µs.
After selecting a record, the following buttons are displayed	
Button	Function
View	This function enables you to access to the detailed information of an instruction. Next screen:

- Multi-pooling - Transfer Instructions - Details Screen.

3.3.6.2.4 Multi-pooling Same Counterparty Same CSD for Marketable Assets - Details Screen

Context of Usage	This screen displays detailed information of the selected Multi-pooling Instructions.
Screen Access	Instructions and Positions >> Multi-pooling >> Display Transfer >> Click on the <i>search</i> button (after inputting the relevant fields, if needed) >> Select a Multi-pooling Instruction >> Click on the <i>View</i> button
Screenshot	<p>The screenshot displays the 'View: Validate/Reject-MAI waiting user validation' screen for a Multi-pooling Transfer instruction. The main section, 'Transaction Details', shows the following information:</p> <ul style="list-style-type: none"> Party's Instruction Reference: PORT0000460 Instruction Id: ACCT100 Instruction Type: PT.RF/Partible Transfer Receive Free of Payment (OWNE) NCB Participant Asset Account Identifier: AXABFRFPXXX Counterparty: FR12548 ISIN: FR0000571119 ISIN Description: FRANCE EMPRUNT (DBT 2024)025 Eligible: Yes Emergency Collateral: No Quantity in FAMT: 2,997,980 Trade Date: 30/06/2022 Intended Settlement Date: 30/06/2022 Effective Settlement Date: (Empty) <p>Below the transaction details, the 'Custody Chain' section shows:</p> <ul style="list-style-type: none"> CSD of the T2S Account for regular collateral: FR9999 External Asset Account: SICVDFRFPPTISREGSAC1 External Asset Account owner: BDFEFRFPXXX <p>The 'Counterparty Details' section shows:</p> <ul style="list-style-type: none"> Party CSD: FR9999 Party BIC: BDFEFRFPXXX Party Account: SICVDFRFPPTISREGSAC1 <p>The 'Instruction Flags' section shows:</p> <ul style="list-style-type: none"> Business Status: Not for Settlement Business Sub Status: (Empty) USA Input: Yes Lack Of Security: No Being Cancelled: No Is Duplicated: No Action To Validate: (Empty) <p>The 'Other Details' section shows:</p> <ul style="list-style-type: none"> MA Settlement Instruction: (Empty) Client Asset Position Movements: Receiving/Delivering Settlement Parties Client Asset Position: (Empty) Business Validation Errors: (Empty) Business Validation Errors (0): (Empty) Notifications: (Empty) Notifications (2): (Empty) <p>The 'Foot Print' section is also visible at the bottom of the main view.</p>

Multi-pooling Same Counterparty Same CSD for Marketable Assets – Details Screen

Field	Description
Instruction Id	Shows the Instruction Reference that identifies the instruction. Displayed format is: Max XX characters
Application Reference Number	Shows the application reference that uniquely identifies the instruction. Displayed format is: Max XX characters
ISIN	Shows the identification of the marketable asset. Displayed format is: Max. 12 characters
Quantity/Position	Quantity/Position instructed Displayed format is: Max XX characters
Trade Date	Trade date of the instruction Displayed format is: DD/MM/YYYY
Intended Settlement Date	Intended settlement date of the instruction. Displayed format is: DD/MM/YYYY
Party CSD	Shows the RIAD code of the CSD of the party for the (de)mobilisation. Displayed format is: Max XX characters
Receipt Leg / Delivery Leg (depends on button clicked on)	
Party's Instruction Reference	Shows the Party's Instruction Reference that identifies the instruction. Displayed format is: Max XX characters
Instruction Id	Shows the Instruction Reference that identifies the instruction. Displayed format is: Max XX characters
Instruction Type	Shows the Instruction Type that identifies the instruction either Portfolio Transfer Receive Free of Payment (OWNE) or Portfolio Transfer Deliver Free of Payment (OWNE) Displayed format is: Max XX characters
ECMS Counterparty Asset Account Id	Shows the Internal ECMS Counterparty Asset Account for the (de)mobilisation Displayed format is: Max XX characters
Counterparty Id.	Shows the identifier that uniquely identifies the Counterparty. Displayed format is: Max XX characters
ISIN	Shows the identification of the marketable asset. Displayed format is: Max. 12 characters
ISIN Description	Shows the description of the marketable asset.

	Displayed format is: Max. XX characters
ECB Status	Shows the Eligibility status of the Marketable Asset In C2D/EA Displayed format is: Max XX characters
Emergency Col- lateral	Shows the Boolean stating that the marketable Asset can be only used in case of activation of the ECMS emergency flag Displayed format is: Max XX characters
Quantity/Posi- tion	Quantity/Position instructed Displayed format is: Max XX characters
Trade Date	Trade date of the instruction Displayed format is: DD/MM/YYYY
Intended Settle- ment Date	Intended settlement date of the instruction. Displayed format is: DD/MM/YYYY
Effective Settle- ment Date	Shows the time at which the instruction was settled. Displayed format is: DD/MM/YYYY
Custody Chain	
CSD of the T2S Account	BIC of the CSD where the asset is received (Receiving CSD in a mobilisation instruction) or from where the asset is delivered (Delivering CSD for a demobilisation instruction). Displayed format is: Max 11 characters
External Asset Account	Reference of T2S Account for Regular Collateral or of the ECMS Asset Account depending if the instruction is to be settled in a domestic CSD or not where the Marketable Asset is deposited. Displayed format is: Max 35 characters
External Asset Account owner	BIC code of the owner of the External Asset Account. Displayed format is: Max 11 characters
Counterparty Details	
Party CSD	BIC of the CSD of the party Displayed format is: Max 11 characters
Party BIC	BIC Code of the party Displayed format is: Max 11 characters
Account Id	Account Reference of the party Displayed format is: Max 35 characters
Instruction Flags	
Business Status	Shows the Business Status of the Instruction. The possible values are: - Cancelled - Sent for Settlement - Waiting for NCB manual intervention - Instructed - Matched

	<ul style="list-style-type: none"> - Rejected - Confirmed
Business Sub Status	<p>Shows the Business Sub Status of the Instruction.</p> <p>The possible values are:</p> <ul style="list-style-type: none"> - Lacking securities - Waiting for Settlement Date - Waiting for Global Collateral Position Update - Waiting User Validation
U2A Input	Shows whether the instruction was captured in U2A mode or not.
Lack of Security	Indicator stating that there is a lack of securities to settle the instruction is rejected.
Being cancelled	<p>Indicator stating that the cancellation of the instruction has been requested.</p> <p>The possible values are:</p> <ul style="list-style-type: none"> - YES - NO
Is Duplicated	Indicator stating that the instruction is duplicated.
Action to Validate	Action required for the validation of the instruction
Other details	
MA Settlement Instruction	Button to open the windows with the details of the underlying settlement instruction
Client Asset Positions Movements	Button to open the windows with the details of the underlying Marketable Asset Movement on the Counterparty Asset Account.
Client Asset Position	Button to open the windows with the details of the underlying Marketable Asset Position update on the Counterparty Asset Account.
Foot Print	
Creation Date	<p>Date and time when the instruction was created in the ECMS.</p> <p>Display format is: DD/MM/YYYY hh:mm:ss:µs.</p>
Creation User Id.	Identifier of the user responsible for the creation of the instruction.
Update Date	<p>Date and time when the instruction was last updated in the ECMS.</p> <p>Display format is: DD/MM/YYYY hh:mm:ss:µs.</p>
Updater User Id.	Identifier of the user responsible for the last update of the instruction.
Table with the list of Business Validation Error	
BR Name	Name of the Business Rules infringed
Blocking	Indicator stating that if the business error is blocking or not.
Button	Function
View	Button to view the details of the Business Error
Field	Description

Table with the list of Settlement Parties	
Default Sett Party Id	Type of identifier (BIC / proprietary code) for the settlement Party
Sett Party Type	Type of Settlement Party
BIC	BIC of the Settlement Party
Account	Account number of the Settlement Party
Narrative	Narrative identifier of the Settlement Party
Narrative Type	Type of Narrative identifier of the Settlement Party
Action	
Button	Function
View	Button to view the details of the Settlement Party
Field	Description
Table with the list of Notification received and sent	
Notification Name	Name of the notification sent or received
Message Reference	Reference of the notification message
Direction	Indicator stating that the notification has been received or sent by the ECMS.
Creation Date	Date and time when the notification was created in the ECMS.
Update Date	Date and time when the notification was last updated in the ECMS
Action	
Download	Button to download the Notification Message
View Message	Button to view the Notification Message
View	Button to view the details of the Notification

3.3.6.3 Multi-pooling between Accounts for Marketable Assets

This section describes the Multi-pooling functionalities that can be performed by an NCB user by transferring marketable assets from an External T2S Securities Account for Regular Collateral to another External T2S Securities Account for Regular Collateral of the same NCB in the same CSD or in a different CSD. These assets belong to a given Counterparty and there is no movement in or between pools or Internal Counterparty Asset Accounts.

3.3.6.3.1 Multi-pooling between Accounts for Marketable Assets – Search screen

Context of Usage	This screen is used to enter criteria to retrieve the list of transfer instructions meeting the entered criteria. The screen contains a number of search fields and shows the latest and stored performed searches. By inputting the relevant data you can search for all the instructions that fit the input criteria. The search results will be displayed in a list. When selecting a row, you can proceed further by clicking one of the buttons that are shown
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	Depending how the screen is accessed, it can be used to search the valid information of the transfer instruction or the information that requires 4 eyes validation before they can be considered valid in the system.
Screen Access	<p><i>For valid information :</i></p> <p>Instructions and Positions >> Multipolling Instruction >> Display Transfer</p> <p><i>For information subject to 4 eyes validation:</i></p> <p>Instructions and Positions >> Multipolling Instruction >> Validate / Reject Transfer</p>
Screenshot	

Multi-pooling between Accounts for Marketable Assets – Search screen	
Field	Description
Party's Instruction Reference	Enter the party's instruction reference that's automatically filled by ECMS during the instruction input
ISIN	Select the ISIN to transfer
Intended Settlement Date	Enter a date or use the calendar icon to select one. Required format is: DD/MM/YYYY
Creation Date	Enter a date or use the calendar icon to select one. Required format is: DD/MM/YYYY hh:mm:ss:sss
Delivering Counterparty	Select the Delivering Counterparty
Receiving Counterparty	Select the Receiving Counterparty
After selecting a record or several records, the following buttons are displayed	
Button	Function
Reset	This function enables you to clear the form.
Search	This function enables you to start a search according to the filled in criteria. The results are displayed in a list on the List Screen.

3.3.6.3.2 Multi-pooling between Accounts for Marketable Assets – List Screen

Context of Usage	<p>This screen contains the search results displayed in a list, which is sorted by the values of the 'Update Date' column in descending order (default setting). After selecting an entry, you can proceed further by clicking on the buttons below.</p> <p>Depending how the screen is accessed, it can be used to list the valid information or the information that requires 4 eyes validation before they can be considered valid in the system.</p>
Screen Access	For valid information:

	<p>Instructions and Positions >> Multi-pooling >> Display Transfer >> Display >> Click on the <i>search</i> button (after inputting the relevant fields, if needed)</p> <p>For information subject to a 4 eyes validation:</p> <p>Instructions and Positions >> Multi-pooling >> Display Transfer >> Validation / Rejection >> Validate / Reject Transfer >> Click on the search button (after inputting the relevant fields, if needed)</p>
Screenshot	

Multi-pooling between accounts for Marketable Assets – List Screen	
Field	Description
Instruction Id	Shows the Instruction Reference that identifies the instruction. Displayed format is: Max. XX characters.
Application Reference Number	Shows the application reference that uniquely identifies the instruction.
Delivering Counterparty Id.	Shows the identifier that uniquely identifies the Delivering Counterparty.
ISIN	Shows the identification of the marketable asset. Displayed format is: Max. 12 characters.
Quantity/Position	Shows the quantity/Position to be transferred.
Trade Date	Shows the Trade date in the ECMS of the instruction from the ECMS Party that initiated the instruction Displayed format is: DD/MM/YYYY
Intended Settlement Date	Shows the business date on which the instruction will be settled. Displayed format is: DD/MM/YYYY
Updater User Id.	Identifier of the user responsible for the last update of the instruction.
Update Date	Date and time when the instruction was last updated in the ECMS. Display format is: DD/MM/YYYY hh:mm:ss:µs.
After selecting a record, the following buttons are displayed	
Button	Function
View	This function enables you to access to the detailed information of an instruction. Next screen: – <i>Multi-pooling between accounts for Marketable Assets – Details</i> Screen.

3.3.6.3.3 Multi-pooling between Accounts for Marketable Assets – Details Screen

Context of Usage	This screen displays detailed information of the selected Multi-pooling Instructions.
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<p>Screen Access</p>	<p>Instructions and Positions >> Multi-pooling >> Display Transfer >> Click on the <i>search</i> button (after inputting the relevant fields, if needed) >> Select a Multi-pooling Instruction >> Click on the <i>View</i> button</p>
<p>Screenshot</p>	<p>The screenshot shows a detailed view of a Multi-Asset Instruction (MAI) in a system. The main section is titled 'View: Validate/Reject-MAI waiting user validation'. It contains several expandable sections: 'Transaction Details', 'Custody Chain', 'Counterparty Details', 'Instruction Flags', and 'Other Details'. The 'Transaction Details' section provides the core information about the instruction, including its reference, ID, type, and status. The 'Custody Chain' section shows the relationship between the T2S account and the external asset account. The 'Instruction Flags' section lists various business status indicators. The 'Other Details' section includes buttons for 'Business Validation Errors', 'Notifications', and 'setChainParty [4]'. The interface is clean and professional, with a light blue and white color scheme.</p>

Multi-pooling between accounts for Marketable Assets – Details Screen

Field	Description
Instruction Id	Shows the Instruction Reference that identifies the instruction.
Application Reference Number	Shows the application reference that uniquely identifies the instruction.
ISIN	Shows the identification of the marketable asset. Displayed format is: Max. 12 characters
Quantity/Position	Quantity/Position instructed
Trade Date	Trade date of the instruction Displayed format is: DD/MM/YYYY
Intended Settlement Date	Intended settlement date of the instruction. Displayed format is: DD/MM/YYYY
Party CSD	Shows the RIAD code of the CSD of the party for the (de)mobilisation.
Receipt Leg / Delivery Leg (depends on button clicked on)	
Party's Instruction Reference	Shows the Party's Instruction Reference that identifies the instruction.
Instruction Id	Shows the Instruction Reference that identifies the instruction.
Instruction Type	Shows the Instruction Type that identifies the instruction either Portfolio Transfer Receive Free of Payment (OWNE) or Portfolio Transfer Deliver Free of Payment (OWNE)
ECMS Counterparty Asset Account Id	Shows the Internal ECMS Counterparty Asset Account for the (de)mobilisation
Counterparty Id.	Shows the identifier that uniquely identifies the Counterparty.
ISIN	Shows the identification of the marketable asset. Displayed format is: Max. 12 characters
ISIN Description	Shows the description of the marketable asset.
ECB Status	Shows the Eligibility status of the Marketable Asset In C2D/EA
Emergency Collateral	Shows the Boolean stating that the marketable Asset can be only used in case of activation of the ECMS emergency flag
Quantity/Position	Quantity/Position instructed
Trade Date	Trade date of the instruction Displayed format is: DD/MM/YYYY
Intended Settlement Date	Intended settlement date of the instruction. Displayed format is: DD/MM/YYYY
Effective Settlement Date	Shows the time at which the instruction was settled. Displayed format is: DD/MM/YYYY
Custody Chain	
CSD of the T2S Account	BIC of the CSD where the asset is received (Receiving CSD in a mobilisation instruction) or from where the asset is delivered (Delivering CSD for a demobilisation instruction).
External Asset Account	Reference of T2S Account for Regular Collateral or of the ECMS Asset Account depending if the instruction is to be settled in a domestic CSD or not where the Marketable Asset is deposited.

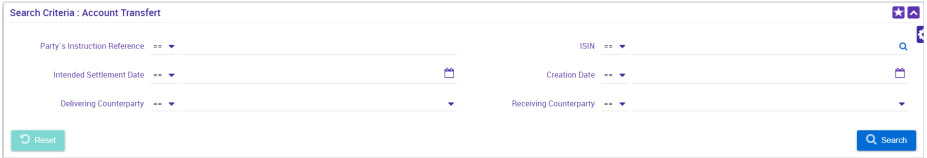
External Asset Account owner	BIC code of the owner of the External Asset Account.
Counterparty Details	
Party CSD	BIC of the CSD of the party
Party BIC	BIC Code of the party
Account Id	Account Reference of the party
Instruction Flags	
Business Status	Shows the Business Status of the Instruction. The possible values are: - Cancelled - Sent for Settlement - Waiting for NCB manual intervention - Instructed - Matched - Rejected - Confirmed
Business Sub Status	Shows the Business Sub Status of the Instruction. The possible values are: - Lacking securities - Waiting for Settlement Date - Waiting for Global Collateral Position Update - Waiting User Validation
U2A Input	Shows whether the instruction was captured in U2A mode or not.
Lack of Security	Indicator stating that there is a lack of securities to settle the instruction is rejected.
Being cancelled	Indicator stating that the cancellation of the instruction has been requested. The possible values are: - YES - NO
Is Duplicated	Indicator stating that the instruction is duplicated.
Action to Validate	Action required for the validation of the instruction
Other details	
MA Settlement Instruction	Button to open the windows with the details of the underlying settlement instruction
Client Asset Positions Movements	Button to open the windows with the details of the underlying Marketable Asset Movement on the Counterparty Asset Account.
Client Asset Position	Button to open the windows with the details of the underlying Marketable Asset Position update on the Counterparty Asset Account.
Foot Print	
Creation Date	Date and time when the instruction was created in the ECMS. Display format is: DD/MM/YYYY hh:mm:ss:µs.
Creation User Id.	Identifier of the user responsible for the creation of the instruction.

Update Date	Date and time when the instruction was last updated in the ECMS. Display format is: DD/MM/YYYY hh:mm:ss:µs.
Updater User Id.	Identifier of the user responsible for the last update of the instruction.
Table with the list of Business Validation Error	
BR Name	Name of the Business Rules infringed
Blocking	Indicator stating that if the business error is blocking or not.
Button	Function
View	Button to view the details of the Business Error
Field	Description
Table with the list of Settlement Parties	
Default Sett Party Id	Type of identifier (BIC / proprietary code) for the settlement Party
Sett Party Type	Type of Settlement Party
BIC	BIC of the Settlement Party
Account	Account number of the Settlement Party
Narrative	Narrative identifier of the Settlement Party
Narrative Type	Type of Narrative identifier of the Settlement Party
Action	
Button	Function
View	Button to view the details of the Settlement Party
Field	Description
Table with the list of Notification received and sent	
Notification Name	Name of the notification sent or received
Message Reference	Reference of the notification message
Direction	Indicator stating that the notification has been received or sent by the ECMS.
Creation Date	Date and time when the notification was created in the ECMS.
Update Date	Date and time when the notification was last updated in the ECMS
Action	
Download	Button to download the Notification Message
View Message	Button to view the Notification Message
View	Button to view the details of the Notification

3.3.6.4 Multi-pooling Between CPTYs or Between CPTY and NCB for Marketable Assets

This section describes the Multi-pooling functionalities that can be performed by an NCB user by transferring marketable assets from one Internal Counterparty Asset Account to another Internal Counterparty Asset Account of a different Counterparty in the same NCB, or from one Internal Counterparty asset account to an Internal ECMS NCB asset account or vice-versa).

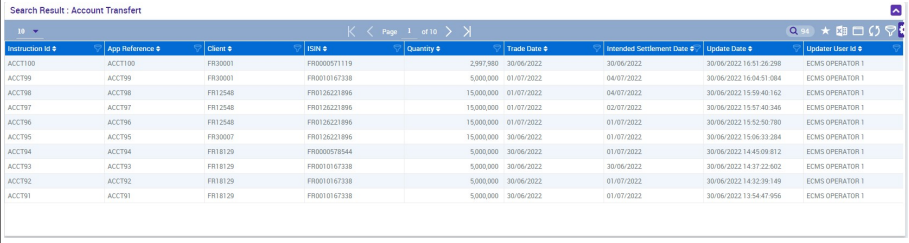
3.3.6.4.1 Multi-pooling Between CPTYs or Between CPTY and NCB for Marketable Assets – Search screen

Context of Usage	<p>This screen is used to enter criteria to retrieve the list of transfer instructions meeting the entered criteria. The screen contains a number of search fields and shows the latest and stored performed searches. By inputting the relevant data you can search for all the instructions that fit the input criteria. The search results will be displayed in a list. When selecting a row, you can proceed further by clicking one of the buttons that are shown</p> <p>Depending how the screen is accessed, it can be used to search the valid information of the transfer instruction or the information that requires 4 eyes validation before they can be considered valid in the system.</p>
Screen Access	<p><i>For valid information :</i></p> <p>Instructions and Positions >> Multipolling Instruction >> Display Transfer</p> <p><i>For information subject to 4 eyes validation:</i></p> <p>Instructions and Positions >> Multipolling Instruction >> Validate / Reject Transfer</p>
Screenshot	

Multi-pooling Between CPTYs or Between CPTY and NCB for Marketable Assets – Search screen	
Field	Description
Party's Instruction Reference	Enter the party's instruction reference that's automatically generated by ECMS during the instruction input Required format is: Max. XX characters
ISIN	Select the ISIN to transfer Required format is: Max. 12 characters
Intended Settlement Date	Enter a date or use the calendar icon to select one. Required format is: DD/MM/YYYY
Creation Date	Enter a date or use the calendar icon to select one. Required format is: DD/MM/YYYY hh:mm:ss:sss
Delivering Counterparty	Select the Delivering Counterparty Required format is: Max. XX characters

Receiving Counterparty	Select the Receiving Counterparty Required format is: Max. XX characters
After selecting a record or several records, the following buttons are displayed	
Button	Function
Reset	This function enables you to clear the form.
Search	This function enables you to start a search according to the filled in criteria. The results are displayed in a list on the List Screen.

3.3.6.4.2 Multi-pooling Between CPTYs or Between CPTY and NCB for Marketable Assets – List Screen

Context of Usage	This screen contains the search results displayed in a list, which is sorted by the values of the 'Update Date' column in descending order (default setting). After selecting an entry, you can proceed further by clicking on the buttons below. Depending how the screen is accessed, it can be used to list the valid information or the information that requires 4 eyes validation before they can be considered valid in the system.
Screen Access	For valid information: Instructions and Positions >> Multi-pooling >> Display Transfer >> Display >> Click on the <i>search</i> button (after inputting the relevant fields, if needed) For information subject to a 4 eyes validation: Instructions and Positions >> Multi-pooling >> Display Transfer >> Validation / Rejection >> Validate / Reject Transfer >> Click on the search button (after inputting the relevant fields, if needed)
Screenshot	

Multi-pooling Between CPTYs or Between CPTY and NCB for Marketable Assets – List Screen	
Field	Description
Instruction Id	Shows the Instruction Reference that identifies the instruction. Displayed format is: Max. XX characters
Application Reference Number	Shows the application reference that uniquely identifies the instruction. Displayed format is: Max. XX characters
Delivering Counterparty Id.	Shows the identifier that uniquely identifies the Delivering Counterparty. Displayed format is: Max. XX characters
ISIN	Shows the identification of the marketable asset.

	Displayed format is: Max. 12 characters.
Quantity/Position	Shows the quantity/Position to be transferred. Displayed format is: Max. XX characters
Trade Date	Shows the Trade date in the ECMS of the instruction from the ECMS Party that initiated the instruction Displayed format is: DD/MM/YYYY
Intended Settlement Date	Shows the business date on which the instruction will be settled. Displayed format is: DD/MM/YYYY
Updater User Id.	Identifier of the user responsible for the last update of the instruction. Displayed format is: Max. XX characters
Update Date	Date and time when the instruction was last updated in the ECMS. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
After selecting a record, the following buttons are displayed	
Button	Function
View	This function enables you to access to the detailed information of an instruction. Next screen: – <i>Multi-pooling Between CPTYs or Between CPTY and NCB for Marketable Assets - Details</i> Screen.

3.3.6.4.3 Multi-pooling Between CPTYs or Between CPTY and NCB for Marketable Assets – Details Screen

Context of Usage	This screen displays detailed information of the selected Multi-pooling Instructions.
Screen Access	Instructions and Positions >> Multi-pooling >> Display Transfer >> Click on the <i>search</i> button (after inputting the relevant fields, if needed) >> Select a Multi-pooling Instruction >> Click on the <i>View</i> button
Screenshot	<p>The screenshot displays the 'View : Validate/Reject-MAI waiting user validation' screen. It features a search bar at the top with the text 'Search Result : Account Transfert > View : Account Transfert'. Below the search bar, there are several input fields for 'Instruction Id' (ACCT199), 'App Reference' (ACCT199), 'ISIN' (FR0010107338), 'Quantity' (5,000,000), 'Trade Date' (01/07/2022), and 'Intended Settlement Date' (04/07/2022). There are also buttons for 'Receipt Log' and 'Delivery Log'. The main section is titled 'Transaction Details' and contains a grid of fields: Party's Instruction Reference (PORT0000440), Instruction Id (ACCT100), Instruction Type (P.F.RI/Portfolio Transfer Receive Free of Payment (OWNE)), NCB Participant Asset Account Identifier (AXABRFPXXX), Counterparty (FR12F4), ISIN (FR000871119), ISIN Description (FRANCE EMPRUNT /DBT 20541025), Eco Status (Eligible), Emergency Collateral (No), Quantity in FAMT (3,987,980), Trade Date (30/06/2022), and Intended Settlement Date (30/06/2022). Below this, there is a 'Custody Chain' section with fields for CSD of the T2S Account for regular collateral (FR9999), External Asset Account (SICV01ERFP13REGSAC1), and External Asset Account owner (BDFERFP12S). The 'Counterpart Details' section includes Party CSD (FR9999), Party BIC (BDFERFPXXX), and Party Account (SICVTRPBDLEFRPPREGAC1).</p>

Multi-pooling Between CPTs or Between CPTY and NCB for Marketable Assets – Details Screen	
Field	Description
Instruction Id	Shows the Instruction Reference that identifies the instruction. Displayed format is: Max. XX characters
Application Reference Number	Shows the application reference that uniquely identifies the instruction. Displayed format is: Max. XX characters
ISIN	Shows the identification of the marketable asset. Displayed format is: Max. 12 characters
Quantity/Position	Quantity/Position instructed Displayed format is: Max. XX characters
Trade Date	Trade date of the instruction Displayed format is: DD/MM/YYYY
Intended Settlement Date	Intended settlement date of the instruction. Displayed format is: DD/MM/YYYY
Party CSD	Shows the RIAD code of the CSD of the party for the (de)mobilisation.
Receipt Leg / Delivery Leg (depends on button clicked on)	

Party's Instruction Reference	Shows the Party's Instruction Reference that identifies the instruction. Displayed format is: Max. XX characters
Instruction Id	Shows the Instruction Reference that identifies the instruction. Displayed format is: Max. XX characters
Instruction Type	Shows the Instruction Type that identifies the instruction either Portfolio Transfer Receive Free of Payment (OWNE) or Portfolio Transfer Deliver Free of Payment (OWNE) Displayed format is: Max. XX characters
ECMS Counterparty Asset Account Id	Shows the Internal ECMS Counterparty Asset Account for the (de)mobilisation Displayed format is: Max. XX characters
Counterparty Id.	Shows the identifier that uniquely identifies the Counterparty. Displayed format is: Max. XX characters
ISIN	Shows the identification of the marketable asset. Displayed format is: Max. 12 characters
ISIN Description	Shows the description of the marketable asset. Displayed format is: Max. XX characters
ECB Status	Shows the Eligibility status of the Marketable Asset In C2D/EA Displayed format is: Max. XX characters
Emergency Collateral	Shows the Boolean stating that the marketable Asset can be only used in case of activation of the ECMS emergency flag Displayed format is: Max. XX characters
Quantity/Position	Quantity/Position instructed Displayed format is: Max. XX characters
Trade Date	Trade date of the instruction Displayed format is: DD/MM/YYYY
Intended Settlement Date	Intended settlement date of the instruction. Displayed format is: DD/MM/YYYY
Effective Settlement Date	Shows the time at which the instruction was settled. Displayed format is: DD/MM/YYYY
Custody Chain	
CSD of the T2S Account	BIC of the CSD where the asset is received (Receiving CSD in a mobilisation instruction) or from where the asset is delivered (Delivering CSD for a demobilisation instruction). Displayed format is: Max. 11 characters
External Asset Account	Reference of T2S Account for Regular Collateral or of the ECMS Asset Account depending if the instruction is to be settled in a domestic CSD or not where the Marketable Asset is deposited. Displayed format is: Max. 35 characters
External Asset Account owner	BIC code of the owner of the External Asset Account. Displayed format is: Max. 11 characters
Counterparty Details	

Party CSD	BIC of the CSD of the party Displayed format is: Max. 11 characters
Party BIC	BIC Code of the party Displayed format is: Max. 11 characters
Account Id	Account Reference of the party Displayed format is: Max. XX characters
Instruction Flags	
Business Status	Shows the Business Status of the Instruction. The possible values are: - Cancelled - Sent for Settlement - Waiting for NCB manual intervention - Instructed - Matched - Rejected - Confirmed
Business Sub Status	Shows the Business Sub Status of the Instruction. The possible values are: - Lacking securities - Waiting for Settlement Date - Waiting for Global Collateral Position Update - Waiting User Validation
U2A Input	Shows whether the instruction was captured in U2A mode or not.
Lack of Security	Indicator stating that there is a lack of securities to settle the instruction is rejected.
Being cancelled	Indicator stating that the cancellation of the instruction has been requested. The possible values are: - YES - NO
Is Duplicated	Indicator stating that the instruction is duplicated.
Action to Validate	Action required for the validation of the instruction
Other details	
MA Settlement Instruction	Button to open the windows with the details of the underlying settlement instruction
Client Asset Positions Movements	Button to open the windows with the details of the underlying Marketable Asset Movement on the Counterparty Asset Account.
Client Asset Position	Button to open the windows with the details of the underlying Marketable Asset Position update on the Counterparty Asset Account.
Foot Print	
Creation Date	Date and time when the instruction was created in the ECMS.

	Display format is: DD/MM/YYYY hh:mm:ss:µs.
Creation User Id.	Identifier of the user responsible for the creation of the instruction.
Update Date	Date and time when the instruction was last updated in the ECMS. Display format is: DD/MM/YYYY hh:mm:ss:µs.
Updater User Id.	Identifier of the user responsible for the last update of the instruction.
Table with the list of Business Validation Error	
BR Name	Name of the Business Rules infringed
Blocking	Indicator stating that if the business error is blocking or not.
Button	Function
View	Button to view the details of the Business Error
Field	Description
Table with the list of Settlement Parties	
Default Sett Party Id	Type of identifier (BIC / proprietary code) for the settlement Party
Sett Party Type	Type of Settlement Party
BIC	BIC of the Settlement Party
Account	Account number of the Settlement Party
Narrative	Narrative identifier of the Settlement Party
Narrative Type	Type of Narrative identifier of the Settlement Party
Action	
Button	Function
View	Button to view the details of the Settlement Party
Field	Description
Table with the list of Notification received and sent	
Notification Name	Name of the notification sent or received
Message Reference	Reference of the notification message
Direction	Indicator stating that the notification has been received or sent by the ECMS.
Creation Date	Date and time when the notification was created in the ECMS.
Update Date	Date and time when the notification was last updated in the ECMS
Action	
Download	Button to download the Notification Message
View Message	Button to view the Notification Message
View	Button to view the details of the Notification

3.3.6.5 Multi-pooling - Validate/Reject Transfer Instruction

This section describes the Multi-pooling functionalities that can be performed by an NCB user or a Counterparty for the validation or rejection of a Multi-pooling Transfer instruction following the request of transferring marketable assets from one Internal Counterparty Asset Account to another Internal Counterparty Asset Account, or from an External T2S Securities Account for Regular Collateral to another External T2S Securities Account for Regular Collateral of the same Counterparty and same NCB in a different CSD.

These screens can be used to search the valid information of the Instructions or the information that requires 4 eyes validation before they can be considered valid in the system.

3.3.6.5.1 Multi-pooling - Validate/Reject Transfer Instruction – Search Screen

Context of Usage	<p>This screen contains a number of search fields and shows the latest and stored searches. By inputting the relevant data, you can search for the Multi-pooling Transfer instructions consultation and selection of an instruction to be validated.</p> <p>The search results will be displayed in a list. After selecting an entry, you can proceed further by clicking on the buttons that are shown.</p>
Screen Access	Instructions and Positions >> Multi-pooling >> Validation / Rejection >> Validate/Reject Transfer >> Click on the <i>Search</i> button
Screenshot	

Multi-pooling - Validate/Reject Transfer Instruction – Search Screen	
Field	Description
Party's Instruction Reference	Enter the Party's Instruction Reference.
Delivering Counterparty	Enter/Select the RIAD identifier that uniquely identifies the Delivering Party (Counterparty or NCB).
Receiving Counterparty	Enter/Select the RIAD identifier that uniquely identifies the Receiving Party (Counterparty or NCB).
ISIN	Enter/Select the identification of the marketable asset (ISIN) Required format is: Max. 12 characters.
Intended Settlement Date	Enter/Select the business date on which the instruction will be settled or use the calendar icon. Required format is: DD/MM/YYYY.
Creation Date	Enter/Select the Creation date for the instruction or use the calendar icon. Required format is: DD/MM/YYYY hh:mm:ss:sss
Button	Description
Reset	This function enables you to clear the form.
Search	This function enables you to start a search according to the filled in criteria. The results are displayed in a list on the List Screen. Next screen:

– Multi-pooling - Validate/Reject Transfer Instruction – List Screen

3.3.6.5.2 Multi-pooling - Validate/Reject Transfer Instruction – List Screen

Context of Usage	<p>This screen contains the search results displayed in a list, which is sorted by the values of the 'Update Date' column in descending order (default setting). After selecting an entry, you can proceed further by clicking on the buttons below.</p> <p>This screen contains a table with the result of a search of a Multi-pooling Transfer instruction, for consultation and selection of an instruction to be validated.</p>
Screen Access	Instructions and Positions >> Multi-pooling >> Validation / Rejection >> Validate / Reject Transfer >> Search Transfer Instructions
Screenshot	

Multi-pooling - Validate/Reject Transfer Instruction - List Screen	
Field	Description
Party's Instruction Reference	Enter the Party's Instruction Reference.
Delivering Counterparty	Enter/Select the RIAD identifier that uniquely identifies the Delivering Party (Counterparty or NCB).
Receiving Counterparty	Enter/Select the RIAD identifier that uniquely identifies the Receiving Party (Counterparty or NCB).
ISIN	Enter/Select the identification of the marketable asset (ISIN) Required format is: Max. 12 characters.
Intended Settlement Date	Enter/Select the business date on which the instruction will be settled or use the calendar icon. Required format is: DD/MM/YYYY.
Creation Date	Enter/Select the Creation date for the instruction or use the calendar icon. Required format is: DD/MM/YYYY hh:mm:ss:sss
After selecting a record, the following buttons are displayed	
Button	Description
Validate	This function enables the user to validate the instruction.
Reject	This function enables the user to discard the instruction.

3.3.6.6 Multi-pooling – MA Transfer Instructions

This section provides information on the MA transfer of assets instructions (Client side) generated by the ECMS for the (de)mobilisations as a result of the Multi-pooling functionalities.

3.3.6.6.1 Multi-pooling – MA Transfer Instructions - Search Screen

Context of Usage	This screen contains a number of search fields and shows the latest and stored searches. By inputting the relevant data, you can search for the Multi-pooling Instructions.
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	<p>The search results will be displayed in a list. After selecting an entry, you can proceed further by clicking on the buttons that are shown.</p> <p>Depending how the screen is accessed, it can be used to search the valid information or the information that requires 4 eyes validation before they can be considered valid in the system.</p>
Screen Access	<p>For valid information: Instructions and Positions >> Multi-pooling Instruction >> Display Transfer MA Instructions</p> <p>For information subject to a 4 eyes validation: Instructions and Positions >> MA Instruction >> Validation / Rejection >> Validate / Reject MA Instructions</p>
Screenshot	

Multi-pooling – MA Transfer Instructions Search screen	
Field	Description
Instruction Id	<p>Enter/Select the Instruction Reference that identifies the instruction.</p> <p>Required format is: Max. XX characters.</p>
Instruction Type	<p>Enter/Select the Instruction Type that identifies the instruction.</p> <p>Required format is: Max. XX characters.</p>
Delivering Counterparty Id.	<p>Enter/Select the identifier that uniquely identifies the Counterparty.</p> <p>Required format is: Max. XX characters.</p>
Delivering ECMS Counterparty Asset Account Id	<p>Enter/Select the Internal ECMS Counterparty Asset Account where the asset is held (source account)</p> <p>Required format is: Max. XX characters.</p>
ISIN	<p>Enter/Select the identification of the marketable asset</p> <p>Required format is: Max. 12 characters.</p>
Delivering External Asset Account Id.	<p>Enter/Select the External ECMS Counterparty Asset Account where the asset is held (source account)</p> <p>Required format is: Max. XX characters.</p>
Delivering CSD	<p>Enter/Select the Delivering CSD of the T2S Account for regular collateral</p> <p>Required format is: Max. XX characters.</p>
Receiving CSD	<p>Enter/Select the Receiving CSD</p> <p>Required format is: Max. XX characters.</p>
Business Status	<p>Enter/Select the Business Status of the Instruction.</p> <p>The possible values are:</p> <ul style="list-style-type: none"> - Cancelled - Sent for Settlement

	<ul style="list-style-type: none"> - Waiting for NCB manual intervention - Instructed - Matched - Rejected - Confirmed <p>Required format is: Max. XX characters.</p>
Business Sub Status	<p>Enter/Select the Business Sub Status of the Instruction.</p> <p>The possible values are:</p> <ul style="list-style-type: none"> - Lacking securities - Waiting for Settlement Date - Waiting for Global Collateral Position Update - Waiting User Validation <p>Required format is: Max. XX characters.</p>
Dates	
Trade Date	<p>Enter/Select the trade date of the instruction. The calendar icon can also be used.</p> <p>Required format is: DD/MM/YYYY.</p>
Intended Settlement Date	<p>Enter/Select the business date on which the instruction will be settled. The calendar icon can also be used.</p> <p>Required format is: DD/MM/YYYY.</p>
Effective Settlement Date	<p>Enter/Select the business date on which the instruction was settled. The calendar icon can also be used.</p> <p>Required format is: DD/MM/YYYY.</p>
Creation Date	<p>Enter/Select the Creation date of the instruction. The calendar icon can also be used.</p> <p>Required format is: DD/MM/YYYY.</p>
Update Date	<p>Enter/Select the Update date of the instruction. The calendar icon can also be used.</p> <p>Required format is: DD/MM/YYYY.</p>
Button	Function
Reset	This function enables you to clear the form.
Search	This function enables you to start a search according to the filled in criteria. The results are displayed in a list on the List Screen.

3.3.6.6.1.1 Multi-pooling – MA Transfer Instructions - List screen

Context of Usage	This screen contains the search results displayed in a list, which is sorted by the values of the 'Update Date' column in descending order (default setting). After selecting an entry, you can proceed further by clicking on the buttons below.
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	Depending how the screen is accessed, it can be used to list the valid information or the information that requires 4 eyes validation before they can be considered valid in the system.
Screen Access	<p>For valid information:</p> <p>Instructions and Positions >> Multi-pooling Instruction >> Display Transfer MA Instructions >> Click on the <i>search</i> button (after inputting the relevant fields, if needed)</p> <p>For information subject to a 4 eyes validation:</p> <p>Instructions and Positions >> MA Instruction >> Validation / Rejection >> Validate / Reject MA Instructions >> Click on the search button (after inputting the relevant fields, if needed)</p>
Screenshot	

Multi-pooling – MA Transfer Instructions List Screen	
Field	Description
Instruction Id	Shows the Instruction Reference that identifies the instruction. Displayed format is: Max. XX characters.
Instruction Type	Shows the Instruction Type that identifies the instruction. Displayed format is: Max. XX characters.
Business Status	Shows the Business Status of the Instruction. Displayed format is: Max. XX characters.
Business Sub Status	Shows the Business Sub Status of the Instruction. Displayed format is: Max. XX characters.
Delivering Counterparty NCB Id.	Shows the identifier that uniquely identifies the NCB of the Delivering Counterparty. Displayed format is: Max. XX characters.
ISIN	Shows the identification of the marketable asset. Displayed format is: Max. 12 characters.
Quantity/Position	Shows the quantity/Position to be transferred. Displayed format is: Max. XX characters.
Trade Date	Shows the Trades date in the ECMS of the instruction from the ECMS Party that initiated the instruction Displayed format is: DD/MM/YYYY
Intended Settlement Date	Shows the business date on which the instruction will be settled. Displayed format is: DD/MM/YYYY
Effective Settlement Date	Shows the business date on which the instruction was settled. Displayed format is: DD/MM/YYYY
Receiving CSD	Shows the Party CSD Displayed format is: Max. XX characters.

Delivering CSD	Shows the Identifier of the CSD in which the T2S Securities Account for Regular Collateral are held Displayed format is: Max. XX characters.
Being Cancelled	Indicator stating that the cancellation of the instruction has been requested. The possible values are: – YES – NO
Updater User Id.	Identifier of the user responsible for the last update of the instruction.
Update Date	Date and time when the instruction was last updated in the ECMS. Display format is: DD/MM/YYYY hh:mm:ss:µs.
After selecting a record, the following buttons are displayed	
Button	Function
History	This function enables you to access to the historical information of an instruction. Next screen: – <i>Multi-pooling – MA Transfer Instructions - History Screen.</i>
Edit	This function enables you to edit an instruction. Next screen: – <i>Multi-pooling – MA Transfer Instructions - Edit Screen.</i>
View	This function enables you to access to the detailed information of an instruction. Next screen: – <i>Multi-pooling – MA Transfer Instructions - Details Screen.</i>

3.3.6.6.1.2 Multi-pooling – MA Transfer Instruction - History Screen

Context of Usage	This screen displays historical information that has changed on the selected Multi-pooling Instructions. You can check the data, including the user who made the changes, and proceed further by clicking on the buttons that are shown.
Screen Access	<ul style="list-style-type: none"> – Instructions and Positions >> Multi-pooling Instruction >> Display Transfer MA Instructions >> Click on the search button (after inputting the relevant fields, if needed) >> Select a Multi-pooling Instructions >> Click on the <i>History</i> button – Instructions and Positions >> Multi-pooling Instruction >> Display MA Transfer Instructions >> Click on the <i>search</i> button (after inputting the relevant fields, if needed) >> Select a Multi-pooling Instructions >> Click on the <i>View</i> button (Multi-pooling - <i>Details Screen</i> >> <i>Click on the History Button</i>)

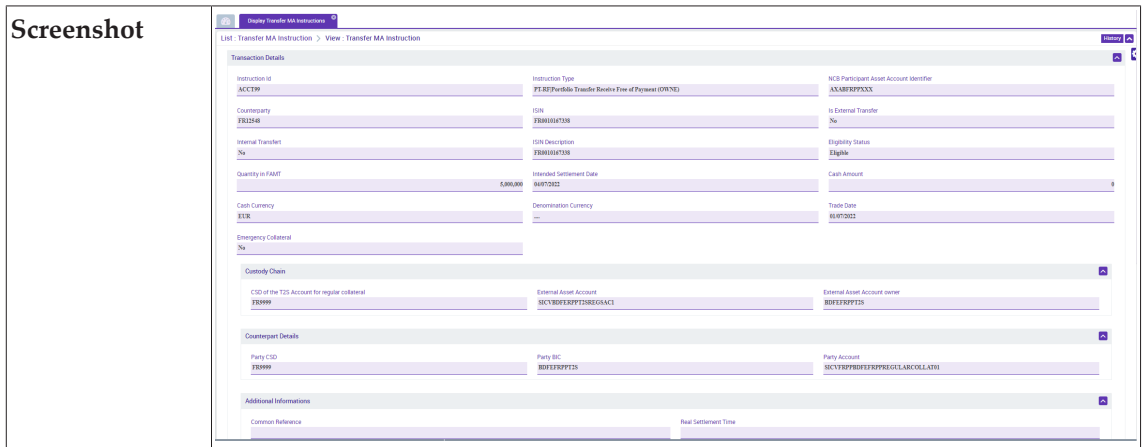
Screenshot

Fields	MA022063050992022-06-30 16:51 G	MA022063050992022-06-30 16:51 S	MA022063050992022-06-30 16:51 A	MA022063050992022-06-30 16:51 F	MA022063050992022-06-30 16:51 R	MA022063050992022-06-30 16:51 T	MA022063050992022-06-30 16:51 Z
Source	WaitingNotification	WaitingNotification	WaitingNotification	WaitingNotification	WaitingNotification	WaitingNotification	WaitingNotification
Bill Date	30/06/2022	30/06/2022	30/06/2022	30/06/2022	30/06/2022	30/06/2022	30/06/2022
Business Status	COMMITTED	INSTRUCTED	INSTRUCTED	INSTRUCTED	INSTRUCTED	INSTRUCTED	INSTRUCTED
Business Sub Status						WAITING VALIDATION	
CLM Type	CCSW-HCB	CCSW-HCB	CCSW-HCB	CCSW-HCB	CCSW-HCB	CCSW-HCB	CCSW-HCB
Client Original Amount	0	0	0	0	0	0	0
Colateral AKA Received	1	1	0	0	0	0	0
Colateral Reference	MA022063050992022-06-30 16:51 G	MA022063050992022-06-30 16:51 S	MA022063050992022-06-30 16:51 A	MA022063050992022-06-30 16:51 F	MA022063050992022-06-30 16:51 R	MA022063050992022-06-30 16:51 T	MA022063050992022-06-30 16:51 Z
Current Date	30/06/2022	30/06/2022	30/06/2022	30/06/2022	30/06/2022	30/06/2022	30/06/2022
Current Time	16:50:06.000	16:50:06.000	16:50:06.000	16:50:06.000	16:50:06.000	16:50:06.000	16:50:06.000
Counterpart Instruction	MA022063050992022-06-30 16:51 G	MA022063050992022-06-30 16:51 S	MA022063050992022-06-30 16:51 A	MA022063050992022-06-30 16:51 F	MA022063050992022-06-30 16:51 R	MA022063050992022-06-30 16:51 T	MA022063050992022-06-30 16:51 Z
Funding Status	Counterpart Enough	Counterpart Enough	Counterpart Enough	Counterpart Enough	Counterpart Enough	Counterpart Enough	Counterpart Enough
Funding Method	NotFunding	NotFunding	NotFunding	NotFunding	NotFunding	NotFunding	NotFunding
Is Auto Cancelled	No	No	No	No	No	No	No
Is Cancelled	Yes	No	No	No	No	No	No
Last Notification In	30/06/2022 16:50:06.000	30/06/2022 16:50:06.000	30/06/2022 16:50:06.000	30/06/2022 16:50:06.000	30/06/2022 16:50:06.000	30/06/2022 16:50:06.000	30/06/2022 16:50:06.000
Last Notification Out	30/06/2022 16:50:06.000	30/06/2022 16:50:06.000	30/06/2022 16:50:06.000	30/06/2022 16:50:06.000	30/06/2022 16:50:06.000	30/06/2022 16:50:06.000	30/06/2022 16:50:06.000
Settlement Instruction Id	MF000000043273	MF000000043273	MF000000043273	MF000000043273	MF000000043273	MF000000043273	MF000000043273
Market Instruction	MF000000043273	MF000000043273	MF000000043273	MF000000043273	MF000000043273	MF000000043273	MF000000043273
New Amt In C Acc Cur	0	0	0	0	0	0	0
Or C Traded	True	True	True	True	True	True	True
Price	0	0	0	0	0	0	0
Related Instruction Reference	PF0700000440	PF0700000440	PF0700000440	PF0700000440	PF0700000440	PF0700000440	PF0700000440
Released Quantity	1,997,980	1,997,980	1,997,980	1,997,980	1,997,980	1,997,980	1,997,980
Remaining Quantity	1,997,980	1,997,980	1,997,980	1,997,980	1,997,980	1,997,980	1,997,980
Sec Mkt Crossed	Yes	Yes	Yes	Yes	Yes	No	No
Sec Mkt Reference	0004809C37	0004809C37	0004809C37	0004809C37	0004809C37	0004809C37	0004809C37

Multi-pooling – MA Transfer Instruction History Screen	
Field	Description
Fields	Shows the fields whose information have been updated since the creation of the selected instruction.
Timestamp	Shows the date and time when the fields have been updated.
Button	Description
Compare	Shows the differences between the selected records whose data have been updated.
Expand All	Shows the expanded information of the fields whose information have been updated since the creation of the selected instruction.
Show All	Shows the information that existed before each modification of all the fields regarding the selected instruction.
Collapse All	Collapse the expanded information of the fields that have been updated since the creation of the selected instruction.
Show Differences Only	Shows only the fields whose information have been updated since the creation of the selected instruction.

3.3.6.6.1.3 Multi-pooling – MA Transfer Instruction - Details Screen

Context of Usage	This screen displays detailed information of the selected Multi-pooling Instructions.
Screen Access	Instructions and Positions >> Multi-pooling Instruction >> Display Transfer MA Instructions >> Click on the <i>search</i> button (after inputting the relevant fields, if needed) >> Select a Multi-pooling Instruction >> Click on the <i>View</i> button



Multi-pooling – MA Transfer Instruction Details screen

Field	Description
Instruction Id	Shows the Instruction Reference that identifies the instruction. Displayed format is: Max. XX characters.
Instruction Type	Shows the Instruction Type that identifies the instruction. Displayed format is: Max. XX characters.
ECMS Counterparty Asset Account Id	Shows the Internal ECMS Counterparty Asset Account where the asset is held (source account) Displayed format is: Max. XX characters.
Delivering Counterparty Id.	Shows the identifier that uniquely identifies the Counterparty. Displayed format is: Max. XX characters.
Delivering Counterparty NCB Id.	Shows the identifier that uniquely identifies the NCB of the Delivering Counterparty. Displayed format is: Max. XX characters.
ISIN	Shows the identification of the marketable asset. Displayed format is: Max. 12 characters
ISIN Description	Shows the description of the marketable asset. Displayed format is: Max. XX characters
ECB Status	Shows the Eligibility status of the Marketable Asset In C2D/EA Displayed format is: Max. XX characters
Emergency Collateral	Shows the Boolean stating that the marketable Asset can be only used in case of activation of the ECMS emergency flag
Quantity/Position	Quantity/Position instructed
Trade Date	Trade date of the instruction
Intended Settlement Date	Intended settlement date of the instruction.
Custody Chain	
Delivering CSD	BIC of the CSD where the asset is received (Receiving CSD in a mobilisation instruction) or from where the asset is delivered (Delivering CSD for a demobilisation instruction).

External Asset Account	Reference of T2S Account for Regular Collateral or of the ECMS Asset Account depending if the instruction is to be settled in a domestic CSD or not where the Marketable Asset is deposited.
External Asset Account owner	BIC code of the owner of the External Asset Account.
Counterparty Details	
Receiving Party CSD	BIC of the CSD of the Receiving party
Receiving Party BIC	BIC Code of the Receiving party
Receiving Account Id	Account Reference of the Receiving party
Additional Information	
Common Reference	Common Reference of the instruction
Effective Settlement Date	Shows the business date on which the instruction was settled. Displayed format is: DD/MM/YYYY
Effective Settlement Time	Shows the time at which the instruction was settled. Displayed format is: hh:mm:ss:µs.
Instruction Flags	
Business Status	Shows the Business Status of the Instruction. The possible values are: - Cancelled - Sent for Settlement - Waiting for NCB manual intervention - Instructed - Matched - Rejected - Confirmed
Business Sub Status	Shows the Business Sub Status of the Instruction. The possible values are: - Lacking securities - Waiting for Settlement Date - Waiting for Global Collateral Position Update - Waiting User Validation
U2A Input	Shows whether the instruction was captured in U2A mode or not.
Being cancelled	Indicator stating that the cancellation of the instruction has been requested. The possible values are: - YES - NO

Action to Validate	Action required for the validation of the instruction
Is rejected	Indicator stating that the instruction is rejected.
Other details	
MA Settlement Instruction	Button to open the windows with the details of the underlying settlement instruction
Client Asset Positions Movements	Button to open the windows with the details of the underlying Marketable Asset Movement on the Counterparty Asset Account.
Client Asset Position	Button to open the windows with the details of the underlying Marketable Asset Position update on the Counterparty Asset Account.
Foot Print	
Creation Date	Date and time when the instruction was created in the ECMS. Display format is: DD/MM/YYYY hh:mm:ss:µs.
Creation User Id.	Identifier of the user responsible for the creation of the instruction.
Update Date	Date and time when the instruction was last updated in the ECMS. Display format is: DD/MM/YYYY hh:mm:ss:µs.
Updater User Id.	Identifier of the user responsible for the last update of the instruction.
Action	
Button	Function
Cancel	Button to request the cancellation the Counterparty Marketable Asset Instruction.
Business Validation Errors [n] -Section	
Field	Description
Table with the list of Business Error	
BR Name	Name of the Business Rules infringed
Blocking	Indicator stating that if the business error is blocking or not.
Action	
Button	Function
View	Button to view the details of the Business Error
Receiving/Delivering Settlement Parties [n] -Section	
Field	Description
Table with the list of Settlement Parties	
Default Sett Party Id	Type of identifier (BIC / proprietary code) for the settlement Party

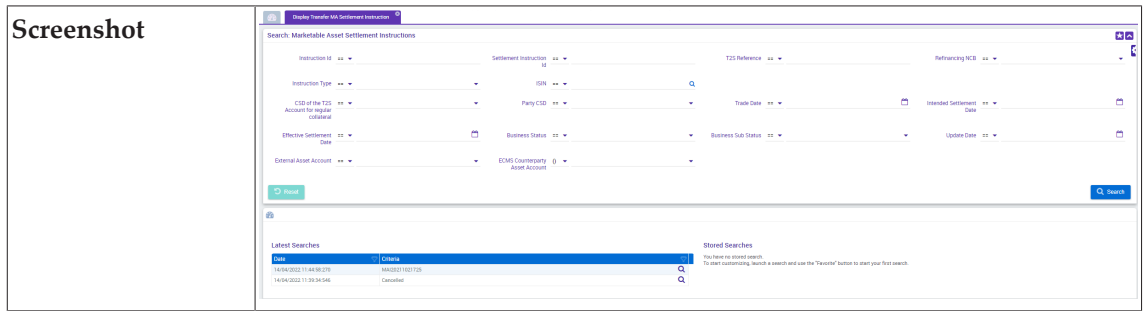
Set Party Type	Type of Settlement Party
BIC	BIC of the Settlement Party
Account	Account number of the Settlement Party
Narrative	Narrative identifier of the Settlement Party
Narrative Type	Type of Narrative identifier of the Settlement Party
Action	
Button	Function
View	Button to view the details of the Settlement Party
Notifications [n] -Section	
Field	Description
Table with the list of Notification received and sent	
Notification Name	Name of the notification sent or received
Message Reference	Reference of the notification message
Direction	Indicator stating that the notification has been received or sent by the ECMS.
Creation Date	Date and time when the notification was created in the ECMS.
Update Date	Date and time when the notification was last updated in the ECMS
Action	
Download	Button to download the Notification Message
View Message	Button to view the Notification Message

3.3.6.7 Multi-pooling – MA Settlement Transfer Instruction

This section provides information on the MA settlement transfer instructions (market/T2S) generated by the ECMS as a result of the Multi-pooling functionalities.

3.3.6.7.1 Multi-pooling - MA Settlement Transfer Instruction – Search Screen

Context of Usage	<p>This screen is used to search MA Settlement Transfer Instructions. It contains the fields that can be used as search criteria.</p> <p>The user captures the fields that correspond to its criteria for searching MA Settlement Transfer Instructions.</p> <p>If a field is left blank, the field is not taken into account as a search criteria.</p> <p>Once search criteria have been filled, by clicking on the search button, the list of instructions fulfilling the criteria will be displayed.</p>
Business Case related	Search/List/View MA Settlement Transfer Instructions
Screen Access	Instructions and Positions >> Multipooling Instruction >> Display Transfer MA Settlement Instruction



MA Settlement Transfer Instruction – Search screen

Search Criteria	
Field	Description
Instruction Id.	Enter the unique identifier of the instruction.
Settlement Instruction Id.	Enter the identifier of the Settlement Instruction given by the ECMS.
T2S Reference	Enter the identifier of Reference of the T2S Instruction as given by T2S
Instruction Type	Select the Instruction Type from the drop-down menu.
Counterparty Id.	Enter/Select the RIAD Code of the ECMS Counterparty responsible of the instruction (i.e. for which the asset is (de)mobilised).
ECMS Counterparty Asset Account	Enter/Select the Reference of the ECMS Counterparty Asset Account on which the asset is (de)mobilised.
ISIN	Enter/Select the ISIN of the Marketable Asset (de)mobilised. Required format is: Max. 12 characters
External Asset Account Id	Enter/Select the Reference of the T2S Account for Regular Collateral or of the ECMS Asset Account depending if the instruction is to be settled in a domestic CSD or not where the Marketable Asset is deposited.
Delivering or Receiving CSD	Enter/Select the BIC of the CSD where the asset is received (Receiving CSD in a mobilisation instruction) or from where the asset is delivered (Delivering CSD for a demobilisation instruction).
Delivering or Receiving Party CSD	Enter/Select the BIC of the CSD of the Delivering party (for mobilisation) or Receiving party (for demobilisation).
Business Status	Enter/Select the Business Status of the Instruction. The possible values are: - Cancelled - Sent for Settlement - Waiting for NCB manual intervention - Instructed - Matched - Rejected - Confirmed
Business Sub Status	Enter/Select the Business Sub Status of the Instruction. The possible values are: - Lacking securities

	<ul style="list-style-type: none"> - Waiting for Settlement Date - Waiting for Global Collateral Position Update - Waiting User Validation
Dates	
Trade Date	<p>Enter/Select the trade date of the instruction. The calendar icon can also be used.</p> <p>Required format is: DD/MM/YYYY.</p>
Intended Settlement Date	<p>Enter/Select the business date on which the instruction will be settled. The calendar icon can also be used.</p> <p>Required format is: DD/MM/YYYY.</p>
Effective Settlement Date	<p>Enter/Select the business date on which the instruction was settled. The calendar icon can also be used.</p> <p>Required format is: DD/MM/YYYY.</p>
Update Date	<p>Enter/Select the Update date of the instruction. Either the current business date or current business date + 1. The calendar icon can also be used.</p> <p>Required format is: DD/MM/YYYY.</p>
Actions	
Button	Function
Reset	This function enables you to clear the form.
Search	<p>This function enables you to start a search according to the criteria entered. The results are displayed in a list on the screen List screen. Next screen:</p> <ul style="list-style-type: none"> - MA Settlement Transfer Instruction – List Screen

3.3.6.7.1.1 MA Settlement Transfer Instructions – List Screen

Context of Usage	<p>This screen contains a list of MA Settlement Transfer Instructions. This screen contains the search results displayed in a list, which is sorted by the values of the 'Update Date' column in descending order (default setting).</p> <p>The instructions listed correspond to the search criteria captured in the <i>MA Settlement Transfer Instructions – Search</i> screen.</p> <p>The list displayed can be sorted and filter by the field displayed.</p> <p>The list can be exported.</p> <p>By clicking on the "View" button or double clicking on the selected line to display the <i>MA Settlement Transfer Instructions– Details</i> screen with the details of the selected instruction.</p>
Business Case related	Search/List/View MA Settlement Transfer Instructions
Screen Access	Instructions and Positions >> Multipooling Instruction >> Display Transfer MA Settlement Instruction >> Click on the search button (after inputting the relevant fields, if needed)

Instruction Id.	Settlement Inst.	T2S Reference	Instruction Type	Business Stat.	Business Sub C.	ISIN	Quantity	Trade Date	Intended Settlement	Effective Settlement	Party CSD A	Party B/CSD	CSD of the T2S	Party B/CSD	Being Cancelled	Updated User Id.	Updated Date	Created User Id.	Created Date
ACT100	MF000000040		Portfolio Transfer	Validated		FR000011113	1,000,000	30/06/2022	30/06/2022		FR0000000000	FR0000000000	FR0000000000	FR0000000000	No	ECMS OPERATO.	30/06/2022 15:15		30/06/2022 15:15
ACT105	MF000000040		Portfolio Transfer	Validated		FR001018709	1,000,000	30/06/2022	30/06/2022		FR0000000000	FR0000000000	FR0000000000	FR0000000000	No	STOP	30/06/2022 15:15		30/06/2022 15:15
ACT110	MF000000040		Portfolio Transfer	Validated		FR001018709	1,000,000	30/06/2022	30/06/2022		FR0000000000	FR0000000000	FR0000000000	FR0000000000	No	ECMS OPERATO.	30/06/2022 15:15		30/06/2022 15:15
ACT115	MF000000040		Portfolio Transfer	Rejected		FR012021206	15,000,000	31/07/2022	31/07/2022		FR0000000000	FR0000000000	FR0000000000	FR0000000000	No	ECMS OPERATO.	30/06/2022 15:15		30/06/2022 15:15
ACT120	MF000000040		Portfolio Transfer	Confirmed		FR002020644	1,000,000	30/06/2022	31/07/2022		FR0000000000	FR0000000000	FR0000000000	FR0000000000	No	ECMS OPERATO.	30/06/2022 15:15		30/06/2022 15:15
ACT125	MF000000040		Portfolio Transfer	Confirmed		FR012021206	15,000,000	30/06/2022	31/07/2022		FR0000000000	FR0000000000	FR0000000000	FR0000000000	No	ECMS OPERATO.	30/06/2022 15:15		30/06/2022 15:15
ACT130	MF000000040		Portfolio Transfer	Confirmed		FR002020644	1,000,000	30/06/2022	31/07/2022		FR0000000000	FR0000000000	FR0000000000	FR0000000000	No	ECMS OPERATO.	30/06/2022 15:15		30/06/2022 15:15
ACT135	MF000000040		Portfolio Transfer	Confirmed		FR002020644	1,000,000	30/06/2022	31/07/2022		FR0000000000	FR0000000000	FR0000000000	FR0000000000	No	ECMS OPERATO.	30/06/2022 15:15		30/06/2022 15:15

MA Settlement Transfer Instructions – List screen	
Field	Description
Instruction Id.	Shows the unique identifier of the instruction.
Settlement Instruction Id.	Shows the identifier of the Settlement Instruction given by the ECMS.
T2S Reference	Shows the identifier of Reference of the T2S Instruction as given by T2S
Instruction Type	Shows the Type of Instruction.
Business Status	Shows the Business Status of the Instruction. The possible values are: - Cancelled - Sent for Settlement - Waiting for NCB manual intervention - Instructed - Matched - Rejected - Confirmed
Business Sub Status	Shows the Business Sub Status of the Instruction. The possible values are: - Lacking securities - Waiting for Settlement Date - Waiting for Global Collateral Position Update - Waiting User Validation
ISIN	Shows the ISIN of the Marketable Asset (de)mobilised. Displayed format is: Max. 12 characters
Quantity/Position	Shows the Quantity/Position instructed
Trade Date	Shows the Trade date of the instruction
Intended Settlement Date	Shows the Intended Settlement Date of the instruction.
Effective Settlement Date	Shows the Effective Settlement Date of the instruction.
Delivering or Receiving Party CSD	Shows the BIC of the CSD of the Delivering Party (for mobilisation) or Receiving party (for demobilisation).
Delivering or Receiving CSD	Shows the BIC of the CSD where the asset is received (Receiving CSD in a mobilisation instruction) or from where the asset is delivered (Delivering CSD for a demobilisation instruction).
Being Cancelled	Shows the Indicator stating that the cancellation of the instruction has been requested. The possible values are:

	<ul style="list-style-type: none"> - YES - NO
Updater User Id.	Shows the Identifier of the user responsible for the last update of the instruction.
Update Date	Shows the Date and time when the instruction was last updated in the ECMS. Display format is: DD/MM/YYYY hh:mm:ss:µs.
Actions	
Button	Function
View	This function enables you to view the detail of the selected MA Settlement Transfer instruction. Next screen: - <i>MA Settlement Transfer Instructions – Details Screen.</i>
History	This function enables you to access to the historical information of an instruction. Next screen: - <i>MA Settlement Transfer Instructions – History Screen.</i>

3.3.6.7.1.2 MA Settlement Transfer Instructions – Details Screen

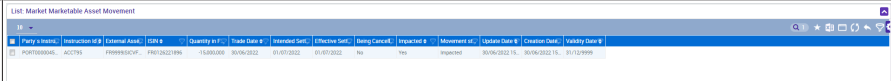
Context of Usage	<p>This screen is used to view all the details of a MA Settlement Transfer Instruction.</p> <p>From this screen the user can access directly to the detailed of the underlying MA Settlement Transfer Instruction.</p>
Business Case related	Search/List/View MA Settlement Transfer Instructions
Screen Access	Instructions and Positions >> Multipooling Instruction >> Display Transfer MA Settlement Instruction >> Click on the search button (after inputting the relevant fields, if needed) >> View a selected MA Settlement Transfer Instructions >> Select a Multi-pooling Instruction >> Click on the <i>View</i> button
Screenshot	

MA Settlement Transfer Instructions – Details Screen	
Section buttons	Description
Simple Client Instruction	Section to view the details of the instruction
Business Validation Errors [n]	Section to view the details of the Business Validation Errors. [n] corresponds to the number of Business Validation Errors
Receiving/Delivering Settlement Parties [n]	Section to view the details of the Settlement Parties. [n] corresponds to the number of Settlement Parties recorded.
Notifications [n]	Section to view the details of the related notifications sent and received. [n] corresponds to the number of Notification.
Simple Client Instructions -Section	
Field	Description
Transaction Details	
Settlement Instruction Id.	Shows the identifier of the Settlement Instruction given by the ECMS.
T2S Reference	Shows the identifier of Reference of the T2S Instruction as given by T2S
Instruction Type	Shows the Type of instruction.
ISIN	Shows the ISIN of the Marketable Asset (de)mobilised. Displayed format is: Max. 12 characters
ISIN Description	Shows the Name of the Marketable Asset (de)mobilised.
Quantity/Position	Shows the Quantity/Position instructed
Trade Date	Shows the Trade date of the instruction
Intended Settlement Date	Shows the Intended settlement date of the instruction.
Effective Settlement Date	Shows the Effective settlement date of the instruction.
Delivering or Receiving CSD	Shows the BIC of the CSD where the asset is received (Receiving CSD in a mobilisation instruction) or from where the asset is delivered (Delivering CSD for a demobilisation instruction).
External Asset Account	Shows the Reference of T2S Account for Regular Collateral or of the ECMS Asset Account depending if the instruction is to be settled in a domestic CSD or not where the Marketable Asset is deposited.
Delivering or Receiving Party CSD	Shows the BIC of the CSD of the Delivering party (for mobilisation) or Receiving party (for demobilisation).
Instruction Flags	
Instruction Id.	Shows the unique identifier of the instruction.
Party's Instruction Reference	Shows the Party's Instruction Reference.
MASI : Internal reference	Shows the internal reference of the Settlement Instruction given by the ECMS.
Business Status	Shows the Business Status of the Instruction. The possible values are: - Cancelled - Sent for Settlement

	<ul style="list-style-type: none"> - Waiting for NCB manual intervention - Instructed - Matched - Rejected - Confirmed
Business Sub Status	<p>Shows the Business Sub Status of the Instruction.</p> <p>The possible values are:</p> <ul style="list-style-type: none"> - Lacking securities - Waiting for Settlement Date - Waiting for Global Collateral Position Update - Waiting User Validation
Being cancelled	<p>Shows the Indicator stating that the cancellation of the instruction has been requested. The possible values are:</p> <ul style="list-style-type: none"> - YES - NO
Asset Position Details	
Market Asset Position Movements	Button to open the windows with the details of the underlying Market Asset Movements on the Counterparty Asset Account.
Market Asset Position	Button to open the windows with the details of the underlying Marketable Asset Position update on the T2S Securities Account.
Foot Print	
Creation Date	<p>Date and time when the instruction was created in the ECMS.</p> <p>Display format is: DD/MM/YYYY hh:mm:ss:µs.</p>
Creation User Id.	Identifier of the user responsible for the creation of the instruction.
Update Date	<p>Date and time when the instruction was last updated in the ECMS.</p> <p>Display format is: DD/MM/YYYY hh:mm:ss:µs.</p>
Updater User Id.	Identifier of the user responsible for the last update of the instruction.
Business Validation Errors [n] -Section	
Field	Description
Table with the list of Business Error	
BR Name	Name of the Business Rules infringed
Blocking	Indicator stating that if the business error is blocking or not.
Action	
Button	Function
View	Button to view the details of the Business Error
Receiving/Delivering Settlement Parties [n] -Section	
Field	Description
Table with the list of Settlement Parties	
Default Sett Party Id	Type of identifier (BIC / proprietary code) for the settlement Party
Set Party Type	Type of Settlement Party
BIC	BIC of the Settlement Party

Account	Account number of the Settlement Party
Narrative	Narrative identifier of the Settlement Party
Narrative Type	Type of Narrative identifier of the Settlement Party
Action	
Button	Function
View	Button to view the details of the Settlement Party
Notifications [n] -Section	
Field	Description
Table with the list of Notification received and sent	
Notification Name	Name of the notification sent or received
Message Reference	Reference of the notification message
Direction	Indicator stating that the notification has been received or sent by the ECMS.
Creation Date	Date and time when the notification was created in the ECMS.
Update Date	Date and time when the notification was last updated in the ECMS
Action	
Download	Button to download the Notification Message
View Message	Button to view the Notification Message

3.3.6.7.1.3 Market Asset Position Movements – List Screen

Context of Usage	This screen contains the search results displayed in a list, which is sorted by the values of the 'Update Date' column in descending order (default setting). After selecting an entry, you can proceed further by clicking on the buttons below.
Business Case related	Multi-pooling
Screen Access	Instructions and Positions >> Multipooling Instruction >> Display Transfer MA Settlement Instruction >> Click on the search button (after inputting the relevant fields, if needed) >> View a selected MA Settlement Transfer Instructions >> Select a Multi-pooling Instruction >> Click on the <i>View</i> button >> Click on the <i>Market Marketable Asset Movement</i> button
Screenshot	

Market Asset Position Movements – List Screen	
Field	Description
Party's Instruction Reference	Shows the Party's Instruction Reference.
Instruction Id.	Shows the unique identifier of the instruction.
External Asset Account	Shows the Reference of T2S Account for Regular Collateral or of the ECMS Asset Account depending if the instruction is to be settled in a domestic CSD or not where the Marketable Asset is deposited.
ISIN	Shows the ISIN of the Marketable Asset (de)mobilised.

	Displayed format is: Max. 12 characters
Quantity/Position	Shows the Quantity/Position instructed
Trade Date	Shows the Trade date of the instruction
Intended Settlement Date	Shows the Intended settlement date of the instruction.
Effective Settlement Date	Shows the Effective settlement date of the instruction.
Being cancelled	Shows the Indicator stating that the cancellation of the instruction has been requested. The possible values are: <ul style="list-style-type: none"> - YES - NO
Impacted	Shows the Indicator stating that the position has been updated. The possible values are: <ul style="list-style-type: none"> - YES - NO
Movement status	Shows the status of the movement Possible values are: <ul style="list-style-type: none"> - Instructed - Matched - Impacted - Cancelled - Rejected
Update Date	Shows the date and time of the last update of the Market Eligible Position Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Creation Date	Shows the date and time of the Creation Date of the Market Eligible Position Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
After selecting a record, the following buttons are displayed	
Button	Function
View	This function enables you to access to the detailed information of a Market Eligible Position. Next screen: <ul style="list-style-type: none"> - <i>Market Asset Position Movements – Details Screen.</i>

3.3.6.7.1.4 Market Asset Position Movements – Details Screen

Context of Usage	This screen displays detailed information on the selected Market Eligible Position. You can check the data and proceed further by clicking on the buttons.
Business Case Related	Multi-pooling

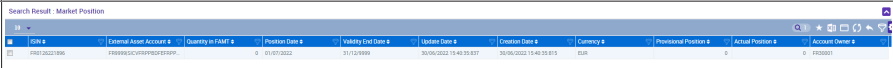
Screen Access	Instructions and Positions >> Multipooling Instruction >> Display Transfer MA Settlement Instruction >> Click on the search button (after inputting the relevant fields, if needed) >> View a selected MA Settlement Transfer Instructions >> Select a Multi-pooling Instruction >> Click on the <i>View</i> button >> Click on the Market Marketable Asset Movement >>>> Select a <i>Market Marketable Asset Movement</i> button >> Click on the <i>View</i> button
Screenshot	

Market Asset Position Movements – Details Screen

Market Eligible Position	
Field	Description
External Asset Account	Shows the Reference of T2S Account for Regular Collateral or the ECMS Asset Account depending if the instruction is to be settled in a domestic CSD or not where the Marketable Asset is deposited.
ISIN	Shows the ISIN of the security. Displayed format is: Max. 12 characters
Quantity/Position	Shows the quantity/Position of the asset.
Trade Date	Shows the Trade date of the instruction
Intended Settlement Date	Shows the Intended settlement date of the instruction.
Effective Settlement Date	Shows the Effective settlement date of the instruction.
Instruction Id.	Shows the unique identifier of the instruction.
Party's Instruction Reference	Shows the Party's Instruction Reference.
Movement status	Shows the status of the movement Possible values are: <ul style="list-style-type: none"> - Instructed - Matched - Impacted - Cancelled - Rejected
Footprint	
Creation Date	Shows the date and time of the creation of the Market Eligible Position. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Creation User Id	Shows the identification of the user that created the Market Eligible Position.
Update Date	Shows the date and time of the update of the Market Eligible Position. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.

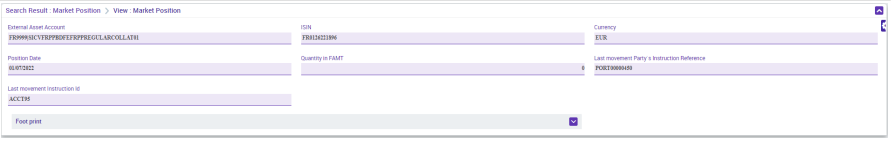
Updater User Id	Shows the identification of the user that made the last update of the Market Eligible Position.
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3.3.6.7.1.5 Market Asset Position – List Screen

Context of Usage	This screen contains the search results displayed in a list, which is sorted by the values of the 'Update Date' column in descending order (default setting). After selecting an entry, you can proceed further by clicking on the buttons below.
Business Case related	Multi-pooling
Screen Access	Instructions and Positions >> Multipooling Instruction >> Display Transfer MA Settlement Instruction >> Click on the search button (after inputting the relevant fields, if needed) >> View a selected MA Settlement Transfer Instructions >> Select a Multi-pooling Instruction >> Click on the <i>View</i> button >> Click on the <i>Market Marketable Asset Position</i> button
Screenshot	

Market Asset Position – List Screen	
Field	Description
ISIN	Shows the ISIN of the Marketable Asset (de)mobilised. Displayed format is: Max. 12 characters
External Asset Account	Shows the Reference of T2S Account for Regular Collateral or of the ECMS Asset Account depending if the instruction is to be settled in a domestic CSD or not where the Marketable Asset is deposited.
Quantity/Position	Shows the Quantity/Position instructed
Position Date	Shows the Position date of the instruction
Validity Date	Shows the Validity date of the instruction.
Update Date	Shows the date and time of the last update of the Market Eligible Position Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Creation Date	Shows the date and time of the Creation Date of the Market Eligible Position Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
After selecting a record, the following buttons are displayed	
Button	Function
View	This function enables you to access to the detailed information of a Market Eligible Position. Next screen: – <i>Market Asset Position – Details</i> Screen.

3.3.6.7.1.6 Market Asset Position – Details Screen

Context of Usage	This screen displays detailed information on the selected Market Eligible Position. You can check the data and proceed further by clicking on the buttons.
Business Case Related	Multi-pooling
Screen Access	Instructions and Positions >> Multipooling Instruction >> Display Transfer MA Settlement Instruction >> Click on the search button (after inputting the relevant fields, if needed) >> View a selected MA Settlement Transfer Instructions >> Select a Multi-pooling Instruction >> Click on the <i>View</i> button >> Click on the <i>Market Marketable Asset Position</i> button >> Select a Market Marketable Asset Position >> Click on the <i>View</i> button
Screenshot	

Market Asset Position – Details Screen	
Market Eligible Position	
Field	Description
External Asset Account	Shows the Reference of T2S Account for Regular Collateral or the ECMS Asset Account depending if the instruction is to be settled in a domestic CSD or not where the Marketable Asset is deposited.
ISIN	Shows the ISIN of the security. Displayed format is: Max. 12 characters
Currency	Shows the currency of the instruction.
Quantity/Position	Shows the quantity/Position of the asset.
Position Date	Shows the Position date of the instruction
Last Movement Party's Instruction Reference	Shows the Last Movement of the Party's Instruction Reference
Last Movement Instruction Id	Shows the Last Movement of the Instruction ID
Footprint	
Creation Date	Shows the date and time of the creation of the Market Eligible Position. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Creation User Id	Shows the identification of the user that created the Market Eligible Position.
Update Date	Shows the date and time of the update of the Market Eligible Position. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Updater User Id	Shows the identification of the user that made the last update of the Market Eligible Position.

3.3.7 Triparty

3.3.7.1 Triparty Transactions

Triparty collateral Management services (TCMS) are provided by Triparty agents (TPAs) and allow counterparties to optimise the use of their portfolios of securities when collateralising credit and other exposures across different products and instruments.

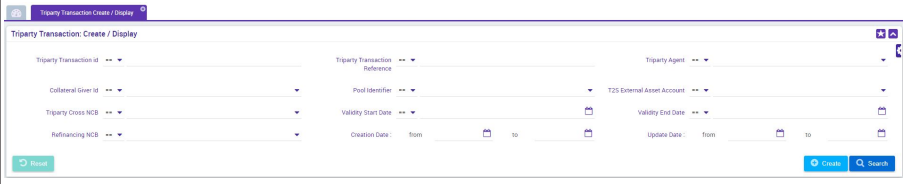
A Triparty Transaction is an agreement between Collateral Giver and Collateral Taker (Refinancing NCB) that is made to allocate securities and is executed by the Triparty agent.

Triparty transaction can be used for the collateralisation of Central Bank Operations. The collateral mobilised through Triparty Transaction is affected to the Pool of the Counterparty.

A Triparty Transaction is created in the ECMS by a National Central Bank upon the request of a Counterparty. This Triparty Transaction is operated by a Triparty Agent that makes use of it to provide its collateral management services to the Counterparty.

A transaction is initiated, can be updated and terminated. When a transaction is initiated, agreed on by both parties, accepted and declared valid by the Triparty Agent, the lifecycle of the transaction starts. The transaction normally lasts as long as the underlying deal. At the end of its lifecycle a transaction is closed.

3.3.7.1.1 Triparty Transactions – Search Screen

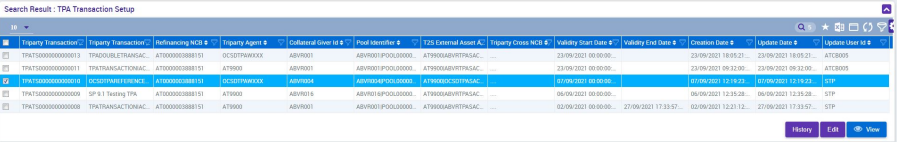
Context of Usage	<p>This screen is used to search Triparty Transactions. It contains the fields that can be used as search criteria.</p> <p>The user captures the fields that correspond to its criteria for searching Triparty Transactions.</p> <p>If a field is left blank, the field is not taken into account as a search criterion.</p> <p>Once search criteria have been filled, by clicking on the search button, the list of instructions fulfilling the criteria will be displayed.</p>
Business Case related	View Triparty Transaction Related Information
Screen Access	<p>Depending how the screen is accessed, it can be used to search the valid information of the Triparty Transactions or the information that requires 4 eyes validation before they can be considered valid in the system.</p> <p><i>For valid information:</i> Collateral and Credit >> Collateral >> Triparty >> Triparty Transaction Create / Display</p> <p><i>For information subject to a 4 eyes validation:</i> Collateral and Credit >> Validation / Rejection >> Triparty Transaction</p>
Screenshot	

Triparty Transactions – Search screen	
Field	Description

Triparty Transaction Id	Enter the Triparty Transaction Id. automatically assigned by the system. Required format is: Max. 35 characters
Triparty Transaction Reference	Enter the reference of the triparty transaction. Required format is: Max. 52 characters
Triparty Agent	Enter / Select the RIAD Code of the Triparty Agent. Required format is: Max. 35 characters
Refinancing NCB	Enter / Select the RIAD Code of the Refinancing NCB. Required format is: Max. 35 characters
Collateral Giver Id	Enter / select the identifier of the Collateral Giver as given by the Triparty Agent. Required format is: Max. 35 characters
Pool Identifier	Enter / select the Reference of the Counterparty's Pool or the RIAD Code of the Counterparty to which the amount of the transaction is added. Required format is: Max. 255 characters
T2S External Asset Account	Enter / select the reference of the T2S External Asset Account where the securities allocated to the transaction are held Required format is: Max. 35 characters
Triparty Cross NCB	Enter or Select the RIAD Code of the Cross NCB (if any). Required format is: Max. 35 characters
Validity Start Date	Enter the date from which the Triparty Transaction is valid. The calendar icon can also be used. Required format is: DD/MM/YYYY.
Validity End Date	Enter the date from which the Triparty Transaction is not valid anymore. The calendar icon can also be used. Required format is: DD/MM/YYYY. The Validity End Date must be equal to or later than the current date and equal to or later than the Validity Start Date.
Creation Date	Enter the date of the creation of the Triparty Transaction. The calendar icon can also be used. Required format is: DD/MM/YYYY.
Update Date	Enter the date of the Triparty Transaction last update. The calendar icon can also be used. Required format is: DD/MM/YYYY.
Actions	
Button	Function
Reset	To clear the search criteria and close the result windows if any
Search	To start a search according to the criteria entered. The results are displayed in a list on a screen below.
Create	This function enables the user to Create a new Triparty Transaction.

3.3.7.1.1.1 Triparty Transactions – List Screen

Context of Usage	<p>This screen contains a list of Triparty transactions.</p> <p>The transactions listed correspond to the search criteria captured in the <i>Triparty transactions – Search</i> screen.</p> <p>The list displayed can be sorted and filter by the field displayed.</p> <p>The list can be exported.</p>
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	By clicking on the “View” button or double clicking on the selected line, the user can display the <i>Triparty Transactions – Details</i> screen with the details of the selected transaction.
Business Case related	View Triparty Transaction Related Information
Screen Access	Depending how the screen is accessed, it can be used to list the valid information of the Triparty Transactions or the information that requires 4 eyes validation before they can be considered valid in the system. <i>For valid information:</i> <i>Collateral and Credit >> Collateral >> Triparty >> Triparty Transaction Create / Display >> Click on the Search button (after inputting the relevant Data, if needed)</i> <i>For information subject to a 4 eyes validation:</i> <i>Collateral and Credit >> Validation / Rejection >> Triparty Transaction >> Click on the search button (after inputting the relevant Data, if needed)</i>
Screenshot	

Triparty Transactions – List screen	
Field	Description
Triparty Transaction Id	Shows the Triparty Transaction Id. automatically assigned by the system
Triparty Transaction Reference	Shows the reference of the triparty transaction. Displayed format is: Max. 52 characters
Triparty Agent	Shows the RIAD Code of the Triparty Agent. Displayed format is: Max. 35 characters
Refinancing NCB	Shows the RIAD Code of the Refinancing NCB. Displayed format is: Max. 35 characters
Collateral Giver Id	Shows the identifier of the Collateral Giver as given by the Triparty Agent. Displayed format is: Max. 35 characters
Pool Identifier	Shows the Reference of the Counterparty’s Pool and the RIAD Code of the Counterparty to which the amount of the transaction is added. Displayed format is: Max. 255 characters
T2S External Asset Account	Shows the reference of the T2S External Asset Account where the securities allocated to the transaction are held Displayed format is: Max. 35 characters
Triparty Cross NCB	Shows the RIAD Code of the Cross NCB (if any). Displayed format is: Max. 35 characters.
Validity Start Date	Shows the date from which the Triparty Transaction is valid. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Validity End Date	Shows the date from which the Triparty Transaction is not valid anymore. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.

Updater User Id.	Identifier of the user responsible for the last update of the transaction.
Creation Date	Shows the date of the creation of the Triparty Transaction. Displayed format is: DD/MM/YYYY hh:mm:ss:µs..
Update Date	Date and time when the transaction was last updated in the ECMS. Displayed format is: DD/MM/YYYY hh:mm:ss:µs
Actions	
Button	Function
View	This function enables the user to view the detailed of the selected transaction. The <i>Details screen</i> is displayed replacing the current screen.
Edit	This function enables the user to edit the selected transaction.
History	This function enables the user to view the History of the selected transaction.
If the screen is used in the 4 eyes validation context, the following buttons are also displayed when a record is selected	
Button	Function
Compare Draft	In case the 4 eyes validation is required because of an update of the record, this function enables the user to display the change that needs to be validated.
View Draft	This function enables the user to view the draft record to be validated. Next screen: <i>Triparty Transactions – Details Screen.</i>
Edit	This function allows the user to edit and modify the draft. Next screen: <i>Triparty Transactions – Edit Screen.</i>
Validate	This function allows the user to validate the creation or update of the record so that they can be considered valid in the system
Reject	This function allows the user to reject the creation or update of the record. The draft will be deleted.

3.3.7.1.1.2 Triparty Transactions – History Screen

Context of Usage	This screen displays historical information that has changed on the selected Triparty Transaction. You can check the data, including the user who made the changes, and proceed further by clicking on the buttons that are shown.
Screen Access	<ul style="list-style-type: none"> – Collateral and Credit >> Collateral >> Triparty >> Triparty Transaction Create / Display >> Click on the <i>search</i> button (after inputting the relevant Data, if needed) >> Select a Triparty Transaction >> Click on the <i>History</i> button – Collateral and Credit >> Collateral >> Triparty >> Triparty Transaction Create / Display >> Click on the <i>search</i> button (after inputting the relevant Data, if needed) >> Select a Triparty Transaction >> Click on the <i>View</i> button (<i>Triparty Transaction –Details Screen</i>) >> Click on the <i>History</i> Button

Screenshot	horizontalHistoryResult: TPA Transaction Setup History																																								
	<p>The screenshot shows a table with the following data:</p> <table border="1"> <tr><td>Fields</td><td>196750000000000102021-09-07 12:19:23.72811</td></tr> <tr><td>Triparty Transaction Id</td><td>196750000000000102</td></tr> <tr><td>Validity Start Date</td><td>01/09/2021 00:00:00.000</td></tr> <tr><td>Is Rejected</td><td>No</td></tr> <tr><td>Triparty Transaction Reference</td><td>DC50TPAREFEREN001</td></tr> <tr><td>Action To Validate</td><td></td></tr> <tr><td>Party's Instruction Reference</td><td></td></tr> <tr><td>Validity End Date</td><td></td></tr> <tr><td>Triparty Order NCB</td><td></td></tr> <tr><td>Referencing NCB</td><td>01</td></tr> <tr><td>Main Reference</td><td></td></tr> <tr><td>T2S External Asset Account</td><td>ESB0C307P6A0001</td></tr> <tr><td>Proof Identifier</td><td>P00L0000000000040</td></tr> <tr><td>Rejection Reason</td><td></td></tr> <tr><td>Shared Agent</td><td>79</td></tr> <tr><td>Collateral Entry Id</td><td>88</td></tr> <tr><td>Validation Status</td><td></td></tr> <tr><td>Creation Date</td><td>01/09/2021 12:19:23.728</td></tr> <tr><td>Creation User Id</td><td>STP</td></tr> <tr><td>(0) difference board</td><td></td></tr> </table>	Fields	196750000000000102021-09-07 12:19:23.72811	Triparty Transaction Id	196750000000000102	Validity Start Date	01/09/2021 00:00:00.000	Is Rejected	No	Triparty Transaction Reference	DC50TPAREFEREN001	Action To Validate		Party's Instruction Reference		Validity End Date		Triparty Order NCB		Referencing NCB	01	Main Reference		T2S External Asset Account	ESB0C307P6A0001	Proof Identifier	P00L0000000000040	Rejection Reason		Shared Agent	79	Collateral Entry Id	88	Validation Status		Creation Date	01/09/2021 12:19:23.728	Creation User Id	STP	(0) difference board	
Fields	196750000000000102021-09-07 12:19:23.72811																																								
Triparty Transaction Id	196750000000000102																																								
Validity Start Date	01/09/2021 00:00:00.000																																								
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Triparty Order NCB																																									
Referencing NCB	01																																								
Main Reference																																									
T2S External Asset Account	ESB0C307P6A0001																																								
Proof Identifier	P00L0000000000040																																								
Rejection Reason																																									
Shared Agent	79																																								
Collateral Entry Id	88																																								
Validation Status																																									
Creation Date	01/09/2021 12:19:23.728																																								
Creation User Id	STP																																								
(0) difference board																																									

Triparty Transaction – History Screen	
Field	Description
Fields	Shows the fields whose information have been updated since the creation of the selected Triparty Transaction.
Timestamp	Shows the date and time when the fields have been updated. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Button	Description
Compare	Shows the differences between the selected records whose data have been updated.
Expand All	Shows the expanded information of the fields whose information have been updated since the creation of the selected Triparty Transaction.
Show All	Shows the information that existed before each modification of all the fields regarding the selected Triparty Transaction.
Collapse All	Collapse the expanded information of the fields that have been updated since the creation of the selected Triparty Transaction.
Show Differences Only	Shows only the fields whose information have been updated since the creation of the selected Triparty Transaction

3.3.7.1.1.3 Triparty Transactions – Details Screen

Context of Usage	This screen displays the details of a Triparty Transactions.
Business Case related	View Triparty Transaction Related Information
Screen Access	<p>Depending how the screen is accessed, it can be used to view the valid information of the Triparty Transactions or the information that requires 4 eyes validation before they can be considered valid in the system.</p> <p><i>For valid information:</i></p> <p>Collateral and Credit >> Collateral >> Triparty >> Triparty Transaction Create / Display >> Click on the Search button (after inputting the relevant Data, if needed) >> Select a Record >> Click on the View button</p> <p><i>For information subject to a 4 eyes validation:</i></p> <p>Collateral and Credit >> Validation / Rejection >> Triparty Transaction >> >> Click on the search button (after inputting the relevant Data, if needed) >> Select a Record >> Click on the View button</p>

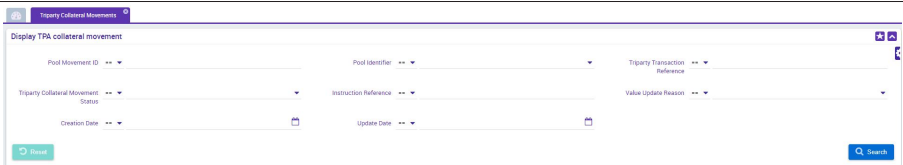
	Displayed format is: DD/MM/YYYY hh:mm:ss:µs
Foot Print	
Creation Date	Shows the date and time when the Transaction was created in the ECMS. Displayed format is: DD/MM/YYYY hh:mm:ss:µs
Creation User Id.	Shows the Identifier of the user responsible for the creation of the Transaction.
Update Date	Shows the date and time when the Transaction was last updated in the ECMS. Displayed format is: DD/MM/YYYY hh:mm:ss:µs
Updater User Id.	Shows the Identifier of the user responsible for the last update of the Transaction.

3.3.7.2 Triparty Collateral Movements

A Triparty Collateral movement represents the transaction value changes (increases or decreases) of a given Triparty Transaction during a given period. It contains the different figures (transaction amount, collateral value, etc.) used to determine the collateral value of the Triparty Transaction in the Pool of the Counterparty after the movement.

The ECMS keeps track of the history of valuations. For this purpose, a given Triparty Collateral Movement record is only valid for a particular time-lapse.

3.3.7.2.1 Triparty Collateral Movements – Search Screen

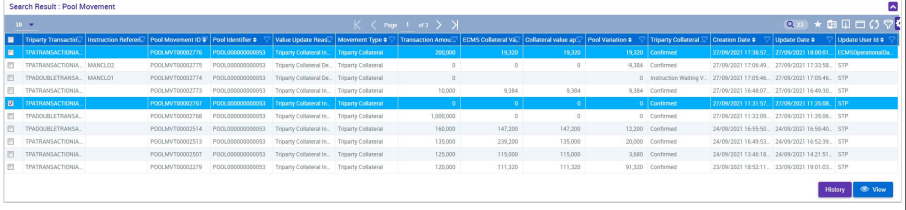
Context of Usage	This screen is used to search Triparty Collateral Movements (increases or decreases). It contains the fields that can be used as search criteria. The user captures the fields that correspond to its criteria for searching Triparty Collateral Movements. If a field is left blank, the field is not taken into account as a search criterion. Once search criteria have been filled, by clicking on the search button, the list of Collateral Movements fulfilling the criteria will be displayed.
Business Case related	View Triparty Transaction Related Information
Screen Access	Collateral and Credit >> Collateral >> Triparty >> Triparty Collateral Movements
Screenshot	

Triparty Collateral Movements- Search screen	
Field	Description
Pool Movement Id	Enter the Pool Movement Identifier. Required format is: Max. 35 characters
Pool Identifier	Enter / Select the Reference of the Counterparty's Pool. Required format is: Max. 255 characters

Instruction Reference	Enter or select the instruction reference that originated the movement. Required format is: Max. 35 characters
Triparty Transaction Reference	Enter the reference of the triparty transaction. Required format is: Max. 52 characters
Triparty Collateral Movement Status	Select the last related Triparty Collateral Movement Status from possible values: <ul style="list-style-type: none"> – Pool Impacted – Instruction Cancelled – Rejected – Waiting CLM confirmation – Decrease waiting confirmation – Confirmed – Not enough collateral
Value update reason	Select the reason of the last transaction value update: <ul style="list-style-type: none"> – Triparty Collateral Increase – Triparty Collateral Decrease – Closure of Triparty Transaction – ECMS Revaluation – Unilateral Removal Request
Validity Start Date	Enter the Validity Start Date/Time of the triparty Collateral Movement. Required format is: DD/MM/YYYY
Validity End Date	Enter the Validity End Date/Time of the triparty Collateral Movement. Required format is: DD/MM/YYYY The Validity End Date must be equal to or later than the Validity Start Date.
Creation Date	Enter the Date and time when the transaction value was created in the ECMS or use the calendar icon. Required format is: DD/MM/YYYY hh:mm:ss:µs
Update Date	Enter the Date and time when the transaction value was last updated in the ECMS or use the calendar icon. Required format is: DD/MM/YYYY hh:mm:ss:µs
Button	Function
Reset	To clear the search criteria and close the result windows if any
Search	This function enables you to start a search according to the filled in criteria. The results are displayed in a list on the List Screen. Next screen: <ul style="list-style-type: none"> – <i>Triparty Collateral Movements– List Screen</i>

3.3.7.2.1.1 Triparty Collateral Movements– List Screen

Context of Usage	This screen contains a list of Triparty Collateral Movements. The values listed correspond to the search criteria captured in the <i>Triparty Collateral Movements– Search</i> screen. The list displayed can be sorted and filter by the field displayed.
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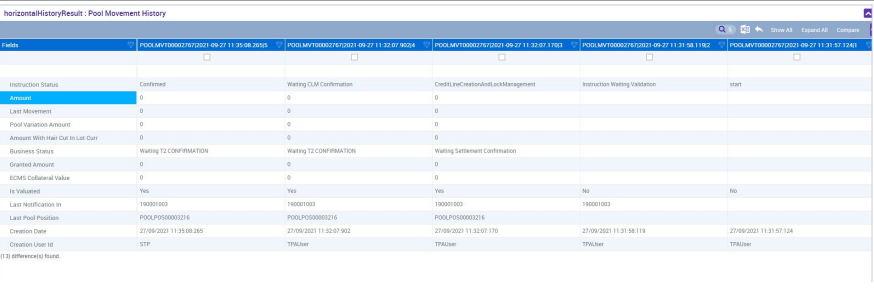
	The list can be exported. By clicking on the “View” button or double clicking on the selected line, the user can display the <i>Triparty Collateral Movements – Details Screen</i> with the details of the selected transaction value.
Business Case related	View Triparty Transaction Related Information
Screen Access	Collateral and Credit >> Collateral >> Triparty >> Triparty Collateral Movements >> <i>Click on the search button (after inputting the relevant Data, if needed)</i>
Screenshot	

Triparty Collateral Movements- List screen	
Field	Description
Pool Movement Id	Shows the Pool Movement Identifier. Displayed format is: Max. 35 characters
Pool Identifier	Shows the Reference of the Counterparty’s Pool. Displayed format is: Max. 255 characters
Instruction Reference	Shows the instruction reference that originated the movement. Displayed format is: Max. 35 characters
Triparty Transaction Reference	Shows the reference of the triparty transaction. Displayed format is: Max. 52 characters
ECMS Collateral Value	Shows the actual Collateral Value of the allocated securities as calculated by ECMS
Transaction Amount	Shows the last Intended Transaction Amount.
Collateral Value Applied	Shows the Collateral Value retained in the ECMS pool of the Counterparty.
Pool Variation	Shows the variation of the collateral value applied in the Triparty Transaction.
Triparty Collateral Movement Status	Shows the last related Triparty Collateral Movement Status from possible values: <ul style="list-style-type: none"> – Pool Impacted – Instruction Cancelled – Rejected – Waiting CLM confirmation – Decrease waiting confirmation – Confirmed – Not enough collateral

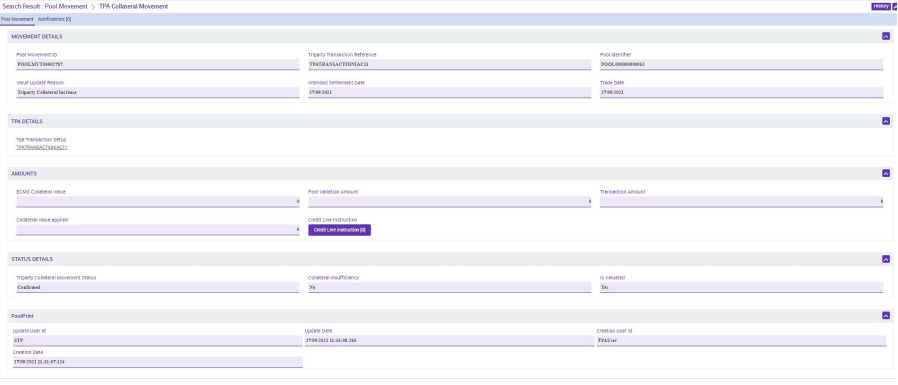
Value update reason	Shows the reason of the last transaction value update: <ul style="list-style-type: none"> - Triparty Collateral Increase - Triparty Collateral Decrease - Closure of Triparty Transaction - ECMS Revaluation - Unilateral Removal Request
Validity Start Date	Shows the Validity Start Date/Time of the triparty Collateral Movement. Displayed format is: DD/MM/YYYY
Validity End Date	Shows the Validity End Date/Time of the triparty Collateral Movement. Displayed format is: DD/MM/YYYY
Creation Date	Shows the Date and time when the transaction value was created in the ECMS. Displayed format is: DD/MM/YYYY hh:mm:ss:µs
Update Date	Shows the Date and time when the transaction value was last updated in the ECMS. Displayed format is: DD/MM/YYYY hh:mm:ss:µs
Updater User Id	Shows the Identifier of the user responsible for the last update of the Collateral Movement.
Button	Function
View	This function enables you to access to the detailed information of a Triparty Collateral Movement. Next screen: <ul style="list-style-type: none"> - <i>Triparty Collateral Movements – Details Screen</i>
History	This function enables you to access to the historical information of a Triparty Collateral Movement. Next screen: <ul style="list-style-type: none"> - <i>Triparty Collateral Movements – History Screen</i>

3.3.7.2.1.2 Triparty Collateral Movements – History Screen

Context of Usage	This screen displays historical information that has changed on the selected Triparty Collateral Movements. You can check the data, including the user who made the changes, and proceed further by clicking on the buttons that are shown.
Screen Access	<ul style="list-style-type: none"> - Collateral and Credit >> Collateral >> Triparty >> Triparty Collateral Movements >> Click on the <i>search</i> button (after inputting the relevant Data, if needed) >> Select a Triparty Collateral Movement >> Click on the <i>History</i> button - Collateral and Credit >> Collateral >> Triparty >> Triparty Collateral Movements >> Click on the <i>search</i> button (after inputting the relevant Data, if needed) >> Select a Triparty Collateral Movement >> Click on the <i>View</i> button (<i>Triparty Collateral Movement –Details Screen</i>) >> <i>Click on the History Button</i>

<p>Screenshot</p>	
<p>Triparty Collateral Movement – History Screen</p>	
<p>Field</p>	<p>Description</p>
<p>Fields</p>	<p>Shows the fields whose information have been updated since the creation of the selected Triparty Collateral Movement.</p>
<p>Timestamp</p>	<p>Shows the date and time when the fields have been updated. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.</p>
<p>Button</p>	<p>Description</p>
<p>Compare</p>	<p>Shows the differences between the selected records whose data have been updated.</p>
<p>Expand All</p>	<p>Shows the expanded information of the fields whose information have been updated since the creation of the selected Triparty Collateral Movement.</p>
<p>Show All</p>	<p>Shows the information that existed before each modification of all the fields regarding the selected Triparty Collateral Movement.</p>
<p>Collapse All</p>	<p>Collapse the expanded information of the fields that have been updated since the creation of the selected Triparty Collateral Movement.</p>
<p>Show Differences Only</p>	<p>Shows only the fields whose information have been updated since the creation of the selected Triparty Collateral Movement</p>

3.3.7.2.1.3 Triparty Collateral Movements – Details Screen

<p>Context of Usage</p>	<p>This screen displays the details of a Triparty Collateral Movement.</p>
<p>Business Case related</p>	<p>View Triparty Transaction Related Information</p>
<p>Screen Access</p>	<p>Collateral and Credit >> Collateral >> Triparty >> Triparty Collateral Movements >> Click on the search button (after inputting the relevant Data, if needed) >> Select a record and click on View button</p>
<p>Screenshot</p>	

Triparty Collateral Movements- Details screen

Button	Description
History	This function enables you to access to the historical information of a Triparty Collateral Movement. Next screen: – <i>Triparty Collateral Movements – History Screen</i>
Field	Description
Transaction General Information	
Pool Move-ment Id	Shows the Pool Movement Identifier. Displayed format is: Max. 35 characters
Pool Identifier	Shows the Reference of the Counterparty’s Pool. Displayed format is: Max. 255 characters
Intended Set-tlement Date	Shows the Intended Settlement Date of the Movement. Displayed format is: DD/MM/YYYY
Trade Date	Shows the Trade Settlement Date of the Movement. Displayed format is: DD/MM/YYYY
Instruction Reference	Shows the instruction reference that originated the movement. Displayed format is: Max. 35 characters
Collateral Giver Id	Shows the RIAD Code of the Collateral Giver as given by the Triparty Agent. Displayed format is: Max. 35 characters
Triparty Transaction Reference	Shows the reference of the triparty transaction. Displayed format is: Max. 52 characters
ECMS Collat-eral Value	Shows the actual Collateral Value of the allocated securities as calculated by ECMS
MOVEMENT DETAILS	
Transaction Amount	Shows the last Intended Transaction Amount.
TPA Collateral Value	Shows the Collateral Value of the allocated securities as calculated by the TPA.
Collateral Value Applied	Shows the Collateral Value retained in the ECMS pool of the Counterparty.
Pool Variation	Shows the variation of the collateral value applied in the Triparty Transaction.
Triparty Col-lateral Move-ment Status	Shows the last related Triparty Collateral Movement Status from possible val-ues: – Pool Impacted – Instruction Cancelled – Rejected – Waiting CLM confirmation – Decrease waiting confirmation – Confirmed – Not enough collateral
Value Update Reason	Shows the reason of the last transaction value update: – Triparty Collateral Increase

	<ul style="list-style-type: none"> - Triparty Collateral Decrease - Closure of Triparty Transaction - ECMS Revaluation - Unilateral Removal Request
Validity Start Date	Shows the Validity Start Date/Time of the triparty Collateral Movement. Displayed format is: DD/MM/YYYY
Validity End Date	Shows the Validity End Date/Time of the triparty Collateral Movement. Displayed format is: DD/MM/YYYY
Status Details	
Is Valuated	Shows if the movement is valuated from possible values: <ul style="list-style-type: none"> - Yes - No
Collateral Insufficiency	Shows from possible values: <ul style="list-style-type: none"> - Yes - No
Footprint	
Creation User Id	Shows the Identifier of the user responsible for the creation of the Collateral Movement.
Creation Date	Shows the Date and time when the transaction value was created in the ECMS. Displayed format is: DD/MM/YYYY hh:mm:ss:µs
Update Date	Shows the Date and time when the transaction value was last updated in the ECMS. Displayed format is: DD/MM/YYYY hh:mm:ss:µs
Updater User Id	Shows the Identifier of the user responsible for the last update of the Collateral Movement.
Trigger of the update of the Triparty Transaction Value	
View Colr.021 from TPA	This button is displayed if the Collateral Movement was updated because of a decrease/closure request. The List of colr.021 Decrease/Closure Request from TPA – Details screen is displayed.
View Colr.024 Cancellation Instruction	This button is displayed if the transaction value was updated because of a cancellation of a decrease/closure request. The List of colr.024 Cancellation Instruction from TPA – Details screen is displayed.
View Colr.022 Report on Flow	This button is displayed if the transaction value was updated because of the reception of a report on flow. The List of colr.022 Report on Flow from TPA – Details screen is displayed.
View Colr.020. Status Advice	This button is displayed if the transaction value was updated because of the Status Advice. The List of colr.020 Status Advice – Details screen is displayed.
View REDA.074. Removal Request	This button is displayed if the transaction value was updated because of the Removal Request. The List of colr.074 Removal Request – Details screen is displayed.

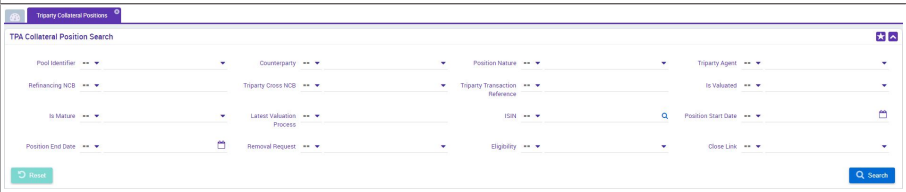
TPA Details	This button is displayed for showing the TPA Transaction details screen. The Triparty Transactions – Details Screen is displayed
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3.3.7.3 Triparty Collateral Positions

Triparty Collateral Positions represent the assets that have been mobilised as collateral in the context of a triparty transaction.

Together with the allocated securities, the ECMS also records the collateral values of each asset as indicated by the TPA and as calculated internally.

3.3.7.3.1 Triparty Collateral Positions – Search Screen

Context of Usage	This screen is used to search Triparty Collateral Positions. It contains the fields that can be used as search criteria. The user captures the fields that correspond to its criteria for searching Triparty Collateral Positions. If a field is left blank, the field is not taken into account as a search criterion. Once search criteria have been filled, by clicking on the search button, the list of instructions fulfilling the criteria will be displayed.
Business Case related	View Triparty Transaction Related Information
Screen Access	Collateral and Credit >> Collateral >> Triparty >> Triparty Collateral Positions
Screenshot	

Triparty Collateral Positions - Search screen

Field	Description
Pool Identifier	Enter / Select the Reference of the Counterparty’s Pool to which the amount of the transaction is added. Required format is: Max. 255 characters
Counterparty	Enter or Select the RIAD Code + Party Short Name of the Counterparty. Required format is: Max. 70 characters
Position Nature	Enter or Select the nature of the position from possible values: – Return – Initiation
Triparty Agent	Enter or Select the RIAD Code of the Triparty Agent. Required format is: Max. 35 characters
Refinancing NCB	Enter or Select the RIAD Code of the Refinancing NCB. Required format is: Max. 35 characters

Triparty Cross NCB	Enter or Select the RIAD Code of the Cross NCB (if any). Required format is: Max. 35 characters
Triparty Transaction Reference	Enter the reference of the triparty transaction. Required format is: Max. 52 characters
Is Valuated	Enter or Select if it is valuated or not.
Is Mature	Enter or Select if it is mature or not.
Latest Valuation Process	Enter the latest valuation process.
ISIN	Enter the identifier of the security. Required format is: Max. XX characters
Position Start Date	Enter the Date/Time when the securities started to be allocated or use the calendar icon. Required format is: DD/MM/YYYY
Position End Date	Enter the Date/Time when the securities ended to be allocated or use the calendar icon. Required format is: DD/MM/YYYY
Removal Request	Select to search only the securities requested to be removed by the refinancing NCB from possible values: – Yes – No
Eligibility	Select to search only the securities that are eligible or ineligible from possible values: – Yes – No
Close Link	Select if a forbidden close link has been detected from possible values: – Yes – No
Button	Function
Reset	To clear the search criteria and close the result windows if any
Search	This function enables you to start a search according to the filled in criteria. The results are displayed in a list on the List Screen. Next screen: – <i>Triparty Collateral Positions– List Screen</i>

3.3.7.3.1.1 Triparty Collateral Positions – List Screen

Context of Usage	This screen contains a list of Triparty Collateral Positions. The values listed correspond to the search criteria captured in the <i>Triparty Collateral Positions – Search</i> screen. The list displayed can be sorted and filter by the field displayed. The list can be exported.
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	By clicking on the “View” button or double clicking on the selected line, the user can display the <i>Triparty Collateral Positions – Details Screen</i> with the details of the selected securities.
Business Case related	View Triparty Transaction Related Information
Screen Access	Collateral and Credit >> Collateral >> Triparty >> Triparty Collateral Positions >> Click on the search button (after inputting the relevant Data, if needed)
Screenshot	

Triparty Collateral Positions - List screen	
Field	Description
Pool Identifier	Shows the Reference of the Counterparty’s Pool to which the amount of the transaction is added. Displayed format is: Max. 255 characters
Counterparty	Shows the RIAD Code + Party Short Name of the Counterparty. Displayed format is: Max. 70 characters
Price	Shows the Price Applied for the valuation of the security.
Currency	Shows the denomination Currency.
Triparty Agent	Shows the RIAD Code of the Triparty Agent. Displayed format is: Max. 35 characters
Refinancing NCB	Shows the RIAD Code of the Refinancing NCB. Displayed format is: Max. 35 characters
Triparty Transaction Reference	Shows the reference of the triparty transaction. Displayed format is: Max. 52 characters
T2S External Asset Account	Shows the reference of the T2S External Asset Account where the securities allocated to the transaction are held Displayed format is: Max. 35 characters
ISIN	Shows the identifier of the security. Displayed format is: Max. XX characters
Quantity	Shows the quantity in FAMT of the security allocated.
Currency	Shows the currency of denomination of the security
Position Start Date	Shows the Date/Time when the securities started to be allocated. Displayed format is: DD/MM/YYYY
Position End Date	Shows the Date/Time when the securities ended to be allocated. Displayed format is: DD/MM/YYYY
Removal Request	Shows only the securities requested to be removed by the refinancing NCB from possible values: <ul style="list-style-type: none"> - Yes - No

Triparty Collateral Positions - Details screen

Field	Description
Transaction General Information	
Pool Identifier	Shows the Reference of the Counterparty’s Pool to which the amount of the transaction is added. Displayed format is: Max. 255 characters
Counterparty	Shows the RIAD Code + Party Short Name of the Counterparty. Displayed format is: Max. 70 characters
Price	Shows the Price Applied for the valuation of the security.
Security Market Value	Shows the Security Market Value.
Currency	Shows the denomination Currency.
Triparty Agent	Shows the RIAD Code of the Triparty Agent. Displayed format is: Max. 35 characters
Refinancing NCB	Shows the RIAD Code of the Refinancing NCB. Displayed format is: Max. 35 characters
Triparty Cross NCB	Enter or select the RIAD Code of the NCB in charge of the relation with the Triparty Agent (Only in case of cross NCB) Displayed format is: Max. 35 characters
Triparty Transaction Reference	Shows the reference of the triparty transaction. Displayed format is: Max. 52 characters
T2S External Asset Account	Shows the reference of the T2S External Asset Account where the securities allocated to the transaction are held Displayed format is: Max. 35 characters
Exchange Rate Date	Shows the date of the Exchange Rate. Displayed format is: DD/MM/YYYY
Is Valuated	Shows if it is valuated or not from possible values: – Yes – No
Is Mature	Shows if it is mature or not from possible values: – Yes – No
Latest Valo Process Id	Shows the latest Valor Process identifier.

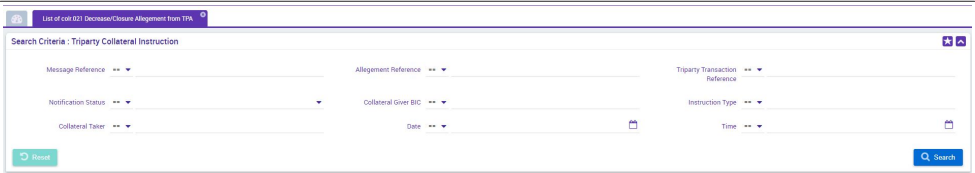
Triparty Transaction Security Position	
ISIN	Shows the identifier of the security.
Quantity	Shows the quantity in FAMT of the security allocated.
Currency	Shows the currency of denomination of the security
Position Start Date	Shows the Date/Time when the securities started to be allocated. Displayed format is: DD/MM/YYYY
Position End Date	Shows the Date/Time when the securities ended to be allocated. Displayed format is: DD/MM/YYYY
Removal Request	Shows only the securities requested to be removed by the refinancing NCB from possible values: <ul style="list-style-type: none"> - Yes - No
Triparty Collateral Position Valuation	
TPA Collateral Value	Shows the collateral value after haircut of the securities as calculated by the TPA
Security Collateral Value	Shows the collateral Value of the security allocated.
Value Date	Shows the applicable date for the collateral value
Eligibility	Shows only the securities that are eligible or ineligible from possible values: <ul style="list-style-type: none"> - Yes - No
Close Link	Shows if a forbidden close link has been detected from possible values: <ul style="list-style-type: none"> - Yes - No
Own-Used	Shows if the security is considered own-used or not
Marketable Asset price	Shows the price used for the ECMS valuation of the security
Pool Factor	Shows the Pool Factor used for the ECMS valuation of the security
Exchange Rate	Shows the Exchange Rate used for the ECMS valuation of the security
Applied Haircut	Shows the haircut use for the ECMS valuation of the asset
Valuation Date	Shows the date and time when the eligibility and the valuation of the security was last performed by the ECMS. Displayed format is: DD/MM/YYYY hh:mm:ss:µs
Footprint	
Creation User Id.	Shows the Identifier of the user responsible for the creation of the allocated security.
Creation Date	Shows the Date and time when the allocated security was created in the ECMS. Displayed format is: DD/MM/YYYY hh:mm:ss:µs
Updater User Id.	Shows the Identifier of the user responsible for the last update of the allocated security.
Update Date	Shows the Date and time when the allocated security was last updated in the ECMS.

	Displayed format is: DD/MM/YYYY hh:mm:ss:µs
Button	Function
Transaction Allocated Securities	This function enables the user to view the list of securities allocated to the transaction. Next screen: – <i>Triparty Collateral Movements – Details Screen</i>
Triparty Transaction Details	This function enables the user to view the transaction details. Next screen: – <i>Triparty Transaction – Details Screen</i>

3.3.7.4 Messages Monitoring

3.3.7.4.1 List of colr.021 Decrease/Closure Allegement from TPA

3.3.7.4.1.1 List of colr.021 Decrease/Closure Allegement from TPA – Search screen

Context of Usage	This screen is used to search the allegements Received by the ECMS. It contains a number of search fields. By inputting the relevant data, you can search for the messages corresponding to the entered criteria. The search results will be displayed in a list in the <i>List of colr.021 Decrease/Closure Allegement from TPA – List Screen</i> .
Screen Access	For colr.021 allegements from TPA Received: Collateral and Credit >> Notifications >> Triparty Notifications >> List of colr.021 Decrease/Closure Allegement from TPA
Screenshot	

List of colr.021 Decrease/Closure Allegement from TPA – Search screen	
Field	Description
Triparty Transaction Reference	Enter the reference of the triparty transaction. Required format is: Max. 52 characters
Message Identifier	Enter the reference of the Message. Required format is: Max. 35 characters
Allegation Reference	Enter the reference of the Allegement sent by the TPA. Required format is: Max. 35 characters
Triparty Agent Service Provider Collateral	Enter the reference assigned to the instruction by the triparty-agent/service-provider Required format is: Max. 35 characters

Client Collateral Transaction Identification	Enter the unique reference identifying the collateral management transaction from the client's point of view. Present in case of a decrease. Required format is: Max. 35 characters
Instruction Type	Select if the message is: – PADJ (decrease) – TERM (Closure)
Eligibility Set Profile Identification	Enter the number identifying the collateral eligibility set profile of the counterparty. Required format is: Max. 35 characters
Collateral Giver	Enter the identifier of the Collateral Giver as given by the Triparty Agent. Required format is: Max. 35 characters
Collateral Taker	Enter the identifier of the Collateral Taker as given by the Triparty Agent. Required format is: Max. 35 characters
Notification Status	Enter / Select the status of the notification from possible values: – Impacted – Impact Failed – Rejected
Creation Date	Enter the reception or the sending Start Date and Time of the message or use the calendar icon. Required format is: DD/MM/YYYY hh:mm:ss:µs
Button	Function
Reset	This function enables you to clear the form.
Search	This function enables you to start a search according to the filled in criteria. The results are displayed in a list on the List Screen. Next screen: – List of colr.021 Decrease/Closure Allegement from TPA – List Screen.

3.3.7.4.1.2 List of colr.021 Decrease/Closure Allegement from TPA – List Screen

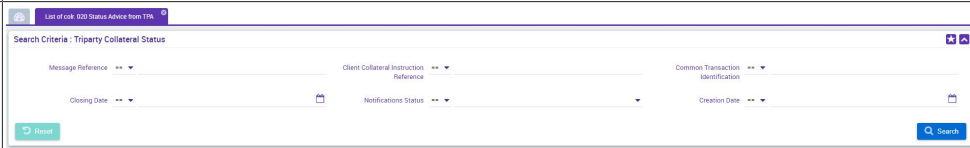
Context of Usage	This screen contains a list of colr.021 messages. The allegations listed correspond to the search criteria capture in the search screen. The list displayed can be sorted and filter using by the field displayed. The list can be exported.
Screen Access	For Triparty Message Received : List of colr.021 Decrease/Closure Allegements from TPA Received: Collateral and Credit >> Notifications >> Triparty Notifications >> List of colr.021 Decrease/Closure Allegement from TPA >> Click on Search button
Screenshot	

List of colr.021 Decrease/Closure Allegement from TPA – List screen	
Field	Description
Triparty Transaction Reference	Shows the reference of the triparty transaction. Displayed format is: Max. 52 characters
Message Identifier	Shows the reference of the Message. Displayed format is: Max. 35 characters
Allegement Reference	Shows the reference of the Allegement sent by the TPA. Displayed format is: Max. 35 characters
Triparty Agent Service Provider Collateral	Shows the reference assigned to the instruction by the triparty-agent/service-provider Displayed format is: Max. 35 characters
Client Collateral Transaction Identification	Shows the unique reference identifying the collateral management transaction from the client's point of view. Present in case of a decrease. Displayed format is: Max. 35 characters
Instruction Type	Shows if the message is: – PADJ (decrease) – TERM (Closure)
Eligibility Set Profile Identification	Shows the number identifying the collateral eligibility set profile of the counterparty. Displayed format is: Max. 35 characters
Collateral Giver	Shows the identifier of the Collateral Giver as given by the Triparty Agent. Displayed format is: Max. 35 characters
Collateral Taker	Shows the identifier of the Collateral Taker as given by the Triparty Agent. Displayed format is: Max. 35 characters
Notification Status	Shows the status of the notification from possible values: – Impacted – Impact Failed – Rejected
Creation Date	Shows the reception or the sending Start Date and time of the message. Displayed format is: DD/MM/YYYY hh:mm:ss:µs
Closing Date	Shows the closing date populated in the message in case it is a closure.
Transaction Amount	Shows the Transaction Amount populated in the message.
Termination Amount	Shows the Termination Amount populated in the message.
Error Description	Shows the description of the error (if it has occurred)
Update Date	Shows the date and time of the last update of the message. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Updater User Id.	Shows the identification of the user that made the last update of the message.
After selecting a record, the following buttons are displayed	

Button	Function
Download	This function enables the user to download a copy of the message.
Reprocess	This function enables the user to reprocess the selected message (only available for users with appropriate rights).
Reprocess All	This function enables the user to reprocess all the messages in List screen (only available for users with appropriate rights).

3.3.7.4.2 List of colr.020 Status Advice from TPA

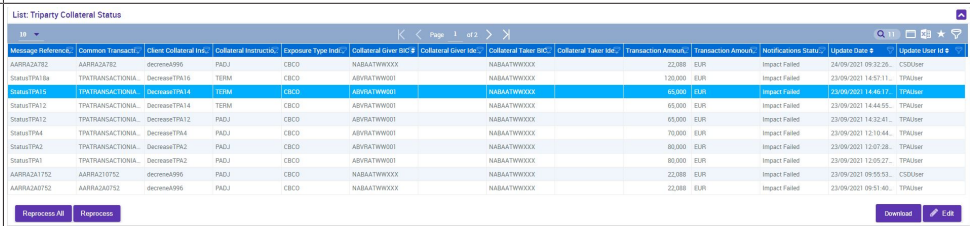
3.3.7.4.2.1 List of colr.020 Status Advice from TPA – Search screen

Context of Usage	<p>This screen is used to search the Status Advice Received by the ECMS. It contains a number of search fields. By inputting the relevant data, you can search for the messages corresponding to the entered criteria.</p> <p>The search results will be displayed in a list in the <i>List of colr. 020 Status Advice from TPA – List Screen</i>.</p>
Screen Access	<p>For colr.020 Status Advice from TPA Received:</p> <p>Collateral and Credit >> Notifications >> Triparty Notifications >> List of colr. 020 Status Advice from TPA >></p>
Screenshot	

List of colr.020 Status Advice from TPA – Search screen	
Field	Description
Triparty Transaction Reference	<p>Enter the reference of the triparty transaction.</p> <p>Required format is: Max. 52 characters</p>
Message Identifier	<p>Enter the reference of the Message.</p> <p>Required format is: Max. 35 characters</p>
Instruction Reference	<p>Enter the reference of the Instruction sent by the ECMS.</p> <p>Required format is: Max. 35 characters</p>
Allegation Reference	<p>Enter the reference of the Allegement sent by the TPA.</p> <p>Required format is: Max. 35 characters</p>
Instruction Type	<p>Select if the message is:</p> <ul style="list-style-type: none"> – PADJ (decrease) – TERM (Closure)
Closing Date	<p>Enter the closing date populated in the message in case it is a closure.</p> <p>Required format is: DD/MM/YYYY</p>
Collateral Giver	<p>Enter the identifier of the Collateral Giver as given by the Triparty Agent.</p> <p>Required format is: Max. 35 characters</p>
Collateral Taker	<p>Enter the identifier of the Collateral Taker as given by the Triparty Agent.</p>

	Required format is: Max. 35 characters
Notification Status	Enter / Select the status of the notification from possible values: <ul style="list-style-type: none"> - Impacted - Impact Failed - Rejected - Archived - Processed - Processed with Failure
Creation Date	Enter the reception or the sending Start Date and Time of the message or use the calendar icon. Required format is: DD/MM/YYYY hh:mm:ss:µs
Button	Function
Reset	This function enables you to clear the form.
Search	This function enables you to start a search according to the filled in criteria. The results are displayed in a list on the List Screen. Next screen: <ul style="list-style-type: none"> - List of colr.020 Status Advice from TPA – List Screen.

3.3.7.4.2.2 List of colr.020 Status Advice from TPA – List Screen

Context of Usage	This screen contains a list of colr.020 messages. The messages listed correspond to the search criteria capture in the search screen. The list displayed can be sorted and filter using by the field displayed. The list can be exported.
Screen Access	<i>For Triparty Message Received :</i> <i>List of colr.020 Status Advice from TPA Received:</i> Collateral and Credit >> Notifications >> Triparty Notifications >> List of colr.020 Status Advice from TPA >> Click on Search button
Screenshot	

List of colr.020 Status Advice from TPA – List screen	
Field	Description
Triparty Transaction Reference	Shows the reference of the triparty transaction. Displayed format is: Max. 52 characters
Message Identifier	Shows the reference of the Message. Displayed format is: Max. 35 characters

Instruction Reference	Shows the reference of the Instruction sent by the ECMS. Displayed format is: Max. 35 characters
Allegation Reference	Shows the reference of the Allegement sent by the TPA. Displayed format is: Max. 35 characters
Instruction Type	Shows if the message is: <ul style="list-style-type: none"> - PADJ (decrease) - TERM (Closure)
Transaction Amount	Shows the Transaction Amount populated in the message.
Termination Amount	Shows the Termination Amount populated in the message.
Closing Date	Shows the closing date populated in the message in case it is a closure.
Collateral Giver	Shows the identifier of the Collateral Giver as given by the Triparty Agent. Displayed format is: Max. 35 characters
Collateral Taker	Shows the identifier of the Collateral Taker as given by the Triparty Agent. Displayed format is: Max. 35 characters
Notification Status	Shows the status of the notification from possible values: <ul style="list-style-type: none"> - Impacted - Impact Failed - Rejected - Archived - Processed - Processed with Failure
Creation Date	Shows the reception or the sending Start Date and time of the message. Displayed format is: DD/MM/YYYY hh:mm:ss:µs
Error Description	Shows the description of the error (if it has occurred)
Update Date	Shows the date and time of the last update of the message. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Updater User Id.	Shows the identification of the user that made the last update of the message.
After selecting a record, the following buttons are displayed	
Button	Function
Download	This function enables the user to download a copy of the message.
Reprocess	This function enables the user to reprocess the selected message (only available for users with appropriate rights).
Reprocess All	This function enables the user to reprocess all the messages in List screen (only available for users with appropriate rights).

3.3.7.4.3 List of colr.019 Instruction to TPA

3.3.7.4.3.1 List of colr.019 Instruction to TPA – Search screen

Context of Usage	<p>This screen is used to search the Instruction sent by the ECMS.</p> <p>It contains a number of search fields. By inputting the relevant data, you can search for the messages corresponding to the entered criteria.</p> <p>The search results will be displayed in a list in the <i>List of colr. 019 Instruction to TPA – List Screen</i>.</p>
Screen Access	<p>For colr.019 Instruction to TPA Sent:</p> <p>Collateral and Credit >> Notifications >> Triparty Notifications >> List of colr. 019 Instruction to TPA >></p>
Screenshot	

List of colr.019 Instruction to TPA – Search screen	
Field	Description
Message Id	Enter the identification of the Message provided by ECMS. Required format is: Max. 35 characters
Client Collateral Instruction Identification	Enter the unique reference identifying the collateral management transaction from the client's point of view. Identifies the potential match. Required format is: Max. 35 characters
Allegation Reference	Enter the reference of the Allegement sent by the TPA. Required format is: Max. 35 characters
Triparty Agent Service Provider Collateral Transaction Identification	Enter the unique reference identifying the triparty-agent/service-provider collateral management transaction from the triparty-agent's/service-provider's point of view. Required format is: Max. 35 characters
Triparty Transaction Reference	Enter the unique identification (UTI) agreed upon by the two trade counterparties to identify the trade. Required format is: Max. 35 characters
Collateral Instruction Type Indicator	Select if the message is: – PADJ (decrease) – TERM (Closure)
Collateral Giver	Enter the identifier of the Collateral Giver as given by the Triparty Agent. Required format is: Max. 35 characters
Collateral Taker	Enter the identifier of the Collateral Taker as given by the Triparty Agent. Required format is: Max. 35 characters
Triparty Agent	Enter or Select the BIC of the Triparty Agent. Required format is: Max. 11 characters

Closing Date	Enter the closing date populated in the message in case it is a closure. Required format is: DD/MM/YYYY
Notification Status	Enter / Select the status of the notification from possible values: <ul style="list-style-type: none"> - Impacted - Impact Failed - Rejected - Archived - Processed - Processed with Failure
Creation Date	Enter the reception or the sending Start Date and Time of the message or use the calendar icon. Required format is: DD/MM/YYYY hh:mm:ss:µs
Button	Function
Reset	This function enables you to clear the form.
Search	This function enables you to start a search according to the filled in criteria. The results are displayed in a list on the List Screen. Next screen: <ul style="list-style-type: none"> - List of colr.019 Instruction to TPA – List Screen.

3.3.7.4.3.2 List of colr.019 Instruction to TPA – List Screen

Context of Usage	This screen contains a list of colr.019 messages. The messages listed correspond to the search criteria capture in the search screen. The list displayed can be sorted and filter using by the field displayed. The list can be exported.
Screen Access	For Triparty Message Sent : List of colr.019 Instruction to TPA Sent: Collateral and Credit >> Notifications >> Triparty Notifications >> List of colr.019 Instruction to TPA >> Click on Search button
Screenshot	

List of colr.019 Instruction to TPA – List screen	
Field	Description
Message Id	Shows the identification of the Message provided by ECMS. Displayed format is: Max. 35 characters
Client Collateral Instruction Identification	Shows the unique reference identifying the collateral management transaction from the client's point of view. Identifies the potential match. Displayed format is: Max. 35 characters

Allegation Reference	Shows the reference of the Allegement sent by the TPA. Displayed format is: Max. 35 characters
Triparty Agent Service Provider Collateral Transaction Identification	Shows the unique reference identifying the triparty-agent/service-provider collateral management transaction from the triparty-agent's/service-provider's point of view. Displayed format is: Max. 35 characters
Triparty Transaction Reference	Shows the unique identification (UTI) agreed upon by the two trade counterparties to identify the trade. Displayed format is: Max. 35 characters
Collateral Instruction Type Indicator	Shows if the message is: – PADJ (decrease) – TERM (Closure)
Collateral Giver	Shows the identifier of the Collateral Giver as given by the Triparty Agent. Displayed format is: Max. 35 characters
Collateral Taker	Shows the identifier of the Collateral Taker as given by the Triparty Agent. Displayed format is: Max. 35 characters
Triparty Agent	Shows the BIC of the Triparty Agent. Displayed format is: Max. 11 characters
Closing Date	Shows the closing date populated in the message in case it is a closure. Displayed format is: DD/MM/YYYY
Transaction Amount	Shows the Transaction Amount populated in the message. – Intended collateral Transaction Amount to be decreased in case of PADJ. – Last transaction amount before the closure request in case of TERM.
Notification Status	Shows the status of the notification from possible values: – Impacted – Impact Failed – Rejected – Archived – Processed – Processed with Failure
Error Description	Shows the description of the error (if it has occurred)
Creation Date	Shows the reception or the sending Start Date and Time of the message. Displayed format is: DD/MM/YYYY hh:mm:ss:µs
Update Date	Shows the date and time of the last update of the message. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Updater User Id.	Shows the identification of the user that made the last update of the message.
After selecting a record, the following buttons are displayed	
Button	Function
Download	This function enables the user to download a copy of the message.

3.3.8 Notification

3.3.8.1 Admi.007 Sent to CPTY

3.3.8.1.1 Admi.007 Sent to CPTY – Search Screen

Context of Usage	<p>This screen contains a list of messages.</p> <p>The allegations listed correspond to the search criteria capture in the search screen.</p> <p>The list displayed can be sorted and filter using by the field displayed.</p> <p>The list can be exported.</p>
Screen Access	Collateral and Credit >> Notification >> Counterparties Notifications >> Admi.007 Sent to CPTY
Screenshot	

Admi.007 Sent to CPTY – Search Screen	
Field	Description
Message Identifier	Reference of the notification message
Related Reference	Enter the related reference.
Notification Status	<p>Enter the status of the Notification from the possible values:</p> <ul style="list-style-type: none"> – Impacted – Impacted Failed – Rejected – Archived – WaitingValidation – Prepared – Processed – Processed with Failure
Direction	<p>Indicator stating that the notification has been received or sent by the ECMS.</p> <p>Possible values:</p> <ul style="list-style-type: none"> – In – Out
Correspondent Type	<p>Enter / Select the correspondent type from possible values:</p> <ul style="list-style-type: none"> – Client – Market – Other
Creation Date	Enter the date and time when the instruction was created in the ECMS or use the calendar icon.

	Required format is: DD/MM/YYYY hh:mm:ss:µs.
Button	Function
Reset	To clear the search criteria and close the result windows if any
Search	This function enables you to start a search according to the criteria entered. The results are Showed in a list on the screen List screen. Next screen: – Admi.007 Sent to CPTY – List Screen

3.3.8.1.2 Admi.007 Sent to CPTY – List Screen

Context of Usage	This screen contains the search results displayed in a list. After selecting an entry, you can proceed further by clicking on the buttons below.																																																																																																			
Screen Access	Collateral and Credit >> Notification >> Counterparties Notifications >> Admi.007 Sent to CPTY >> <i>Click on Search button</i>																																																																																																			
Screenshot	<p>Search Result : Swift Ack Notification</p> <table border="1"> <thead> <tr> <th>Message Reference #</th> <th>Related Reference #</th> <th>Related Message Name #</th> <th>Sender Address #</th> <th>Receiver Address #</th> <th>Direction #</th> <th>Notifications Status #</th> <th>Update Date #</th> <th>Update User #</th> </tr> </thead> <tbody> <tr> <td>43775</td> <td>FPF016112021TC0005</td> <td>1E27085419402001</td> <td>NABAATWXXX</td> <td>ABISATWXXX</td> <td>Out</td> <td>Processed</td> <td>16/11/2021 14:27:32.511</td> <td>STP</td> </tr> <tr> <td>43150</td> <td>REPORTTR42</td> <td>okr022</td> <td>NABAATWXXX</td> <td>OCSDATWXXX</td> <td>Out</td> <td>Processed</td> <td>24/09/2021 16:51:09.024</td> <td>TPAlber</td> </tr> <tr> <td>43760</td> <td>AAPRA2AT82</td> <td>okr020</td> <td>NABAATWXXX</td> <td>OCSDATWXXX</td> <td>Out</td> <td>Processed</td> <td>24/09/2021 09:22:25.969</td> <td>CS0user</td> </tr> <tr> <td>41720</td> <td>StanaTPA12</td> <td>okr020</td> <td>NABAATWXXX</td> <td>OCSDATWXXX</td> <td>Out</td> <td>Processed</td> <td>23/09/2021 14:44:55.775</td> <td>TPAlber</td> </tr> <tr> <td>39430</td> <td>REPORTTR41</td> <td>okr022</td> <td>NABAATWXXX</td> <td>OCSDATWXXX</td> <td>Out</td> <td>Processed</td> <td>23/09/2021 09:43:33.081</td> <td>ATCB005</td> </tr> <tr> <td>39000</td> <td>REPORTTR43</td> <td>okr022</td> <td>NABAATWXXX</td> <td>OCSDATWXXX</td> <td>Out</td> <td>Processed</td> <td>23/09/2021 10:13:40.022</td> <td>TPAlber</td> </tr> <tr> <td>39700</td> <td>REPORTTR43</td> <td>okr022</td> <td>NABAATWXXX</td> <td>OCSDATWXXX</td> <td>Out</td> <td>Processed</td> <td>23/09/2021 10:12:48.010</td> <td>TPAlber</td> </tr> <tr> <td>39670</td> <td>REPORTTR42</td> <td>okr022</td> <td>NABAATWXXX</td> <td>OCSDATWXXX</td> <td>Out</td> <td>Processed</td> <td>23/09/2021 10:04:20.458</td> <td>STP</td> </tr> <tr> <td>39650</td> <td>AAPRA270742</td> <td>okr022</td> <td>NABAATWXXX</td> <td>OCSDATWXXX</td> <td>Out</td> <td>Processed</td> <td>23/09/2021 10:02:50.531</td> <td>CS0user</td> </tr> <tr> <td>39630</td> <td>REPORTTR42</td> <td>okr022</td> <td>NABAATWXXX</td> <td>OCSDATWXXX</td> <td>Out</td> <td>Processed</td> <td>23/09/2021 10:02:59.097</td> <td>STP</td> </tr> </tbody> </table>	Message Reference #	Related Reference #	Related Message Name #	Sender Address #	Receiver Address #	Direction #	Notifications Status #	Update Date #	Update User #	43775	FPF016112021TC0005	1E27085419402001	NABAATWXXX	ABISATWXXX	Out	Processed	16/11/2021 14:27:32.511	STP	43150	REPORTTR42	okr022	NABAATWXXX	OCSDATWXXX	Out	Processed	24/09/2021 16:51:09.024	TPAlber	43760	AAPRA2AT82	okr020	NABAATWXXX	OCSDATWXXX	Out	Processed	24/09/2021 09:22:25.969	CS0user	41720	StanaTPA12	okr020	NABAATWXXX	OCSDATWXXX	Out	Processed	23/09/2021 14:44:55.775	TPAlber	39430	REPORTTR41	okr022	NABAATWXXX	OCSDATWXXX	Out	Processed	23/09/2021 09:43:33.081	ATCB005	39000	REPORTTR43	okr022	NABAATWXXX	OCSDATWXXX	Out	Processed	23/09/2021 10:13:40.022	TPAlber	39700	REPORTTR43	okr022	NABAATWXXX	OCSDATWXXX	Out	Processed	23/09/2021 10:12:48.010	TPAlber	39670	REPORTTR42	okr022	NABAATWXXX	OCSDATWXXX	Out	Processed	23/09/2021 10:04:20.458	STP	39650	AAPRA270742	okr022	NABAATWXXX	OCSDATWXXX	Out	Processed	23/09/2021 10:02:50.531	CS0user	39630	REPORTTR42	okr022	NABAATWXXX	OCSDATWXXX	Out	Processed	23/09/2021 10:02:59.097	STP
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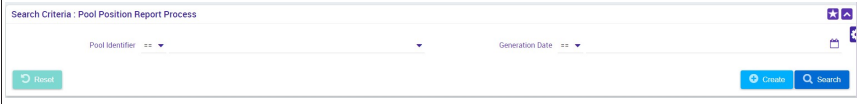
Admi.007 Sent to CPTY – List screen	
Column	Description
Message Identifier	Shows the Reference of the notification message
Related Reference	Shows the related reference.
Related Message Name	Shows the name of the related message.
Sender Address	Shows the BIC address of the sender of the message.
Receiver Address	Shows the BIC address of the receiver of the message.
Direction	Indicator stating that the notification has been received or sent by the ECMS. Possible values: – In – Out
Notification Status	Shows the status of the Notification from the possible values: – Impacted – Impacted Failed – Rejected – Archived – WaitingValidation – Prepared – Processed – Processed with Failure

Error Description	Shows the error description (if there is any).
Updater User Id.	Identifier of the user responsible for the last update of the instruction.
Update Date	Date and time when the instruction was last updated in the ECMS. Shows format is: DD/MM/YYYY hh:mm:ss:µs.
Button	Function
Download	This function enables the user to download a copy of the message.
Reprocess	[Only for notifications Received] This function enables the user to reprocess the selected message (only available for users with appropriate rights).
Reprocess All	[Only for notifications Received] This function enables the user to reprocess all the messages in List screen (only available for users with appropriate rights).

3.3.8.2 Pool Position Query

This U2A query displays the adhoc A2A Report Query Request adm1.005 sent by the Counterparty or NCB to request the A2A colr.016 Pool Position report.

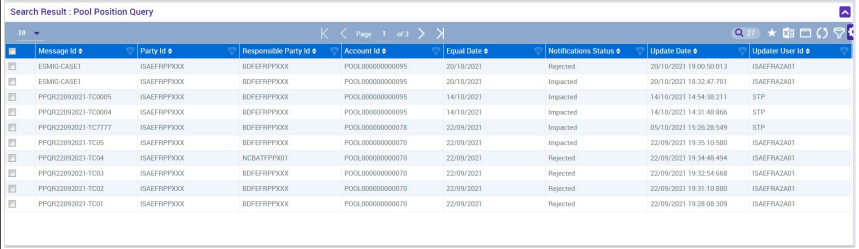
3.3.8.2.1 Pool Position Query - Search Screen

Context of Usage	This screen is used to enter criteria to retrieve the adm1.005 Report Query Request for the A2A colr.016 Pool Position report.
Screen Access	Collateral and Credit >> Notification >> Pool Position Query
Screenshot	

Pool Position Query – Search screen	
Field	Description
Message ID	Enter the Identifier that uniquely identifies the message adm1.005. Required format is: Max. XX characters.
Party BIC Code	Enter the BIC Code that identifies the Party Required format is: Max. 11 characters.
Account ID	Enter the Pool ID for the Pool Position report query Required format is: Max. XX characters.
Equal Date	Enter/Select the ECMS Business date requested for the Pool Position report query. The calendar icon can also be used. Required format is: DD/MM/YYYY.
Creation Date	Enter/Select the creation date of the report query. The calendar icon can also be used. Required format is: DD/MM/YYYY.

Button	Description
Reset	This function enables you to clear the form.
Search	This function enables you to start a search according to the filled in criteria. The results are displayed in a list on the List Screen.

3.3.8.2.2 Pool Position Query - List Screen

Context of Usage	This screen contains the search results displayed in a list. After selecting an entry, you can proceed further by clicking on the buttons below.																																																																																								
Screen Access	Collateral and Credit >> Reporting >> Pool Position Query >> Click on the <i>Search</i> button (after inputting the relevant fields, if needed)																																																																																								
Screenshot	 <p>Search Result - Pool Position Query</p> <table border="1"> <thead> <tr> <th>Message Id</th> <th>Party Id</th> <th>Responsible Party Id</th> <th>Account Id</th> <th>Equal Date</th> <th>Notifications Status</th> <th>Update Date</th> <th>Updater User Id</th> </tr> </thead> <tbody> <tr> <td>ESMG CASE1</td> <td>ISAEFRP9XXX</td> <td>EDFEFRP9XXX</td> <td>POOL000000000095</td> <td>20/10/2021</td> <td>Rejected</td> <td>20/10/2021 19:00:50.013</td> <td>ISAEFRAC2401</td> </tr> <tr> <td>ESMG CASE1</td> <td>ISAEFRP9XXX</td> <td>EDFEFRP9XXX</td> <td>POOL000000000095</td> <td>20/10/2021</td> <td>Impacted</td> <td>20/10/2021 18:32:47.701</td> <td>ISAEFRAC2401</td> </tr> <tr> <td>PPQR22092021 TC0005</td> <td>ISAEFRP9XXX</td> <td>EDFEFRP9XXX</td> <td>POOL000000000095</td> <td>14/10/2021</td> <td>Impacted</td> <td>14/10/2021 14:54:38.211</td> <td>STP</td> </tr> <tr> <td>PPQR22092021 TC0004</td> <td>ISAEFRP9XXX</td> <td>EDFEFRP9XXX</td> <td>POOL000000000095</td> <td>14/10/2021</td> <td>Impacted</td> <td>14/10/2021 14:31:48.866</td> <td>STP</td> </tr> <tr> <td>PPQR22092021 TC7777</td> <td>ISAEFRP9XXX</td> <td>EDFEFRP9XXX</td> <td>POOL000000000078</td> <td>22/09/2021</td> <td>Impacted</td> <td>09/10/2021 15:28:28.549</td> <td>STP</td> </tr> <tr> <td>PPQR22092021 TC05</td> <td>ISAEFRP9XXX</td> <td>EDFEFRP9XXX</td> <td>POOL000000000070</td> <td>22/09/2021</td> <td>Impacted</td> <td>22/09/2021 19:35:10.580</td> <td>ISAEFRAC2401</td> </tr> <tr> <td>PPQR22092021 TC04</td> <td>ISAEFRP9XXX</td> <td>WCDLFP99001</td> <td>POOL000000000070</td> <td>22/09/2021</td> <td>Rejected</td> <td>22/09/2021 19:34:46.494</td> <td>ISAEFRAC2401</td> </tr> <tr> <td>PPQR22092021 TC03</td> <td>ISAEFRP9XXX</td> <td>EDFEFRP9XXX</td> <td>POOL000000000070</td> <td>22/09/2021</td> <td>Rejected</td> <td>22/09/2021 19:25:54.668</td> <td>ISAEFRAC2401</td> </tr> <tr> <td>PPQR22092021 TC02</td> <td>ISAEFRP9XXX</td> <td>EDFEFRP9XXX</td> <td>POOL000000000070</td> <td>22/09/2021</td> <td>Rejected</td> <td>22/09/2021 19:31:10.580</td> <td>ISAEFRAC2401</td> </tr> <tr> <td>PPQR22092021 TC01</td> <td>ISAEFRP9XXX</td> <td>EDFEFRP9XXX</td> <td>POOL000000000070</td> <td>22/09/2021</td> <td>Rejected</td> <td>22/09/2021 19:28:08.309</td> <td>ISAEFRAC2401</td> </tr> </tbody> </table>	Message Id	Party Id	Responsible Party Id	Account Id	Equal Date	Notifications Status	Update Date	Updater User Id	ESMG CASE1	ISAEFRP9XXX	EDFEFRP9XXX	POOL000000000095	20/10/2021	Rejected	20/10/2021 19:00:50.013	ISAEFRAC2401	ESMG CASE1	ISAEFRP9XXX	EDFEFRP9XXX	POOL000000000095	20/10/2021	Impacted	20/10/2021 18:32:47.701	ISAEFRAC2401	PPQR22092021 TC0005	ISAEFRP9XXX	EDFEFRP9XXX	POOL000000000095	14/10/2021	Impacted	14/10/2021 14:54:38.211	STP	PPQR22092021 TC0004	ISAEFRP9XXX	EDFEFRP9XXX	POOL000000000095	14/10/2021	Impacted	14/10/2021 14:31:48.866	STP	PPQR22092021 TC7777	ISAEFRP9XXX	EDFEFRP9XXX	POOL000000000078	22/09/2021	Impacted	09/10/2021 15:28:28.549	STP	PPQR22092021 TC05	ISAEFRP9XXX	EDFEFRP9XXX	POOL000000000070	22/09/2021	Impacted	22/09/2021 19:35:10.580	ISAEFRAC2401	PPQR22092021 TC04	ISAEFRP9XXX	WCDLFP99001	POOL000000000070	22/09/2021	Rejected	22/09/2021 19:34:46.494	ISAEFRAC2401	PPQR22092021 TC03	ISAEFRP9XXX	EDFEFRP9XXX	POOL000000000070	22/09/2021	Rejected	22/09/2021 19:25:54.668	ISAEFRAC2401	PPQR22092021 TC02	ISAEFRP9XXX	EDFEFRP9XXX	POOL000000000070	22/09/2021	Rejected	22/09/2021 19:31:10.580	ISAEFRAC2401	PPQR22092021 TC01	ISAEFRP9XXX	EDFEFRP9XXX	POOL000000000070	22/09/2021	Rejected	22/09/2021 19:28:08.309	ISAEFRAC2401
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Pool Position Query – List screen	
General Information	
Field	Description
Message ID	Shows the Identifier that uniquely identifies the message admi.005. Displayed format is: Max. XX characters.
Party BIC Code	Shows the BIC Code that identifies the Party Displayed format is: Max. 11 characters.
Responsible Party BIC Code	Shows the BIC Code that identifies the Responsible Party Displayed format is: Max. 11 characters.
Account ID	Shows the Pool ID for the Pool Position report query Displayed format is: Max. XX characters.
Equal Date	Shows the ECMS Business date requested for the Pool Position report query. Displayed format is: DD/MM/YYYY.
Notification Status	Shows the status of the notification. Displayed format is: Max. XX characters.
Update Date	Shows the Update date of the report query. Displayed format is: DD/MM/YYYY.
Updater User Id.	Shows the Identifier of the user responsible for the running the Pool Position report query. Displayed format is: Max. 35 characters.
Button	Description
Download	This function enables you to download a copy of the report query.

3.3.8.3 Counterparty Processing Report

3.3.8.3.1 Counterparty Processing Report – Search Screen

Context of Usage	<p>This screen contains a list of counterparty processing report.</p> <p>The counterparty processing report listed correspond to the search criteria capture in the search screen.</p> <p>The list displayed can be sorted and filter using by the field displayed.</p> <p>The list can be exported.</p>
Screen Access	Notification >> Credit Claim >> Counterparty Processing Report
Screenshot	

Counterparty Processing Report – Search Screen	
Field	Description
File Ref	Input the unique message reference provided by ECMS.
Counterparty Identifier	Input the Counterparty RIAD Code
Notification Status	<p>Select/Input from the drop down list the notification status.</p> <p>Possible values:</p> <ul style="list-style-type: none"> – Processed – Processed With Failure
Creation Date	<p>Input the creation date and time of the counterparty processing report.</p> <p>Format: DD/MM/YYYY hh:mm:ss:sss</p>
Button	Function
Reset	To clear the search criteria and close the result windows if any
Search	<p>This function enables you to start a search according to the criteria entered. The results are Showed in a list on the screen List screen. Next screen:</p> <ul style="list-style-type: none"> – <i>Counterparty Processing Report – List Screen</i>

3.3.8.3.1.1 Counterparty Processing Report – List Screen

Context of Usage	This screen contains the search results displayed in a list. After selecting an entry, you can proceed further by clicking on the buttons below.
Screen Access	Notification >> Credit Claim >> Counterparty Processing Report >> <i>Click on Search button</i>

File Ref	Counterparty Identifier	Number Of Lines	Notifications Status	Error Description	Creation Date	Creation User Id
CC-MOB-MOBCC090831	84		Processed		09/08/2022 11:27:29.851	ISAEFRA2A01
CC-MOB-MOBCC090830	84		Processed		09/08/2022 11:26:19.726	ISAEFRA2A01
CC-A90822002	84		Processed		09/08/2022 11:25:28.086	ISAEFRA2A01
CC-MOB-MOBCC090829	84		Processed		09/08/2022 11:22:29.358	ISAEFRA2A01
R90982204	84		Processed		09/08/2022 11:21:09.638	ISAEFRA2A01
CC-MOB-MOBCC090827	84		Processed		09/08/2022 11:19:01.214	ISAEFRA2A01
CC-A90822001	84		Processed		09/08/2022 11:16:17.851	ISAEFRA2A01
CCF090822003	84		Processed		09/08/2022 11:12:55.645	ISAEFRA2A01
CCF090822002	84		Processed		09/08/2022 11:11:24.976	ISAEFRA2A01
CCF090822001	84		Processed		09/08/2022 11:09:54.808	ISAEFRA2A01

Counterparty Processing Report – List screen	
Field	Description
File Ref	Displays the unique message reference received from the counterparty
Counterparty Identifier	Displays the RIAD Code of the counterparty
Number of Lines	Displays the number of lines
Notification Status	Displays the notification status Possible Values: – Processed – Processed With Failure
Error Description	Displays the error description when the file is in status “Impact Failed”
Creation Date	Displays the creation date of the counterparty processing report Format: DD/MM/YYYY hh:mm:ss:sss
Creation User Id	Displays the creation user identifier of the file
Button	Function
Download	This function enables the user to download a copy of the file.
View	This function enables the user to view the detailed attributes of the sent notification to the counterparty
View Message	This function enables the user to view the detailed attributes of the sent message to the counterparty

3.3.9 Reporting

3.3.9.1 Automatic Pool Position Report

This U2A query displays the A2A colr.016 Pool Position report, which provides information on a specific Counterparty Pool. This A2A colr.016 Pool Position report is generated automatically by ECMS based on the subscription (daily, weekly, monthly, yearly) by the Counterparty or NCB user.

3.3.9.1.1 Automatic Pool Position Report - Search Screen

Context of Usage	This screen is used to enter criteria to retrieve the Pool Position report.
Screen Access	Collateral and Credit >> Reporting >> Automatic Pool Position Report



Automatic Pool Position Report – Search screen

Field	Description
Pool Reference	Enter the Identifier that uniquely identifies the report. Required format is: Max. 255 characters.
Generation Date	Enter/Select the Generation date of the report. The calendar icon can also be used. Required format is: DD/MM/YYYY.
Button	Description
Reset	This function enables you to clear the form.
Search	This function enables you to start a search according to the filled in criteria. The results are displayed in a list on the List Screen.

3.3.9.1.2 Automatic Pool Position Report - List Screen

Context of Usage	This screen contains the search results displayed in a list. After selecting an entry, you can proceed further by clicking on the buttons below.
Screen Access	Collateral and Credit >> Reporting >> Automatic Pool Position Report >> Click on the <i>Search</i> button (after inputting the relevant fields, if needed)
Screenshot	

Automatic Pool Position Report – List screen

General Information	
Field	Description
Pool Reference	Shows the Identifier that uniquely identifies the report Displayed format is: Max. 255 characters.
Generation Date	Shows the Generation date of the report. Displayed format is: DD/MM/YYYY.
Execution Date	Shows the Execution date of the report. Displayed format is: DD/MM/YYYY.
Frequency	Shows the Frequency of the report. Possible values are: <ul style="list-style-type: none"> - ONDE for on demand adhoc report via admi.005, or weekly, monthly, yearly subscription - DAIL for daily subscription Displayed format is: Max. 4 characters.
Button	Description

Download	This function enables you to download a copy of the report.
----------	---

3.3.9.2 Pool Position Report

This U2A query displays the A2A colr.016 Pool Position report, which provides information on a specific Counterparty Pool. This A2A colr.016 Pool Position report is requested by the Counterparty or NCB user via the A2A Pool Position Report Query admi.005.

The Pool Position Report corresponds to the colr.016 Statement of Pool.

3.3.9.2.1 Pool Position Report - Search Screen

Context of Usage	This screen is used to enter criteria to retrieve the Pool Position report.
Screen Access	Collateral and Credit >> Reporting >> Pool Position Report
Screenshot	

Pool Position Report – Search screen	
Field	Description
Pool Reference	Enter the Identifier that uniquely identifies the report. Required format is: Max. 255 characters.
Party BIC Code	Enter the BIC Code that identifies the counterparty Required format is: Max. 11 characters.
NCB BIC Code	Enter the BIC Code that identifies the NCB Required format is: Max. 11 characters.
Update Date	Enter/Select the Update date of the report. The calendar icon can also be used. Required format is: DD/MM/YYYY.
Updater User Id.	Enter/Select the Identifier of the user responsible for the last update of the report. Required format is: Max. 35 characters.
Button	Description
Reset	This function enables you to clear the form.
Search	This function enables you to start a search according to the filled in criteria. The results are displayed in a list on the List Screen.

3.3.9.2.2 Pool Position Report - List Screen

Context of Usage	This screen contains the search results displayed in a list. After selecting an entry, you can proceed further by clicking on the buttons below.
Screen Access	Collateral and Credit >> Reporting >> Pool Position Report >> Click on the <i>Search</i> button (after inputting the relevant fields, if needed)

Reference	Party Bic	NCB Bic	Notifications Status	Error Description	Update Date	Updater User Id
178218420	NATXFRPXXX	BDFEFPXXX	Processed		08/08/2022 19:00:16.979	ECMSOperationalDayScheduler
178208620	NATXFRPXXX	BDFEFPXXX	Processed		08/08/2022 19:00:11.550	ECMSOperationalDayScheduler
177476620	NATXFRPXXX	BDFEFPXXX	Processed		07/08/2022 23:14:55.092	ECMSOperationalDayScheduler
177466620	NATXFRPXXX	BDFEFPXXX	Processed		07/08/2022 23:14:52.053	ECMSOperationalDayScheduler
177126620	NATXFRPXXX	BDFEFPXXX	Processed		05/08/2022 19:00:21.985	ECMSOperationalDayScheduler
177116620	NATXFRPXXX	BDFEFPXXX	Processed		05/08/2022 19:00:18.956	ECMSOperationalDayScheduler
177106620	NATXFRPXXX	BDFEFPXXX	Processed		05/08/2022 19:00:15.777	ECMSOperationalDayScheduler
175956620	NATXFRPXXX	BDFEFPXXX	Processed		04/08/2022 19:00:15.917	ECMSOperationalDayScheduler
175956620	NATXFRPXXX	BDFEFPXXX	Processed		04/08/2022 19:00:12.233	ECMSOperationalDayScheduler
175926620	NATXFRPXXX	BDFEFPXXX	Processed		04/08/2022 18:31:04.250	ECMSOperationalDayScheduler

Pool Position Report – List screen	
General Information	
Field	Description
Pool Reference	Shows the Identifier that uniquely identifies the report Displayed format is: Max. 255 characters.
Party BIC Code	Shows the BIC Code that identifies the counterparty Displayed format is: Max. 11 characters.
NCB BIC Code	Shows the BIC Code that identifies the NCB Displayed format is: Max. 11 characters.
Notification Status	Shows the status of the notification. Displayed format is: Max. XX characters.
Error Description	Shows the description of the error (if there has been an error). Displayed format is: Max. XX characters.
Update Date	Shows the Update date of the report. Displayed format is: DD/MM/YYYY.
Updater User Id.	Shows the Identifier of the user responsible for the last update of the report. Displayed format is: Max. 35 characters.
Button	Description
Download	This function enables you to download a copy of the report.

3.3.10 Setup

3.3.10.1 Message Subscription

3.3.10.1.1 Message Subscription Setup

3.3.10.1.1.1 Message Subscription Setup – Search Screen

Context of Usage	This screen contains a number of search fields. By inputting the relevant data, you can search for the Message Subscription Setup. The search results will be displayed in a list. After selecting an entry, you can proceed further by clicking on the buttons that are shown.
Screen Access	Collateral And Credit >> Setup >> Message Subscription >> Message Subscription Setup

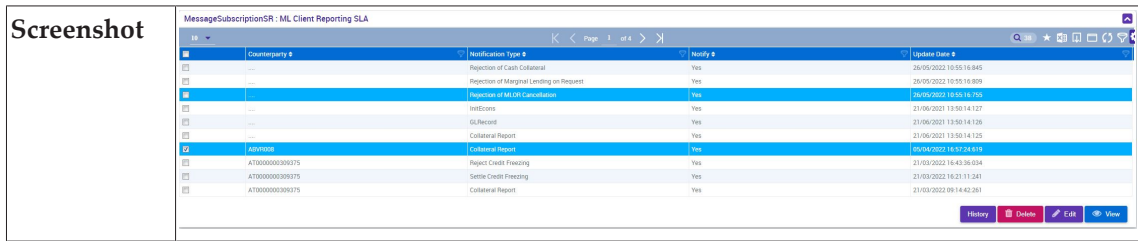


Message Subscription Setup – Search screen	
Field	Description
Notification Type	Enter the type of the notification from possible values: <ul style="list-style-type: none"> – Confirmation of Cash Collateral – Rejection of Cash Collateral – Collateral Report – Margin Call – Copnfirmation of Marginal Lending on Request – Rejection of Marginal Lending on Request – Settle Maximun Credit Line – Reject Maximun Credit Line – Credit Claim Processing Report – Reject Credit Freezing – Settle Credit Freezing – Execution of MLOR Cancellation – Rejection of MLOR Cancellation
Counterparty	Enter / Select the RIAD Code and Short Name that identifies the counterparty in the ECMS. Required format is: Max. 70 characters.
Creation Date	Enter the date and time of creation in the ECMS or use the calendar icon. Required format is: DD/MM/YYYY hh:mm:ss:µs.
Button	Function
Reset	This function enables you to clear the form.
Create	This function enables you to create a new Message Subscription Setup. Next screen: <ul style="list-style-type: none"> – <i>Message Subscription Setup – Create Screen.</i>
Search	This function enables you to start a search according to the filled in criteria. The results are displayed in a list on the List Screen. Next screen: <ul style="list-style-type: none"> – <i>Message Subscription Setup – List Screen.</i>

3.3.10.1.1.1. Message Subscription Setup – List Screen

1

Context of Usage	This screen contains the search results displayed in a list. After selecting an entry, you can proceed further by clicking on the buttons below.
Screen Access	<i>Collateral And Credit >> Setup >> Message Subscription >> Message Subscription Setup >> Click on the search button (after inputting the relevant data, if needed)</i>



Message Subscription Setup – List Screen

Field	Description
Counterparty	Shows the RIAD Code that identifies the counterparty in the ECMS. Displayed format is: Max. 30 characters.
Notification Type	Shows the type of the notification from possible values: <ul style="list-style-type: none"> – Confirmation of Cash Collateral – Rejection of Cash Collateral – Collateral Report – Margin Call – Copnfirmation of Marginal Lending on Request – Rejection of Marginal Lending on Request – Settle Maximun Credit Line – Reject Maximun Credit Line – Credit Claim Processing Report – Reject Credit Freezing – Settle Credit Freezing – Execution of MLOR Cancellation – Rejection of MLOR Cancellation
Notify	Shows if the flag Notify is activated, from possible values: <ul style="list-style-type: none"> – Yes – No
Update Date	Date and time when the instruction was last updated in the ECMS. Shows format is: DD/MM/YYYY hh:mm:ss:µs.
After selecting a record, the following buttons are displayed	
Button	Function
History	This function enables you to access to the historical information of a Message Subscription Setup. Next screen: <ul style="list-style-type: none"> – <i>Message Subscription Setup – History Screen.</i>
Edit	This function enables you to edit a Message Subscription Setup. Next screen: <ul style="list-style-type: none"> – <i>Message Subscription Setup – Edit Screen.</i>
View	This function enables you to access to the detailed information of a Message Subscription Setup.

	Next screen: – <i>Message Subscription Setup – Details Screen.</i>
Delete	This button allows you to delete the selected record.

3.3.10.1.1.1 Message Subscription Setup – History Screen

.1

Context of Usage	This screen displays historical information that has changed on the selected Message Subscription Setup. You can check the data, including the user who made the changes, and proceed further by clicking on the buttons that are shown.
Screen Access	<ul style="list-style-type: none"> – <i>Collateral And Credit >> Setup >> Message Subscription >> Message Subscription Setup >> Click on the search button (after inputting the relevant data, if needed) >> Select a record >> Click on the History button</i> – <i>Collateral And Credit >> Setup >> Message Subscription >> Message Subscription Setup >> Click on the search button (after inputting the relevant data, if needed) >> Select a record >> Click on the View button (Message Subscription Setup – Details Screen) >> Click on the History Button</i>
Screenshot	

Message Subscription Setup – History Screen	
Field	Description
Fields	Shows the fields whose information have been updated since the creation of the selected Message Subscription Setup.
Timestamp	Shows the date and time when the fields have been updated Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Button	Description
Compare	Shows the differences between the selected records whose data have been updated.
Expand All	Shows the expanded information of the fields whose information have been updated since the creation of the selected record.
Show All	Shows the information that existed before each modification of all the fields regarding the selected record.
Collapse All	Collapse the expanded information of the fields that have been updated since the creation of the selected record.
Show Differences Only	Shows only the fields whose information have been updated since the creation of the selected record.

3.3.10.1.1.1. Message Subscription Setup – Details Screen

2

Context of Usage	This screen displays detailed information on the selected Message Subscription Setup. You can check the data and proceed further by clicking on the buttons.
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Screen Access	Collateral And Credit >> Setup >> Message Subscription >> Message Subscription Setup >> Click on the search button (after inputting the relevant data, if needed) >> Select a record >> Click on the View button
Screenshot	


Message Subscription Setup – Details Screen

Button	Description
History	This function enables you to access to the historical information of a Message Subscription Setup. Next screen: – <i>Message Subscription Setup – History</i> Screen.
Context	
Field	Description
Counterparty	Shows the RIAD Code that identifies the counterparty in the ECMS. Displayed format is: Max. 30 characters.
Notification Type	Shows the type of the notification from possible values: <ul style="list-style-type: none"> – Confirmation of Cash Collateral – Rejection of Cash Collateral – Collateral Report – Margin Call – Copnfirmation of Marginal Lending on Request – Rejection of Marginal Lending on Request – Settle Maximun Credit Line – Reject Maximun Credit Line – Credit Claim Processing Report – Reject Credit Freezing – Settle Credit Freezing – Execution of MLOR Cancellation – Rejection of MLOR Cancellation
Result	
Notify	Shows if the flag Notify is activated, from possible values: <ul style="list-style-type: none"> – Yes – No
Button	Function
Notification Template	This function enables you to access the subsection Notification Template.

Footprint	
Creation Date	Shows the date and time when of the creation in the ECMS. Displayed format is: DD/MM/YYYY hh:mm:ss:µs
Creation User Id	Shows the Identifier of the user responsible for the creation.
Update Date	Shows the date and time of the last updated in the ECMS. Displayed format is: DD/MM/YYYY hh:mm:ss:µs
Updater User Id	Shows the Identifier of the user responsible for the last update.
Notification Template	
The existing additional Notification Template are displayed, if you select one record and click on View button following fields are displayed.	
Template	Shows the template to be used. Displayed format: Freetext
Message Recipient	Shows Message Recipient from possible values: <ul style="list-style-type: none"> - Account Owner - Refinancing NCB
Purpose	Shows Purpose from possible values: <ul style="list-style-type: none"> - Securities Settlement

3.3.10.1.2 Pool Position Report Subscription

3.3.10.1.2.1 Pool Position Report Subscription - Search Screen

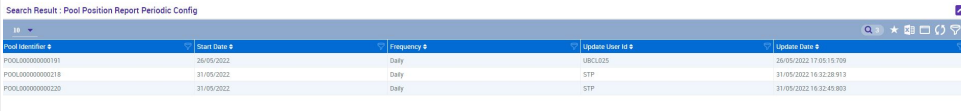
Context of Usage	This screen contains a number of search fields. By inputting the relevant data, you can search for the Pool Position Report Subscription. The search results will be displayed in a list. After selecting an entry, you can proceed further by clicking on the buttons that are shown.
Screen Access	<i>Collateral And Credit >> Setup >> Message Subscription >> Pool Position Report Subscription</i>
Screenshot	

Pool Position Report Subscription – Search screen	
Field	Description
Pool Identifier	Enter / Select the Pool Identifier that identifies the pool in the ECMS.
Button	Function
Reset	This function enables you to clear the form.
Create	This function enables you to create a new Pool Position Report Subscription. Next screen: <ul style="list-style-type: none"> - <i>Pool Position Report Subscription – Create Screen.</i>
Search	This function enables you to start a search according to the filled in criteria. The results are displayed in a list on the List Screen.

	Next screen: – <i>Pool Position Report Subscription – List Screen.</i>
--	---

3.3.10.1.2.1. Pool Position Report Subscription - List Screen

1

Context of Usage	This screen contains the search results displayed in a list. After selecting an entry, you can proceed further by clicking on the buttons below.
Screen Access	<i>Collateral And Credit >> Setup >> Message Subscription >> Pool Position Report Subscription >></i> Click on the search button (after inputting the relevant data, if needed)
Screenshot	

Pool Position Report Subscription – List Screen	
Field	Description
Pool Identifier	Shows the Pool Identifier that identifies the pool in the ECMS.
Start Date	Shows the date from which the Pool Position Report Subscription is valid.
Frequency	Shows the generation frequency for the Pool Position Report from possible values: – Yearly – Weekly – Daily – Monthly – Ad-hoc
Updater User Id	Identifier of the user responsible for the last update of the record.
Update Date	Date and time when the record was last updated in the ECMS. Shows format is: DD/MM/YYYY hh:mm:ss:µs.
After selecting a record, the following buttons are displayed	
Button	Function
Edit	This function enables you to edit a Pool Position Report Subscription. Next screen: – <i>Pool Position Report Subscription – Edit Screen.</i>
View	This function enables you to access to the detailed information of a Pool Position Report Subscription. Next screen: – <i>Pool Position Report Subscription – Details Screen.</i>
Delete	This button allows you to delete the selected record.

3.3.10.1.2.1. Pool Position Report Subscription - Details Screen

2

Context of Usage	This screen displays detailed information on the selected Pool Position Report Subscription. You can check the data and proceed further by clicking on the buttons.
Screen Access	<i>Collateral And Credit >> Setup >> Message Subscription >> Pool Position Report Subscription >> Click on the search button (after inputting the relevant data, if needed) >> Select a record >> Click on the View button</i>
Screenshot	

Pool Position Report Subscription – Details Screen	
Button	Description
Context	
Field	Description
Pool Identifier	Shows the Pool Identifier that identifies the pool in the ECMS.
Result	
Start Date	Shows the date from which the Pool Position Report Subscription is valid.
Frequency	Shows the generation frequency for the Pool Position Report from possible values: <ul style="list-style-type: none"> – Yearly – Weekly – Daily – Monthly – Ad-hoc

3.4 Corporate Actions

The ECMS stores the necessary information to process CA Events in its reference data.

CA Events needs to be created if and only if the Corporate Actions affects a Marketable Asset in position in a T2S Securities Account.

The responsible for maintaining the data of CA Event linked to a CSD is the NCB in relation with that CSD.

3.4.1 CA Announcements

A Corporate Action Announcement is a message sent by the CSD to inform the owner of a Marketable Asset about an upcoming corporate action.

Once processed, the CA Announcements are sent to the Counterparties in order to inform them about the CA Events and its details.

The ECMS manages the following lists of CA Announcement:

Corporate Action Notification (CANO) to notify/remind/update the upcoming corporate action.

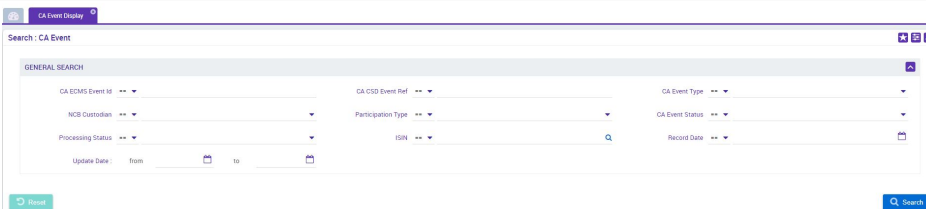
Corporate Action Cancellation Advice (CANC) to cancel a previously announced CA Event.

3.4.1.1 CA Events

CA Events needs to be created if and only if the Corporate Actions affects a Marketable Asset in position in a T2S Securities Account.

3.4.1.1.1 CA Event – Create / Display

3.4.1.1.1.1 CA Event – Search screen

Context of Usage	<p>This screen contains a number of search fields. By inputting the relevant data, you can search for the CA Events.</p> <p>The search results will be displayed in a list. After selecting an entry, you can proceed further by clicking on the buttons that are shown.</p>
Business Case related	CA Announcements
Screen Access	<p>Depending how the screen is accessed:</p> <ul style="list-style-type: none"> – It can be used to search the valid information of the CA Event or the information that requires 4 eyes validation before they can be considered valid in the system. <p><i>For valid information:</i> Corporate Actions >> Announcements >> CA Event Display</p> <p><i>For information subject to 4 eyes validation:</i> Corporate Actions >> Announcements >> Validate / Reject CA Event</p> <ul style="list-style-type: none"> – It can be used to search for updating or duplicating a CA Event: <p><i>For Update:</i> Corporate Actions >> Announcements >> CA Events >> Update CA</p> <p><i>For Duplicate (is applicable only on events that are in status Closed or Cancelled):</i> Corporate Actions >> Announcements >> CA Events >> Duplicate CA</p> <ul style="list-style-type: none"> – It can be used to search for Generate Actual Client Movements: Corporate Actions >> Client Movements >> Create >> Generate Actual
Screenshot	

CA Event – Search screen	
Field	Description

CA CSD Event Ref.	Enter the Reference of the CA Event given by the CSD. Required format is: Max. 35 characters.
CA ECMS Event Id.	Enter the reference of the CA Event given by the ECMS to the CA event to be used by counterparties. Required format is: Max. 35 characters.
NCB Custodian	Enter / Select the RIAD Code of the NCB Custodian to which the CA Event is linked. Required format is: Max. 30 characters.
CA Event Type	Enter / Select the type of CA Event. Required format is: Max. 4 characters.
Participation Type	Enter / Select the CA Event Type from the possible values: MAND - Mandatory CHOS - Mandatory with choice VOLU - Voluntary
ISIN	Enter / Select the ISIN of the security concerned by the CA Event.
Record Date / Entitlement Fixing Date	Enter the date&time at which positions are struck to note which parties will receive the relevant amount of entitlement, due to the distribution on payment date. The calendar icon can also be used. Required format is: DD/MM/YYYY hh:mm:ss:µs.
CA Event Status	Enter / Select the status of the CA Event from the possible values: <ul style="list-style-type: none"> - Waiting Validation - Preliminary - Cross Checked - Activated - Closed - Cancelled
Processing Status	Enter / Select the Processing Status of the CA Event from the possible values: <ul style="list-style-type: none"> - Created - Notified - Executed - Waiting Payment - Partially Paid - Paid - Partially Confirmed - Confirmed - Waiting Instruction - Receiving Instruction - Meeting Occurred - Waiting Validation - Instructed - Closed

Update Date	Enter the reference date of the last update of the CA Event or use the calendar icon. Required format is: DD/MM/YYYY hh:mm:ss:µs
Button	Function
Reset	This function enables you to clear the form.
Search	This function enables you to start a search according to the filled in criteria. The results are displayed in a list on the List Screen. Next screen: – CA Event – List Screen.

3.4.1.1.1.1 CA Event – List Screen

Context of Usage	This screen contains the search results displayed in a list. After selecting an entry, you can proceed further by clicking on the buttons below.
Business Case related	CA Announcements
Screen Access	Depending how the screen is accessed: – It can be used to see the list the valid information of the CA Event or the information that requires 4 eyes validation before they can be considered valid in the system. <i>For valid information:</i> Corporate Actions >> Announcements >> CA Event Display >> Click on the search button (after inputting the relevant Data, if needed) <i>For information subject to 4 eyes validation:</i> Corporate Actions >> Announcements >> Validate / Reject CA Event >> Click on the search button (after inputting the relevant Data, if needed) – It can be used to see the list for updating or duplicating a CA Event. <i>For Update:</i> Corporate Actions >> Announcements >> CA Events >> Update CA >> Click on the search button (after inputting the relevant Data, if needed) <i>For Duplicate (is applicable only on events that are in status Closed or Cancelled):</i> Corporate Actions >> Announcements >> CA Events >> Duplicate CA >> Click on the search button (after inputting the relevant Data, if needed) – It can be used to see the list for Generate Actual Client Movements: Corporate Actions >> Client Movements >> Create >> Generate Actual >> Click on the search button (after inputting the relevant Data, if needed)

Screenshot

CA ECMS Event Id	CA CSD Event Ref	CA Event Type	Participation Type	NCB Custodian	ISIN	CA Event Status	Processing Status	Blocked Critical	Action To Validate	Updated User Id	Update Date	Creation Date
INTF0000000473	DUPLICATED	INTR	MAND	AT9900	AT000A1D0M1	Activated	Notified	false		ECMSOperationalDev	12/05/2022 23:02:20	11/09/2022 11:08:27
DRAW0000000201	TCNCL1412	DRAW	MAND	OCSDTPAWXXX	AT000A1MC49	Activated	Created	false		ATCB034	14/12/2021 18:14:38	14/12/2021 18:12:04
INTF0000000197	TEST	INTR	MAND	AT9900	AT000A1CEU4	Activated	Receiving Instruction	false		ATCBJobScheduler	13/06/2022 08:00:08	20/10/2021 18:03:51
PROF0000000196	SMETVOL033	PROX	VOLU	AT9900	AT000A1CEU4	Activated	Notified	true		STP	27/09/2021 16:54:44	27/09/2021 16:26:09
TEND0000000195	TENDVOL042	TEND	VOLU	AT9900	AT000A2B007	Activated	Partially Paid	false		ECMS OPERATOR1	27/09/2021 16:04:16	27/09/2021 16:23:06
PROF0000000194	SMETVOL032	PROX	VOLU	AT9900	AT000A1CEU4	Activated	Notified	false		ECMSOperationalDev	27/09/2021 16:23:09	27/09/2021 16:18:27
PROF0000000193	SMETVOL031	PROX	VOLU	AT9900	AT000A1CEU4	Cancelled	Closed	false		STP	27/09/2021 15:52:13	27/09/2021 15:50:29
PROF0000000192	SMETVOL030	PROX	VOLU	AT9900	AT000A1CEU4	Cancelled	Notified	false		STP	27/09/2021 15:15:56	27/09/2021 15:04:28
BPUT0000000190	BPUTVOL004	BPUT	VOLU	AT9900	AT000A2B007	Activated	Receiving Instruction	false		ATCBJobScheduler	27/09/2021 16:50:08	27/09/2021 12:58:04
TEND0000000189	TENDVOL042	TEND	VOLU	AT9900	AT000A1CEU4	Activated	Receiving Instruction	false		STP	27/09/2021 13:10:11	27/09/2021 12:54:36

CA Event – List Screen	
Field	Description
CA CSD Event Ref.	Shows the Reference of the CA Event given by the CSD. Displayed format is: Max. 35 characters.
CA ECMS Event Id.	Shows the reference of the CA Event given by the ECMS to the CA event to be used by counterparties. Displayed format is: Max. 35 characters.
NCB Custodian	Shows the RIAD Code of the NCB Custodian to which the CA Event is linked. Displayed format is: Max. 30 characters.
CA Event Type	Shows the type of CA Event. Displayed format is: Max. 4 characters.
Participation Type	Shows the CA Event Type from the possible values: <ul style="list-style-type: none"> – MAND - Mandatory – CHOS - Mandatory with choice – VOLU - Voluntary
ISIN	Shows the ISIN of the security concerned by the CA Event.
CA Event Status	Shows the status of the CA Event from the possible values: <ul style="list-style-type: none"> – Waiting Validation – Preliminary – Cross Checked – Activated – Closed – Cancelled
Processing Status	Shows the Processing Status of the CA Event from the possible values: <ul style="list-style-type: none"> – Created – Notified – Executed – Waiting Payment – Partially Paid – Paid – Partially Confirmed – Confirmed – Waiting Instruction – Receiving Instruction

	<ul style="list-style-type: none"> - Meeting Occurred - Waiting Validation - Instructed - Closed
Blocked Critical	Select from Possible Values: <ul style="list-style-type: none"> - Yes - No
Creation Date	Shows the date and time of the creation of the CA Event. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Update Date	Shows the date and time of the last update of the CA Event. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Updater User Id	Shows the identification of the user that made the last update of the CA Event.
After selecting a record, the following buttons are displayed	
Button	Function
History	In Case of CA Event Display menu option: This function enables you to access to the historical information of CA Event. Next screen: - <i>CA Event – History</i> Screen.
Global View	In Case of CA Event Display menu option: This function enables you to access to the global View of the CA Event. Next screen: - <i>CA Event – Global View</i> .
View	In Case of CA Event Display menu option: This function enables you to access to the detailed information of a CA Event. Next screen: - <i>CA Event – Details</i> Screen.
Update	In Case of CA Events >> Update CA menu option: This function enables you to access to the edition of the CA Event. Next screen: <i>CA Event – Edit</i>
Duplicate	In Case of CA Events >> Duplicate CA menu option: This function enables you to access to the edition of the CA Event. Next screen: <i>CA Event – Edit</i>
Calculate Payment	In Case of Movements >> Client Movements >> Create >> Generate Actual menu option: This function enables you to calculate the payment of the Client Movement.
If the screen is used in the 4 eyes validation context, the following buttons are also displayed when a record is selected	

Button	Function
Compare Draft	In case the 4 eyes validation is required because of an update of the record, this function enables the user to display the change that needs to be validated. Next screen: – CA Event – History Screen.
View Draft	This function enables the user to view the draft record to be validated. Next screen: – CA Event – Details Screen.
Edit	This function allows the user to edit and modify the draft. Next screen: – CA Event – Edit Screen.
Validate	This function allows the user to validate the creation or update of the record so that they can be considered valid in the system
Reject	This function allows the user to reject the creation or update of the record. The draft will be deleted.

3.4.1.1.1.1. CA Event – History screen

1

Context of Usage	This screen displays historical information that has changed on the selected CA Event. You can check the data, including the user who made the changes, and proceed further by clicking on the buttons that are shown.																																			
Screen Access	<ul style="list-style-type: none"> – Corporate Actions >> Announcements >> CA Event Display >> Click on the search button (after inputting the relevant Reference Data, if needed) >> Select a CA Event >> Click on the <i>History</i> button – Corporate Actions >> Announcements >> CA Event Display >> Click on the search button (after inputting the relevant Reference Data, if needed) >> Select a CA Event >> Click on the <i>View</i> button (<i>CA Event – Details</i> Screen) >> Click on the <i>History</i> button <p>It can be used to see the History of a Generate Actual (Client Movements):</p> <ul style="list-style-type: none"> – Corporate Actions >> Client Movements >> Create >> Generate Actual>> Click on the search button (after inputting the relevant Data, if needed) >> Select a record >> Click on the <i>History</i> button – Corporate Actions >> Client Movements >> Create >> Generate Actual>> Click on the search button (after inputting the relevant Data, if needed) >> Select a record >> Click on the <i>View</i> button (<i>CA Event – Details</i> Screen) >> Click on the <i>History</i> button 																																			
Screenshot	<p>horizontalHistoryResult - CA History</p> <table border="1"> <thead> <tr> <th>fields</th> <th>PR0X0000001932021-09-27 15:5...</th> <th>PR0X0000001932021-09-27 15:5...</th> <th>PR0X0000001932021-09-27 15:5...</th> <th>PR0X0000001932021-09-27 15:5...</th> <th>PR0X0000001932021-09-27 15:5...</th> <th>PR0X0000001932021-09-27 15:5...</th> </tr> </thead> <tbody> <tr> <td>CA Event Status</td> <td>Cancelled</td> <td>Activated</td> <td>CrossChecked</td> <td>CrossChecked</td> <td>CrossChecked</td> <td>Preliminary</td> </tr> <tr> <td>Processing Status</td> <td>Created</td> <td>Created</td> <td>Created</td> <td>WaitingValidation</td> <td>Created</td> <td>Created</td> </tr> <tr> <td>Creation Date</td> <td>27/09/2021 15:52:15.052</td> <td>27/09/2021 15:50:30.770</td> <td>27/09/2021 15:50:28.014</td> <td>27/09/2021 15:50:28.927</td> <td>27/09/2021 15:50:28.831</td> <td>27/09/2021 15:50:28.872</td> </tr> <tr> <td>Creation User ID</td> <td>STP</td> <td>admin</td> <td>admin</td> <td>admin</td> <td>admin</td> <td>admin</td> </tr> </tbody> </table> <p>(4 difference(s) found.</p>	fields	PR0X0000001932021-09-27 15:5...	PR0X0000001932021-09-27 15:5...	PR0X0000001932021-09-27 15:5...	PR0X0000001932021-09-27 15:5...	PR0X0000001932021-09-27 15:5...	PR0X0000001932021-09-27 15:5...	CA Event Status	Cancelled	Activated	CrossChecked	CrossChecked	CrossChecked	Preliminary	Processing Status	Created	Created	Created	WaitingValidation	Created	Created	Creation Date	27/09/2021 15:52:15.052	27/09/2021 15:50:30.770	27/09/2021 15:50:28.014	27/09/2021 15:50:28.927	27/09/2021 15:50:28.831	27/09/2021 15:50:28.872	Creation User ID	STP	admin	admin	admin	admin	admin
fields	PR0X0000001932021-09-27 15:5...	PR0X0000001932021-09-27 15:5...	PR0X0000001932021-09-27 15:5...	PR0X0000001932021-09-27 15:5...	PR0X0000001932021-09-27 15:5...	PR0X0000001932021-09-27 15:5...																														
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Creation User ID	STP	admin	admin	admin	admin	admin																														

CA Event – History Screen	
Field	Description
Fields	Shows the fields whose information have been updated since the creation of the selected CA Event.

Timestamp	Shows the date and time when the fields have been updated. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Button	Description
Compare	Shows the differences between the selected records whose data have been updated.
Expand All	Shows the expanded information of the fields whose information have been updated since the creation of the selected CA Event.
Show All	Shows the information that existed before each modification of all the fields regarding the selected CA Event.
Collapse All	Collapse the expanded information of the fields that have been updated since the creation of the selected CA Event.
Show Differences Only	Shows only the fields whose information have been updated since the creation of the selected CA Event.

3.4.1.1.1.1. CA Event - Global View

2

Context of Usage	This screen displays all the information stored in the system for the CA Event, via a set of buttons, that allows the user to access to the detailed information related.
Screen Access	<p>This screen can be accessed from following paths:</p> <p>Corporate Actions >> Announcements >> CA Event Display >> Click on the search button (after inputting the relevant Reference Data, if needed) >> Select a Record and Click on Global View button</p> <p>Corporate Actions >> Movements >> Client Movements >> Create >> Generate Actual >> Click on the search button (after inputting the relevant Data, if needed) >> Select a Record and Click on Global View button</p>
Screenshot	<p>The screenshot shows the 'CA Central Screen' interface. At the top, there are search filters for CA, CA Event Type (BIDS), Ca Type (VOLI), NCB Custodian (ATP908), ESN (ATP908L2HUK37), CA Event Status (Activated), and Processing Status (Receiving Instruction). Below the filters, there are several functional areas:</p> <ul style="list-style-type: none"> CA Announcement: Includes buttons for 'View CA Information', 'List Data Records', 'Cancel', 'Clear', 'Generate CA Notification', 'Send CA Notification', and 'List Client Communications for sending sem(01) / sem(05) / sem(08)'. MARKET VIEW: Contains 'Market Eligible Position', 'Market Notification' (with 'IN' and 'OUT' sections), 'Market Entitlement', and 'Market Payment'. CLIENT VIEW: Contains 'Client Eligible Position', 'Client Notification' (with 'IN' and 'OUT' sections), 'Client Entitlement', and 'Client Payment'. Reconciliation: Includes 'List Reconciliation Result', 'Manual Reconcile Secu', and 'Manual Reconcile Cas'. Market Instructions: Includes 'List Market Instructions' and 'Send Market Instruction'. Allocation: Includes 'List Allocation results', 'Manual Cash Allocation', and 'Manual Secu Allocation'. Client Instructions: Includes 'List Client Instructions', 'Create Client Instruction', 'Generate Default Instructions', 'Confirm Client Instructions Reception', and 'Regenerate Market Instruction'. <p>At the bottom left is a 'Reset' button and at the bottom right is a 'Cancel' button.</p>

CA Event – Global View	
CA ECMS Event Id.	Shows the reference of the CA Event given by the ECMS to the CA event to be used by counterparties. Displayed format is: Max. 35 characters.
CA Event Type	Shows the type of CA Event. Displayed format is: Max. 4 characters.
CA Type	Shows the CA Event Type from the possible values: <ul style="list-style-type: none"> – MAND - Mandatory – CHOS - Mandatory with choice – VOLU - Voluntary
NCB Custodian	Shows the RIAD Code of the NCB Custodian to which the CA Event is linked. Displayed format is: Max. 30 characters.
ISIN	Shows the ISIN of the security concerned by the CA Event.
CA Event Status	Shows the status of the CA Event from the possible values: <ul style="list-style-type: none"> – Waiting Validation – Preliminary – Cross Checked – Activated – Closed – Cancelled
Processing Status	Shows the Processing Status of the CA Event from the possible values: <ul style="list-style-type: none"> – Created – Notified – Executed – Waiting Payment – Partially Paid – Paid – Partially Confirmed – Confirmed – Waiting Instruction – Receiving Instruction – Meeting Occurred – Waiting Validation – Instructed – Closed
CA Announcement	
View CA Information	This function allows the user to access to CA Event details screen. Next Screen: <i>Announcements >> CA Event Display >> CA Event – Details Screen</i>
List DATA Records	This function allows the user to access to List Data Record screen. Next Screen:

	<i>Announcements >> Feed Management >> List Data Records – List Screen</i>
Cancel	<p>If the CA Event status allows cancellation, this button will be displayed.</p> <p>This function allows the user to access to Cancel list screen.</p> <p>Next Screen:</p> <p><i>Announcements >> CA Events >> Event Status Manual Update >> Cancel CA – List Screen</i></p>
Close	<p>If the CA Event status allows closing, this button will be displayed.</p> <p>This function allows the user to access to Close list screen.</p> <p>Next Screen:</p> <p><i>Announcements >> CA Events >> Event Status Manual Update >> Close CA – List Screen</i></p>
Activate	<p>If the CA Event status allows activation, this button will be displayed.</p> <p>This function allows the user to access to Activate list screen.</p> <p>Next Screen:</p> <p><i>Announcements >> CA Events >> Event Status Manual Update >> Activate CA – List Screen</i></p>
Generate CA Notification	<p>This function allows the user to access to Generate Client Notifications list screen.</p> <p>Next Screen:</p> <p><i>Messages Monitoring >> Generate Client Notifications >> Generate by CA – List Screen</i></p>
Send CA Notification	<p>This function allows the user to access to Send CA Notification list screen.</p> <p>Next Screen:</p> <p><i>Messages Monitoring >> Send Client Notifications >> Send by CA – List Screen</i></p>
List Client Communications for Sending seev.031 / seev.035 / seev.038	<p>This function allows the user to access to Client Notifications list screen.</p> <p>Next Screen:</p> <p><i>Messages Monitoring >> List Client Notifications – List Screen</i></p>
MARKET VIEW	
Market Eligible Position	<p>This function allows the user to access to Market Eligible Position by CA list screen.</p> <p>Next Screen:</p> <p><i>CA Position >> Monitor Market Eligible Position by CA – List Screen</i></p>
Market Notification	
Notifications IN	
camt.054	<p>This function allows the user to access to CA Movement Confirmation (camt.054) list screen.</p> <p>Next Screen:</p> <p><i>Messages Monitoring >> Incoming Messages >> CA Movement Confirmation (camt.054) – List Screen</i></p>
seev.001	<p>In case CA Event is one of the meeting related this button will be displayed.</p> <p>This function allows the user to access to List Data Record list screen.</p>

	<p>Next Screen: <i>Announcements >> Feed Management >> List Data Records– List Screen</i></p>
seev.002	<p>In case CA Event is one of the meeting related this button will be displayed. This function allows the user to access to List Received seev.002 list screen.</p> <p>Next Screen: <i>Messages Monitoring >> Incoming Messages >> Meeting Notification >> List Received seev.002 – List Screen</i></p>
seev.003	<p>In case CA Event is one of the meeting related this button will be displayed. This function allows the user to access to List Received seev.003 Meeting Entitlement list screen.</p> <p>Next Screen: <i>Messages Monitoring >> Incoming Messages >> Meeting Notification >> List Received seev.003 Meeting Entitlement – List Screen</i></p>
seev.006	<p>In case CA Event is one of the meeting related this button will be displayed. This function allows the user to access to List Received Meeting Instruction Status seev.006.</p> <p>Next Screen: <i>Messages Monitoring >> Incoming Messages >> Meeting Notification >> List Received Meeting Instruction Status seev.006– List Screen</i></p>
seev.007	<p>In case CA Event is one of the meeting related this button will be displayed. This function allows the user to access to List Received Meeting Vote Execution Confirmation seev.007.</p> <p>Next Screen: <i>Messages Monitoring >> Incoming Messages >> Meeting Notification >> List Received Meeting Vote Execution Confirmation seev.007– List Screen</i></p>
seev.008	<p>In case CA Event is one of the meeting related this button will be displayed. This function allows the user to access to List Received Meeting Result Dissemination seev.008.</p> <p>Next Screen: <i>Messages Monitoring >> Incoming Messages >> Meeting Notification >> List Received Meeting Result Dissemination seev.008– List Screen</i></p>
seev.031	<p>In case CA Event is not related to meeting ones this button will be displayed. This function allows the user to access to List Data Record list screen.</p> <p>Next Screen: <i>Announcements >> Feed Management >> List Data Records– List Screen</i></p>
seev.032	<p>In case CA Event is not related to meeting ones this button will be displayed. This function allows the user to access to Monitor CA Event Processing Status Advice Received (seev.032) list screen.</p> <p>Next Screen: <i>Messages Monitoring >> Incoming Messages >> Monitor CA Event Processing Status Advice Received (seev.032) – List Screen</i></p>
seev.034	<p>In case CA Event is not related to meeting ones and is a voluntary event this button will be displayed.</p>

	<p>This function allows the user to access to Monitor CA Instruction Status Advice Received (seev.034) list screen.</p> <p>Next Screen:</p> <p><i>Messages Monitoring >> Incoming Messages >> Monitor CA Instruction Status Advice Received (seev.034) - List Screen</i></p>
seev.035	<p>In case CA Event is not related to meeting ones this button will be displayed.</p> <p>This function allows the user to access to Monitor CA Movement Preliminary Advice Received (seev.035) list screen.</p> <p>Next Screen:</p> <p><i>Messages Monitoring >> Incoming Messages >> Monitor CA Movement Preliminary Advice Received (seev.035) - List Screen</i></p>
seev.036	<p>In case CA Event is not related to meeting ones this button will be displayed.</p> <p>This function allows the user to access to List Received seev.036 list screen.</p> <p>Next Screen:</p> <p><i>Messages Monitoring >> Incoming Messages >> List Received seev.036 - List Screen</i></p>
seev.037	<p>In case CA Event is not related to meeting ones this button will be displayed.</p> <p>This function allows the user to access to Monitor CA Movement Reversal Advice Received (seev.037) list screen.</p> <p>Next Screen:</p> <p><i>Messages Monitoring >> Incoming Messages >> Monitor CA Movement Reversal Advice Received (seev.037)- List Screen</i></p>
seev.039	<p>In case CA Event is not related to meeting ones this button will be displayed.</p> <p>This function allows the user to access to Monitor CA Cancellation Advice Received (seev.039) list screen.</p> <p>Next Screen:</p> <p><i>Messages Monitoring >> Incoming Messages >> Monitor CA Cancellation Advice Received (seev.039) - List Screen</i></p>
seev.041	<p>In case CA Event is not related to meeting ones this button will be displayed.</p> <p>This function allows the user to access to Monitor CA Instruction Cancellation Request Status Advice Received (seev.041) list screen.</p> <p>Next Screen:</p> <p><i>Messages Monitoring >> Incoming Messages >> Monitor CA Instruction Cancellation Request Status Advice Received (seev.041) - List Screen</i></p>
seev.044	<p>In case CA Event is not related to meeting ones this button will be displayed.</p> <p>This function allows the user to access to Monitor CA Movement Preliminary Advice Cancellation Advice Received (seev.044) list screen.</p> <p>Next Screen:</p> <p><i>Messages Monitoring >> Incoming Messages >> Monitor CA Movement Preliminary Advice Cancellation Advice Received (seev.044) - List Screen</i></p>
Notifications Out	
pac.009	<p>This function allows the user to access to List Sent pac.009 list screen.</p> <p>Next Screen:</p> <p><i>Messages Monitoring >> Outgoing Messages >> List Sent pac.009 - List Screen</i></p>

pacs.010	<p>This function allows the user to access to List Outgoing pacs.010 list screen.</p> <p>Next Screen:</p> <p><i>Messages Monitoring >> Outgoing Messages >> List Outgoing pacs.010 - List Screen</i></p>
seev.004/ seev.005	<p>In case CA Event is related to meeting ones this button will be displayed.</p> <p>This function allows the user to access to List Outgoing Proxy Instruction (seev.004 / seev.005) list screen.</p> <p>Next Screen:</p> <p><i>Messages Monitoring >> Outgoing Messages >> List Outgoing Proxy Instruction seev.004 / seev.005 - List Screen</i></p>
seev.033	<p>In case CA Event is not related to meeting ones and is a voluntary event this button will be displayed.</p> <p>This function allows the user to access to Monitor CA Instructions Sent (seev.033) list screen.</p> <p>Next Screen:</p> <p><i>Messages Monitoring >> Outgoing Messages >> Monitor CA Instructions Sent (seev.033) - List Screen</i></p>
seev.040	<p>In case CA Event is not related to meeting ones this button will be displayed.</p> <p>This function allows the user to access to Monitor CA Instruction Cancellation Request Sent (seev.040) list screen.</p> <p>Next Screen:</p> <p><i>Messages Monitoring >> Outgoing Messages >> Monitor CA Instruction Cancellation Request Sent (seev.040)– List Screen</i></p>
Market Entitlement	
List Calculated Market Entitlement	<p>In case CA Event is in a Status different to (Preliminary and Cross-checked) this button will be displayed.</p> <p>This function allows the user to access to Monitor Market Entitlement list screen.</p> <p>Next Screen:</p> <p><i>CA Entitlements >> Calculated Market Entitlements >> Monitor Market Entitlement - List Screen</i></p>
List Received Market Entitlement	<p>In case CA Event is in a Status different to (Preliminary and Cross-checked) this button will be displayed.</p> <p>This function allows the user to access to Monitor Received Entitlements list screen.</p> <p>Next Screen:</p> <p><i>CA Entitlements >> Received Market Entitlements >> Monitor Received Entitlements - List Screen</i></p>
Calculate Market Entitlement	<p>In case CA Event is in a Status different to (Preliminary, Cancelled, Closed and Cross-checked) this button will be displayed.</p> <p>This function allows the user to access to Calculate ME FOR Elective Events or Calculate ME FOR Mandatory Events list screen.</p> <p>Next Screen:</p> <p><i>CA Entitlements >> Calculated Market Entitlements >> Calculate Market Entitlements >> Calculate ME For Elective Events - List Screen</i></p> <p><i>When the event is Mandatory:</i></p>

	<p><i>CA Entitlements >> Calculated Market Entitlements >> Calculate Market Entitlements >> Calculate ME For Mandatory Events - List Screen</i></p>
Cancel Calculated Market Entitlement	<p>In case CA Event is in a Status different to (Preliminary and Cross-checked) this button will be displayed.</p> <p>This function allows the user to access to Cancel Market Entitlement list screen.</p> <p><i>CA Entitlements >> Calculated Market Entitlements >> Cancel Market Entitlement - List Screen</i></p>
Market Movements	
List Market Movements	<p>In case CA Event is in a Status different to (Preliminary and Cross-checked) this button will be displayed.</p> <p>This function allows the user to access to List Market Movements list screen.</p> <p><i>Movements >> Market Movements >> List Market Movement - List Screen</i></p>
List Elementary Cash/Secu Market Movement	<p>In case CA Event is in a Status different to (Preliminary and Cross-checked) this button will be displayed.</p> <p>This function allows the user to access to List Elementary Cash/Secu Market Movement list screen.</p> <p><i>Movements >> Market Movements >> List Elementary Cash/Secu Market Movement - List Screen</i></p>
Validate Market Movement Reversal	<p>In case CA Event is in a Status different to (Preliminary and Cross-checked) this button will be displayed.</p> <p>This function allows the user to access to Validate Market Movement Reversal list screen.</p> <p><i>Movements >> Market Movements >> Validate Market Movement Reversal - List Screen</i></p>
Reject Market Movement Reversal	<p>In case CA Event is in a Status different to (Preliminary and Cross-checked) this button will be displayed.</p> <p>This function allows the user to access to Reject Market Movement Reversal list screen.</p> <p><i>Movements >> Market Movements >> Reject Market Movement Reversal - List Screen</i></p>
Reconciliation	
List Reconciliation Result	<p>In case CA Event is in a Status different to (Preliminary and Cross-checked) this button will be displayed.</p> <p>This function allows the user to access to List Received Entitlement Reconciliation Result list screen and List Received Movement Reconciliation Result list screen (if there are results for Entitlements and Movements).</p> <p><i>CA Entitlements >> Received Market Entitlements >> Reconciliation >> List Received Entitlement Reconciliation Result - List Screen</i></p> <p><i>Movements >> Market Movements >> Reconciliation >> List Movement Reconciliation Result - List Screen</i></p>
Manual Reconcile Securities Movements	<p>In case CA Event is in a Status different to (Preliminary and Cross-checked) this button will be displayed.</p> <p>This function allows the user to access to Manual Reconcile Secu Movement list screen.</p> <p><i>Movements >> Market Movements >> Reconciliation >> Manual Reconcile >> Manual Reconcile Secu Movement- List Screen</i></p>

Manual Reconcile Cash Movements	<p>In case CA Event is in a Status different to (Preliminary and Cross-checked) this button will be displayed.</p> <p>This function allows the user to access to Manual Reconcile Cash Movement list screen.</p> <p><i>Movements >> Market Movements >> Reconciliation >> Manual Reconcile >> Manual Reconcile Cash Movement- List Screen</i></p>
Market Instructions	
List PV Market Instruction	<p>In case CA Event is related to meeting ones this button will be displayed.</p> <p>This function allows the user to access to Monitor PV Market Instruction list screen.</p> <p><i>Instructions >> Proxy Voting Instruction >> PV Market Instruction >> Monitor PV Market Instruction - List Screen</i></p>
List Market Instructions	<p>In case CA Event is not related to meeting ones and only in case of VOLU events this button will be displayed.</p> <p>This function allows the user to access to Monitor market Instruction list screen.</p> <p><i>Instructions >> Market Instructions >> Monitor Market Instruction - List Screen</i></p>
CLIENT VIEW	
Client Eligible Position	<p>This function allows the user to access to Monitor Client Eligible Position by CA list screen.</p> <p>Next Screen:</p> <p><i>CA Position >> Monitor Client Eligible Position by CA – List Screen</i></p>
Client Notification	
Notifications IN	
seev.001	<p>In case CA Event is one of the meeting related this button will be displayed.</p> <p>This function allows the user to access to List Data Record list screen.</p> <p>Next Screen:</p> <p><i>Announcements >> Feed Management >> List Data Records– List Screen</i></p>
seev.002	<p>In case CA Event is one of the meeting related this button will be displayed.</p> <p>This function allows the user to access to List Received seev.002 list screen.</p> <p>Next Screen:</p> <p><i>Messages Monitoring >> Incoming Messages >> Meeting Notification >> List Received seev.002 – List Screen</i></p>
seev.003	<p>In case CA Event is one of the meeting related this button will be displayed.</p> <p>This function allows the user to access to List Received seev.003 Meeting Entitlement list screen.</p> <p>Next Screen:</p> <p><i>Messages Monitoring >> Incoming Messages >> Meeting Notification >> List Received seev.003 Meeting Entitlement – List Screen</i></p>
seev.006	<p>In case CA Event is one of the meeting related this button will be displayed.</p> <p>This function allows the user to access to List Received Meeting Instruction Status seev.006.</p> <p>Next Screen:</p>

	<p><i>Messages Monitoring >> Incoming Messages >> Meeting Notification >> List Received Meeting Instruction Status seev.006– List Screen</i></p>
seev.007	<p>In case CA Event is one of the meeting related this button will be displayed.</p> <p>This function allows the user to access to List Received Meeting Vote Execution Confirmation seev.007.</p> <p>Next Screen:</p> <p><i>Messages Monitoring >> Incoming Messages >> Meeting Notification >> List Received Meeting Vote Execution Confirmation seev.007– List Screen</i></p>
seev.008	<p>In case CA Event is one of the meeting related this button will be displayed.</p> <p>This function allows the user to access to List Received Meeting Result Dissemination seev.008.</p> <p>Next Screen:</p> <p><i>Messages Monitoring >> Incoming Messages >> Meeting Notification >> List Received Meeting Result Dissemination seev.008– List Screen</i></p>
seev.033	<p>In case CA Event is not related to meeting ones and is a voluntary event this button will be displayed.</p> <p>This function allows the user to access to Monitor CA Instructions Received (seev.033) list screen.</p> <p>Next Screen:</p> <p><i>Messages Monitoring >> Incoming Messages >> Monitor CA Instructions Received (seev.033) - List Screen</i></p>
seev.040	<p>In case CA Event is not related to meeting ones this button will be displayed.</p> <p>This function allows the user to access to Monitor CA Instruction Cancellation Request Received (seev.040) list screen.</p> <p>Next Screen:</p> <p><i>Messages Monitoring >> Incoming Messages >> Monitor CA Instruction Cancellation Request Received (seev.040)– List Screen</i></p>
<p>Notifications Out</p>	
seev.031	<p>In case CA Event is not related to meeting ones this button will be displayed.</p> <p>This function allows the user to access to Monitor CA Notifications Sent (seev.031) list screen.</p> <p>Next Screen:</p> <p><i>Messages Monitoring >> Outgoing Messages >> Monitor CA Notifications Sent (seev.031) – List Screen</i></p>
seev.032	<p>In case CA Event is not related to meeting ones this button will be displayed.</p> <p>This function allows the user to access to List Outgoing seev.032 CA Event Processing Status Advice list screen.</p> <p>Next Screen:</p> <p><i>Messages Monitoring >> Outgoing Messages >> List Outgoing seev.032 CA Event Processing Status Advice (seev.032) – List Screen</i></p>
seev.034	<p>In case CA Event is not related to meeting ones and is a voluntary event this button will be displayed.</p> <p>This function allows the user to access to Monitor CA Instruction Status Advice Sent (seev.034) list screen.</p>

	<p>Next Screen:</p> <p><i>Messages Monitoring >> Outgoing Messages >> Monitor CA Instruction Status Advice Sent (seev.034) - List Screen</i></p>
seev.035	<p>In case CA Event is not related to meeting ones this button will be displayed. This function allows the user to access to Monitor CA Movement Preliminary Advice Sent (seev.035) list screen.</p> <p>Next Screen:</p> <p><i>Messages Monitoring >> Outgoing Messages >> Monitor CA Movement Preliminary Advice Sent (seev.035) – List Screen</i></p>
seev.036	<p>In case CA Event is not related to meeting ones this button will be displayed. This function allows the user to access to List Outgoing seev.036 list screen.</p> <p>Next Screen:</p> <p><i>Messages Monitoring >> Outgoing Messages >> List Outgoing seev.036 – List Screen</i></p>
seev.037	<p>In case CA Event is not related to meeting ones this button will be displayed. This function allows the user to access to Monitor CA Movement Reversal Advice Sent (seev.037) list screen.</p> <p>Next Screen:</p> <p><i>Messages Monitoring >> Outgoing Messages >> Monitor CA Movement Reversal Advice Sent (seev.037)– List Screen</i></p>
seev.039	<p>In case CA Event is not related to meeting ones this button will be displayed. This function allows the user to access to Monitor CA Cancellation Advice Sent (seev.039) list screen.</p> <p>Next Screen:</p> <p><i>Messages Monitoring >> Outgoing Messages >> Monitor CA Cancellation Advice Sent (seev.039) – List Screen</i></p>
seev.041	<p>In case CA Event is not related to meeting ones this button will be displayed. This function allows the user to access to Monitor CA Instruction Cancellation Request Status Advice Sent (seev.041) list screen.</p> <p>Next Screen:</p> <p><i>Messages Monitoring >> Outgoing Messages >> Monitor CA Instruction Cancellation Request Status Advice Sent (seev.041) – List Screen</i></p>
seev.044	<p>In case CA Event is not related to meeting ones this button will be displayed. This function allows the user to access to Monitor CA Movement Preliminary Advice Cancellation Advice Received (seev.044) list screen.</p> <p>Next Screen:</p> <p><i>Messages Monitoring >> Outgoing Messages >> Monitor CA Movement Preliminary Advice Cancellation Advice Sent (seev.044) – List Screen</i></p>
Client Entitlement	
List Client Entitlement	<p>This function allows the user to access to Monitor Client Entitlement list screen.</p> <p>Next Screen:</p> <p><i>CA Entitlements >> Client Entitlements >> Monitor Client Entitlement - List Screen</i></p>

Calculate Client Entitlement	This function allows the user to access to Calculate ME FOR Elective Events or Calculate ME FOR Mandatory Events list screen. Next Screen: <i>CA Entitlements >> Client Entitlements >> Calculate Client Entitlements >> Calculate ME For Elective Events - List Screen</i> When the event is Mandatory: <i>CA Entitlements >> Client Entitlements >> Calculate Client Entitlements >> Calculate ME For Mandatory Events - List Screen</i>
Cancel Client Entitlement	This function allows the user to access to Cancel Client Entitlement list screen. <i>CA Entitlements >> Client Entitlements >> Cancel Client Entitlement - List Screen</i>
Client Movement	
List Generate Cash/Security Client Movement	This function allows the user to access to List Generated client Movement list screen. <i>Movements >> Client Movements >> List Generated Client Movement - List Screen</i>
Send Movement Confirmation	This function allows the user to access to Confirm Movement list screen. <i>Movements >> Client Movements >> List Generated Client Movement - List Screen</i>
List Confirmed Client Movement	This function allows the user to access to List Confirmed Movement list screen. <i>Movements >> Client Movements >> List Confirmed Movement - List Screen</i>
Allocation	
List Allocation Results	This function allows the user to access to List Confirmed Movement list screen. <i>Movements >> Market Movements >> Allocation >> List Allocation Result - List Screen</i>
Manual Cash Allocation	This function allows the user to access to Manual Cash Allocation list screen. <i>Movements >> Market Movements >> Allocation >> Manual Cash Allocation - List Screen</i>
Manual Secu Allocation	This function allows the user to access to Manual Secu Allocation list screen. <i>Movements >> Market Movements >> Allocation >> Manual Secu Allocation - List Screen</i>
Client Instructions	
List Client Instructions	This function allows the user to access to Monitor Client Instructions list screen. <i>Instructions >> CA Client Instructions >> Monitor >> Monitor Client Instructions</i>
Create Client Instruction	This function allows the user to access to Input Instruction screen. <i>Instructions >> CA Client Instructions >> Input Instruction >> Input Client Instruction</i>
Generate Default Instructions	This function allows the user to access to Input Instruction screen. <i>Instructions >> CA Client Instructions >> Input Instruction >> Input Default Client Instruction</i>
Confirm Client Instructions Rejection	This function allows the user to access to Confirm CI Rejection list screen. <i>Instructions >> CA Client Instructions >> Confirm CI Rejection</i>

Regenerate Market Instruction	This function allows the user to send a new Market instruction based on the Client instruction.
Uninstructed Clientes	This function allows the user to access to Monitor Uninstructed balance list screen. <i>Instructions >> CA Client Instructions >> Monitor >> Monitor Uninstructed Balance</i>
Button	Description
Reset	This function enables you to clear the form.
Cancel	This function enables you to cancel and return to the previous screen.

3.4.1.1.1.2 CA Event – Details screen

Context of Usage	This screen contains a number of fields regarding the CA Events. You can View detailed information of a selected CA Event.
Business Case related	Custody >> Corporate Actions >> CA Announcements >> Search / List / View CA Event Information
Screen Access	<p>Depending how the screen is accessed it can be used to search the valid information of the CA Event or the information that requires 4 eyes validation before they can be considered valid in the system.</p> <p>For valid information: <i>Corporate Actions >> Announcements >> CA Event Display >> >> Click on Search button >> Select a record >> Click on View button</i></p> <p>For information subject to 4 eyes validation: <i>Corporate Actions >> Announcements >> Validate / Reject CA Event >> Click on Search button >> Select a record >> Click on View button</i></p> <ul style="list-style-type: none"> It can be used to see the details for Generate Actual Client Movements: <i>Corporate Actions >> Client Movements >> Create >> Generate Actual >> Click on the search button (after inputting the relevant Data, if needed) >> Select a record >> Click on View button</i>
Screenshot	<p>The screenshot shows the 'CA Event Display' screen for event ID BFC1399866135. The page is titled 'List: CA Events > BPUT / Plus Redemption'. It contains several sections: 'General Information' with fields for CA ECMS Event Id, CA CSO Event Ref, Official CA Event Id, NCB Custodian, Event Confirmation Status, and Event Completion Status; 'Event Dates' with a Record Date of 1788-001; 'Options' with buttons for 'Cash Option' and 'No Action'; 'CA additional information' with fields for Paying Agent, Issuer Agent, and Comment; and 'FootPrint' with fields for Update User ID, Update Date, Creation User ID, and Creation Date.</p>

Following you can find the fields that are going to be displayed for every Event, the common ones.

Afterwards you can find, the name of an event and the specific fields for this event.

Adding the common fields to the specific ones, the screen of the event is fully described.

CA Event – Details screen

Field	Description
Notification Information	
Event Confirmation Status (CONF - Box Checked / UCON - Box Unchecked)	Shows whether the CA Event has been confirmed by the CSD or not from the possible values: – Yes – No
Event Completeness Status (COMP - Box checked / INCO Box Unchecked)	Shows whether the message contains complete details of the CA Event at the time the event is communicated or not from the possible values: – Yes – No
CA Event Information	
CA ECMS Event Id	Shows the reference of the CA Event given by the ECMS to the CA event to be used by counterparties.
Official CA Event Id	Shows the unique reference identifying the Corporate Action (COAF). Official and unique reference assigned by the official central body / entity within each market at the beginning of a CA Event. Displayed format is: Max. 30 characters.
NCB Custodian	Shows the RIAD Code of the NCB Custodian to which the CA Event is linked. Displayed format is: Max. 30 characters.
CA CSD Event Ref.	Shows the Reference of the CA Event given by the CSD. Displayed format is: Max. 35 characters.
CA Event Type	Shows the CA Event type selected in previous screen. Displayed format is: Max. 4 characters.
Participation Type	Shows code identifying whether participation in the CA event is: – mandatory (MAND) – mandatory with options (CHOS) – voluntary (VOLU)
ISIN	Shows the ISIN of the security concerned by the CA Event.
ISIN Description	Shows the description of the Marketable Asset concerned by the CA Event.
Provider	Shows the provider of the CA Event from possible values: – CSD – Manual
CA Additional Information	
Issuer Agent	Shows the Party appointed to administer the event on behalf of the issuer company/offeror. The party may be contacted for more information about the event.

Paying Agent	Shows the Agent (principal or fiscal paying agent) appointed to execute the payment for the corporate action event on behalf of the issuer company/offeror.
Comment	Shows the comments added previously.
Footprint	
Creation Date	Shows the date and time of the creation of the CA Event. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Creation User Id	Shows the identification of the user that created the CA Event.
Update Date	Shows the date and time of the update of the CA Event. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Updater User Id	Shows the identification of the user that made the last update of the CA Event.

3.4.1.1.1.1.2. ACTV-MAND

1

ACTV – Activation Event MAND	
CA Event Details	
Field	Description
Ex-Dividend Date	Shows the ex-dividend date or use the calendar icon. Required format is: DD/MM/YYYY.
Effective Date	Shows the date at which an event is officially effective from the issuer's perspective or use the calendar icon. Displayed format is: DD/MM/YYYY.
Button	Description
Place of Listing	It shows the subsection where you can see the place where the referenced financial instrument is listed.
Place of Listing	
Market	Shows the place where the referenced financial instrument is listed.
Is Default Place of Listing	Shows from possible values: – Yes – No

3.4.1.1.1.1.2. BIDS-VOLU

2

BIDS – Repurchase Offer VOLU	
CA Event Details	
Field	Description
Record Date / Entitlement Fixing Date	Shows the date at which positions are struck to note which parties will receive the relevant amount of entitlement, due to the distribution on payment date. The calendar icon can also be used. Displayed format is: DD/MM/YYYY.
Button	Function
Cash Option	This function enables you to display the subsection Cash Option in case is needed.
No Action	This function enables you to display the subsection No Action.

<u>Cash Option</u>	
Option Number	Shows the Number identifying the available CA options.
Subject to Proration?	Shows from possible values: – Yes – No
Default Option Indicator	Shows from possible values: – Yes – No
Change Allowed Indicator	Shows whether a change of instruction is allowed. Shows from possible values: – Yes – No
Blocking of Securities	Shows from Possible values: – MEET – MKDT – NARR – PAYD – PWAL – RDDT – RDTE – UKWN
<u>Option Critical Dates</u>	
Response Deadline Date	Shows the Date that the account servicer has set as the deadline to respond, with instructions, to an outstanding event. This time is dependent on the reference time zone of the account servicer as specified in a Service Level Agreement (SLA) or use the calendar icon. Displayed format is: DD/MM/YYYY.
Response Deadline Time	Shows the time that the account servicer has set as the deadline to respond, with instructions, to an outstanding event. This time is dependent on the reference time zone of the account servicer as specified in a Service Level Agreement (SLA). Displayed format is: hh:mm:ss:µs.
Market Deadline Date	Shows the Date which the issuer or issuer's agent has set as the deadline to respond, with an instruction, to an outstanding offer or privilege or use the calendar icon. Displayed format is: DD/MM/YYYY.
Market Deadline Time	Shows the time which the issuer or issuer's agent has set as the deadline to respond, with an instruction, to an outstanding offer or privilege. Displayed format is: hh:mm:ss:µs.
Early Response deadline Date	Shows the Date that the account servicer has set as the deadline to respond, with instructions, to an outstanding event, giving the holder eligibility to incentives. This time is dependent on the reference time zone of the account servicer as specified in a Service Level Agreement (SLA) or use the calendar icon.

	Displayed format is: DD/MM/YYYY.
Early Response deadline Time	Shows the time that the account servicer has set as the deadline to respond, with instructions, to an outstanding event, giving the holder eligibility to incentives. This time is dependent on the reference time zone of the account servicer as specified in a Service Level Agreement (SLA). Displayed format is: hh:mm:ss:µs.
Period of Action Begin	Shows the beginning of the Period for the specified option, or all options of the event, remains valid (e.g. offer period) or use the calendar icon. Displayed format is: DD/MM/YYYY.
Period of Action End	Shows the ending of the Period for the specified option, or all options of the event, remains valid (e.g. offer period). Displayed format is: DD/MM/YYYY.
Earliest Payment Date	Shows the earliest date for Payment. Displayed format is: DD/MM/YYYY.
Last Trading Date	Shows the last Trading date. Displayed format is: DD/MM/YYYY.
<i>Security Out</i>	
Credit Debit Indicator	Shows the Credit Debit Indicator from possible values: – Credit – Debit
ISIN	Shows the ISIN that identifies the Asset concerned by the CA Event.
ISIN description	Shows the description of the ISIN that identifies the Asset concerned by the CA Event.
Payment Date	Shows the Date on which the cash payment will be made and the security will be debited. Displayed format is: DD/MM/YYYY.
<i>Cash In</i>	
Credit Debit Indicator	Shows the Credit Debit Indicator from possible values: – Credit – Debit
Offer Price (OFFR)	Shows the Price at which bondholders may tender their bonds for buyback, e.g. price of 95 indicates that the holder will receive a cash payment of 95c for each €1 nominal holding of the bond (OFFR).
Currency	Shows the denomination currency.
Payment Date	Shows the Date on which the cash payment will be made and the security will be debited or use the calendar icon. Displayed format is: DD/MM/YYYY.
Quotation Mode	Shows from possible values: – Amount – Percentage
<u>No Action</u>	
Option Number	Shows the Number identifying the available CA options.

Default Option Indicator	Shows from possible values: – Yes – No
Proration Rate (PROR)	Shows the Percentage of securities accepted by the offeror/issuer.
OPTION CRITICAL DATES	
Response Deadline Date	Shows the Date that the account servicer has set as the deadline to respond, with instructions, to an outstanding event. This time is dependent on the reference time zone of the account servicer as specified in a Service Level Agreement (SLA) or use the calendar icon. Displayed format is: DD/MM/YYYY.
Response Deadline Time	Shows the time that the account servicer has set as the deadline to respond, with instructions, to an outstanding event. This time is dependent on the reference time zone of the account servicer as specified in a Service Level Agreement (SLA). Displayed format is: hh:mm:ss:µs.
Market Deadline Date	Shows the Date which the issuer or issuer's agent has set as the deadline to respond, with an instruction, to an outstanding offer or privilege or use the calendar icon. Displayed format is: DD/MM/YYYY.
Market Deadline Time	Shows the time which the issuer or issuer's agent has set as the deadline to respond, with an instruction, to an outstanding offer or privilege. Displayed format is: hh:mm:ss:µs.
Early Response deadline Date	Shows the Date that the account servicer has set as the deadline to respond, with instructions, to an outstanding event, giving the holder eligibility to incentives. This time is dependent on the reference time zone of the account servicer as specified in a Service Level Agreement (SLA) or use the calendar icon. Displayed format is: DD/MM/YYYY.
Early Response deadline Time	Shows the time that the account servicer has set as the deadline to respond, with instructions, to an outstanding event, giving the holder eligibility to incentives. This time is dependent on the reference time zone of the account servicer as specified in a Service Level Agreement (SLA). Displayed format is: hh:mm:ss:µs.

3.4.1.1.1.1.2. **BPUT-VOLU**

3

BPUT – Put redemption VOLU	
CA Event Details	
Field	Description
Record Date / Entitlement Fixing Date	Shows the date at which positions are struck to note which parties will receive the relevant amount of entitlement, due to the distribution on payment date. The calendar icon can also be used. Displayed format is: DD/MM/YYYY.
Button	Function

Cash Option	This function enables you to display the subsection Cash Option in case is needed.
No Action	This function enables you to display the subsection No Action.
<u>Cash Option</u>	
Option Number	Shows the Number identifying the available CA options.
Subject to Proration?	Shows from possible values: – Yes – No
Default Option Indicator	Shows from possible values: – Yes – No
Additional Text	Shows additional or more detailed information on the content of a message. This field may only be used if the information to be transmitted cannot be coded. It could be more than one row
Change Allowed Indicator	Shows whether a change of instruction is allowed. Shows from possible values: – Yes – No
Blocking of Securities	Shows from Possible values: – MEET – MKDT – NARR – PAYD – PWAL – RDDT – RDTE – UKWN
<i>Option Critical Dates</i>	
Response Deadline Date	Shows the Date that the account servicer has set as the deadline to respond, with instructions, to an outstanding event. This time is dependent on the reference time zone of the account servicer as specified in a Service Level Agreement (SLA) or use the calendar icon. Displayed format is: DD/MM/YYYY.
Response Deadline Time	Shows the time that the account servicer has set as the deadline to respond, with instructions, to an outstanding event. This time is dependent on the reference time zone of the account servicer as specified in a Service Level Agreement (SLA). Displayed format is: hh:mm:ss:µs.
Market Deadline Date	Shows the Date which the issuer or issuer's agent has set as the deadline to respond, with an instruction, to an outstanding offer or privilege or use the calendar icon. Displayed format is: DD/MM/YYYY.
Market Deadline Time	Shows the time which the issuer or issuer's agent has set as the deadline to respond, with an instruction, to an outstanding offer or privilege.

	Displayed format is: hh:mm:ss:µs.
Early Response deadline Date	Shows the Date that the account servicer has set as the deadline to respond, with instructions, to an outstanding event, giving the holder eligibility to incentives. This time is dependent on the reference time zone of the account servicer as specified in a Service Level Agreement (SLA) or use the calendar icon. Displayed format is: DD/MM/YYYY.
Early Response deadline Time	Shows the time that the account servicer has set as the deadline to respond, with instructions, to an outstanding event, giving the holder eligibility to incentives. This time is dependent on the reference time zone of the account servicer as specified in a Service Level Agreement (SLA). Displayed format is: hh:mm:ss:µs.
Election Begin	Shows the beginning of the Election Period for the specified option or use the calendar icon. Displayed format is: DD/MM/YYYY.
Election End	Shows the ending of the Election Period for the specified option or use the calendar Icon. Displayed format is: DD/MM/YYYY.
Earliest Payment Date	Shows the earliest date for payment or use the calendar Icon. Displayed format is: DD/MM/YYYY.
Last Trading Date	Shows the last trading date or use the calendar icon. Displayed format is: DD/MM/YYYY.
<i>Security Out</i>	
Credit Debit Indicator	Shows from possible values: – Credit – Debit
ISIN	Shows the ISIN that identifies the Asset concerned by the CA Event.
ISIN description	Shows the description of the ISIN that identifies the Asset concerned by the CA Event.
Payment Date	Shows the Date on which the cash payment will be made and the security will be debited. Displayed format is: DD/MM/YYYY.
<i>Cash In</i>	
Offer Price (OFFR)	Shows the Price at which bondholders may tender their bonds for buyback, e.g. price of 95 indicates that the holder will receive a cash payment of 95c for each €1 nominal holding of the bond (OFFR).
Currency	Shows the denomination currency.
Payment Date	Shows the Date on which the cash payment will be made and the security will be debited or use the calendar icon. Displayed format is: DD/MM/YYYY.
Quotation mode	Shows from possible values: – Amount – Percentage
<u>No Action</u>	

Option Number	Shows the Number identifying the available CA options.
Default Option Indicator	Shows from possible values: – Yes – No
Proration Rate (PROR)	Shows the Percentage of securities accepted by the offeror/issuer.
<i>Option Critical Dates</i>	
Response Deadline Date	Shows the Date that the account servicer has set as the deadline to respond, with instructions, to an outstanding event. This time is dependent on the reference time zone of the account servicer as specified in a Service Level Agreement (SLA) or use the calendar icon. Displayed format is: DD/MM/YYYY.
Response Deadline Time	Shows the time that the account servicer has set as the deadline to respond, with instructions, to an outstanding event. This time is dependent on the reference time zone of the account servicer as specified in a Service Level Agreement (SLA). Displayed format is: hh:mm:ss:µs.
Market Deadline Date	Shows the Date which the issuer or issuer's agent has set as the deadline to respond, with an instruction, to an outstanding offer or privilege or use the calendar icon. Displayed format is: DD/MM/YYYY.
Market Deadline Time	Shows the time which the issuer or issuer's agent has set as the deadline to respond, with an instruction, to an outstanding offer or privilege. Displayed format is: hh:mm:ss:µs.
Early Response deadline Date	Shows the Date that the account servicer has set as the deadline to respond, with instructions, to an outstanding event, giving the holder eligibility to incentives. This time is dependent on the reference time zone of the account servicer as specified in a Service Level Agreement (SLA) or use the calendar icon. Displayed format is: DD/MM/YYYY.
Early Response deadline Time	Shows the time that the account servicer has set as the deadline to respond, with instructions, to an outstanding event, giving the holder eligibility to incentives. This time is dependent on the reference time zone of the account servicer as specified in a Service Level Agreement (SLA). Displayed format is: hh:mm:ss:µs.

3.4.1.1.1.2. BRUP-MAND

4

BRUP – Bankruptcy MAND	
CA Event Details	
Field	Description
Record Date / Entitlement Fixing Date	Shows the date at which positions are struck to note which parties will receive the relevant amount of entitlement, due to the distribution on payment date. The calendar icon can also be used. Displayed format is: DD/MM/YYYY.
Filing Date	Shows the date on which the action was filed at the applicable court.

	Displayed format is: DD/MM/YYYY.
Court Approval Date	Shows the Date on which the action was filed at the applicable court. Displayed format is: DD/MM/YYYY.

3.4.1.1.1.2. CAPI-MAND

5

CAPI – Capitalisation MAND	
CA Event Information	
Field	Description
Previous Factor	Shows the previous factor of the Marketable Asset concerned by the CA Event.
Next Factor	Shows the next factor of the Marketable Asset concerned by the CA Event.
Annual Interest Rate	Shows the Annual Interest Rate
CA Event Details	
Field	Description
Record Date / Entitlement Fixing Date	Shows the date at which positions are struck to note which parties will receive the relevant amount of entitlement, due to the distribution on payment date. The calendar icon can also be used. Displayed format is: DD/MM/YYYY.
Payment Date	Shows the date at which the movement is due to take place (cash and/or securities) or use the calendar icon. Displayed format is: DD/MM/YYYY.
Effective Date	Shows the date at which an event is officially effective from the issuer's perspective or use the calendar icon. Displayed format is: DD/MM/YYYY.

3.4.1.1.1.2. CERT-CHOS

6

CERT – Certification CHOS	
CA Event Information	
Certification Format Indicator	Shows the certification format Displayed from possible values: – PHYS – Physical Format – certification must be provided in physical format. – – ELEC – Electronic Format – certification must be provided in electronic format.
CA Event Details	
Field	Description
Record Date / Entitlement Fixing Date	Shows the date at which positions are struck to note which parties will receive the relevant amount of entitlement, due to the distribution on payment date. The calendar icon can also be used. Displayed format is: DD/MM/YYYY.
Certification Deadline	Shows the Date Deadline by which the beneficial ownership of securities must be declared or use the calendar icon. Displayed format is: DD/MM/YYYY.

Button	Function
Qualified Investor Option	This function enables you to display the subsection QINV - Qualified Investor Option in case is needed.
Non Qualified Investor Option	This function enables you to display the subsection NOQU – Non Qualified Investor Option in case is needed.
Qualified Investor Option	
Option Number	Shows the Number identifying the available CA options.
Default Option Indicator	Shows from possible values: – Yes – No
Additional Text	Shows additional or more detailed information on the content of a message. This field may only be used if the information to be transmitted cannot be coded. It could be more than one row
<i>Option Critical Dates</i>	
Response Deadline Date	Shows the Date that the account servicer has set as the deadline to respond, with instructions, to an outstanding event. This time is dependent on the reference time zone of the account servicer as specified in a Service Level Agreement (SLA) or use the calendar icon. Displayed format is: DD/MM/YYYY.
Response Deadline Time	Shows the time that the account servicer has set as the deadline to respond, with instructions, to an outstanding event. This time is dependent on the reference time zone of the account servicer as specified in a Service Level Agreement (SLA). Displayed format is: hh:mm:ss:µs.
Market Deadline Date	Shows the Date which the issuer or issuer's agent has set as the deadline to respond, with an instruction, to an outstanding offer or privilege or use the calendar icon. Displayed format is: DD/MM/YYYY.
Market Deadline Time	Shows the time which the issuer or issuer's agent has set as the deadline to respond, with an instruction, to an outstanding offer or privilege. Displayed format is: hh:mm:ss:µs.
Certification Breakdown Type	Shows the type of Certification Breakdown from possible values: – ACCI – DOMI – NDOM – FULL – NCOM – QIBB – TRBD – PAPW – PABD – FRAC
<i>Instruction Processing Info</i>	
Disclosure Needed	Shows the Disclosure Needed from possible values:

	<ul style="list-style-type: none"> - Yes - No
Individual Process Of Inst	Shows the Individual Process Of Inst from possible values: <ul style="list-style-type: none"> - Yes - No
Immediate Payment	Shows the Immediate Payment from possible values: <ul style="list-style-type: none"> - Yes - No
Benef Owner Instr	Shows the Benef Owner Instr from possible values: <ul style="list-style-type: none"> - Yes - No
Benef Owner Paper Work	Shows the Benef Owner Paper Work from possible values: <ul style="list-style-type: none"> - Yes - No
Non Qualified Investor Option	
Option Number	Shows the Number identifying the available CA options.
Default Option Indicator	Shows from possible values: <ul style="list-style-type: none"> - Yes - No
Additional Text	Shows additional or more detailed information on the content of a message. This field may only be used if the information to be transmitted cannot be coded. It could be more than one row
<i>Instruction Processing Info</i>	
Disclosure Needed	Shows the Disclosure Needed from possible values: <ul style="list-style-type: none"> - Yes - No
Individual Process Of Inst	Shows the Individual Process Of Inst from possible values: <ul style="list-style-type: none"> - Yes - No
Immediate Payment	Shows the Immediate Payment from possible values: <ul style="list-style-type: none"> - Yes - No
Benef Owner Instr	Shows the Benef Owner Instr from possible values: <ul style="list-style-type: none"> - Yes - No
Benef Owner Paper Work	Shows the Benef Owner Paper Work from possible values: <ul style="list-style-type: none"> - Yes - No
<i>Option Critical Dates</i>	

Response Deadline Date	Shows the Date that the account servicer has set as the deadline to respond, with instructions, to an outstanding event. This time is dependent on the reference time zone of the account servicer as specified in a Service Level Agreement (SLA) or use the calendar icon. Displayed format is: DD/MM/YYYY.
Market Deadline Date	Shows the Date which the issuer or issuer's agent has set as the deadline to respond, with an instruction, to an outstanding offer or privilege or use the calendar icon. Displayed format is: DD/MM/YYYY.
Election Begin	Shows the beginning of the Election Period for the specified option or use the calendar icon. Displayed format is: DD/MM/YYYY.
Election End	Shows the ending of the Election Period for the specified option or use the calendar Icon. Displayed format is: DD/MM/YYYY.
Certification Breakdown Type	Shows the type of Certification Breakdown from possible values: <ul style="list-style-type: none"> - ACCI - DOMI - NDOM - FULL - NCOM - QIBB - TRBD - PAPW - PABD - FRAC

3.4.1.1.1.2. CHAN-MAND

7

CHAN – Change MAND	
CA Event Details	
Field	Description
Record Date / Entitlement Fixing Date	Shows the date at which positions are struck to note which parties will receive the relevant amount of entitlement, due to the distribution on payment date. The calendar icon can also be used. Displayed format is: DD/MM/YYYY.
Change Type	Shows the type of change announced from possible values: <ul style="list-style-type: none"> - Certificates (CERT) - Name (NAME) - Terms (TERM)
New Name of Company	Shows the new name of a company following a name change.

Additional Text	Shows additional or more detailed information on the content of a message. This field may only be used if the information to be transmitted cannot be coded. It could be more than one row
Effective Date	Effective date is to be used in events where there is no concept of entitlement, for example Name Change (CHAN) or in events where there is a sense of eligibility but with a legal obligation, for example Merger (MRGR). Shows the date or use the calendar icon. Displayed format is: DD/MM/YYYY.
Button	Function
SECU Option	This function enables you to display the subsection SECU Option in case it is needed.
<u>SECU Option</u>	
Option Number	Shows the Number identifying the available CA options.
Default Option Indicator	Shows from possible values: – Yes – No
Change Allowed Indicator	Shows from possible values: – Yes – No
<i>Security Out</i>	
ISIN	Shows the ISIN that identifies the Asset concerned by the CA Event.
ISIN description	Shows the description of the ISIN that identifies the Asset concerned by the CA Event.
Last Trading Date	Shows the Date at which the securities to be reorganised will cease to be tradeable or use the calendar icon. Displayed format is: DD/MM/YYYY.
Last Trading Time	Shows the Time at which the securities to be reorganised will cease to be tradeable or use the calendar icon. Displayed format is: hh:mm:ss:µs.
New to Old Ratio (NEWO)	Shows New to Old Ratio that is the ratio of the new ISIN to the old ISIN from possible values: – Quantity to Quantity – Not Specified Rate – Amount to Amount – Amount to Quantity – Quantity to Amount
Parity In	Shows the Quantity of new securities for a given quantity of underlying securities.
Parity Out	Shows the Quantity of underlying securities.
Payment Date	Shows the Date on which the cash payment will be made and the security will be debited. Displayed format is: DD/MM/YYYY.
<i>Security In</i>	
New ISIN	Shows the new ISIN of the CA Event.

New ISIN description	Shows the description of the New ISIN.
Last Trading Date	Shows the Date at which the securities to be reorganised will cease to be tradeable or use the calendar icon. Displayed format is: DD/MM/YYYY.
Last Trading Time	Shows the Time at which the securities to be reorganised will cease to be tradeable or use the calendar icon. Displayed format is: hh:mm:ss:µs.
New to Old Ratio (NEWO)	Shows New to Old Ratio that is the ratio of the new ISIN to the old ISIN from possible values: <ul style="list-style-type: none"> - Quantity to Quantity - Not Specified Rate - Amount to Amount - Amount to Quantity - Quantity to Amount
Payment Date	Shows the Date on which the cash payment will be made and the security will be debited. Displayed format is: DD/MM/YYYY.

3.4.1.1.1.2. CONS-VOLU

8

CONS – Consent Request VOLU	
General Information	
Field	Description
Consent Type Indicator	Shows the type of consent sought from possible values: <ul style="list-style-type: none"> - Change in Terms (CTRM) – change in the terms and conditions of the bond. - Due and Payable (DUPY) – change in the due and payable conditions.
CA Event Details	
Field	Description
Record Date / Entitlement Fixing Date	Shows the date at which positions are struck to note which parties will receive the relevant amount of entitlement, due to the distribution on payment date. The calendar icon can also be used. Displayed format is: DD/MM/YYYY.
Effective Date	Shows the Effective date that is to be used in events where there is no concept of entitlement. Displayed format is: DD/MM/YYYY.
Button	Function
Cash Option	This function enables you to display the subsection Cash Option in case is needed.
Consent Granted Option	This function enables you to display the subsection Consent Granted Option in case is needed.
Consent Denied Option	This function enables you to display the subsection Consent Denied in case is needed.

Abstain Option	This function enables you to display the subsection Cash Option in case is needed.
No Action	This function enables you to display the subsection No Action.
<u>Cash Option</u>	
Option Number	Shows the Number identifying the available CA options.
Default Option Indicator	Shows from possible values: – Yes – No
Additional Text	Shows additional or more detailed information on the content of a message. This field may only be used if the information to be transmitted cannot be coded. It could be more than one row
<i>Instruction Processing Info</i>	
Disclosure Needed	Shows the Disclosure Needed from possible values: – Yes – No
Individual Process Of Inst	Shows the Individual Process Of Inst from possible values: – Yes – No
Immediate Payment	Shows the Immediate Payment from possible values: – Yes – No
Benef Owner Instr	Shows the Benef Owner Instr from possible values: – Yes – No
Benef Owner Paper Work	Shows the Benef Owner Paper Work from possible values: – Yes – No
<i>Option Critical Dates</i>	
Election Begin Date	Shows the date at which the election begins. The calendar icon can also be used. Displayed format is: DD/MM/YYYY
Election Begin Time	Shows the Time at which the election begins. The calendar icon can also be used. Displayed format is: hh:mm:ss:µs.
Election End Date	Shows the date at which the election ends. The calendar icon can also be used. Displayed format is: DD/MM/YYYY
Election End Time	Shows the Time at which the election ends. The calendar icon can also be used. Displayed format is: hh:mm:ss:µs.

Response Deadline Date	Shows the Date that the account servicer has set as the deadline to respond, with instructions, to an outstanding event. This time is dependent on the reference time zone of the account servicer as specified in a Service Level Agreement (SLA) or use the calendar icon. Displayed format is: DD/MM/YYYY.
Response Deadline Time	Shows the time that the account servicer has set as the deadline to respond, with instructions, to an outstanding event. This time is dependent on the reference time zone of the account servicer as specified in a Service Level Agreement (SLA). Displayed format is: hh:mm:ss:µs.
Market Deadline Date	Shows the Date which the issuer or issuer's agent has set as the deadline to respond, with an instruction, to an outstanding offer or privilege or use the calendar icon. Displayed format is: DD/MM/YYYY.
Market Deadline Time	Shows the time which the issuer or issuer's agent has set as the deadline to respond, with an instruction, to an outstanding offer or privilege. Displayed format is: hh:mm:ss:µs.
<u>Consent Granted Option</u>	
Option Number	Shows the Number identifying the available CA options.
Default Option Indicator	Shows from possible values: – Yes – No
Additional Text	Shows additional or more detailed information on the content of a message. This field may only be used if the information to be transmitted cannot be coded. It could be more than one row
<i>Instruction Processing Info</i>	
Disclosure Needed	Shows the Disclosure Needed from possible values: – Yes – No
Individual Process Of Inst	Shows the Individual Process Of Inst from possible values: – Yes – No
Immediate Payment	Shows the Immediate Payment from possible values: – Yes – No
Benef Owner Instr	Shows the Benef Owner Instr from possible values: – Yes – No
Benef Owner Paper Work	Shows the Benef Owner Paper Work from possible values: – Yes – No
<i>Option Critical Dates</i>	

Election Begin Date	Shows the date at which the election begins. The calendar icon can also be used. Displayed format is: DD/MM/YYYY
Election Begin Time	Shows the Time at which the election begins. The calendar icon can also be used. Displayed format is: hh:mm:ss:μs.
Election End Date	Shows the date at which the election ends. The calendar icon can also be used. Displayed format is: DD/MM/YYYY
Election End Time	Shows the Time at which the election ends. The calendar icon can also be used. Displayed format is: hh:mm:ss:μs.
Response Deadline Date	Shows the Date that the account servicer has set as the deadline to respond, with instructions, to an outstanding event. This time is dependent on the reference time zone of the account servicer as specified in a Service Level Agreement (SLA) or use the calendar icon. Displayed format is: DD/MM/YYYY.
Response Deadline Time	Shows the time that the account servicer has set as the deadline to respond, with instructions, to an outstanding event. This time is dependent on the reference time zone of the account servicer as specified in a Service Level Agreement (SLA). Displayed format is: hh:mm:ss:μs.
Market Deadline Date	Shows the Date which the issuer or issuer's agent has set as the deadline to respond, with an instruction, to an outstanding offer or privilege or use the calendar icon. Displayed format is: DD/MM/YYYY.
Market Deadline Time	Shows the time which the issuer or issuer's agent has set as the deadline to respond, with an instruction, to an outstanding offer or privilege. Displayed format is: hh:mm:ss:μs.
<u>Consent Denied Option</u>	
Option Number	Shows the Number identifying the available CA options.
Default Option Indicator	Shows from possible values: – Yes – No
Additional Text	Shows additional or more detailed information on the content of a message. This field may only be used if the information to be transmitted cannot be coded. It could be more than one row
<i>Instruction Processing Info</i>	
Disclosure Needed	Shows the Disclosure Needed from possible values: – Yes – No
Individual Process Of Inst	Shows the Individual Process Of Inst from possible values: – Yes – No

Immediate Payment	Shows the Immediate Payment from possible values: – Yes – No
Benef Owner Instr	Shows the Benef Owner Instr from possible values: – Yes – No
Benef Owner Paper Work	Shows the Benef Owner Paper Work from possible values: – Yes – No
<i>Option Critical Dates</i>	
Election Begin Date	Shows the date at which the election begins. The calendar icon can also be used. Displayed format is: DD/MM/YYYY
Election Begin Time	Shows the Time at which the election begins. The calendar icon can also be used. Displayed format is: hh:mm:ss:µs.
Election End Date	Shows the date at which the election ends. The calendar icon can also be used. Displayed format is: DD/MM/YYYY
Election End Time	Shows the Time at which the election ends. The calendar icon can also be used. Displayed format is: hh:mm:ss:µs.
Response Deadline Date	Shows the Date that the account servicer has set as the deadline to respond, with instructions, to an outstanding event. This time is dependent on the reference time zone of the account servicer as specified in a Service Level Agreement (SLA) or use the calendar icon. Displayed format is: DD/MM/YYYY.
Response Deadline Time	Shows the time that the account servicer has set as the deadline to respond, with instructions, to an outstanding event. This time is dependent on the reference time zone of the account servicer as specified in a Service Level Agreement (SLA). Displayed format is: hh:mm:ss:µs.
Market Deadline Date	Shows the Date which the issuer or issuer's agent has set as the deadline to respond, with an instruction, to an outstanding offer or privilege or use the calendar icon. Displayed format is: DD/MM/YYYY.
Market Deadline Time	Shows the time which the issuer or issuer's agent has set as the deadline to respond, with an instruction, to an outstanding offer or privilege. Displayed format is: hh:mm:ss:µs.
<u>Abstain Option</u>	
Option Number	Shows the Number identifying the available CA options.
Default Option Indicator	Shows from possible values: – Yes – No

Additional Text	Shows additional or more detailed information on the content of a message. This field may only be used if the information to be transmitted cannot be coded. It could be more than one row
<i>Instruction Processing Info</i>	
Disclosure Needed	Shows the Disclosure Needed from possible values: – Yes – No
Individual Process Of Inst	Shows the Individual Process Of Inst from possible values: – Yes – No
Immediate Payment	Shows the Immediate Payment from possible values: – Yes – No
Benef Owner Instr	Shows the Benef Owner Instr from possible values: – Yes – No
Benef Owner Paper Work	Shows the Benef Owner Paper Work from possible values: – Yes – No
<i>Option Critical Dates</i>	
Election Begin Date	Shows the date at which the election begins. The calendar icon can also be used. Displayed format is: DD/MM/YYYY
Election Begin Time	Shows the Time at which the election begins. The calendar icon can also be used. Displayed format is: hh:mm:ss:µs.
Election End Date	Shows the date at which the election ends. The calendar icon can also be used. Displayed format is: DD/MM/YYYY
Election End Time	Shows the Time at which the election ends. The calendar icon can also be used. Displayed format is: hh:mm:ss:µs.
Response Deadline Date	Shows the Date that the account servicer has set as the deadline to respond, with instructions, to an outstanding event. This time is dependent on the reference time zone of the account servicer as specified in a Service Level Agreement (SLA) or use the calendar icon. Displayed format is: DD/MM/YYYY.
Response Deadline Time	Shows the time that the account servicer has set as the deadline to respond, with instructions, to an outstanding event. This time is dependent on the reference time zone of the account servicer as specified in a Service Level Agreement (SLA). Displayed format is: hh:mm:ss:µs.

Market Deadline Date	Shows the Date which the issuer or issuer's agent has set as the deadline to respond, with an instruction, to an outstanding offer or privilege or use the calendar icon. Displayed format is: DD/MM/YYYY.
Market Deadline Time	Shows the time which the issuer or issuer's agent has set as the deadline to respond, with an instruction, to an outstanding offer or privilege. Displayed format is: hh:mm:ss:µs.
No Action Option	
Option Number	Shows the Number identifying the available CA options.
Default Option Indicator	Shows from possible values: – Yes – No
Additional Text	Shows additional or more detailed information on the content of a message. This field may only be used if the information to be transmitted cannot be coded. It could be more than one row
<i>Instruction Processing Info</i>	
Disclosure Needed	Shows the Disclosure Needed from possible values: – Yes – No
Individual Process Of Inst	Shows the Individual Process Of Inst from possible values: – Yes – No
Immediate Payment	Shows the Immediate Payment from possible values: – Yes – No
Benef Owner Instr	Shows the Benef Owner Instr from possible values: – Yes – No
Benef Owner Paper Work	Shows the Benef Owner Paper Work from possible values: – Yes – No
<i>Option Critical Dates</i>	
Election Begin Date	Shows the date at which the election begins. The calendar icon can also be used. Displayed format is: DD/MM/YYYY
Election Begin Time	Shows the Time at which the election begins. The calendar icon can also be used. Displayed format is: hh:mm:ss:µs.
Election End Date	Shows the date at which the election ends. The calendar icon can also be used. Displayed format is: DD/MM/YYYY
Election End Time	Shows the Time at which the election ends. The calendar icon can also be used.

	Displayed format is: hh:mm:ss:µs.
Response Deadline Date	Shows the Date that the account servicer has set as the deadline to respond, with instructions, to an outstanding event. This time is dependent on the reference time zone of the account servicer as specified in a Service Level Agreement (SLA) or use the calendar icon. Displayed format is: DD/MM/YYYY.
Response Deadline Time	Shows the time that the account servicer has set as the deadline to respond, with instructions, to an outstanding event. This time is dependent on the reference time zone of the account servicer as specified in a Service Level Agreement (SLA). Displayed format is: hh:mm:ss:µs.
Market Deadline Date	Shows the Date which the issuer or issuer's agent has set as the deadline to respond, with an instruction, to an outstanding offer or privilege or use the calendar icon. Displayed format is: DD/MM/YYYY.
Market Deadline Time	Shows the time which the issuer or issuer's agent has set as the deadline to respond, with an instruction, to an outstanding offer or privilege. Displayed format is: hh:mm:ss:µs.
Restriction	
Button	Function
Restriction	This button allows the user to access to Restriction section where you can manage the restrictions you need to add.

3.4.1.1.1.2. CONV-MAND

9

CONV – Conversion MAND	
CA Event Information	
Field	Description
Market	Shows the Market.
CA Event Details	
Record Date / Entitlement Fixing Date	Shows the date at which positions are struck to note which parties will receive the relevant amount of entitlement, due to the distribution on payment date. The calendar icon can also be used. Displayed format is: DD/MM/YYYY.
Ex Date	Shows the Date as from which trading (including exchange and OTC trading) occurs on the underlying security without the benefit. or use the calendar icon. Displayed format is: DD/MM/YYYY.
Button	Function
SECU Option	This function enables you to display the subsection Security Option.
SECU Option	
Option Number	Shows the Number identifying the available CA options.
Default Option Indicator	Shows from possible values: <ul style="list-style-type: none"> - Yes - No

Additional Text	Shows additional or more detailed information on the content of a message. This field may only be used if the information to be transmitted cannot be coded. It could be more than one row
<i>Security In</i>	
Credit Debit Indicator	Shows from possible values: <ul style="list-style-type: none"> - Credit - Debit
ISIN	Shows the ISIN that identifies the Asset concerned by the CA Event.
ISIN Description	Shows the description of the ISIN that identifies the Asset concerned by the CA Event.
Payment Date	Shows the Date on which the movement is due to take place (cash and/or securities). The calendar icon can also be used. Displayed format is: DD/MM/YYYY
Last Trading Date	Shows the Date at which the securities to be reorganised will cease to be tradeable or use the calendar icon. Displayed format is: DD/MM/YYYY.
Last Trading Time	Shows the Time at which the securities to be reorganised will cease to be tradeable or use the calendar icon. Displayed format is: hh:mm:ss:µs.
New to Old Ratio	Shows New to Old Ratio that is the ratio of the new ISIN to the old ISIN from possible values: <ul style="list-style-type: none"> - Quantity to Quantity - Not Specified Rate - Amount to Amount - Amount to Quantity - Quantity to Amount
Parity In	Shows the Quantity of new securities for a given quantity of underlying securities.
Parity Out	Shows the Quantity of underlying securities.
Rounding In	Shows from Possible values: <ul style="list-style-type: none"> - Up - Down - Actual - Nearest
Rounding Entitled	Shows from Possible values: <ul style="list-style-type: none"> - Up - Down - Actual - Nearest
<i>Security Out</i>	
Credit Debit Indicator	Shows from possible values: <ul style="list-style-type: none"> - Credit

	- Debit
ISIN	Shows the ISIN that identifies the Asset concerned by the CA Event.
ISIN Description	Shows the description of the ISIN that identifies the Asset concerned by the CA Event.
Payment Date	Shows the Date on which the movement is due to take place (cash and/or securities). The calendar icon can also be used. Displayed format is: DD/MM/YYYY
Last Trading Date	Shows the Date at which the securities to be reorganised will cease to be tradeable or use the calendar icon. Displayed format is: DD/MM/YYYY.
Last Trading Time	Shows the Time at which the securities to be reorganised will cease to be tradeable or use the calendar icon. Displayed format is: hh:mm:ss:µs.
New to Old Ratio	Shows New to Old Ratio that is the ratio of the new ISIN to the old ISIN from possible values: <ul style="list-style-type: none"> - Quantity to Quantity - Not Specified Rate - Amount to Amount - Amount to Quantity - Quantity to Amount
Parity In	Shows the Quantity of new securities for a given quantity of underlying securities.
Parity Out	Shows the Quantity of underlying securities.

3.4.1.1.1.2. **CONV-VOLU**

10

CONV – Conversion VOLU	
CA Event Information	
Field	Description
Market	Shows the Market.
CA Event Details	
Record Date / Entitlement Fixing Date	Shows the date at which positions are struck to note which parties will receive the relevant amount of entitlement, due to the distribution on payment date. The calendar icon can also be used. Displayed format is: DD/MM/YYYY.
Ex Date	Shows the Date as from which trading (including exchange and OTC trading) occurs on the underlying security without the benefit. or use the calendar icon. Displayed format is: DD/MM/YYYY.
Button	Function
Cash Option	This function enables you to display the subsection Cash Option in case is needed.
Case Option	This function enables you to display the subsection Case Option in case is needed.
SECU Option	This function enables you to display the subsection Security Option.

No Action	This function enables you to display the subsection No Action.
Restriction	This button allows the user to access to Restriction section where you can manage the restrictions you need to add.
<u>Cash Option</u>	
Option Number	Shows the Number identifying the available CA options.
Default Option Indicator	Shows from possible values: – Yes – No
Additional Text	Shows additional or more detailed information on the content of a message. This field may only be used if the information to be transmitted cannot be coded. It could be more than one row
<i>Instruction Processing Info</i>	
Disclosure Needed	Shows the Disclosure Needed from possible values: – Yes – No
Individual Process Of Inst	Shows the Individual Process Of Inst from possible values: – Yes – No
Immediate Payment	Shows the Immediate Payment from possible values: – Yes – No
Benef Owner Instr	Shows the Benef Owner Instr from possible values: – Yes – No
Benef Owner Paper Work	Shows the Benef Owner Paper Work from possible values: – Yes – No
<i>Option Critical Dates</i>	
Election Begin Date	Shows the date at which the election begins. The calendar icon can also be used. Displayed format is: DD/MM/YYYY
Election Begin Time	Shows the Time at which the election begins. The calendar icon can also be used. Displayed format is: hh:mm:ss:µs.
Election End Date	Shows the date at which the election ends. The calendar icon can also be used. Displayed format is: DD/MM/YYYY
Election End Time	Shows the Time at which the election ends. The calendar icon can also be used. Displayed format is: hh:mm:ss:µs.

Response Deadline Date	Shows the Date that the account servicer has set as the deadline to respond, with instructions, to an outstanding event. This time is dependent on the reference time zone of the account servicer as specified in a Service Level Agreement (SLA) or use the calendar icon. Displayed format is: DD/MM/YYYY.
Response Deadline Time	Shows the time that the account servicer has set as the deadline to respond, with instructions, to an outstanding event. This time is dependent on the reference time zone of the account servicer as specified in a Service Level Agreement (SLA). Displayed format is: hh:mm:ss:µs.
Market Deadline Date	Shows the Date which the issuer or issuer's agent has set as the deadline to respond, with an instruction, to an outstanding offer or privilege or use the calendar icon. Displayed format is: DD/MM/YYYY.
Market Deadline Time	Shows the time which the issuer or issuer's agent has set as the deadline to respond, with an instruction, to an outstanding offer or privilege. Displayed format is: hh:mm:ss:µs.
<i>Security In</i>	
ISIN	Shows the ISIN that identifies the Asset concerned by the CA Event.
ISIN Description	Shows the description of the ISIN that identifies the Asset concerned by the CA Event.
Payment Date	Shows the Date on which the movement is due to take place (cash and/or securities). The calendar icon can also be used. Displayed format is: DD/MM/YYYY
New to Old Ratio	Shows New to Old Ratio that is the ratio of the new ISIN to the old ISIN from possible values: <ul style="list-style-type: none"> - Quantity to Quantity - Not Specified Rate - Amount to Amount - Amount to Quantity - Quantity to Amount
Parity In	Shows the Quantity of new securities for a given quantity of underlying securities.
Parity Out	Shows the Quantity of underlying securities.
Rounding In	Shows from Possible values: <ul style="list-style-type: none"> - Up - Down - Actual - Nearest
Rounding Entitled	Shows from Possible values: <ul style="list-style-type: none"> - Up - Down - Actual - Nearest

<i>Security Out</i>	
ISIN	Shows the ISIN that identifies the Asset concerned by the CA Event.
ISIN Description	Shows the description of the ISIN that identifies the Asset concerned by the CA Event.
Payment Date	Shows the Date on which the movement is due to take place (cash and/or securities). The calendar icon can also be used. Displayed format is: DD/MM/YYYY
Payment Date	Shows the Date on which the movement is due to take place (cash and/or securities). The calendar icon can also be used. Displayed format is: DD/MM/YYYY
New to Old Ratio	Shows New to Old Ratio that is the ratio of the new ISIN to the old ISIN from possible values: <ul style="list-style-type: none"> - Quantity to Quantity - Not Specified Rate - Amount to Amount - Amount to Quantity - Quantity to Amount
Parity In	Shows the Quantity of new securities for a given quantity of underlying securities.
Parity Out	Shows the Quantity of underlying securities.
<i>Cash In</i>	
Payment Date	Shows the Date on which the movement is due to take place (cash and/or securities). The calendar icon can also be used. Displayed format is: DD/MM/YYYY
Quotation Mode	Shows from possible values: <ul style="list-style-type: none"> - Amount - Percentage
Offer Price (OFFR)	Shows the Price at which bondholders may tender their bonds for buyback, e.g. price of 95 indicates that the holder will receive a cash payment of 95c for each €1 nominal holding of the bond (OFFR).
Currency	Shows the denomination currency.
<i>Case Option</i>	
Option Number	Shows the Number identifying the available CA options.
Default Option Indicator	Shows from possible values: <ul style="list-style-type: none"> - Yes - No
Additional Text	Shows additional or more detailed information on the content of a message. This field may only be used if the information to be transmitted cannot be coded. It could be more than one row
<i>Instruction Processing Info</i>	
Disclosure Needed	Shows the Disclosure Needed from possible values: <ul style="list-style-type: none"> - Yes - No

Individual Process Of Inst	Shows the Individual Process Of Inst from possible values: – Yes – No
Immediate Payment	Shows the Immediate Payment from possible values: – Yes – No
Benef Owner Instr	Shows the Benef Owner Instr from possible values: – Yes – No
Benef Owner Paper Work	Shows the Benef Owner Paper Work from possible values: – Yes – No
<i>Option Critical Dates</i>	
Election Begin Date	Shows the date at which the election begins. The calendar icon can also be used. Displayed format is: DD/MM/YYYY
Election Begin Time	Shows the Time at which the election begins. The calendar icon can also be used. Displayed format is: hh:mm:ss:µs.
Election End Date	Shows the date at which the election ends. The calendar icon can also be used. Displayed format is: DD/MM/YYYY
Election End Time	Shows the Time at which the election ends. The calendar icon can also be used. Displayed format is: hh:mm:ss:µs.
Response Deadline Date	Shows the Date that the account servicer has set as the deadline to respond, with instructions, to an outstanding event. This time is dependent on the reference time zone of the account servicer as specified in a Service Level Agreement (SLA) or use the calendar icon. Displayed format is: DD/MM/YYYY.
Response Deadline Time	Shows the time that the account servicer has set as the deadline to respond, with instructions, to an outstanding event. This time is dependent on the reference time zone of the account servicer as specified in a Service Level Agreement (SLA). Displayed format is: hh:mm:ss:µs.
Market Deadline Date	Shows the Date which the issuer or issuer's agent has set as the deadline to respond, with an instruction, to an outstanding offer or privilege or use the calendar icon. Displayed format is: DD/MM/YYYY.
Market Deadline Time	Shows the time which the issuer or issuer's agent has set as the deadline to respond, with an instruction, to an outstanding offer or privilege. Displayed format is: hh:mm:ss:µs.
<i>Security Out</i>	
ISIN	Shows the ISIN that identifies the Asset concerned by the CA Event.

ISIN Description	Shows the description of the ISIN that identifies the Asset concerned by the CA Event.
Payment Date	Shows the Date on which the movement is due to take place (cash and/or securities). The calendar icon can also be used. Displayed format is: DD/MM/YYYY
<i>Cash In</i>	
Payment Date	Shows the Date on which the movement is due to take place (cash and/or securities). The calendar icon can also be used. Displayed format is: DD/MM/YYYY
Quotation Mode	Shows the the quotation mode, Shows from possible values: <ul style="list-style-type: none"> - Amount - Percentage
Offer Price (OFFR)	Shows the Price at which bondholders may tender their bonds for buyback, e.g. price of 95 indicates that the holder will receive a cash payment of 95c for each €1 nominal holding of the bond (OFFR).
Currency	Shows the denomination Currency
<i>SECU Option</i>	
Option Number	Shows the Number identifying the available CA options.
Default Option Indicator	Shows from possible values: <ul style="list-style-type: none"> - Yes - No
Additional Text	Shows additional or more detailed information on the content of a message. This field may only be used if the information to be transmitted cannot be coded. It could be more than one row
<i>Instruction Processing Info</i>	
Disclosure Needed	Shows the Disclosure Needed from possible values: <ul style="list-style-type: none"> - Yes - No
Individual Process Of Inst	Shows the Individual Process Of Inst from possible values: <ul style="list-style-type: none"> - Yes - No
Immediate Payment	Shows the Immediate Payment from possible values: <ul style="list-style-type: none"> - Yes - No
Benef Owner Instr	Shows the Benef Owner Instr from possible values: <ul style="list-style-type: none"> - Yes - No
Benef Owner Paper Work	Shows the Benef Owner Paper Work from possible values: <ul style="list-style-type: none"> - Yes - No
<i>Option Critical Dates</i>	
Election Begin Date	Shows the date at which the election begins. The calendar icon can also be used.

	Displayed format is: DD/MM/YYYY
Election Begin Time	Shows the Time at which the election begins. The calendar icon can also be used. Displayed format is: hh:mm:ss:µs.
Election End Date	Shows the date at which the election ends. The calendar icon can also be used. Displayed format is: DD/MM/YYYY
Election End Time	Shows the Time at which the election ends. The calendar icon can also be used. Displayed format is: hh:mm:ss:µs.
Response Deadline Date	Shows the Date that the account servicer has set as the deadline to respond, with instructions, to an outstanding event. This time is dependent on the reference time zone of the account servicer as specified in a Service Level Agreement (SLA) or use the calendar icon. Displayed format is: DD/MM/YYYY.
Response Deadline Time	Shows the time that the account servicer has set as the deadline to respond, with instructions, to an outstanding event. This time is dependent on the reference time zone of the account servicer as specified in a Service Level Agreement (SLA). Displayed format is: hh:mm:ss:µs.
Market Deadline Date	Shows the Date which the issuer or issuer's agent has set as the deadline to respond, with an instruction, to an outstanding offer or privilege or use the calendar icon. Displayed format is: DD/MM/YYYY.
Market Deadline Time	Shows the time which the issuer or issuer's agent has set as the deadline to respond, with an instruction, to an outstanding offer or privilege. Displayed format is: hh:mm:ss:µs.
<i>Security Out</i>	
ISIN	Shows the ISIN that identifies the Asset concerned by the CA Event.
ISIN Description	Shows the description of the ISIN that identifies the Asset concerned by the CA Event.
Payment Date	Shows the Date on which the movement is due to take place (cash and/or securities). The calendar icon can also be used. Displayed format is: DD/MM/YYYY
New to Old Ratio	Shows New to Old Ratio that is the ratio of the new ISIN to the old ISIN from possible values: <ul style="list-style-type: none"> - Quantity to Quantity - Not Specified Rate - Amount to Amount - Amount to Quantity - Quantity to Amount
Parity In	Shows the Quantity of new securities for a given quantity of underlying securities.
Parity Out	Shows the Quantity of underlying securities.

<u>No Action Option</u>	
Option Number	Shows the Number identifying the available CA options.
Default Option Indicator	Shows from possible values: – Yes – No
Additional Text	Shows additional or more detailed information on the content of a message. This field may only be used if the information to be transmitted cannot be coded. It could be more than one row
<i>Instruction Processing Info</i>	
Disclosure Needed	Shows the Disclosure Needed from possible values: – Yes – No
Individual Process Of Inst	Shows the Individual Process Of Inst from possible values: – Yes – No
Immediate Payment	Shows the Immediate Payment from possible values: – Yes – No
Benef Owner Instr	Shows the Benef Owner Instr from possible values: – Yes – No
Benef Owner Paper Work	Shows the Benef Owner Paper Work from possible values: – Yes – No
<i>Option Critical Dates</i>	
Election Begin Date	Shows the date at which the election begins. The calendar icon can also be used. Displayed format is: DD/MM/YYYY
Election Begin Time	Shows the Time at which the election begins. The calendar icon can also be used. Displayed format is: hh:mm:ss:µs.
Election End Date	Shows the date at which the election ends. The calendar icon can also be used. Displayed format is: DD/MM/YYYY
Election End Time	Shows the Time at which the election ends. The calendar icon can also be used. Displayed format is: hh:mm:ss:µs.
Response Deadline Date	Shows the Date that the account servicer has set as the deadline to respond, with instructions, to an outstanding event. This time is dependent on the reference time zone of the account servicer as specified in a Service Level Agreement (SLA) or use the calendar icon. Displayed format is: DD/MM/YYYY.

Response Deadline Time	Shows the time that the account servicer has set as the deadline to respond, with instructions, to an outstanding event. This time is dependent on the reference time zone of the account servicer as specified in a Service Level Agreement (SLA). Displayed format is: hh:mm:ss:µs.
Market Deadline Date	Shows the Date which the issuer or issuer's agent has set as the deadline to respond, with an instruction, to an outstanding offer or privilege or use the calendar icon. Displayed format is: DD/MM/YYYY.
Market Deadline Time	Shows the time which the issuer or issuer's agent has set as the deadline to respond, with an instruction, to an outstanding offer or privilege. Displayed format is: hh:mm:ss:µs.

3.4.1.1.1.2. CREV-MAND

11

CREV – Credit Event MAND	
CA Event Details	
Field	Description
Record Date / Entitlement Fixing Date	Shows the date at which positions are struck at the end of the day to note which parties will receive the relevant amount of entitlement, due to be distributed on payment date. The calendar icon can also be used. Displayed format is: DD/MM/YYYY
Effective Date	Shows the date at which an event is officially effective from the issuer's perspective or use the calendar icon. Displayed format is: DD/MM/YYYY.
Announcement Date	Shows the Announcement Date

3.4.1.1.1.2. DFLT-MAND

12

DFLT – Bond Default MAND	
CA Event Details	
Field	Description
Record Date / Entitlement Fixing Date	Shows the date at which positions are struck at the end of the day to note which parties will receive the relevant amount of entitlement, due to be distributed on payment date. The calendar icon can also be used. Displayed format is: DD/MM/YYYY
Effective Date	Shows the date at which the event is officially effective from the issuer's perspective. The calendar icon can also be used. Displayed format is: DD/MM/YYYY.
Ex Date	Shows the Date as from which trading (including exchange and OTC trading) occurs on the underlying security without the benefit. or use the calendar icon. Displayed format is: DD/MM/YYYY.

3.4.1.1.1.2. DLST-MAND

13

DLST – Delisting MAND	
CA Event Details	
Field	Description
Record Date / Entitlement Fixing Date	Shows the date at which positions are struck at the end of the day to note which parties will receive the relevant amount of entitlement, due to be distributed on payment date. The calendar icon can also be used. Displayed format is: DD/MM/YYYY
Effective Date	Shows the date at which the event is officially effective from the issuer’s perspective. The calendar icon can also be used. Displayed format is: DD/MM/YYYY.

3.4.1.1.1.2. DRAW-MAND

14

DRAW – Drawing MAND	
CA Event Information	
Affected Balance (AFFB)	Shows the Balance that has been affected by the process run through the event. Also known as the called position.
CA Event Details	
Field	Description
Record Date / Entitlement Fixing Date	Shows the date at which positions are struck to note which parties will receive the relevant amount of entitlement, due to the distribution on payment date. The calendar icon can also be used. Displayed format is: DD/MM/YYYY.
Lottery Date	Shows the date on which the lottery is run and applied to the holder's positions. The calendar icon can also be used. Displayed format is: DD/MM/YYYY
Blocking Period Begin	Shows the beginning of the Period in which the security is blocked or use the calendar icon. Displayed format is: DD/MM/YYYY
Blocking Period End	Shows the ending of the Period in which the security is blocked or use the calendar icon. Displayed format is: DD/MM/YYYY
Results Publication Date	Shows the results publication date or use the calendar icon. Displayed format is: DD/MM/YYYY
Announcement Date	Shows the Announcement Date Displayed format is: DD/MM/YYYY
Button	Function
Cash Option	This function enables you to display the subsection Cash Option in case is needed.
<u>Cash Option</u>	
Field	Description
Option Number	Shows the Number identifying the available CA options.

Default Option Indicator	Shows from possible values: – Yes – No
<i>Security Out</i>	
Credit Debit Indicator	Shows from possible values: – Credit – Debit
ISIN	Shows the ISIN that identifies the Asset concerned by the CA Event.
ISIN Description	Shows the description of the ISIN that identifies the Asset concerned by the CA Event.
Payment Date	Shows the Date on which the movement is due to take place (cash and/or securities). The calendar icon can also be used. Displayed format is: DD/MM/YYYY
Redemption Rate	Shows the redemption rate.
Quotation Mode	Shows the the quotation mode, Shows from possible values: – Amount – Percentage
<i>Cash In</i>	
Credit Debit Indicator	Shows from possible values: – Credit – Debit
Payment Date	Shows the Date on which the movement is due to take place (cash and/or securities). The calendar icon can also be used. Displayed format is: DD/MM/YYYY
Quotation Mode	Shows the the quotation mode, Shows from possible values: – Amount – Percentage
Offer Price (OFFR)	Shows the Price at which bondholders may tender their bonds for buyback, e.g. price of 95 indicates that the holder will receive a cash payment of 95c for each €1 nominal holding of the bond (OFFR).
Currency	Shows the denomination currency.

3.4.1.1.1.2. DSCL-MAND

15

DSCL – Disclosure Event MAND	
Field	Description
CA Event Details	
Record Date / Entitlement Fixing Date	Shows the date at which positions are struck at the end of the day to note which parties will receive the relevant amount of entitlement, due to be distributed on payment date. The calendar icon can also be used. Displayed format is: DD/MM/YYYY
Ex Date	Shows the Date as from which trading (including exchange and OTC trading) occurs on the underlying security without the benefit. or use the calendar icon.

	Displayed format is: DD/MM/YYYY.
Button	Function
Consent Granted Option	This function enables you to display the subsection Consent Granted Option (CONY) in case is needed.
<u>Consent Granted Option</u>	
Field	Description
Option Number	Shows the Number identifying the available CA options.
Default Option Indicator	Shows from possible values: – Yes – No
Consent Type	Shows the type of consent sought from possible values: – Change in Terms (CTRM) – change in the terms and conditions of the bond. – Due and Payable (DUPY) – change in the due and payable conditions.
Election Begin	Shows the Election Begin Date or use the calendar Icon. Displayed format is: DD/MM/YYYY.
Election Begin Time	Shows the Election Begin Time or use the calendar Icon. Displayed format is: hh:mm:ss:µs.
Election End	Shows the Election End Date or use the calendar Icon. Displayed format is: DD/MM/YYYY.
Election End Time	Shows the Election End Time or use the calendar Icon. Displayed format is: hh:mm:ss:µs.
Withdraw Begin	Shows the Withdrawal begin Date or use the calendar Icon. Displayed format is: DD/MM/YYYY.
Withdrawal Begin Time	Shows the Withdrawal Begin Time or use the calendar Icon. Displayed format is: hh:mm:ss:µs.
Withdraw End	Shows the Withdrawal End Date or use the calendar Icon. Displayed format is: DD/MM/YYYY.
Withdrawal End Time	Shows the Withdrawal End Time or use the calendar Icon. Displayed format is: hh:mm:ss:µs.
Additional Text	Shows additional or more detailed information on the content of a message. This field may only be used if the information to be transmitted cannot be coded. It could be more than one row

3.4.1.1.1.2. DSCL-VOLU

16

DSCL – Disclosure Event VOLU	
Field	Description
CA Event Information	
Consent Type	Shows the type of consent sought from possible values: – Change in Terms (CTRM) – change in the terms and conditions of the bond. – Due and Payable (DUPY) – change in the due and payable conditions.

CA Event Details	
Record Date / Entitlement Fixing Date	Shows the date at which positions are struck at the end of the day to note which parties will receive the relevant amount of entitlement, due to be distributed on payment date. The calendar icon can also be used. Displayed format is: DD/MM/YYYY
Ex Date	Shows the Date as from which trading (including exchange and OTC trading) occurs on the underlying security without the benefit. or use the calendar icon. Displayed format is: DD/MM/YYYY.
Certification Deadline Date	Shows the Certification Deadline Date or use the calendar icon. Displayed format is: DD/MM/YYYY.
Certification Deadline Time	Shows the Certification Deadline Time or use the calendar icon. Displayed format is: hh:mm:ss:µs.
Meeting Date	Shows the Meeting Date or use the calendar icon. Displayed format is: DD/MM/YYYY.
Meeting Time	Shows the Meeting Time or use the calendar icon. Displayed format is: hh:mm:ss:µs.
Button	Function
Consent Granted Option	This function enables you to display the subsection Consent Granted Option (CONY) in case is needed.
No Action	This function enables you to inform the details of the No Action of the CA Event.
<u>Consent Granted Option</u>	
Field	Description
Option Number	Shows the Number identifying the available CA options.
Default Option Indicator	Shows from possible values: – Yes – No
Additional Text	Shows additional or more detailed information on the content of a message. This field may only be used if the information to be transmitted cannot be coded. It could be more than one row
<i>Option Critical Dates</i>	
Response Deadline Date	Shows the Date that the account servicer has set as the deadline to respond, with instructions, to an outstanding event. This time is dependent on the reference time zone of the account servicer as specified in a Service Level Agreement (SLA) or use the calendar icon. Displayed format is: DD/MM/YYYY.
Response Deadline Time	Shows the time that the account servicer has set as the deadline to respond, with instructions, to an outstanding event. This time is dependent on the reference time zone of the account servicer as specified in a Service Level Agreement (SLA). Displayed format is: hh:mm:ss:µs.
Market Deadline Date	Shows the date of the market deadline. The calendar icon can also be used. Displayed format is: DD/MM/YYYY

Market Dead- line Time	Shows the Time of the market deadline. The calendar icon can also be used. Displayed format is: hh:mm:ss:µs.
Election Begin	Shows the Election Begin Date or use the calendar Icon. Displayed format is: DD/MM/YYYY.
Election Begin Time	Shows the Election Begin Time or use the calendar Icon. Displayed format is: hh:mm:ss:µs.
Election End	Shows the Election End Date or use the calendar Icon. Displayed format is: DD/MM/YYYY.
Election End Time	Shows the Election End Time or use the calendar Icon. Displayed format is: hh:mm:ss:µs.
Withdraw Begin	Shows the Withdrawal begin Date or use the calendar Icon. Displayed format is: DD/MM/YYYY.
Withdrawal Be- gin Time	Shows the Withdrawal Begin Time or use the calendar Icon. Displayed format is: hh:mm:ss:µs.
Withdraw End	Shows the Withdrawal End Date or use the calendar Icon. Displayed format is: DD/MM/YYYY.
Withdrawal End Time	Shows the Withdrawal End Time or use the calendar Icon. Displayed format is: hh:mm:ss:µs.
<u>No Action Option</u>	
Field	Description
Option Number	Shows the Number identifying the available CA options.
Default Option Indicator	Shows from possible values: – Yes – No
Additional Text	Shows additional or more detailed information on the content of a message. This field may only be used if the information to be transmitted cannot be coded. It could be more than one row
<i>Option Critical Dates</i>	
Response Dead- line Date	Shows the Date that the account servicer has set as the deadline to respond, with instructions, to an outstanding event. This time is dependent on the reference time zone of the account servicer as specified in a Service Level Agreement (SLA) or use the calendar icon. Displayed format is: DD/MM/YYYY.
Response Dead- line Time	Shows the time that the account servicer has set as the deadline to respond, with instructions, to an outstanding event. This time is dependent on the reference time zone of the account servicer as specified in a Service Level Agreement (SLA). Displayed format is: hh:mm:ss:µs.
Market Dead- line Date	Shows the date of the market deadline. The calendar icon can also be used. Displayed format is: DD/MM/YYYY
Market Dead- line Time	Shows the Time of the market deadline. The calendar icon can also be used. Displayed format is: hh:mm:ss:µs.

Election Begin Date	Shows the date at which the election begins. The calendar icon can also be used. Displayed format is: DD/MM/YYYY
Election Begin Time	Shows the Time at which the election begins. The calendar icon can also be used. Displayed format is: hh:mm:ss:µs.
Election End Date	Shows the date at which the election ends. The calendar icon can also be used. Displayed format is: DD/MM/YYYY
Election End Time	Shows the Time at which the election ends. The calendar icon can also be used. Displayed format is: hh:mm:ss:µs.
Button	Function
Restriction	This button allows the user to access to restriction section, where restrictions can be managed.

3.4.1.1.1.2. DTCH-VOLU

17

DTCH – Dutch Auction VOLU	
CA Event Details	
Field	Description
Record Date / Entitlement Fixing Date	Shows the date at which positions are struck to note which parties will receive the relevant amount of entitlement, due to the distribution on payment date. The calendar icon can also be used. Displayed format is: DD/MM/YYYY.
Payment Date	Shows the payment date or use the calendar Icon. Displayed format is: DD/MM/YYYY.
Event Details	
Min Price Amount	Shows the Min Price Amount
Max Price Amount	Shows the Max Price Amount
Min Price Currency	Shows the Min Price Currency
Max Price Currency	Shows the Max Price Currency
Bid Amount	Shows the Bid Amount
Bid Currency	Shows the Bid Currency
Quantity Sought	Shows the Quantity Sought
Button	Function
Cash Option	This function enables you to display the subsection Cash Option in case is needed.
No Action	This function enables you to display the subsection No Action.
<u>Cash Option</u>	
Option Number	Shows the Number identifying the available CA options.
Default Option Indicator	Shows from possible values: – Yes

	– No
Individual Process Of Inst	Shows the Individual Process Of Inst from possible values: – Yes – No
Offred Price Indicator	Shows the Offred Price Indicator from possible values: – Price Will not be paid – Prices is unknown – Price to be Specified – Price is not Displayed to be specified
Price to be specified	Shows the Price to be specified
Odd Lot Quantity	Shows the Odd Lot Quantity
Proration Rate (PROR)	Shows the Proration Rate (PROR)
Conditional	Shows the Conditional from possible values: – Yes – No
Odd Lot Preference	Shows the Odd Lot Preference from possible values: – Yes – No
Subject to Proration	Shows the Subject to Proration from possible values: – Yes – No
Response Deadline Date	Shows the Date that the account servicer has set as the deadline to respond, with instructions, to an outstanding event. This time is dependent on the reference time zone of the account servicer as specified in a Service Level Agreement (SLA) or use the calendar icon. Displayed format is: DD/MM/YYYY.
Market Deadline Date	Shows the Date which the issuer or issuer's agent has set as the deadline to respond, with an instruction, to an outstanding offer or privilege or use the calendar icon. Displayed format is: DD/MM/YYYY.
Election Begin	Shows the Election Begin date or use the calendar icon. Displayed format is: DD/MM/YYYY.
Election End	Shows the Election End date or use the calendar icon. Displayed format is: DD/MM/YYYY.
Withdraw Begin	Shows the Withdraw Begin date or use the calendar icon. Displayed format is: DD/MM/YYYY.
Withdraw End	Shows the Withdraw End date or use the calendar icon. Displayed format is: DD/MM/YYYY.
<i>Security Out</i>	
ISIN	Shows the ISIN that identifies the Asset concerned by the CA Event.

ISIN description	Shows the description of the ISIN that identifies the Asset concerned by the CA Event.
Payment Date	Shows the Date on which the cash payment will be made and the security will be debited. Displayed format is: DD/MM/YYYY.
<i>Cash In</i>	
Offer Price (OFFR)	Shows the Price at which bondholders may tender their bonds for buyback, e.g. price of 95 indicates that the holder will receive a cash payment of 95c for each €1 nominal holding of the bond (OFFR).
Currency	Shows the denomination currency.
Payment Date	Shows the Date on which the cash payment will be made and the security will be debited or use the calendar icon. Displayed format is: DD/MM/YYYY.
Quotation Mode	Shows the quotation mode, Shows from possible values: – Percentage – Amount
<i>No Action</i>	
Option Number	Shows the Number identifying the available CA options.
Default Option Indicator	Shows from possible values: – Yes – No
Additional Text	Shows additional or more detailed information on the content of a message. This field may only be used if the information to be transmitted cannot be coded. It could be more than one row
<i>Instruction Processing Info</i>	
Disclosure Needed	Shows the Disclosure Needed from possible values: – Yes – No
Individual Process Of Inst	Shows the Individual Process Of Inst from possible values: – Yes – No
Immediate Payment	Shows the Immediate Payment from possible values: – Yes – No
Benef Owner Instr	Shows the Benef Owner Instr from possible values: – Yes – No
Benef Owner Paper Work	Shows the Benef Owner Paper Work from possible values: – Yes – No
<i>Option Critical Dates</i>	

Response Deadline Date	Shows the Date that the account servicer has set as the deadline to respond, with instructions, to an outstanding event. This time is dependent on the reference time zone of the account servicer as specified in a Service Level Agreement (SLA) or use the calendar icon. Displayed format is: DD/MM/YYYY.
Response Deadline Time	Shows the time that the account servicer has set as the deadline to respond, with instructions, to an outstanding event. This time is dependent on the reference time zone of the account servicer as specified in a Service Level Agreement (SLA). Displayed format is: hh:mm:ss:µs.
Market Deadline Date	Shows the Date which the issuer or issuer's agent has set as the deadline to respond, with an instruction, to an outstanding offer or privilege or use the calendar icon. Displayed format is: DD/MM/YYYY.
Market Deadline Time	Shows the time which the issuer or issuer's agent has set as the deadline to respond, with an instruction, to an outstanding offer or privilege. Displayed format is: hh:mm:ss:µs.
Election Begin Date	Shows the date at which the election begins. The calendar icon can also be used. Displayed format is: DD/MM/YYYY
Election Begin Time	Shows the Time at which the election begins. The calendar icon can also be used. Displayed format is: hh:mm:ss:µs.
Election End Date	Shows the date at which the election ends. The calendar icon can also be used. Displayed format is: DD/MM/YYYY
Election End Time	Shows the Time at which the election ends. The calendar icon can also be used. Displayed format is: hh:mm:ss:µs.

3.4.1.1.1.1.2. EXOF-VOLU

18

EXOF – Exchange Offer VOLU	
CA Event Details	
Field	Description
Record Date / Entitlement Fixing Date	Shows the date at which positions are struck to note which parties will receive the relevant amount of entitlement, due to the distribution on payment date. The calendar icon can also be used. Displayed format is: DD/MM/YYYY.
Ex Date	Shows the Date as from which trading (including exchange and OTC trading) occurs on the underlying security without the benefit. or use the calendar icon. Displayed format is: DD/MM/YYYY.
Button	Function
Secu Option	This function enables you to display the subsection Securities Option in case is needed.

Cash Option	This function enables you to display the subsection Cash Option in case is needed.
Case Option	This function enables you to display the subsection Case Option in case is needed.
CEXC Option	This function enables you to display the subsection Case Option in case is needed.
No Action	This function enables you to display the subsection No Action.
<u>Secu Option</u>	
Option Number	Shows the Number identifying the available CA options.
Default Option Indicator	Shows from possible values: – Yes – No
Additional Text	Shows additional or more detailed information on the content of a message. This field may only be used if the information to be transmitted cannot be coded. It could be more than one row
<i>Option Critical Dates</i>	
Response Deadline Date	Shows the Date that the account servicer has set as the deadline to respond, with instructions, to an outstanding event. This time is dependent on the reference time zone of the account servicer as specified in a Service Level Agreement (SLA) or use the calendar icon. Displayed format is: DD/MM/YYYY.
Response Deadline Time	Shows the time that the account servicer has set as the deadline to respond, with instructions, to an outstanding event. This time is dependent on the reference time zone of the account servicer as specified in a Service Level Agreement (SLA). Displayed format is: hh:mm:ss:µs.
<i>Security Out</i>	
ISIN	Shows the ISIN that identifies the Asset concerned by the CA Event.
ISIN description	Shows the description of the ISIN that identifies the Asset concerned by the CA Event.
Payment Date	Shows the Date on which the cash payment will be made and the security will be debited. Displayed format is: DD/MM/YYYY.
New to Old Ratio (NEWO)	Shows New to Old Ratio that is the ratio of the new ISIN to the old ISIN from possible values: – Quantity to Quantity – Not Specified Rate – Amount to Amount – Amount to Quantity – Quantity to Amount
Parity In	Shows the Quantity of new securities for a given quantity of underlying securities.
Parity Out	Shows the Quantity of underlying securities.
Price	Shows the price of the option for the CA Event.

Currency	Shows the currency of the price of the option for the CA Event.
Percentage Price Type	Shows the type of percentage price, Shows from possible values: <ul style="list-style-type: none"> - Discount - DISC - Premium - PREM - Percentage - PRCT - Yield - YIEL
<i>Security In</i>	
ISIN	Shows the ISIN that identifies the Asset concerned by the CA Event.
ISIN description	Shows the description of the ISIN that identifies the Asset concerned by the CA Event.
Payment Date	Shows the Date on which the cash payment will be made and the security will be debited. Displayed format is: DD/MM/YYYY.
New to Old Ratio (NEWO)	Shows New to Old Ratio that is the ratio of the new ISIN to the old ISIN from possible values: <ul style="list-style-type: none"> - Quantity to Quantity - Not Specified Rate - Amount to Amount - Amount to Quantity - Quantity to Amount
Parity In	Shows the Quantity of new securities for a given quantity of underlying securities.
Parity Out	Shows the Quantity of underlying securities.
Price	Shows the price of the option for the CA Event.
Currency	Shows the currency of the price of the option for the CA Event.
Percentage Price Type	Shows the type of percentage price, Shows from possible values: <ul style="list-style-type: none"> - Discount - DISC - Premium - PREM - Percentage - PRCT - Yield - YIEL
<i>Cash In</i>	
Payment Date	Shows the Date on which the cash payment will be made and the security will be debited. Displayed format is: DD/MM/YYYY.
<i>Cash Option</i>	
Option Number	Shows the Number identifying the available CA options.
Default Option Indicator	Shows from possible values: <ul style="list-style-type: none"> - Yes - No
<i>Option Critical Dates</i>	

Response Deadline Date	Shows the Date that the account servicer has set as the deadline to respond, with instructions, to an outstanding event. This time is dependent on the reference time zone of the account servicer as specified in a Service Level Agreement (SLA) or use the calendar icon. Displayed format is: DD/MM/YYYY.
Response Deadline Time	Shows the time that the account servicer has set as the deadline to respond, with instructions, to an outstanding event. This time is dependent on the reference time zone of the account servicer as specified in a Service Level Agreement (SLA). Displayed format is: hh:mm:ss:µs.
Market Deadline Date	Shows the Date which the issuer or issuer's agent has set as the deadline to respond, with an instruction, to an outstanding offer or privilege or use the calendar icon. Displayed format is: DD/MM/YYYY.
Market Deadline Time	Shows the time which the issuer or issuer's agent has set as the deadline to respond, with an instruction, to an outstanding offer or privilege. Displayed format is: hh:mm:ss:µs.
Election Begin Date	Shows the date at which the election begins. The calendar icon can also be used. Displayed format is: DD/MM/YYYY
Election Begin Time	Shows the Time at which the election begins. The calendar icon can also be used. Displayed format is: hh:mm:ss:µs.
Election End Date	Shows the date at which the election ends. The calendar icon can also be used. Displayed format is: DD/MM/YYYY
Election End Time	Shows the Time at which the election ends. The calendar icon can also be used. Displayed format is: hh:mm:ss:µs.
<i>Security Out</i>	
Credit Debit indicator	Shows the credit debit indicator from possible values: – Credit – Debit
ISIN	Shows the ISIN that identifies the Asset concerned by the CA Event.
ISIN description	Shows the description of the ISIN that identifies the Asset concerned by the CA Event.
Payment Date	Shows the Date on which the cash payment will be made and the security will be debited. Displayed format is: DD/MM/YYYY.
<i>Cash In</i>	
Credit Debit indicator	Shows the credit debit indicator from possible values: – Credit – Debit

Payment Date	Shows the Date on which the cash payment will be made and the security will be debited. Displayed format is: DD/MM/YYYY.
Quotation Mode	Shows Quotation mode from possible values: <ul style="list-style-type: none"> - Amount - Percentage
Offer Price (OFFR)	Shows the Price at which bondholders may tender their bonds for buyback, e.g. price of 95 indicates that the holder will receive a cash payment of 95c for each €1 nominal holding of the bond (OFFR).
Currency	Shows the currency.
Case Option	
Option Number	Shows the Number identifying the available CA options.
Default Option Indicator	Shows from possible values: <ul style="list-style-type: none"> - Yes - No
<i>Option Critical Dates</i>	
Response Deadline Date	Shows the Date that the account servicer has set as the deadline to respond, with instructions, to an outstanding event. This time is dependent on the reference time zone of the account servicer as specified in a Service Level Agreement (SLA) or use the calendar icon. Displayed format is: DD/MM/YYYY.
Response Deadline Time	Shows the time that the account servicer has set as the deadline to respond, with instructions, to an outstanding event. This time is dependent on the reference time zone of the account servicer as specified in a Service Level Agreement (SLA). Displayed format is: hh:mm:ss:µs.
Market Deadline Date	Shows the Date which the issuer or issuer's agent has set as the deadline to respond, with an instruction, to an outstanding offer or privilege or use the calendar icon. Displayed format is: DD/MM/YYYY.
Market Deadline Time	Shows the time which the issuer or issuer's agent has set as the deadline to respond, with an instruction, to an outstanding offer or privilege. Displayed format is: hh:mm:ss:µs.
Election Begin Date	Shows the date at which the election begins. The calendar icon can also be used. Displayed format is: DD/MM/YYYY
Election Begin Time	Shows the Time at which the election begins. The calendar icon can also be used. Displayed format is: hh:mm:ss:µs.
Election End Date	Shows the date at which the election ends. The calendar icon can also be used. Displayed format is: DD/MM/YYYY
Election End Time	Shows the Time at which the election ends. The calendar icon can also be used.

	Displayed format is: hh:mm:ss:µs.
<i>Security Out</i>	
Credit Debit Indicator	Shows the Credit Debit indicator from possible values: <ul style="list-style-type: none"> - Credit - Debit
ISIN	Shows the ISIN that identifies the Asset concerned by the CA Event.
ISIN description	Shows the description of the ISIN that identifies the Asset concerned by the CA Event.
Payment Date	Shows the Date on which the cash payment will be made and the security will be debited. Displayed format is: DD/MM/YYYY.
New to Old Ratio (NEWO)	Shows New to Old Ratio that is the ratio of the new ISIN to the old ISIN from possible values: <ul style="list-style-type: none"> - Quantity to Quantity - Not Specified Rate - Amount to Amount - Amount to Quantity - Quantity to Amount
Parity In	Shows the Quantity of new securities for a given quantity of underlying securities.
Parity Out	Shows the Quantity of underlying securities.
<i>Security In</i>	
Credit Debit Indicator	Shows the Credit Debit indicator from possible values: <ul style="list-style-type: none"> - Credit - Debit
ISIN	Shows the ISIN that identifies the Asset concerned by the CA Event.
ISIN description	Shows the description of the ISIN that identifies the Asset concerned by the CA Event.
Payment Date	Shows the Date on which the cash payment will be made and the security will be debited. Displayed format is: DD/MM/YYYY.
New to Old Ratio (NEWO)	Shows New to Old Ratio that is the ratio of the new ISIN to the old ISIN from possible values: <ul style="list-style-type: none"> - Quantity to Quantity - Not Specified Rate - Amount to Amount - Amount to Quantity - Quantity to Amount
Parity In	Shows the Quantity of new securities for a given quantity of underlying securities.
Parity Out	Shows the Quantity of underlying securities.
<i>Cash In</i>	

Credit Debit Indicator	Shows the Credit Debit indicator from possible values: <ul style="list-style-type: none"> - Credit - Debit
Payment Date	Shows the Date on which the cash payment will be made and the security will be debited. Displayed format is: DD/MM/YYYY.
Offer Price (OFFR)	Shows the Price at which bondholders may tender their bonds for buyback, e.g. price of 95 indicates that the holder will receive a cash payment of 95c for each €1 nominal holding of the bond (OFFR).
Currency	Shows the currency of the price of the option for the CA Event.
Quotation Mode	Shows the quotation mode, Shows from possible values: <ul style="list-style-type: none"> - Amount - Percentage
CEXC Option	
Option Number	Shows the Number identifying the available CA options.
Default Option Indicator	Shows from possible values: <ul style="list-style-type: none"> - Yes - No
<i>Option Critical Dates</i>	
Response Deadline Date	Shows the Date that the account servicer has set as the deadline to respond, with instructions, to an outstanding event. This time is dependent on the reference time zone of the account servicer as specified in a Service Level Agreement (SLA) or use the calendar icon. Displayed format is: DD/MM/YYYY.
Response Deadline Time	Shows the time that the account servicer has set as the deadline to respond, with instructions, to an outstanding event. This time is dependent on the reference time zone of the account servicer as specified in a Service Level Agreement (SLA). Displayed format is: hh:mm:ss:µs.
<i>Security Out</i>	
Payment Date	Shows the Date on which the cash payment will be made and the security will be debited. Displayed format is: DD/MM/YYYY.
<i>Security In</i>	
Payment Date	Shows the Date on which the cash payment will be made and the security will be debited. Displayed format is: DD/MM/YYYY.
No Action	
Option Number	Shows the Number identifying the available CA options.
Default Option Indicator	Shows from possible values: <ul style="list-style-type: none"> - Yes - No

Additional Text	Shows additional or more detailed information on the content of a message. This field may only be used if the information to be transmitted cannot be coded. It could be more than one row
<i>Instruction Processing Info</i>	
Disclosure Needed	Shows the Disclosure Needed from possible values: – Yes – No
Individual Process Of Inst	Shows the Individual Process Of Inst from possible values: – Yes – No
Immediate Payment	Shows the Immediate Payment from possible values: – Yes – No
Benef Owner Instr	Shows the Benef Owner Instr from possible values: – Yes – No
Benef Owner Paper Work	Shows the Benef Owner Paper Work from possible values: – Yes – No
<i>Option Critical Dates</i>	
Response Deadline Date	Shows the Date that the account servicer has set as the deadline to respond, with instructions, to an outstanding event. This time is dependent on the reference time zone of the account servicer as specified in a Service Level Agreement (SLA) or use the calendar icon. Displayed format is: DD/MM/YYYY.
Response Deadline Time	Shows the time that the account servicer has set as the deadline to respond, with instructions, to an outstanding event. This time is dependent on the reference time zone of the account servicer as specified in a Service Level Agreement (SLA). Displayed format is: hh:mm:ss:µs.
Market Deadline Date	Shows the Date which the issuer or issuer's agent has set as the deadline to respond, with an instruction, to an outstanding offer or privilege or use the calendar icon. Displayed format is: DD/MM/YYYY.
Market Deadline Time	Shows the time which the issuer or issuer's agent has set as the deadline to respond, with an instruction, to an outstanding offer or privilege. Displayed format is: hh:mm:ss:µs.
Election Begin Date	Shows the date at which the election begins. The calendar icon can also be used. Displayed format is: DD/MM/YYYY
Election Begin Time	Shows the Time at which the election begins. The calendar icon can also be used. Displayed format is: hh:mm:ss:µs.

Election End Date	Shows the date at which the election ends. The calendar icon can also be used. Displayed format is: DD/MM/YYYY
Election End Time	Shows the Time at which the election ends. The calendar icon can also be used. Displayed format is: hh:mm:ss:µs.
Restriction	
Button	Function
Restriction	This button allows the user to access to Restriction section where you can manage the restrictions you need to add.

3.4.1.1.1.2. EXOF-MAND

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EXOF – Exchange Offer MAND	
CA Event Details	
Field	Description
Record Date / Entitlement Fixing Date	Shows the date at which positions are struck to note which parties will receive the relevant amount of entitlement, due to the distribution on payment date. The calendar icon can also be used. Displayed format is: DD/MM/YYYY.
Ex Date	Shows the Date as from which trading (including exchange and OTC trading) occurs on the underlying security without the benefit. or use the calendar icon. Displayed format is: DD/MM/YYYY.
Button	Function
Secu Option	This function enables you to display the subsection Securities Option in case is needed.
Cash Option	This function enables you to display the subsection Cash Option in case is needed.
Case Option	This function enables you to display the subsection Case Option in case is needed.
<u>Secu Option</u>	
Option Number	Shows the Number identifying the available CA options.
Default Option Indicator	Shows from possible values: – Yes – No
Additional Text	Shows additional or more detailed information on the content of a message. This field may only be used if the information to be transmitted cannot be coded. It could be more than one row
<i>Security Out</i>	
Credit Debit Indicator	Shows from possible values: – Credit – Debit
ISIN	Shows the ISIN that identifies the Asset concerned by the CA Event.

ISIN description	Shows the description of the ISIN that identifies the Asset concerned by the CA Event.
Payment Date	Shows the Date on which the cash payment will be made and the security will be debited. Displayed format is: DD/MM/YYYY.
Last Trading Date	Shows the Date at which the securities to be reorganised will cease to be tradeable or use the calendar icon. Displayed format is: DD/MM/YYYY.
New to Old Ratio (NEWO)	Shows New to Old Ratio that is the ratio of the new ISIN to the old ISIN from possible values: <ul style="list-style-type: none"> - Quantity to Quantity - Not Specified Rate - Amount to Amount - Amount to Quantity - Quantity to Amount
Parity In	Shows the Quantity of new securities for a given quantity of underlying securities.
Parity Out	Shows the Quantity of underlying securities.
<i>Security In</i>	
Credit Debit Indicator	Shows from possible values: <ul style="list-style-type: none"> - Credit - Debit
ISIN	Shows the ISIN that identifies the Asset concerned by the CA Event.
ISIN description	Shows the description of the ISIN that identifies the Asset concerned by the CA Event.
Payment Date	Shows the Date on which the cash payment will be made and the security will be debited. Displayed format is: DD/MM/YYYY.
Last Trading Date	Shows the Date at which the securities to be reorganised will cease to be tradeable or use the calendar icon. Displayed format is: DD/MM/YYYY.
New to Old Ratio (NEWO)	Shows New to Old Ratio that is the ratio of the new ISIN to the old ISIN from possible values: <ul style="list-style-type: none"> - Quantity to Quantity - Not Specified Rate - Amount to Amount - Amount to Quantity - Quantity to Amount
Parity In	Shows the Quantity of new securities for a given quantity of underlying securities.
Parity Out	Shows the Quantity of underlying securities.
<i>Cash Option</i>	
Option Number	Shows the Number identifying the available CA options.

Default Option Indicator	Shows from possible values: – Yes – No
Additional Text	Shows additional or more detailed information on the content of a message. This field may only be used if the information to be transmitted cannot be coded. It could be more than one row
<i>Security Out</i>	
Credit Debit Indicator	Shows from possible values: – Credit – Debit
ISIN	Shows the ISIN that identifies the Asset concerned by the CA Event.
ISIN description	Shows the description of the ISIN that identifies the Asset concerned by the CA Event.
Payment Date	Shows the Date on which the cash payment will be made and the security will be debited. Displayed format is: DD/MM/YYYY.
Last Trading Date	Shows the Date at which the securities to be reorganised will cease to be tradeable or use the calendar icon. Displayed format is: DD/MM/YYYY.
<i>Cash In</i>	
Credit Debit Indicator	Shows from possible values: – Credit – Debit
Payment Date	Shows the Date on which the cash payment will be made and the security will be debited. Displayed format is: DD/MM/YYYY.
Offer Price (OFFR)	Shows the Price at which bondholders may tender their bonds for buyback, e.g. price of 95 indicates that the holder will receive a cash payment of 95c for each €1 nominal holding of the bond (OFFR).
Currency	Shows the currency of the price of the option for the CA Event.
Quotation Mode	Shows the quotation mode, Shows from possible values: – Percentage – Amount
<i>Case Option</i>	
Option Number	Shows the Number identifying the available CA options.
Default Option Indicator	Shows from possible values: – Yes – No
Additional Text	Shows additional or more detailed information on the content of a message. This field may only be used if the information to be transmitted cannot be coded. It could be more than one row
<i>Security Out</i>	
ISIN	Shows the ISIN that identifies the Asset concerned by the CA Event.

ISIN description	Shows the description of the ISIN that identifies the Asset concerned by the CA Event.
Payment Date	Shows the Date on which the cash payment will be made and the security will be debited. Displayed format is: DD/MM/YYYY.
Last Trading Date	Shows the Date at which the securities to be reorganised will cease to be tradeable or use the calendar icon. Displayed format is: DD/MM/YYYY.
New to Old Ratio (NEWO)	Shows New to Old Ratio that is the ratio of the new ISIN to the old ISIN from possible values: <ul style="list-style-type: none"> - Quantity to Quantity - Not Specified Rate - Amount to Amount - Amount to Quantity - Quantity to Amount
Parity In	Shows the Quantity of new securities for a given quantity of underlying securities.
Parity Out	Shows the Quantity of underlying securities.
<i>Security In</i>	
ISIN	Shows the ISIN that identifies the Asset concerned by the CA Event.
ISIN description	Shows the description of the ISIN that identifies the Asset concerned by the CA Event.
Payment Date	Shows the Date on which the cash payment will be made and the security will be debited. Displayed format is: DD/MM/YYYY.
Last Trading Date	Shows the Date at which the securities to be reorganised will cease to be tradeable or use the calendar icon. Displayed format is: DD/MM/YYYY.
New to Old Ratio (NEWO)	Shows New to Old Ratio that is the ratio of the new ISIN to the old ISIN from possible values: <ul style="list-style-type: none"> - Quantity to Quantity - Not Specified Rate - Amount to Amount - Amount to Quantity - Quantity to Amount
Parity In	Shows the Quantity of new securities for a given quantity of underlying securities.
Parity Out	Shows the Quantity of underlying securities.
<i>Cash In</i>	
Payment Date	Shows the Date on which the cash payment will be made and the security will be debited. Displayed format is: DD/MM/YYYY.

Offer Price (OFFR)	Shows the Price at which bondholders may tender their bonds for buyback, e.g. price of 95 indicates that the holder will receive a cash payment of 95c for each €1 nominal holding of the bond (OFFR).
Currency	Shows the currency of the price of the option for the CA Event.
Quotation Mode	Shows the quotation mode, Shows from possible values: <ul style="list-style-type: none"> - Percentage - Amount

3.4.1.1.1.2. EXOF-CHOS

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EXOF – Exchange Offer CHOS	
CA Event Details	
Field	Description
Record Date / Entitlement Fixing Date	Shows the date at which positions are struck to note which parties will receive the relevant amount of entitlement, due to the distribution on payment date. The calendar icon can also be used. Displayed format is: DD/MM/YYYY.
Ex Date	Shows the Date as from which trading (including exchange and OTC trading) occurs on the underlying security without the benefit. or use the calendar icon. Displayed format is: DD/MM/YYYY.
Button	Function
Secu Option	This function enables you to display the subsection Securities Option in case is needed.
Cash Option	This function enables you to display the subsection Cash Option in case is needed.
Case Option	This function enables you to display the subsection Case Option in case is needed.
<u>Secu Option</u>	
Option Number	Shows the Number identifying the available CA options.
Default Option Indicator	Shows from possible values: <ul style="list-style-type: none"> - Yes - No
Additional Text	Shows additional or more detailed information on the content of a message. This field may only be used if the information to be transmitted cannot be coded. It could be more than one row
<i>Option Critical Dates</i>	
Response Deadline Date	Shows the Date that the account servicer has set as the deadline to respond, with instructions, to an outstanding event. This time is dependent on the reference time zone of the account servicer as specified in a Service Level Agreement (SLA) or use the calendar icon. Displayed format is: DD/MM/YYYY.
Response Deadline Time	Shows the time that the account servicer has set as the deadline to respond, with instructions, to an outstanding event. This time is dependent on the reference time zone of the account servicer as specified in a Service Level Agreement (SLA).

	Displayed format is: hh:mm:ss:µs.
Market Deadline Date	Shows the Date which the issuer or issuer's agent has set as the deadline to respond, with an instruction, to an outstanding offer or privilege or use the calendar icon. Displayed format is: DD/MM/YYYY.
Market Deadline Time	Shows the time which the issuer or issuer's agent has set as the deadline to respond, with an instruction, to an outstanding offer or privilege. Displayed format is: hh:mm:ss:µs.
Election Begin Date	Shows the date at which the election begins. The calendar icon can also be used. Displayed format is: DD/MM/YYYY
Election Begin Time	Shows the Time at which the election begins. The calendar icon can also be used. Displayed format is: hh:mm:ss:µs.
Election End Date	Shows the date at which the election ends. The calendar icon can also be used. Displayed format is: DD/MM/YYYY
Election End Time	Shows the Time at which the election ends. The calendar icon can also be used. Displayed format is: hh:mm:ss:µs.
<i>Security Out</i>	
Credit Debit Indicator	Shows from possible values: – Credit – Debit
ISIN	Shows the ISIN that identifies the Asset concerned by the CA Event.
ISIN description	Shows the description of the ISIN that identifies the Asset concerned by the CA Event.
Payment Date	Shows the Date on which the cash payment will be made and the security will be debited. Displayed format is: DD/MM/YYYY.
New to Old Ratio (NEWO)	Shows New to Old Ratio that is the ratio of the new ISIN to the old ISIN from possible values: – Quantity to Quantity – Not Specified Rate – Amount to Amount – Amount to Quantity – Quantity to Amount
Parity In	Shows the Quantity of new securities for a given quantity of underlying securities.
Parity Out	Shows the Quantity of underlying securities.
<i>Security In</i>	
Credit Debit Indicator	Shows from possible values: – Credit

	- Debit
ISIN	Shows the ISIN that identifies the Asset concerned by the CA Event.
ISIN description	Shows the description of the ISIN that identifies the Asset concerned by the CA Event.
Payment Date	Shows the Date on which the cash payment will be made and the security will be debited. Displayed format is: DD/MM/YYYY.
New to Old Ratio (NEWO)	Shows New to Old Ratio that is the ratio of the new ISIN to the old ISIN from possible values: <ul style="list-style-type: none"> - Quantity to Quantity - Not Specified Rate - Amount to Amount - Amount to Quantity - Quantity to Amount
Parity In	Shows the Quantity of new securities for a given quantity of underlying securities.
Parity Out	Shows the Quantity of underlying securities.
<u>Cash Option</u>	
Option Number	Shows the Number identifying the available CA options.
Default Option Indicator	Shows from possible values: <ul style="list-style-type: none"> - Yes - No
Additional Text	Shows additional or more detailed information on the content of a message. This field may only be used if the information to be transmitted cannot be coded. It could be more than one row
<i>Option Critical Dates</i>	
Response Deadline Date	Shows the Date that the account servicer has set as the deadline to respond, with instructions, to an outstanding event. This time is dependent on the reference time zone of the account servicer as specified in a Service Level Agreement (SLA) or use the calendar icon. Displayed format is: DD/MM/YYYY.
Response Deadline Time	Shows the time that the account servicer has set as the deadline to respond, with instructions, to an outstanding event. This time is dependent on the reference time zone of the account servicer as specified in a Service Level Agreement (SLA). Displayed format is: hh:mm:ss:µs.
Market Deadline Date	Shows the Date which the issuer or issuer's agent has set as the deadline to respond, with an instruction, to an outstanding offer or privilege or use the calendar icon. Displayed format is: DD/MM/YYYY.
Market Deadline Time	Shows the time which the issuer or issuer's agent has set as the deadline to respond, with an instruction, to an outstanding offer or privilege. Displayed format is: hh:mm:ss:µs.
Election Begin Date	Shows the date at which the election begins. The calendar icon can also be used.

	Displayed format is: DD/MM/YYYY
Election Begin Time	Shows the Time at which the election begins. The calendar icon can also be used. Displayed format is: hh:mm:ss:µs.
Election End Date	Shows the date at which the election ends. The calendar icon can also be used. Displayed format is: DD/MM/YYYY
Election End Time	Shows the Time at which the election ends. The calendar icon can also be used. Displayed format is: hh:mm:ss:µs.
<i>Security Out</i>	
Credit Debit Indicator	Shows from possible values: – Credit – Debit
ISIN	Shows the ISIN that identifies the Asset concerned by the CA Event.
ISIN description	Shows the description of the ISIN that identifies the Asset concerned by the CA Event.
Payment Date	Shows the Date on which the cash payment will be made and the security will be debited. Displayed format is: DD/MM/YYYY.
<i>Cash In</i>	
Payment Date	Shows the Date on which the cash payment will be made and the security will be debited. Displayed format is: DD/MM/YYYY.
Offer Price (OFFR)	Shows the Price at which bondholders may tender their bonds for buyback, e.g. price of 95 indicates that the holder will receive a cash payment of 95c for each €1 nominal holding of the bond (OFFR).
Currency	Shows the currency of the price of the option for the CA Event.
Quotation Mode	Shows from possible values: – Percentage – Amount
<i>Case Option</i>	
Option Number	Shows the Number identifying the available CA options.
Default Option Indicator	Shows from possible values: – Yes – No
Individual Process of Inst	Shows from possible values: – Yes – No
<i>Option Critical Dates</i>	

Response Deadline Date	Shows the Date that the account servicer has set as the deadline to respond, with instructions, to an outstanding event. This time is dependent on the reference time zone of the account servicer as specified in a Service Level Agreement (SLA) or use the calendar icon. Displayed format is: DD/MM/YYYY.
Response Deadline Time	Shows the time that the account servicer has set as the deadline to respond, with instructions, to an outstanding event. This time is dependent on the reference time zone of the account servicer as specified in a Service Level Agreement (SLA). Displayed format is: hh:mm:ss:µs.
Market Deadline Date	Shows the Date which the issuer or issuer's agent has set as the deadline to respond, with an instruction, to an outstanding offer or privilege or use the calendar icon. Displayed format is: DD/MM/YYYY.
Market Deadline Time	Shows the time which the issuer or issuer's agent has set as the deadline to respond, with an instruction, to an outstanding offer or privilege. Displayed format is: hh:mm:ss:µs.
Election Begin Date	Shows the date at which the election begins. The calendar icon can also be used. Displayed format is: DD/MM/YYYY
Election Begin Time	Shows the Time at which the election begins. The calendar icon can also be used. Displayed format is: hh:mm:ss:µs.
Election End Date	Shows the date at which the election ends. The calendar icon can also be used. Displayed format is: DD/MM/YYYY
Election End Time	Shows the Time at which the election ends. The calendar icon can also be used. Displayed format is: hh:mm:ss:µs.
<i>Security Out</i>	
Credit Debit Indicator	Shows from possible values: <ul style="list-style-type: none"> - Credit - Debit
ISIN	Shows the ISIN that identifies the Asset concerned by the CA Event.
ISIN description	Shows the description of the ISIN that identifies the Asset concerned by the CA Event.
Payment Date	Shows the Date on which the cash payment will be made and the security will be debited. Displayed format is: DD/MM/YYYY.
New to Old Ratio (NEWO)	Shows New to Old Ratio that is the ratio of the new ISIN to the old ISIN from possible values: <ul style="list-style-type: none"> - Quantity to Quantity - Not Specified Rate - Amount to Amount

	<ul style="list-style-type: none"> - Amount to Quantity - Quantity to Amount
Parity In	Shows the Quantity of new securities for a given quantity of underlying securities.
Parity Out	Shows the Quantity of underlying securities.
<i>Security In</i>	
Credit Debit Indicator	Shows from possible values: <ul style="list-style-type: none"> - Credit - Debit
ISIN	Shows the ISIN that identifies the Asset concerned by the CA Event.
ISIN description	Shows the description of the ISIN that identifies the Asset concerned by the CA Event.
Payment Date	Shows the Date on which the cash payment will be made and the security will be debited. Displayed format is: DD/MM/YYYY.
New to Old Ratio (NEWO)	Shows New to Old Ratio that is the ratio of the new ISIN to the old ISIN from possible values: <ul style="list-style-type: none"> - Quantity to Quantity - Not Specified Rate - Amount to Amount - Amount to Quantity - Quantity to Amount
Parity In	Shows the Quantity of new securities for a given quantity of underlying securities.
Parity Out	Shows the Quantity of underlying securities.
<i>Cash In</i>	
Credit Debit Indicator	Shows from possible values: <ul style="list-style-type: none"> - Credit - Debit
Payment Date	Shows the Date on which the cash payment will be made and the security will be debited. Displayed format is: DD/MM/YYYY.
Offer Price (OFFR)	Shows the Price at which bondholders may tender their bonds for buyback, e.g. price of 95 indicates that the holder will receive a cash payment of 95c for each €1 nominal holding of the bond (OFFR).
Currency	Shows the currency of the price of the option for the CA Event.
Quotation Mode	Shows from possible values: <ul style="list-style-type: none"> - Percentage - Amount

3.4.1.1.1.1.2. **EXTM-MAND**

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EXTM – Maturity Extension MAND

CA Event Details

Field	Description
Record Date / Entitlement Fixing Date	Shows the date at which positions are struck to note which parties will receive the relevant amount of entitlement, due to the distribution on payment date. The calendar icon can also be used. Displayed format is: DD/MM/YYYY.
Effective Date	Shows the date at which the event is officially effective from the issuer's perspective. The calendar icon can also be used. Displayed format is: DD/MM/YYYY.
New Maturity Date	Shows the Date/time to which the maturity date of an interest bearing security is extended.
Button	Function
Secu Option	This function enables you to display the subsection Securities Option in case is needed.
<u>Secu Option</u>	
Option Number	Shows the Number identifying the available CA options.
Default Option Indicator	Shows from possible values: – Yes – No
Additional Text	Shows additional or more detailed information on the content of a message. This field may only be used if the information to be transmitted cannot be coded. It could be more than one row
<i>Option Critical Dates</i>	
Election Begin Date	Shows the date at which the election begins. The calendar icon can also be used. Displayed format is: DD/MM/YYYY
Election End Date	Shows the date at which the election ends. The calendar icon can also be used. Displayed format is: DD/MM/YYYY
<i>Security Out</i>	
Credit Debit indicator	Shows from possible values: – Credit – Debit
ISIN	Shows the ISIN that identifies the Asset concerned by the CA Event.
ISIN description	Shows the description of the ISIN that identifies the Asset concerned by the CA Event.
Payment Date	Shows the Date on which the cash payment will be made and the security will be debited. Displayed format is: DD/MM/YYYY.
New to Old Ratio (NEWO)	Shows New to Old Ratio that is the ratio of the new ISIN to the old ISIN from possible values: – Quantity to Quantity – Not Specified Rate – Amount to Amount – Amount to Quantity

	– Quantity to Amount
Parity In	Shows the Quantity of new securities for a given quantity of underlying securities.
Parity Out	Shows the Quantity of underlying securities.
<i>Security In</i>	
Credit Debit indicator	Shows from possible values: – Credit – Debit
ISIN	Shows the ISIN that identifies the Asset concerned by the CA Event.
ISIN description	Shows the description of the ISIN that identifies the Asset concerned by the CA Event.
Payment Date	Shows the Date on which the cash payment will be made and the security will be debited. Displayed format is: DD/MM/YYYY.
New to Old Ratio (NEWO)	Shows New to Old Ratio that is the ratio of the new ISIN to the old ISIN from possible values: – Quantity to Quantity – Not Specified Rate – Amount to Amount – Amount to Quantity – Quantity to Amount
Parity In	Shows the Quantity of new securities for a given quantity of underlying securities.
Parity Out	Shows the Quantity of underlying securities.

3.4.1.1.1.2. EXTM-CHOS

22

EXTM – Maturity Extension CHOS	
CA Event Details	
Field	Description
Record Date / Entitlement Fixing Date	Shows the date at which positions are struck to note which parties will receive the relevant amount of entitlement, due to the distribution on payment date. The calendar icon can also be used. Displayed format is: DD/MM/YYYY.
Effective Date	Shows the date at which the event is officially effective from the issuer's perspective. The calendar icon can also be used. Displayed format is: DD/MM/YYYY.
New Maturity Date	Shows the Date/time to which the maturity date of an interest bearing security is extended.
Button	Function
Secu Option	This function enables you to display the subsection Securities Option in case is needed.
MPUT Option	This function enables you to display the subsection MPUT Option in case is needed.

<u>Secu Option</u>	
Option Number	Shows the Number identifying the available CA options.
Default Option Indicator	Shows from possible values: – Yes – No
Additional Text	Shows additional or more detailed information on the content of a message. This field may only be used if the information to be transmitted cannot be coded. It could be more than one row
<i>Option Critical Dates</i>	
Response Deadline Date	Shows the Date that the account servicer has set as the deadline to respond, with instructions, to an outstanding event. This time is dependent on the reference time zone of the account servicer as specified in a Service Level Agreement (SLA) or use the calendar icon. Displayed format is: DD/MM/YYYY.
Response Deadline Time	Shows the time that the account servicer has set as the deadline to respond, with instructions, to an outstanding event. This time is dependent on the reference time zone of the account servicer as specified in a Service Level Agreement (SLA). Displayed format is: hh:mm:ss:µs.
Market Deadline Date	Shows the Date which the issuer or issuer's agent has set as the deadline to respond, with an instruction, to an outstanding offer or privilege or use the calendar icon. Displayed format is: DD/MM/YYYY.
Market Deadline Time	Shows the time which the issuer or issuer's agent has set as the deadline to respond, with an instruction, to an outstanding offer or privilege. Displayed format is: hh:mm:ss:µs.
Election Begin Date	Shows the date at which the election begins. The calendar icon can also be used. Displayed format is: DD/MM/YYYY
Election Begin Time	Shows the Time at which the election begins. The calendar icon can also be used. Displayed format is: hh:mm:ss:µs.
Election End Date	Shows the date at which the election ends. The calendar icon can also be used. Displayed format is: DD/MM/YYYY
Election End Time	Shows the Time at which the election ends. The calendar icon can also be used. Displayed format is: hh:mm:ss:µs.
<i>Security Out</i>	
Credit Debit Indicator	Shows from possible values: – Credit – Debit
ISIN	Shows the ISIN that identifies the Asset concerned by the CA Event.
ISIN description	Shows the description of the ISIN that identifies the Asset concerned by the CA Event.

Payment Date	Shows the Date on which the cash payment will be made and the security will be debited. Displayed format is: DD/MM/YYYY.
New to Old Ratio (NEWO)	Shows New to Old Ratio that is the ratio of the new ISIN to the old ISIN from possible values: <ul style="list-style-type: none"> - Quantity to Quantity - Not Specified Rate - Amount to Amount - Amount to Quantity - Quantity to Amount
Parity In	Shows the Quantity of new securities for a given quantity of underlying securities.
Parity Out	Shows the Quantity of underlying securities.
<i>Security In</i>	
Credit Debit Indicator	Shows from possible values: <ul style="list-style-type: none"> - Credit - Debit
ISIN	Shows the ISIN that identifies the Asset concerned by the CA Event.
ISIN description	Shows the description of the ISIN that identifies the Asset concerned by the CA Event.
Payment Date	Shows the Date on which the cash payment will be made and the security will be debited. Displayed format is: DD/MM/YYYY.
New to Old Ratio (NEWO)	Shows New to Old Ratio that is the ratio of the new ISIN to the old ISIN from possible values: <ul style="list-style-type: none"> - Quantity to Quantity - Not Specified Rate - Amount to Amount - Amount to Quantity - Quantity to Amount
Parity In	Shows the Quantity of new securities for a given quantity of underlying securities.
Parity Out	Shows the Quantity of underlying securities.
<i>MPUT Option</i>	
Option Number	Shows the Number identifying the available CA options.
Default Option Indicator	Shows from possible values: <ul style="list-style-type: none"> - Yes - No
Additional Text	Shows additional or more detailed information on the content of a message. This field may only be used if the information to be transmitted cannot be coded. It could be more than one row
<i>Instruction Processing Info</i>	
Disclosure Needed	Shows the Disclosure Needed from possible values:

	<ul style="list-style-type: none"> - Yes - No
Individual Process Of Inst	Shows the Individual Process Of Inst from possible values: <ul style="list-style-type: none"> - Yes - No
Immediate Payment	Shows the Immediate Payment from possible values: <ul style="list-style-type: none"> - Yes - No
Benef Owner Instr	Shows the Benef Owner Instr from possible values: <ul style="list-style-type: none"> - Yes - No
Benef Owner Paper Work	Shows the Benef Owner Paper Work from possible values: <ul style="list-style-type: none"> - Yes - No
<i>Option Critical Dates</i>	
Response Deadline Date	Shows the Date that the account servicer has set as the deadline to respond, with instructions, to an outstanding event. This time is dependent on the reference time zone of the account servicer as specified in a Service Level Agreement (SLA) or use the calendar icon. Displayed format is: DD/MM/YYYY.
Response Deadline Time	Shows the time that the account servicer has set as the deadline to respond, with instructions, to an outstanding event. This time is dependent on the reference time zone of the account servicer as specified in a Service Level Agreement (SLA). Displayed format is: hh:mm:ss:µs.
Market Deadline Date	Shows the date of the market deadline. The calendar icon can also be used. Displayed format is: DD/MM/YYYY
Market Deadline Time	Shows the Time of the market deadline. The calendar icon can also be used. Displayed format is: hh:mm:ss:µs.
Restriction	
Button	Function
Restriction	This button allows the user to access to Restriction section where you can manage the restrictions you need to add.

3.4.1.1.1.2. INCR-MAND

23

INCR – Increase in Value MAND	
CA Event Details	
Field	Description
Record Date / Entitlement Fixing Date	Shows the date at which positions are struck to note which parties will receive the relevant amount of entitlement, due to the distribution on payment date. The calendar icon can also be used. Displayed format is: DD/MM/YYYY.

Effective Date	Shows the date at which an event is officially effective from the issuer's perspective or use the calendar icon. Displayed format is: DD/MM/YYYY.
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3.4.1.1.1.1.2. INFO-MAND

24

INFO – Information MAND	
CA Event Details	
Field	Description
Record Date / Entitlement Fixing Date	Shows the date at which positions are struck to note which parties will receive the relevant amount of entitlement, due to the distribution on payment date. The calendar icon can also be used. Displayed format is: DD/MM/YYYY.
Ex Date	Shows the Date as from which trading (including exchange and OTC trading) occurs on the underlying security without the benefit. or use the calendar icon. Displayed format is: DD/MM/YYYY.
Conference Call (CONF)	Shows the Code specifying the information type being communicated: - Conference Call (CONF) – information is about an informal call when an issuer, trustee or agent wishes to talk to note holders to discuss a certain issue or potential change in the notes, with nothing said being binding.

3.4.1.1.1.1.2. INTR-MAND

25

INTR – Interest Payment MAND	
CA Event Details	
Field	Description
Record Date / Entitlement Fixing Date	Shows the date at which positions are struck to note which parties will receive the relevant amount of entitlement, due to the distribution on payment date. The calendar icon can also be used. Displayed format is: DD/MM/YYYY.
Effective Date	Shows the date at which an event is officially effective from the issuer's perspective or use the calendar icon. Displayed format is: DD/MM/YYYY.
Interest Start Date	Shows the interest start date or use the calendar icon. Displayed format is: DD/MM/YYYY.
Interest End Date	Shows the interest end date or use the calendar icon. Displayed format is: DD/MM/YYYY.
CA Detail Payment Comment [n]	Enter the detailed comments about the payment.
Button	Function
Cash Option	This function enables you to display the subsection Cash Option in case is needed.
<u>Cash Option</u>	
Field	Description
Option Number	Shows the Number identifying the available CA options.

Default Option Indicator	Shows from possible values: – Yes – No
<i>Cash In</i>	
Payment Date	Shows the Date on which the movement is due to take place (cash and/or securities). The calendar icon can also be used. Displayed format is: DD/MM/YYYY
Interest Rate Used for Payment (INTP)	Shows the actual interest rate used for payment of the interest for the specified interest period. It is used to provide the applicable rate for the current payment, after all calculations have been performed, i.e. application of period and method of interest computation.
Quotation Mode	Shows from possible values: – Amount – Percentage
Currency	Enter the currency of the price of the option for the CA Event.
Interest Start Date	Shows the Interest start date. Displayed format is: DD/MM/YYYY
Interest End Date	Shows the Interest end date. Displayed format is: DD/MM/YYYY

3.4.1.1.1.2. INTR-CHOS

26

INTR – Interest Payment CHOS	
CA Event Details	
Field	Description
Record Date / Entitlement Fixing Date	Shows the date at which positions are struck to note which parties will receive the relevant amount of entitlement, due to the distribution on payment date. The calendar icon can also be used. Displayed format is: DD/MM/YYYY.
Ex Date	Shows the Date as from which trading (including exchange and OTC trading) occurs on the underlying security without the benefit. or use the calendar icon. Displayed format is: DD/MM/YYYY.
INTEREST INFORMATION	
Annual Interest Rate	Shows the Annual Interest Rate
Interest Start Date	Shows the interest start date or use the calendar icon. Displayed format is: DD/MM/YYYY.
Interest End Date	Shows the interest end date or use the calendar icon. Displayed format is: DD/MM/YYYY.
Interest Shortfall	Shows the Interest Shortfall.
Comments	

Additional Text	Shows additional or more detailed information on the content of a message. This field may only be used if the information to be transmitted cannot be coded. It could be more than one row
Button	Function
Cash Option	This function enables you to display the subsection Cash Option in case is needed.
<u>Cash Option</u>	
Field	Description
Option Number	Shows the Number identifying the available CA options.
Default Option Indicator	Shows from possible values: – Yes – No
Additional Text	Shows additional or more detailed information on the content of a message. This field may only be used if the information to be transmitted cannot be coded. It could be more than one row
<i>Instruction Processing Info</i>	
Disclosure Needed	Shows the Disclosure Needed from possible values: – Yes – No
Individual Process Of Inst	Shows the Individual Process Of Inst from possible values: – Yes – No
Immediate Payment	Shows the Immediate Payment from possible values: – Yes – No
Benef Owner Instr	Shows the Benef Owner Instr from possible values: – Yes – No
Benef Owner Paper Work	Shows the Benef Owner Paper Work from possible values: – Yes – No
<i>Option Critical Dates</i>	
Response Deadline Date	Shows the Date that the account servicer has set as the deadline to respond, with instructions, to an outstanding event. This time is dependent on the reference time zone of the account servicer as specified in a Service Level Agreement (SLA) or use the calendar icon. Displayed format is: DD/MM/YYYY.
Response Deadline Time	Shows the time that the account servicer has set as the deadline to respond, with instructions, to an outstanding event. This time is dependent on the reference time zone of the account servicer as specified in a Service Level Agreement (SLA). Displayed format is: hh:mm:ss:µs.
Market Deadline Date	Shows the date of the market deadline. The calendar icon can also be used.

	Displayed format is: DD/MM/YYYY
Market Deadline Time	Shows the Time of the market deadline. The calendar icon can also be used. Displayed format is: hh:mm:ss:µs.
Election Begin Date	Shows the date at which the election begins. The calendar icon can also be used. Displayed format is: DD/MM/YYYY
Election Begin Time	Shows the Time at which the election begins. The calendar icon can also be used. Displayed format is: hh:mm:ss:µs.
Election End Date	Shows the date at which the election ends. The calendar icon can also be used. Displayed format is: DD/MM/YYYY
Election End Time	Shows the Time at which the election ends. The calendar icon can also be used. Displayed format is: hh:mm:ss:µs.
<i>Cash In</i>	
Offer Price (OFFR)	Shows the Price at which bondholders may tender their bonds for buyback, e.g. price of 95 indicates that the holder will receive a cash payment of 95c for each €1 nominal holding of the bond (OFFR).
Currency	Shows the currency of the price of the option for the CA Event.
Quotation Mode	Shows from possible values: <ul style="list-style-type: none"> - Amount - Percentage

3.4.1.1.1.2. LIQU-MAND

27

LIQU – Liquidation MAND	
CA Event Details	
Field	Description
Record Date / Entitlement Fixing Date	Shows the date at which positions are struck to note which parties will receive the relevant amount of entitlement, due to the distribution on payment date. The calendar icon can also be used. Displayed format is: DD/MM/YYYY.
Ex Date	Shows the Date as from which trading (including exchange and OTC trading) occurs on the underlying security without the benefit. or use the calendar icon. Displayed format is: DD/MM/YYYY.
Button	Function
Cash Option	This function enables you to display the subsection Cash Option in case is needed.
Secu Option	This function enables you to display the subsection Securities Option in case is needed.
Case Option	This function enables you to display the subsection Case Option in case is needed.
<u>Cash Option</u>	

Option Number	Shows the Number identifying the available CA options.
Default Option Indicator	Shows from possible values: – Yes – No
<i>Security Out</i>	
Credit Debit Indicator	Shows from possible values: – Credit – Debit
ISIN	Shows the ISIN that identifies the Asset concerned by the CA Event.
ISIN description	Shows the description of the ISIN that identifies the Asset concerned by the CA Event.
Payment Date	Shows the Date on which the cash payment will be made and the security will be debited. Displayed format is: DD/MM/YYYY.
<i>Cash In</i>	
Credit Debit Indicator	Shows from possible values: – Credit – Debit
Payment Date	Shows the Date on which the cash payment will be made and the security will be debited. Displayed format is: DD/MM/YYYY.
Offer Price (OFFR)	Shows the Price at which bondholders may tender their bonds for buyback, e.g. price of 95 indicates that the holder will receive a cash payment of 95c for each €1 nominal holding of the bond (OFFR).
Currency	Shows the currency of the price of the option for the CA Event.
Quotation Mode	Shows from possible values: – Percentage – Amount
Earliest Payment Date	Shows the earliest date to do the Payment or use the calendar icon. Displayed format is: DD/MM/YYYY.
<i>Secu Option</i>	
Option Number	Shows the Number identifying the available CA options.
Default Option Indicator	Shows from possible values: – Yes – No
Additional Text	Shows additional or more detailed information on the content of a message. This field may only be used if the information to be transmitted cannot be coded. It could be more than one row
<i>Security Out</i>	
Credit Debit Indicator	Shows from possible values: – Credit – Debit
ISIN	Shows the ISIN that identifies the Asset concerned by the CA Event.

ISIN description	Shows the description of the ISIN that identifies the Asset concerned by the CA Event.
Payment Date	Shows the Date on which the cash payment will be made and the security will be debited. Displayed format is: DD/MM/YYYY.
New to Old Ratio (NEWO)	Shows New to Old Ratio that is the ratio of the new ISIN to the old ISIN from possible values: <ul style="list-style-type: none"> - Quantity to Quantity - Not Specified Rate - Amount to Amount - Amount to Quantity - Quantity to Amount
Parity In	Shows the Quantity of new securities for a given quantity of underlying securities.
Parity Out	Shows the Quantity of underlying securities.
<i>Case Option</i>	
Option Number	Shows the Number identifying the available CA options.
Default Option Indicator	Shows from possible values: <ul style="list-style-type: none"> - Yes - No
Withdrawal End Time	Shows the end time for the Withdrawal or use the calendar icon. Displayed format is: hh:mm:ss:µs.
<i>Security In</i>	
Credit Debit Indicator	Shows from possible values: <ul style="list-style-type: none"> - Credit - Debit
ISIN	Shows the ISIN that identifies the Asset concerned by the CA Event.
ISIN description	Shows the description of the ISIN that identifies the Asset concerned by the CA Event.
Payment Date	Shows the Date on which the cash payment will be made and the security will be debited. Displayed format is: DD/MM/YYYY.
New to Old Ratio (NEWO)	Shows New to Old Ratio that is the ratio of the new ISIN to the old ISIN from possible values: <ul style="list-style-type: none"> - Quantity to Quantity - Not Specified Rate - Amount to Amount - Amount to Quantity - Quantity to Amount
Parity In	Shows the Quantity of new securities for a given quantity of underlying securities.
Parity Out	Shows the Quantity of underlying securities.
<i>Cash In</i>	

Credit Debit Indicator	Shows from possible values: – Credit – Debit
Payment Date	Shows the Date on which the cash payment will be made and the security will be debited. Displayed format is: DD/MM/YYYY.
Offer Price (OFFR)	Shows the Price at which bondholders may tender their bonds for buyback, e.g. price of 95 indicates that the holder will receive a cash payment of 95c for each €1 nominal holding of the bond (OFFR).
Currency	Shows the currency of the price of the option for the CA Event.
Quotation Mode	Shows from possible values: – Percentage – Amount

3.4.1.1.1.2. LIQU-CHOS

28

LIQU – Liquidation CHOS	
CA Event Details	
Field	Description
Record Date / Entitlement Fixing Date	Shows the date at which positions are struck to note which parties will receive the relevant amount of entitlement, due to the distribution on payment date. The calendar icon can also be used. Displayed format is: DD/MM/YYYY.
Ex Date	Shows the Date as from which trading (including exchange and OTC trading) occurs on the underlying security without the benefit. or use the calendar icon. Displayed format is: DD/MM/YYYY.
Announcement Date	Shows the Announcement Date or use the calendar icon. Displayed format is: DD/MM/YYYY.
Button	Function
Cash Option	This function enables you to display the subsection Cash Option in case is needed.
Secu Option	This function enables you to display the subsection Securities Option in case is needed.
Case Option	This function enables you to display the subsection Case Option in case is needed.
<u>Cash Option</u>	
Option Number	Shows the Number identifying the available CA options.
Default Option Indicator	Shows from possible values: – Yes – No
<i>Option Critical Dates</i>	

Response Deadline Date	Shows the Date that the account servicer has set as the deadline to respond, with instructions, to an outstanding event. This time is dependent on the reference time zone of the account servicer as specified in a Service Level Agreement (SLA) or use the calendar icon. Displayed format is: DD/MM/YYYY.
Response Deadline Time	Shows the time that the account servicer has set as the deadline to respond, with instructions, to an outstanding event. This time is dependent on the reference time zone of the account servicer as specified in a Service Level Agreement (SLA). Displayed format is: hh:mm:ss:µs.
Market Deadline Date	Shows the Date which the issuer or issuer's agent has set as the deadline to respond, with an instruction, to an outstanding offer or privilege or use the calendar icon. Displayed format is: DD/MM/YYYY.
Market Deadline Time	Shows the time which the issuer or issuer's agent has set as the deadline to respond, with an instruction, to an outstanding offer or privilege. Displayed format is: hh:mm:ss:µs.
Election Begin Date	Shows the date at which the election begins. The calendar icon can also be used. Displayed format is: DD/MM/YYYY
Election Begin Time	Shows the Time at which the election begins. The calendar icon can also be used. Displayed format is: hh:mm:ss:µs.
Election End Date	Shows the date at which the election ends. The calendar icon can also be used. Displayed format is: DD/MM/YYYY
Election End Time	Shows the Time at which the election ends. The calendar icon can also be used. Displayed format is: hh:mm:ss:µs.
<i>Security Out</i>	
Credit Debit Indicator	Shows from possible values: – Credit – Debit
ISIN	Shows the ISIN that identifies the Asset concerned by the CA Event.
ISIN description	Shows the description of the ISIN that identifies the Asset concerned by the CA Event.
Payment Date	Shows the Date on which the cash payment will be made and the security will be debited. Displayed format is: DD/MM/YYYY.
<i>Cash In</i>	
Credit Debit Indicator	Shows from possible values: – Credit – Debit

Payment Date	Shows the Date on which the cash payment will be made and the security will be debited. Displayed format is: DD/MM/YYYY.
Offer Price (OFFR)	Shows the Price at which bondholders may tender their bonds for buyback, e.g. price of 95 indicates that the holder will receive a cash payment of 95c for each €1 nominal holding of the bond (OFFR).
Currency	Shows the currency of the price of the option for the CA Event.
Quotation Mode	Shows from possible values: <ul style="list-style-type: none"> - Percentage - Amount
Secu Option	
Option Number	Shows the Number identifying the available CA options.
Default Option Indicator	Shows from possible values: <ul style="list-style-type: none"> - Yes - No
Additional Text	Shows additional or more detailed information on the content of a message. This field may only be used if the information to be transmitted cannot be coded. It could be more than one row
<i>Option Critical Dates</i>	
Response Deadline Date	Shows the Date that the account servicer has set as the deadline to respond, with instructions, to an outstanding event. This time is dependent on the reference time zone of the account servicer as specified in a Service Level Agreement (SLA) or use the calendar icon. Displayed format is: DD/MM/YYYY.
Response Deadline Time	Shows the time that the account servicer has set as the deadline to respond, with instructions, to an outstanding event. This time is dependent on the reference time zone of the account servicer as specified in a Service Level Agreement (SLA). Displayed format is: hh:mm:ss:µs.
<i>Security In</i>	
Credit Debit Indicator	Shows from possible values: <ul style="list-style-type: none"> - Credit - Debit
ISIN	Shows the ISIN that identifies the Asset concerned by the CA Event.
ISIN description	Shows the description of the ISIN that identifies the Asset concerned by the CA Event.
Payment Date	Shows the Date on which the cash payment will be made and the security will be debited. Displayed format is: DD/MM/YYYY.
New to Old Ratio (NEWO)	Shows New to Old Ratio that is the ratio of the new ISIN to the old ISIN from possible values: <ul style="list-style-type: none"> - Quantity to Quantity - Not Specified Rate - Amount to Amount

	<ul style="list-style-type: none"> - Amount to Quantity - Quantity to Amount
Parity In	Shows the Quantity of new securities for a given quantity of underlying securities.
Parity Out	Shows the Quantity of underlying securities.
<u>Case Option</u>	
Option Number	Shows the Number identifying the available CA options.
Default Option Indicator	Shows from possible values: <ul style="list-style-type: none"> - Yes - No
Withdrawal End Time	Shows the ending time for the Withdrawal or use the calendar icon. Displayed format is: hh:mm:ss:µs.
<i>Option Critical Dates</i>	
Response Deadline Date	Shows the Date that the account servicer has set as the deadline to respond, with instructions, to an outstanding event. This time is dependent on the reference time zone of the account servicer as specified in a Service Level Agreement (SLA) or use the calendar icon. Displayed format is: DD/MM/YYYY.
Response Deadline Time	Shows the time that the account servicer has set as the deadline to respond, with instructions, to an outstanding event. This time is dependent on the reference time zone of the account servicer as specified in a Service Level Agreement (SLA). Displayed format is: hh:mm:ss:µs.
<i>Security In</i>	
Credit Debit Indicator	Shows from possible values: <ul style="list-style-type: none"> - Credit - Debit
ISIN	Shows the ISIN that identifies the Asset concerned by the CA Event.
ISIN description	Shows the description of the ISIN that identifies the Asset concerned by the CA Event.
Payment Date	Shows the Date on which the cash payment will be made and the security will be debited. Displayed format is: DD/MM/YYYY.
New to Old Ratio (NEWO)	Shows New to Old Ratio that is the ratio of the new ISIN to the old ISIN from possible values: <ul style="list-style-type: none"> - Quantity to Quantity - Not Specified Rate - Amount to Amount - Amount to Quantity - Quantity to Amount
Parity In	Shows the Quantity of new securities for a given quantity of underlying securities.
Parity Out	Shows the Quantity of underlying securities.

<i>Cash In</i>	
Credit Debit Indicator	Shows from possible values: – Credit – Debit
Payment Date	Shows the Date on which the cash payment will be made and the security will be debited. Displayed format is: DD/MM/YYYY.
Offer Price (OFFR)	Shows the Price at which bondholders may tender their bonds for buyback, e.g. price of 95 indicates that the holder will receive a cash payment of 95c for each €1 nominal holding of the bond (OFFR).
Currency	Shows the currency of the price of the option for the CA Event.
Quotation Mode	Shows from possible values: – Percentage – Amount

3.4.1.1.1.2. MCAL-MAND

29

MCAL – Full Call / Early Redemption MAND	
CA Event Details	
Field	Description
Record Date / Entitlement Fixing Date	Shows the date at which positions are struck to note which parties will receive the relevant amount of entitlement, due to the distribution on payment date. The calendar icon can also be used. Displayed format is: DD/MM/YYYY.
Ex Date	Shows the Date as from which trading (including exchange and OTC trading) occurs on the underlying security without the benefit. or use the calendar icon. Displayed format is: DD/MM/YYYY.
Button	Function
Cash Option	This function enables you to display the subsection Cash Option in case is needed.
<u>Cash Option</u>	
Field	Description
Option Number	Shows the Number identifying the available CA options.
Default Option Indicator	Shows from possible values: – Yes – No
<i>Security Out</i>	
Credit Debit Indicator	Shows from possible values: – Credit – Debit
ISIN	Shows the ISIN that identifies the Asset concerned by the CA Event.
ISIN Description	Shows the description of the ISIN that identifies the Asset concerned by the CA Event.

Payment Date	Shows the Date on which the movement is due to take place (cash and/or securities). The calendar icon can also be used. Displayed format is: DD/MM/YYYY
Redemption Rate	Shows the redemption rate.
Quotation Mode	Shows from possible values: – Percentage – Amount
<i>Cash In</i>	
Credit Debit Indicator	Shows from possible values: – Credit – Debit
Payment Date	Shows the Date on which the movement is due to take place (cash and/or securities). The calendar icon can also be used. Displayed format is: DD/MM/YYYY
Quotation Mode	Shows from possible values: – Percentage – Amount
Offer Price (OFFR)	Shows the Price at which bondholders may tender their bonds for buyback, e.g. price of 95 indicates that the holder will receive a cash payment of 95c for each €1 nominal holding of the bond (OFFR).
Currency	Shows the denomination currency.

3.4.1.1.1.2. OTHR-MAND

30

OTHR – Other Event MAND	
CA Event Details	
Field	Description
Record Date / Entitlement Fixing Date	Shows the date at which positions are struck to note which parties will receive the relevant amount of entitlement, due to the distribution on payment date. The calendar icon can also be used. Displayed format is: DD/MM/YYYY.
Ex Date	Shows the Date as from which trading (including exchange and OTC trading) occurs on the underlying security without the benefit. or use the calendar icon. Displayed format is: DD/MM/YYYY.
Payment Date	Shows the Date on which the cash payment will be made and the security will be debited. Displayed format is: DD/MM/YYYY.
Announcement Date	Shows the Announcement Date or use the calendar icon. Displayed format is: DD/MM/YYYY.
Additional Text	Shows additional or more detailed information on the content of a message. This field may only be used if the information to be transmitted cannot be coded. It could be more than one row

3.4.1.1.1.2. OTHR-VOLU

31

OTHR – Other Event VOLU	
CA Event Details	
Field	Description
Record Date / Entitlement Fixing Date	Shows the date at which positions are struck to note which parties will receive the relevant amount of entitlement, due to the distribution on payment date. The calendar icon can also be used. Displayed format is: DD/MM/YYYY.
Ex Date	Shows the Date as from which trading (including exchange and OTC trading) occurs on the underlying security without the benefit. or use the calendar icon. Displayed format is: DD/MM/YYYY.
Response Deadline Date	Shows the date of the client deadline. The calendar icon can also be used. Displayed format is: DD/MM/YYYY
Market Deadline Date	Shows the date of the market deadline. The calendar icon can also be used. Displayed format is: DD/MM/YYYY
Election Begin Date	Shows the date at which the election begins. The calendar icon can also be used. Displayed format is: DD/MM/YYYY
Election End Date	Shows the date at which the election ends. The calendar icon can also be used. Displayed format is: DD/MM/YYYY
Additional Text	Shows additional or more detailed information on the content of a message. This field may only be used if the information to be transmitted cannot be coded. It could be more than one row

3.4.1.1.1.2. OTHR-CHOS

32

OTHR – Other Event CHOS	
CA Event Details	
Field	Description
Record Date / Entitlement Fixing Date	Shows the date at which positions are struck to note which parties will receive the relevant amount of entitlement, due to the distribution on payment date. The calendar icon can also be used. Displayed format is: DD/MM/YYYY.
Ex Date	Shows the Date as from which trading (including exchange and OTC trading) occurs on the underlying security without the benefit. or use the calendar icon. Displayed format is: DD/MM/YYYY.

3.4.1.1.1.2. PARI-MAND

33

PARI – Pari Passu MAND	
CA Event Details	
Field	Description

Record Date / Entitlement Fixing Date	Shows the date at which positions are struck to note which parties will receive the relevant amount of entitlement, due to the distribution on payment date. The calendar icon can also be used. Displayed format is: DD/MM/YYYY.
Ex Date	Shows the Date as from which trading (including exchange and OTC trading) occurs on the underlying security without the benefit. or use the calendar icon. Displayed format is: DD/MM/YYYY.
Button	Function
Secu Option	This function enables you to display the subsection Securities Option in case is needed.
<u>Secu Option</u>	
Option Number	Shows the Number identifying the available CA options.
Default Option Indicator	Shows from possible values: – Yes – No
Additional Text	Shows additional or more detailed information on the content of a message. This field may only be used if the information to be transmitted cannot be coded. It could be more than one row
<i>Security Out</i>	
ISIN	Shows the ISIN that identifies the Asset concerned by the CA Event.
ISIN description	Shows the description of the ISIN that identifies the Asset concerned by the CA Event.
Payment Date	Shows the Date on which the cash payment will be made and the security will be debited. Displayed format is: DD/MM/YYYY.
Last Trading Date	Shows the Date at which the securities to be reorganised will cease to be tradeable or use the calendar icon. Displayed format is: DD/MM/YYYY.
<i>Security In</i>	
ISIN	Shows the ISIN that identifies the Asset concerned by the CA Event.
ISIN description	Shows the description of the ISIN that identifies the Asset concerned by the CA Event.
Payment Date	Shows the Date on which the cash payment will be made and the security will be debited. Displayed format is: DD/MM/YYYY.
Last Trading Date	Shows the Date at which the securities to be reorganised will cease to be tradeable or use the calendar icon. Displayed format is: DD/MM/YYYY.
Parity In	Shows the Quantity of new securities for a given quantity of underlying securities.
Parity Out	Shows the Quantity of underlying securities.

3.4.1.1.1.2. PCAL-MAND

34

PCAL – Partial Call MAND	
CA Event Details	
Field	Description
Record Date / Entitlement Fixing Date	Shows the date at which positions are struck to note which parties will receive the relevant amount of entitlement, due to the distribution on payment date. The calendar icon can also be used. Displayed format is: DD/MM/YYYY.
Ex Date	Shows the Date as from which trading (including exchange and OTC trading) occurs on the underlying security without the benefit. or use the calendar icon. Displayed format is: DD/MM/YYYY.
Button	Function
Cash Option	This function enables you to display the subsection Cash Option in case is needed.
<u>Cash Option</u>	
Field	Description
Option Number	Shows the Number identifying the available CA options.
Default Option Indicator	Shows from possible values: – Yes – No
<i>Cash In</i>	
Payment Date	Shows the Date on which the movement is due to take place (cash and/or securities). The calendar icon can also be used. Displayed format is: DD/MM/YYYY
Redemption Rate	Shows the Rate applicable to the event announced, for example, redemption rate for a redemption event.
Offer Price (OFFR)	Shows the Price at which bondholders may tender their bonds for buyback, e.g. price of 95 indicates that the holder will receive a cash payment of 95c for each €1 nominal holding of the bond (OFFR).
Currency	Shows the denomination currency.
Quotation Mode	Shows the the quotation mode, Shows from possible values: – Amount – Percentage
<i>Security Out</i>	
ISIN	Shows the ISIN that identifies the Asset concerned by the CA Event.
ISIN description	Shows the description of the ISIN that identifies the Asset concerned by the CA Event.
Payment Date	Shows the Date on which the cash payment will be made and the security will be debited. Displayed format is: DD/MM/YYYY.

3.4.1.1.1.2. PINK-MAND

35

PINK – Payment In Kind MAND	
CA Event Details	
Field	Description
Record Date / Entitlement Fixing Date	Shows the date at which positions are struck to note which parties will receive the relevant amount of entitlement, due to the distribution on payment date. The calendar icon can also be used. Displayed format is: DD/MM/YYYY.
Ex Date	Shows the Date as from which trading (including exchange and OTC trading) occurs on the underlying security without the benefit. or use the calendar icon. Displayed format is: DD/MM/YYYY.
Button	Function
OTHR Option	This function enables you to display the subsection Cash Option in case is needed.
Secu Option	This function enables you to display the subsection Securities Option in case is needed.
<u>OTHR Option</u>	
Option Number	Shows the Number identifying the available CA options.
Default Option Indicator	Shows from possible values: – Yes – No
Additional Text	Shows additional or more detailed information on the content of a message. This field may only be used if the information to be transmitted cannot be coded. It could be more than one row
<u>Secu Option</u>	
Option Number	Shows the Number identifying the available CA options.
Default Option Indicator	Shows from possible values: – Yes – No
Additional Text	Shows additional or more detailed information on the content of a message. This field may only be used if the information to be transmitted cannot be coded. It could be more than one row
<i>Security In</i>	
Credit Debit Indicator	Shows from possible values: – Credit – Debit
ISIN	Shows the ISIN that identifies the Asset concerned by the CA Event.
ISIN description	Shows the description of the ISIN that identifies the Asset concerned by the CA Event.
Payment Date	Shows the Date on which the cash payment will be made and the security will be debited. Displayed format is: DD/MM/YYYY.

Parity In	Shows the Quantity of new securities for a given quantity of underlying securities.
Parity Out	Shows the Quantity of underlying securities.

3.4.1.1.1.1.2. **PLAC-MAND**

36

PLAC – Place of Incorporation MAND	
CA Event Information	
New ISIN	Shows the Identifier of the financial instrument to be credited.
CA Event Details	
Field	Description
Record Date / Entitlement Fixing Date	Shows the date at which positions are struck to note which parties will receive the relevant amount of entitlement, due to the distribution on payment date. The calendar icon can also be used. Displayed format is: DD/MM/YYYY.
Effective Date	Effective date is to be used in events where there is no concept of entitlement, for example Name Change (CHAN) or in events where there is a sense of eligibility but with a legal obligation, for example Merger (MRGR). Shows the date or use the calendar icon. Displayed format is: DD/MM/YYYY.
Ex Date	Shows the Date as from which trading (including exchange and OTC trading) occurs on the underlying security without the benefit. or use the calendar icon. Displayed format is: DD/MM/YYYY.
New Place of Incorporation	Shows the New company's place of incorporation.
Button	Function
SECU Option	This function enables you to display the subsection SECU Option in case is needed.
<u>SECU Option</u>	
Option Number	Shows the Number identifying the available CA options.
Default Option Indicator	Shows from possible values: – Yes – No
Additional Text	Shows additional or more detailed information on the content of a message. This field may only be used if the information to be transmitted cannot be coded. It could be more than one row
<i>Security Out</i>	
Credit Debit Indicator	Shows from possible values: – Credit – Debit
ISIN	Shows the ISIN that identifies the Asset concerned by the CA Event.
ISIN description	Shows the description of the ISIN that identifies the Asset concerned by the CA Event.

Payment Date	Shows the Date on which the cash payment will be made and the security will be debited. Displayed format is: DD/MM/YYYY.
Last Trading Date	Shows the Date at which the securities to be reorganised will cease to be tradeable or use the calendar icon. Displayed format is: DD/MM/YYYY.
Additional Quantity for Existing Securities	
Parity In	Shows the Quantity of new securities for a given quantity of underlying securities.
Parity Out	Shows the Quantity of underlying securities.
New to Old Ratio	
New to Old Ratio (NEWO)	Shows New to Old Ratio that is the ratio of the new ISIN to the old ISIN from possible values: <ul style="list-style-type: none"> - Quantity to Quantity - Not Specified Rate - Amount to Amount - Amount to Quantity - Quantity to Amount
Parity In	Shows the Quantity of new securities for a given quantity of underlying securities.
Parity Out	Shows the Quantity of underlying securities.
<i>Security In</i>	
Credit Debit Indicator	Shows from possible values: <ul style="list-style-type: none"> - Credit - Debit
New ISIN	Shows the new ISIN of the CA Event.
New ISIN description	Shows the description of the New ISIN.
Last Trading Date	Shows the Date at which the securities to be reorganised will cease to be tradeable or use the calendar icon. Displayed format is: DD/MM/YYYY.
Payment Date	Shows the Date on which the cash payment will be made and the security will be debited. Displayed format is: DD/MM/YYYY.
Additional Quantity for Existing Securities	
Parity In	Shows the Quantity of new securities for a given quantity of underlying securities.
Parity Out	Shows the Quantity of underlying securities.
New to Old Ratio	
New to Old Ratio (NEWO)	Shows New to Old Ratio that is the ratio of the new ISIN to the old ISIN from possible values: <ul style="list-style-type: none"> - Quantity to Quantity - Not Specified Rate

	<ul style="list-style-type: none"> - Amount to Amount - Amount to Quantity - Quantity to Amount
Parity In	Shows the Quantity of new securities for a given quantity of underlying securities.
Parity Out	Shows the Quantity of underlying securities.

3.4.1.1.1.2. PPMT-CHOS

37

PPMT - Instalment Call	
CA CA Event Details	
Record Date / Entitlement Fixing Date	Shows the date at which positions are struck at the end of the day to note which parties will receive the relevant amount of entitlement, due to be distributed on payment date. The calendar icon can also be used. Displayed format is: DD/MM/YYYY.
Ex Date	Shows the Date as from which trading (including exchange and OTC trading) occurs on the underlying security without the benefit. or use the calendar icon. Displayed format is: DD/MM/YYYY.
Options	
Button	Function
SECU Option	This function enables you to inform the details of the Security Option of the CA Event.
LAPS Option	This function enables you to inform the details of the LAPS Option of the CA Event.
<u>SECU Option</u>	
Option Number	Shows the Number identifying the available CA options.
Default Option Indicator	Shows from possible values: <ul style="list-style-type: none"> - Yes - No
Additional Text	Shows additional or more detailed information on the content of a message. This field may only be used if the information to be transmitted cannot be coded. It could be more than one row
<i>Security In</i>	
Credit Debit Indicator	Shows from possible values: <ul style="list-style-type: none"> - Credit - Debit
ISIN	Shows the ISIN that identifies the Asset concerned by the CA Event.
ISIN description	Shows the description of the ISIN that identifies the Asset concerned by the CA Event.
Payment Date	Shows the Date on which the cash payment will be made and the security will be debited. Displayed format is: DD/MM/YYYY.
Parity In	Shows the Quantity of new securities for a given quantity of underlying securities.

Parity Out	Shows the Quantity of underlying securities.
<u>LAPS Option</u>	
Option Number	Shows the Number identifying the available CA options.
Default Option Indicator	Shows from possible values: – Yes – No
Individual Process Of Inst	Shows whether the instructions will be processed individually for the option of the CA Event or not from the possible values: – Yes – No
Is Option Restricted	Shows whether the option is restricted or not from the possible values: – Yes – No
Response Deadline Date	Shows the date of the client deadline. The calendar icon can also be used. Displayed format is: DD/MM/YYYY
Response Deadline Time	Shows the Time of the client deadline. The calendar icon can also be used. Displayed format is: hh:mm:ss:µs.
Market Deadline Date	Shows the date of the market deadline. The calendar icon can also be used. Displayed format is: DD/MM/YYYY
Market Deadline Time	Shows the Time of the market deadline. The calendar icon can also be used. Displayed format is: hh:mm:ss:µs.
Election Begin Date	Shows the date at which the election begins. The calendar icon can also be used. Displayed format is: DD/MM/YYYY
Election Begin Time	Shows the Time at which the election begins. The calendar icon can also be used. Displayed format is: hh:mm:ss:µs.
Election End Date	Shows the date at which the election ends. The calendar icon can also be used. Displayed format is: DD/MM/YYYY
Election End Time	Shows the Time at which the election ends. The calendar icon can also be used. Displayed format is: hh:mm:ss:µs.
Withdrawal Begin Date	Shows the date at which the withdrawal begins. The calendar icon can also be used. Displayed format is: DD/MM/YYYY
Withdrawal Begin Time	Shows the Time at which the withdrawal begins. The calendar icon can also be used. Displayed format is: hh:mm:ss:µs.
Withdrawal End Date	Shows the date at which the withdrawal ends. The calendar icon can also be used. Displayed format is: DD/MM/YYYY

Withdrawal End Time	Shows the Time at which the withdrawal ends. The calendar icon can also be used. Displayed format is: hh:mm:ss:µs.
Custodian Dead-line	Shows the deadline date for Custodian or use the calendar icon. Displayed format is: DD/MM/YYYY
Custodian Dead-line Time	Shows the deadline Time for Custodian or use the calendar icon. Displayed format is: hh:mm:ss:µs.
Restriction	
Button	Function
Restriction	This button allows the user to access to Restriction section where you can manage the restrictions you need to add.

3.4.1.1.1.2. PRED-MAND

38

PRED – Partial Redemption With Pool Factor Reduction MAND	
CA Event Information	
Field	Description
Previous Factor	Shows the previous factor of the Marketable Asset concerned by the CA Event.
Next Factor	Shows the next factor of the Marketable Asset concerned by the CA Event.
CA Event Details	
Record Date / Entitlement Fixing Date	Shows the date at which positions are struck to note which parties will receive the relevant amount of entitlement, due to the distribution on payment date. The calendar icon can also be used. Displayed format is: DD/MM/YYYY.
Effective Date	Shows the effective Date or use the calendar icon. Displayed format is: DD/MM/YYYY.
Button	Function
Cash Option	This function enables you to display the subsection Cash Option in case is needed.
<u>Cash Option</u>	
Field	Description
Option Number	Shows the Number identifying the available CA options.
Default Option Indicator	Shows from possible values: – Yes – No
<i>Cash In</i>	
Payment Date	Shows the Date on which the movement is due to take place (cash and/or securities). The calendar icon can also be used. Displayed format is: DD/MM/YYYY
Rate	Shows the Rate applicable to the event announced, for example, redemption rate for a redemption event.

Offer Price (OFFR)	Shows the Price at which bondholders may tender their bonds for buyback, e.g. price of 95 indicates that the holder will receive a cash payment of 95c for each €1 nominal holding of the bond (OFFR).
Currency	Shows the denomination currency.
Quotation Mode	Shows the the quotation mode, Shows from possible values: <ul style="list-style-type: none"> - Amount - Percentage
<i>Security Out</i>	
ISIN	Shows the ISIN that identifies the Asset concerned by the CA Event.
ISIN description	Shows the description of the ISIN that identifies the Asset concerned by the CA Event.
Payment Date	Shows the Date on which the cash payment will be made and the security will be debited. Displayed format is: DD/MM/YYYY.

3.4.1.1.1.2. PROX-VOLU (BMET-VOLU, CMET-VOLU)

39

Selecting this type of event you can Shows in field Meeting Type one of these values:

BMET – Bond Holder Meeting VOLU

CMET - Court Meeting VOLU

General Information

Field	Description
Meeting Classification	Shows from possible values: <ul style="list-style-type: none"> - AMET - CLAS - CORT - ISSU - OMET - VRHI
Shareholder Rights Directive Indicator	Shows from possible values: <ul style="list-style-type: none"> - Yes - No
CA EVENT DETAILS	
Vote Mkt Deadline	Shows the Vote Mkt Deadline
Vote Mkt Deadline Time	Shows the Vote Mkt Deadline Time
Vote Deadline	Shows the Vote Deadline
Vote Deadline Time	Shows the Vote Deadline Time
Entitlement Fixing Date	Shows the Entitlement Fixing Date
Revocability Deadline	Shows the Revocability Deadline

Revocability Mkt Deadline	Shows the Revocability Mkt Deadline
Registration Participation Deadline Date	Shows the Registration Participation Deadline Date
Registration Participation Market Deadline Date	Shows the Registration Participation Market Deadline Date
Announcement Date	Shows the Announcement Date
Meeting Date	Shows the Meeting Date
MEETING DETAILS	
Button	Description
Meeting Details	This button allows the user to access to Meeting Details section.
Meeting Resolutions	This button allows the user to access to Meeting Resolutions section.
MEETING INFO	
Partial Vote Allowed	Shows the Partial Vote Allowed from possible values: – Yes – No
Split Vote Allowed	Shows the Split Vote Allowed from possible values: – Yes – No
Beneficial Owner Disclosure	Shows the Beneficial Owner Disclosure from possible values: – Yes – No
Qty Displayed To Vote	Shows the Quantity Displayed To Vote from possible values: – Yes – No
Other Details	
Button	Function
Participation Method	This button allows the user to access to Participation Method section.
Additional Doc Url Address	This button allows the user to access to Additional Doc Url Address section.
Meeting Details	
Once you click on Meeting Details button you see following fields:	
Meeting Date	Shows the Meeting Date
Meeting Time	Shows the Meeting Time
Quantity Type	Shows the Quantity Type
Quorum Qty	Shows the Quorum Quantity
Quorum Qty Prct	Shows the Quorum Quantity percentage

Quorum Re-quired	Shows the Quorum Displayed from possible values: – Yes – No
Button	Description
Create	This function enables you to create a meeting details record. Next Screen: – <i>Meeting Details – Create Screen</i>
Delete	This function enables you to delete the selected meeting details record.
Edit	This function enables you to modify the selected meeting details record. Next Screen: – <i>Meeting Details – Edit Screen</i>
View	This function enables you to view the details of the selected meeting details record. Next Screen: – <i>Meeting Details – Details Screen</i>
<i>Meeting Details – Details Screen</i>	
Meeting Date	Shows the Meeting Date Displayed format is: DD/MM/YYYY.
Meeting Time	Shows the Meeting Time Displayed format is: hh:mm:ss:µs.
Quantity Type	Shows the Quantity Type
Quorum Qty	Shows the Quorum Quantity
Quorum Qty Prct	Shows the Quorum Quantity percentage
Quorum Re-quired	Shows the Quorum Displayed from possible values: – Yes – No
Footprint	
Creation Date	Shows the date and time of the creation. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Creation User Id	Shows the identification of the user that does the creation.
Update Date	Shows the date and time of the last update. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Updater User Id	Shows the identification of the user that made the last update
Button	Description
Meeting Location	This button allows the user to access meeting Location section
Meeting Location	
Once you click on Meeting Location button you see following fields:	
Address Line	Shows the Address Line of the meeting location.
Building Number	Shows the Building Number of the meeting location.

Country	Shows the Country Code of the meeting location.
Postal Code	Shows the Postal Code of the meeting location.
Street Name	Shows the Street Name of the meeting location.
Town	Shows the Town of the meeting location.
Button	Description
Create	This function enables you to create a meeting location record. Next Screen: – Meeting Location – Create Screen
Delete	This function enables you to delete the selected meeting Location record.
Edit	This function enables you to modify the selected meeting Location record. Next Screen: – Meeting Location – Edit Screen
View	This function enables you to view the details of the selected meeting Location record. Next Screen: – Meeting Location – Details Screen
<i>Meeting Location – Details Screen</i>	
Address Line	Shows the Address Line of the meeting location.
Building Number	Shows the Building Number of the meeting location.
Country	Enter/Shows the Country Code of the meeting location.
Postal Code	Shows the Postal Code of the meeting location.
Street Name	Shows the Street Name of the meeting location.
Town	Shows the Town of the meeting location.
Footprint	
Creation Date	Shows the date and time of the creation. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Creation User Id	Shows the identification of the user that does the creation.
Update Date	Shows the date and time of the last update. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Updater User Id	Shows the identification of the user that made the last update
Button	Description
Address Line	This button allows you to access to address lines section.
<i>Address Lines</i>	
Once you click on Address Lines button you see following fields:	
Address Lines	Shows the Address Lines
Button	Description
Create	This function enables you to create an address lines record. Next Screen: – Address lines – Create Screen
Delete	This function enables you to delete the selected address lines record.
Edit	This function enables you to modify the selected address lines record.

	Next Screen: – Address lines – Edit Screen
View	This function enables you to view the details of the selected address lines record. Next Screen: – Address lines – Details Screen
<i>Address Lines – Details Screen</i>	
Address Line	Shows the Address Line of the meeting location.
Meeting Resolutions	
Once you click on Meeting Resolutions button you see following fields:	
Resolution Number	Shows the Resolution Number
Title	Shows the Title
Description	Shows the Description
Resolution Status	Shows the Resolution Status from possible values: – Active – Withdrawn
Resolution Type	Shows the Resolution Type from possible values: – Extraordinary – Special
Vote Type	Shows the Vote Type from possible values: – ADVI – BNDG
For Information Only	Shows the For Information Only from possible values: – Yes – No
Vote Recommendation	Shows the Vote Recommendation from possible values: – Against – Abstain – Withhold – No Action – For – No Recommendation – Chairman – OneYear – ThreeYears – TwoYears – Blank
Notifying Party Recommendation	Shows the notifying party recommendation from possible values: – Against – Abstain

	<ul style="list-style-type: none"> - Withhold - No Action - For - No Recommendation - Chairman - OneYear - ThreeYears - TwoYears - Blank
Resolution Result	Shows the Resolution Result from possible values: <ul style="list-style-type: none"> - Accepted - Rejected - Withdrawn
Button	Description
Create	This function enables you to create a meeting Resolution record. Next Screen: <ul style="list-style-type: none"> - <i>Meeting Resolution – Create Screen</i>
Delete	This function enables you to delete the selected meeting Resolution record.
Edit	This function enables you to modify the selected meeting Resolution record. Next Screen: <ul style="list-style-type: none"> - <i>Meeting Resolution – Edit Screen</i>
View	This function enables you to view the details of the selected meeting Resolution record. Next Screen: <ul style="list-style-type: none"> - <i>Meeting Resolution – Details Screen</i>
<i>Meeting Resolution – Details Screen</i>	
Resolution Number	Shows the Resolution Number
Title	Shows the Title
Description	Shows the Description
Resolution Status	Shows the Resolution Status from possible values: <ul style="list-style-type: none"> - Active - Withdrawn
Resolution Type	Shows the Resolution Type from possible values: <ul style="list-style-type: none"> - Extraordinary - Special
Vote Type	Shows the Vote Type from possible values: <ul style="list-style-type: none"> - ADVI - BNDG
For Information Only	Shows the For Information Only from possible values: <ul style="list-style-type: none"> - Yes

	<ul style="list-style-type: none"> - No
Vote Recommendation	<p>Shows the Vote Recommendation from possible values:</p> <ul style="list-style-type: none"> - Against - Abstain - Withhold - No Action - For - No Recommendation - Chairman - OneYear - ThreeYears - TwoYears - Blank
Notifying Party Recommendation	<p>Shows the notifying party recommendation from possible values:</p> <ul style="list-style-type: none"> - Against - Abstain - Withhold - No Action - For - No Recommendation - Chairman - OneYear - ThreeYears - TwoYears - Blank
Resolution Result	<p>Shows the Resolution Result from possible values:</p> <ul style="list-style-type: none"> - Accepted - Rejected - Withdrawn
Footprint	
Creation Date	<p>Shows the date and time of the creation.</p> <p>Displayed format is: DD/MM/YYYY hh:mm:ss:µs.</p>
Creation User Id	Shows the identification of the user that does the creation.
Update Date	<p>Shows the date and time of the last update.</p> <p>Displayed format is: DD/MM/YYYY hh:mm:ss:µs.</p>
Updater User Id	Shows the identification of the user that made the last update
Button	Description
Vote Instruction Type	This button allows the user to access to Vote Instruction Type
Document Url Link	This button allows the user to access to Document URL Link

Vote Instruction Type	
Once you click on Vote Instruction Type button you see following fields:	
Allowed Vote	Shows the allowed vote from possible values: <ul style="list-style-type: none"> - For - Against - Abstain - Withhold - WithManagement - Against Management - No Action - Discretionary - Blank - Chairman - OneYear - ThreeYears - TwoYears
Button	Description
Create	This function enables you to create a Vote Instruction Type record. Next Screen: <ul style="list-style-type: none"> - <i>Vote Instruction Type – Create Screen</i>
Delete	This function enables you to delete the selected Vote Instruction Type record.
Edit	This function enables you to modify the selected Vote Instruction Type record. Next Screen: <ul style="list-style-type: none"> - <i>Vote Instruction Type – Edit Screen</i>
View	This function enables you to view the details of the selected Vote Instruction Type record. Next Screen: <ul style="list-style-type: none"> - <i>Vote Instruction Type – Details Screen</i>
<i>Vote Instruction type – Details Screen</i>	
Allowed Vote	Shows the allowed vote from possible values: <ul style="list-style-type: none"> - For - Against - Abstain - Withhold - WithManagement - Against Management - No Action - Discretionary - Blank - Chairman

	<ul style="list-style-type: none"> - OneYear - ThreeYears - TwoYears
Document Url Link	
Once you click on Document Url Link button you see following fields:	
URL Address	Shows the URL Address
Button	Description
Create	This function enables you to create a URL Address record. Next Screen: - <i>URL Address – Create Screen</i>
Delete	This function enables you to delete the selected URL Address record.
Edit	This function enables you to modify the selected URL Address record. Next Screen: - <i>URL Address – Edit Screen</i>
View	This function enables you to view the details of the selected URL Address record. Next Screen: - <i>URL Address – Details Screen</i>
<i>Document URL Link – Details Screen</i>	
Url Address	Shows the URL Address
Participation Method	
Once you click on Participation Method button you see following fields:	
Issuer Deadline For Voting	Shows the Issuer Deadline date For Voting
Issuer Deadline Time For Voting	Shows the Issuer Deadline Time For Voting
Participation Method	Shows the Participation Method from Possible values: <ul style="list-style-type: none"> - EVOT - MAIL - PHNV - PHYS - PRXY - VIRT
Button	Description
Create	This function enables you to create a Participation Method Record.
Delete	This function enables you to delete the selected Participation Method record.
Edit	This function enables you to modify the selected Participation Method record.
View	This function enables you to view the details of the selected Participation Method record.
Additional Doc Url Address	
Once you click on Additional Doc Url Address button you see following fields:	

Additional Doc Url Address	Shows the Additional Doc Url Address
Button	Description
Create	This function enables you to create an Additional Doc URL Address Record.
Delete	This function enables you to delete the selected Additional Doc URL Address record.
Edit	This function enables you to modify the selected Additional Doc URL Address record.
View	This function enables you to view the details of the selected Additional Doc URL Address record.

3.4.1.1.1.2. REDM-MAND

40

REDM – Redemption MAND	
CA Event Details	
Field	Description
Record Date / Entitlement Fixing Date	Shows the date at which positions are struck to note which parties will receive the relevant amount of entitlement, due to the distribution on payment date. The calendar icon can also be used. Displayed format is: DD/MM/YYYY.
Ex Date	Shows the Date as from which trading (including exchange and OTC trading) occurs on the underlying security without the benefit. or use the calendar icon. Displayed format is: DD/MM/YYYY.
Button	Function
Secu Option	This function enables you to display the subsection Securities Option in case is needed.
Cash Option	This function enables you to display the subsection Cash Option in case is needed.
<u>Secu Option</u>	
Option Number	Shows the Number identifying the available CA options.
Default Option Indicator	Shows from possible values: – Yes – No
Additional Text	Shows additional or more detailed information on the content of a message. This field may only be used if the information to be transmitted cannot be coded. It could be more than one row
<i>Security Out</i>	
ISIN	Shows the ISIN that identifies the Asset concerned by the CA Event.
ISIN description	Shows the description of the ISIN that identifies the Asset concerned by the CA Event.
Payment Date	Shows the Date on which the cash payment will be made and the security will be debited. Displayed format is: DD/MM/YYYY.
New to Old Ratio (NEWO)	Shows New to Old Ratio that is the ratio of the new ISIN to the old ISIN from possible values:

	<ul style="list-style-type: none"> - Quantity to Quantity - Not Specified Rate - Amount to Amount - Amount to Quantity - Quantity to Amount
Parity In	Shows the Quantity of new securities for a given quantity of underlying securities.
Parity Out	Shows the Quantity of underlying securities.
<i>Security In</i>	
ISIN	Shows the ISIN that identifies the Asset concerned by the CA Event.
ISIN description	Shows the description of the ISIN that identifies the Asset concerned by the CA Event.
Payment Date	Shows the Date on which the cash payment will be made and the security will be debited. Displayed format is: DD/MM/YYYY.
New to Old Ratio (NEWO)	Shows New to Old Ratio that is the ratio of the new ISIN to the old ISIN from possible values: <ul style="list-style-type: none"> - Quantity to Quantity - Not Specified Rate - Amount to Amount - Amount to Quantity - Quantity to Amount
Parity In	Shows the Quantity of new securities for a given quantity of underlying securities.
Parity Out	Shows the Quantity of underlying securities.
<i>Cash Option</i>	
Option Number	Shows the Number identifying the available CA options.
Default Option Indicator	Shows from possible values: <ul style="list-style-type: none"> - Yes - No
Additional Text	Shows additional or more detailed information on the content of a message. This field may only be used if the information to be transmitted cannot be coded. It could be more than one row
<i>Security Out</i>	
ISIN	Shows the ISIN that identifies the Asset concerned by the CA Event.
ISIN description	Shows the description of the ISIN that identifies the Asset concerned by the CA Event.
Payment Date	Shows the Date on which the cash payment will be made and the security will be debited. Displayed format is: DD/MM/YYYY.
<i>Cash In</i>	
Payment Date	Shows the Date on which the cash payment will be made and the security will be debited.

	Displayed format is: DD/MM/YYYY.
Offer Price (OFFR)	Shows the Price at which bondholders may tender their bonds for buyback, e.g. price of 95 indicates that the holder will receive a cash payment of 95c for each €1 nominal holding of the bond (OFFR).
Currency	Shows the currency of the price of the option for the CA Event.
Quotation Mode	Shows from possible values: <ul style="list-style-type: none"> - Percentage - Amount

3.4.1.1.1.2. REDO-MAND

41

REDO – Redenomination MAND	
CA Event Information	
CA Event Details	
Field	Description
Record Date / Entitlement Fixing Date	Shows the date at which positions are struck to note which parties will receive the relevant amount of entitlement, due to the distribution on payment date. The calendar icon can also be used. Displayed format is: DD/MM/YYYY.
Ex Date	Shows the Date as from which trading (including exchange and OTC trading) occurs on the underlying security without the benefit. or use the calendar icon. Displayed format is: DD/MM/YYYY.
Button	Function
SECU Option	This function enables you to display the subsection SECU Option in case is needed.
<u>SECU Option</u>	
Option Number	Shows the Number identifying the available CA options.
Default Option Indicator	Shows from possible values: <ul style="list-style-type: none"> - Yes - No
<i>Security Out</i>	
Credit Debit Indicator	Shows from possible values: <ul style="list-style-type: none"> - Credit - Debit
ISIN	Shows the ISIN that identifies the Asset concerned by the CA Event.
ISIN description	Shows the description of the ISIN that identifies the Asset concerned by the CA Event.
Last Trading Date	Shows the Date at which the securities to be reorganised will cease to be tradeable or use the calendar icon. Displayed format is: DD/MM/YYYY.
Payment Date	Shows the Date on which the cash payment will be made and the security will be debited. Displayed format is: DD/MM/YYYY.

Additional Quantity for Existing Securities	
Parity In	Shows the Quantity of new securities for a given quantity of underlying securities.
Parity Out	Shows the Quantity of underlying securities.
New to Old Ratio	
New to Old Ratio (NEWO)	Shows New to Old Ratio that is the ratio of the new ISIN to the old ISIN from possible values: <ul style="list-style-type: none"> - Quantity to Quantity - Not Specified Rate - Amount to Amount - Amount to Quantity - Quantity to Amount
Parity In	Shows the Quantity of new securities for a given quantity of underlying securities.
Parity Out	Shows the Quantity of underlying securities.
<i>Security In</i>	
Credit Debit Indicator	Shows from possible values: <ul style="list-style-type: none"> - Credit - Debit
New ISIN	Shows the new ISIN of the CA Event.
New ISIN description	Shows the description of the New ISIN.
Last Trading Date	Shows the Date at which the securities to be reorganised will cease to be tradeable or use the calendar icon. Displayed format is: DD/MM/YYYY.
Payment Date	Shows the Date on which the cash payment will be made and the security will be debited. Displayed format is: DD/MM/YYYY.
Additional Quantity for Existing Securities	
Parity In	Shows the Quantity of new securities for a given quantity of underlying securities.
Parity Out	Shows the Quantity of underlying securities.
New to Old Ratio	
New to Old Ratio (NEWO)	Shows New to Old Ratio that is the ratio of the new ISIN to the old ISIN from possible values: <ul style="list-style-type: none"> - Quantity to Quantity - Not Specified Rate - Amount to Amount - Amount to Quantity - Quantity to Amount
Parity In	Shows the Quantity of new securities for a given quantity of underlying securities.
Parity Out	Shows the Quantity of underlying securities.

3.4.1.1.1.2. REMK-CHOS

42

REMK – Remarketing Agreement CHOS	
CA Event Details	
Field	Description
Record Date / Entitlement Fixing Date	Shows the date at which positions are struck to note which parties will receive the relevant amount of entitlement, due to the distribution on payment date. The calendar icon can also be used. Displayed format is: DD/MM/YYYY.
Ex Date	Shows the Date as from which trading (including exchange and OTC trading) occurs on the underlying security without the benefit. or use the calendar icon. Displayed format is: DD/MM/YYYY.
Additional Text	Shows additional or more detailed information on the content of a message. This field may only be used if the information to be transmitted cannot be coded. It could be more than one row
Button	Function
Secu Option	This function enables you to display the subsection Securities Option in case is needed.
Cash Option	This function enables you to display the subsection Cash Option in case is needed.
<u>Secu Option</u>	
Option Number	Shows the Number identifying the available CA options.
Default Option Indicator	Shows from possible values: – Yes – No
Additional Text	Shows additional or more detailed information on the content of a message. This field may only be used if the information to be transmitted cannot be coded. It could be more than one row
Option Critical Dates	
Field	Description
Election Begin Date	Shows the date at which the election begins. The calendar icon can also be used. Displayed format is: DD/MM/YYYY
Election Begin Time	Shows the Time at which the election begins. The calendar icon can also be used. Displayed format is: hh:mm:ss:µs.
Election End Date	Shows the date at which the election ends. The calendar icon can also be used. Displayed format is: DD/MM/YYYY
Election End Time	Shows the Time at which the election ends. The calendar icon can also be used. Displayed format is: hh:mm:ss:µs.
Market Deadline Date	Shows the date of the market deadline. The calendar icon can also be used. Displayed format is: DD/MM/YYYY

Market Deadline Time	Shows the Time of the market deadline. The calendar icon can also be used. Displayed format is: hh:mm:ss:µs.
Response Deadline Date	Shows the date of the client deadline. The calendar icon can also be used. Displayed format is: DD/MM/YYYY
Response Deadline Time	Shows the Time of the client deadline. The calendar icon can also be used. Displayed format is: hh:mm:ss:µs.
<i>Security In</i>	
ISIN	Shows the ISIN that identifies the Asset concerned by the CA Event.
ISIN description	Shows the description of the ISIN that identifies the Asset concerned by the CA Event.
Payment Date	Shows the Date on which the cash payment will be made and the security will be debited. Displayed format is: DD/MM/YYYY.
Parity In	Shows the Quantity of new securities for a given quantity of underlying securities.
Parity Out	Shows the Quantity of underlying securities.
<i>Cash Out</i>	
Payment Date	Shows the Date on which the cash payment will be made and the security will be debited. Displayed format is: DD/MM/YYYY.
Quotation Mode	Shows from possible values: <ul style="list-style-type: none"> - Percentage - Amount
Offer Price (OFFR)	Shows the Price at which bondholders may tender their bonds for buyback, e.g. price of 95 indicates that the holder will receive a cash payment of 95c for each €1 nominal holding of the bond (OFFR).
Currency	Shows the currency of the price of the option for the CA Event.
<i>Cash Option</i>	
Option Number	Shows the Number identifying the available CA options.
Default Option Indicator	Shows from possible values: <ul style="list-style-type: none"> - Yes - No
Additional Text	Shows additional or more detailed information on the content of a message. This field may only be used if the information to be transmitted cannot be coded. It could be more than one row
<i>Instruction Processing Info</i>	
Disclosure Needed	Shows the Disclosure Needed from possible values: <ul style="list-style-type: none"> - Yes - No
Individual Process Of Inst	Shows the Individual Process Of Inst from possible values: <ul style="list-style-type: none"> - Yes - No

Immediate Payment	Shows the Immediate Payment from possible values: – Yes – No
Benef Owner Instr	Shows the Benef Owner Instr from possible values: – Yes – No
Benef Owner Paper Work	Shows the Benef Owner Paper Work from possible values: – Yes – No
Option Critical Dates	
Field	Description
Election Begin Date	Shows the date at which the election begins. The calendar icon can also be used. Displayed format is: DD/MM/YYYY
Election Begin Time	Shows the Time at which the election begins. The calendar icon can also be used. Displayed format is: hh:mm:ss:µs.
Election End Date	Shows the date at which the election ends. The calendar icon can also be used. Displayed format is: DD/MM/YYYY
Election End Time	Shows the Time at which the election ends. The calendar icon can also be used. Displayed format is: hh:mm:ss:µs.
Market Deadline Date	Shows the date of the market deadline. The calendar icon can also be used. Displayed format is: DD/MM/YYYY
Market Deadline Time	Shows the Time of the market deadline. The calendar icon can also be used. Displayed format is: hh:mm:ss:µs.
Response Deadline Date	Shows the date of the client deadline. The calendar icon can also be used. Displayed format is: DD/MM/YYYY
Response Deadline Time	Shows the Time of the client deadline. The calendar icon can also be used. Displayed format is: hh:mm:ss:µs.
Security Out	
Credit Debit Indicator	Shows from possible values: – Credit – Debit
ISIN	Shows the ISIN that identifies the Asset concerned by the CA Event.
ISIN description	Shows the description of the ISIN that identifies the Asset concerned by the CA Event.
Payment Date	Shows the Date on which the cash payment will be made and the security will be debited. Displayed format is: DD/MM/YYYY.
Cash In	

Payment Date	Shows the Date on which the cash payment will be made and the security will be debited. Displayed format is: DD/MM/YYYY.
Quotation Mode	Shows from possible values: <ul style="list-style-type: none"> - Percentage - Amount
Offer Price (OFFR)	Shows the Price at which bondholders may tender their bonds for buyback, e.g. price of 95 indicates that the holder will receive a cash payment of 95c for each €1 nominal holding of the bond (OFFR).
Currency	Shows the currency of the price of the option for the CA Event.

3.4.1.1.1.2. RHDI-MAND

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RHDI – Intermediate Securities Distribution MAND	
CA Event Details	
Field	Description
Record Date / Entitlement Fixing Date	Shows the date at which positions are struck to note which parties will receive the relevant amount of entitlement, due to the distribution on payment date. The calendar icon can also be used. Displayed format is: DD/MM/YYYY.
Ex Date	Shows the Date as from which trading (including exchange and OTC trading) occurs on the underlying security without the benefit. or use the calendar icon. Displayed format is: DD/MM/YYYY.
Additional Text	Shows additional or more detailed information on the content of a message. This field may only be used if the information to be transmitted cannot be coded. It could be more than one row
Button	Function
SECU Option	This function enables you to display the subsection SECU Option in case is needed.
<u>SECU Option</u>	
Option Number	Shows the Number identifying the available CA options.
Default Option Indicator	Shows from possible values: <ul style="list-style-type: none"> - Yes - No
<i>Security In</i>	
ISIN	Shows the ISIN that identifies the Asset concerned by the CA Event.
ISIN description	Shows the description of the ISIN that identifies the Asset concerned by the CA Event.
Payment Date	Shows the Date on which the cash payment will be made and the security will be debited. Displayed format is: DD/MM/YYYY.
Parity In	Shows the Quantity of new securities for a given quantity of underlying securities.
Parity Out	Shows the Quantity of underlying securities.

Trade Begin	Shows the begin trade date or use the calendar icon. Displayed format is: DD/MM/YYYY.
Trade End	Shows the end trade date or use the calendar icon. Displayed format is: DD/MM/YYYY.
Expiry Date	Shows the expiry date or use the calendar icon. Displayed format is: DD/MM/YYYY.

3.4.1.1.1.2. **SUSP-MAND**

44

SUSP - Trading Status: Suspended MAND	
CA Event Details	
Field	Description
Trading Suspended Date	Shows the date at which trading of a security is suspended as the result of an event. The calendar icon can also be used. Displayed format is: DD/MM/YYYY.
Record Date / Entitlement Fixing Date	Shows the date at which positions are struck to note which parties will receive the relevant amount of entitlement, due to the distribution on payment date. The calendar icon can also be used. Displayed format is: DD/MM/YYYY.
Effective Date	Shows the date at which an event is officially effective from the issuer's perspective or use the calendar icon. Displayed format is: DD/MM/YYYY.
Announcement Date	Date/time at which the issuer announced that a corporate action event will occur.

3.4.1.1.1.2. **TEND-VOLU**

45

TEND - Tender / Acquisition / Takeover / Purchase Offer VOLU	
CA Event Details	
Field	Description
Record Date / Entitlement Fixing Date	Shows the date at which positions are struck to note which parties will receive the relevant amount of entitlement, due to the distribution on payment date. The calendar icon can also be used. Displayed format is: DD/MM/YYYY.
Ex Date	Shows the Date as from which trading (including exchange and OTC trading) occurs on the underlying security without the benefit. or use the calendar icon. Displayed format is: DD/MM/YYYY.
Button	Function
Secu Option	This function enables you to display the subsection Securities Option in case is needed.
Cash Option	This function enables you to display the subsection Cash Option in case is needed.
Case Option	This function enables you to display the subsection Case Option in case is needed.
No Action	This function enables you to display the subsection No Action.

<u>Secu Option</u>	
Option Number	Shows the Number identifying the available CA options.
Default Option Indicator	Shows from possible values: – Yes – No
Additional Text	Shows additional or more detailed information on the content of a message. This field may only be used if the information to be transmitted cannot be coded. It could be more than one row
<i>Option Critical Dates</i>	
Response Deadline Date	Shows the Date that the account servicer has set as the deadline to respond, with instructions, to an outstanding event. This time is dependent on the reference time zone of the account servicer as specified in a Service Level Agreement (SLA) or use the calendar icon. Displayed format is: DD/MM/YYYY.
Response Deadline Time	Shows the time that the account servicer has set as the deadline to respond, with instructions, to an outstanding event. This time is dependent on the reference time zone of the account servicer as specified in a Service Level Agreement (SLA). Displayed format is: hh:mm:ss:µs.
Market Deadline Date	Shows the Date which the issuer or issuer's agent has set as the deadline to respond, with an instruction, to an outstanding offer or privilege or use the calendar icon. Displayed format is: DD/MM/YYYY.
Market Deadline Time	Shows the time which the issuer or issuer's agent has set as the deadline to respond, with an instruction, to an outstanding offer or privilege. Displayed format is: hh:mm:ss:µs.
Election Begin Date	Shows the date at which the election begins. The calendar icon can also be used. Displayed format is: DD/MM/YYYY
Election Begin Time	Shows the Time at which the election begins. The calendar icon can also be used. Displayed format is: hh:mm:ss:µs.
Election End Date	Shows the date at which the election ends. The calendar icon can also be used. Displayed format is: DD/MM/YYYY
Election End Time	Shows the Time at which the election ends. The calendar icon can also be used. Displayed format is: hh:mm:ss:µs.
<i>Security Out</i>	
Credit Debit Indicator	Shows from possible values: – Debit – Credit
ISIN	Shows the ISIN that identifies the Asset concerned by the CA Event.
ISIN description	Shows the description of the ISIN that identifies the Asset concerned by the CA Event.

Payment Date	Shows the Date on which the cash payment will be made and the security will be debited. Displayed format is: DD/MM/YYYY.
New to Old Ratio (NEWO)	Shows New to Old Ratio that is the ratio of the new ISIN to the old ISIN from possible values: <ul style="list-style-type: none"> - Quantity to Quantity - Not Specified Rate - Amount to Amount - Amount to Quantity - Quantity to Amount
Parity In	Shows the Quantity of new securities for a given quantity of underlying securities.
Parity Out	Shows the Quantity of underlying securities.
<i>Security In</i>	
Credit Debit Indicator	Shows from possible values: <ul style="list-style-type: none"> - Debit - Credit
ISIN	Shows the ISIN that identifies the Asset concerned by the CA Event.
ISIN description	Shows the description of the ISIN that identifies the Asset concerned by the CA Event.
Payment Date	Shows the Date on which the cash payment will be made and the security will be debited. Displayed format is: DD/MM/YYYY.
New to Old Ratio (NEWO)	Shows New to Old Ratio that is the ratio of the new ISIN to the old ISIN from possible values: <ul style="list-style-type: none"> - Quantity to Quantity - Not Specified Rate - Amount to Amount - Amount to Quantity - Quantity to Amount
Parity In	Shows the Quantity of new securities for a given quantity of underlying securities.
Parity Out	Shows the Quantity of underlying securities.
<i>Cash Option</i>	
Option Number	Shows the Number identifying the available CA options.
Default Option Indicator	Shows from possible values: <ul style="list-style-type: none"> - Yes - No
Additional Text	Shows additional or more detailed information on the content of a message. This field may only be used if the information to be transmitted cannot be coded. It could be more than one row
<i>Option Critical Dates</i>	

Response Deadline Date	Shows the Date that the account servicer has set as the deadline to respond, with instructions, to an outstanding event. This time is dependent on the reference time zone of the account servicer as specified in a Service Level Agreement (SLA) or use the calendar icon. Displayed format is: DD/MM/YYYY.
Response Deadline Time	Shows the time that the account servicer has set as the deadline to respond, with instructions, to an outstanding event. This time is dependent on the reference time zone of the account servicer as specified in a Service Level Agreement (SLA). Displayed format is: hh:mm:ss:µs.
Market Deadline Date	Shows the Date which the issuer or issuer's agent has set as the deadline to respond, with an instruction, to an outstanding offer or privilege or use the calendar icon. Displayed format is: DD/MM/YYYY.
Market Deadline Time	Shows the time which the issuer or issuer's agent has set as the deadline to respond, with an instruction, to an outstanding offer or privilege. Displayed format is: hh:mm:ss:µs.
Election Begin Date	Shows the date at which the election begins. The calendar icon can also be used. Displayed format is: DD/MM/YYYY
Election Begin Time	Shows the Time at which the election begins. The calendar icon can also be used. Displayed format is: hh:mm:ss:µs.
Election End Date	Shows the date at which the election ends. The calendar icon can also be used. Displayed format is: DD/MM/YYYY
Election End Time	Shows the Time at which the election ends. The calendar icon can also be used. Displayed format is: hh:mm:ss:µs.
<i>Security Out</i>	
Credit Debit Indicator	Shows from possible values: – Debit – Credit
ISIN	Shows the ISIN that identifies the Asset concerned by the CA Event.
ISIN description	Shows the description of the ISIN that identifies the Asset concerned by the CA Event.
Payment Date	Shows the Date on which the cash payment will be made and the security will be debited. Displayed format is: DD/MM/YYYY.
<i>Cash In</i>	
Credit Debit Indicator	Shows from possible values: – Debit – Credit

Payment Date	Shows the Date on which the cash payment will be made and the security will be debited. Displayed format is: DD/MM/YYYY.
Offer Price (OFFR)	Shows the Price at which bondholders may tender their bonds for buyback, e.g. price of 95 indicates that the holder will receive a cash payment of 95c for each €1 nominal holding of the bond (OFFR).
Currency	Shows the currency of the price of the option for the CA Event.
Quotation Mode	Shows from possible values: – Percentage – Amount
Earliest Payment Date	Shows the earliest date for Payment. Displayed format is: DD/MM/YYYY.
<u>Case Option</u>	
Option Number	Shows the Number identifying the available CA options.
Default Option Indicator	Shows from possible values: – Yes – No
Additional Text	Shows additional or more detailed information on the content of a message. This field may only be used if the information to be transmitted cannot be coded. It could be more than one row
<i>Option Critical Dates</i>	
Response Deadline Date	Shows the Date that the account servicer has set as the deadline to respond, with instructions, to an outstanding event. This time is dependent on the reference time zone of the account servicer as specified in a Service Level Agreement (SLA) or use the calendar icon. Displayed format is: DD/MM/YYYY.
Response Deadline Time	Shows the time that the account servicer has set as the deadline to respond, with instructions, to an outstanding event. This time is dependent on the reference time zone of the account servicer as specified in a Service Level Agreement (SLA). Displayed format is: hh:mm:ss:µs.
Market Deadline Date	Shows the Date which the issuer or issuer's agent has set as the deadline to respond, with an instruction, to an outstanding offer or privilege or use the calendar icon. Displayed format is: DD/MM/YYYY.
Market Deadline Time	Shows the time which the issuer or issuer's agent has set as the deadline to respond, with an instruction, to an outstanding offer or privilege. Displayed format is: hh:mm:ss:µs.
Election Begin Date	Shows the date at which the election begins. The calendar icon can also be used. Displayed format is: DD/MM/YYYY
Election Begin Time	Shows the Time at which the election begins. The calendar icon can also be used. Displayed format is: hh:mm:ss:µs.

Election End Date	Shows the date at which the election ends. The calendar icon can also be used. Displayed format is: DD/MM/YYYY
Election End Time	Shows the Time at which the election ends. The calendar icon can also be used. Displayed format is: hh:mm:ss:µs.
<i>Security Out</i>	
Credit Debit Indicator	Shows from possible values: <ul style="list-style-type: none"> - Debit - Credit
ISIN	Shows the ISIN that identifies the Asset concerned by the CA Event.
ISIN description	Shows the description of the ISIN that identifies the Asset concerned by the CA Event.
Payment Date	Shows the Date on which the cash payment will be made and the security will be debited. Displayed format is: DD/MM/YYYY.
New to Old Ratio (NEWO)	Shows New to Old Ratio that is the ratio of the new ISIN to the old ISIN from possible values: <ul style="list-style-type: none"> - Quantity to Quantity - Not Specified Rate - Amount to Amount - Amount to Quantity - Quantity to Amount
Parity In	Shows the Quantity of new securities for a given quantity of underlying securities.
Parity Out	Shows the Quantity of underlying securities.
<i>Security In</i>	
Credit Debit Indicator	Shows from possible values: <ul style="list-style-type: none"> - Debit - Credit
ISIN	Shows the ISIN that identifies the Asset concerned by the CA Event.
ISIN description	Shows the description of the ISIN that identifies the Asset concerned by the CA Event.
Payment Date	Shows the Date on which the cash payment will be made and the security will be debited. Displayed format is: DD/MM/YYYY.
New to Old Ratio (NEWO)	Shows New to Old Ratio that is the ratio of the new ISIN to the old ISIN from possible values: <ul style="list-style-type: none"> - Quantity to Quantity - Not Specified Rate - Amount to Amount - Amount to Quantity - Quantity to Amount

Parity In	Shows the Quantity of new securities for a given quantity of underlying securities.
Parity Out	Shows the Quantity of underlying securities.
<i>Cash In</i>	
Credit Debit Indicator	Shows from possible values: – Debit – Credit
Payment Date	Shows the Date on which the cash payment will be made and the security will be debited. Displayed format is: DD/MM/YYYY.
Offer Price (OFFR)	Shows the Price at which bondholders may tender their bonds for buyback, e.g. price of 95 indicates that the holder will receive a cash payment of 95c for each €1 nominal holding of the bond (OFFR).
Currency	Shows the currency of the price of the option for the CA Event.
Quotation Mode	Shows from possible values: – Percentage – Amount
<u>No Action</u>	
Option Number	Shows the Number identifying the available CA options.
Default Option Indicator	Shows from possible values: – Yes – No
Additional Text	Shows additional or more detailed information on the content of a message. This field may only be used if the information to be transmitted cannot be coded. It could be more than one row
<i>Option Critical Dates</i>	
Response Deadline Date	Shows the Date that the account servicer has set as the deadline to respond, with instructions, to an outstanding event. This time is dependent on the reference time zone of the account servicer as specified in a Service Level Agreement (SLA) or use the calendar icon. Displayed format is: DD/MM/YYYY.
Response Deadline Time	Shows the time that the account servicer has set as the deadline to respond, with instructions, to an outstanding event. This time is dependent on the reference time zone of the account servicer as specified in a Service Level Agreement (SLA). Displayed format is: hh:mm:ss:µs.
Market Deadline Date	Shows the Date which the issuer or issuer's agent has set as the deadline to respond, with an instruction, to an outstanding offer or privilege or use the calendar icon. Displayed format is: DD/MM/YYYY.
Market Deadline Time	Shows the time which the issuer or issuer's agent has set as the deadline to respond, with an instruction, to an outstanding offer or privilege. Displayed format is: hh:mm:ss:µs.
Payment Date	Shows the Date on which the cash payment will be made and the security will be debited.

	Displayed format is: DD/MM/YYYY.
Election Begin Date	Shows the date at which the election begins. The calendar icon can also be used. Displayed format is: DD/MM/YYYY
Election Begin Time	Shows the Time at which the election begins. The calendar icon can also be used. Displayed format is: hh:mm:ss:µs.
Election End Date	Shows the date at which the election ends. The calendar icon can also be used. Displayed format is: DD/MM/YYYY
Election End Time	Shows the Time at which the election ends. The calendar icon can also be used. Displayed format is: hh:mm:ss:µs.

3.4.1.1.1.2. WRTH-MAND

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WRTH – Worthless MAND	
CA Event Details	
Field	Description
Record Date / Entitlement Fixing Date	Shows the date at which positions are struck to note which parties will receive the relevant amount of entitlement, due to the distribution on payment date. The calendar icon can also be used. Displayed format is: DD/MM/YYYY.
Ex Date	Shows the Date as from which trading (including exchange and OTC trading) occurs on the underlying security without the benefit. or use the calendar icon. Displayed format is: DD/MM/YYYY.
Button	Function
Laps Option	This function enables you to display the subsection LAPS Option in case is needed.
Laps Option	
Option Number	Shows the Number identifying the available CA options.
Default Option Indicator	Shows from possible values: – Yes – No
Additional Text	Shows additional or more detailed information on the content of a message. This field may only be used if the information to be transmitted cannot be coded. It could be more than one row
<i>Security Out</i>	
Credit Debit Indicator	Shows from possible values: – Debit – Credit
ISIN	Shows the ISIN that identifies the Asset concerned by the CA Event.
ISIN description	Shows the description of the ISIN that identifies the Asset concerned by the CA Event.

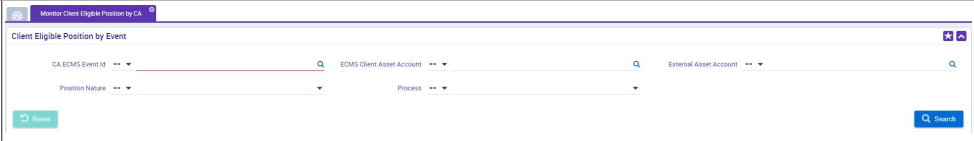
Payment Date	Shows the Date on which the cash payment will be made and the security will be debited. Displayed format is: DD/MM/YYYY.
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3.4.2 CA Positions

3.4.2.1 Monitor Client Eligible Position by CA

The Client Eligible Position by CA is determined each time a new CA Announcement is received from the CSD and each day until the record date is reached or upon an NCB user request.

3.4.2.1.1 Monitor Client Eligible Position by CA - Search screen

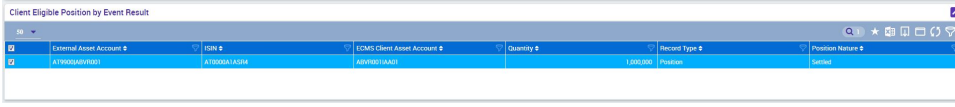
Context of Usage	This screen contains a number of search fields. By inputting the relevant data, you can search for the Client Eligible Positions by CA. The search results will be displayed in a list. After selecting an entry, you can proceed further by clicking on the buttons that are shown.
Business Case related	CA Positions
Screen Access	Corporate Actions >> CA Position >> Monitor Client Eligible Position by CA
Screenshot	

Client Eligible Position by CA – Search screen

Field	Description
CA ECMS Event Id*	Enter the reference of the CA Event given by the ECMS to the CA event to be used by counterparties. Required format is: Max. 35 characters.
ECMS Counterparty Asset Account Id.	Enter the identifier of the ECMS Counterparty Asset Account Id where the eligible position is held. Required format is: Max. 35 characters.
External Asset Account	Enter / Select the Cross NCB or the T2S Security Account identifier. Required format is: Max. 35 characters.
Record Type	Enter /Select the type of record from the possible values: – Position – Pending Movement
Button	Function
Reset	This function enables you to clear the form.
Search	This function enables you to start a search according to the filled in criteria. The results are displayed in a list on the List Screen.

	Next screen: – Monitor Client Eligible Position by CA – List Screen.
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3.4.2.1.1.1 Monitor Client Eligible Position by CA – List Screen

Context of Usage	This screen contains the search results displayed in a list, which is sorted by the values of the 'Update Date' column in descending order (default setting). After selecting an entry, you can proceed further by clicking on the buttons below.
Business Case related	CA Positions
Screen Access	Corporate Actions >> CA Position >> Monitor Client Eligible Position by CA >> Click on the search button (after inputting the relevant data, if needed)
Screenshot	

Client Eligible Position by CA – List Screen	
Field	Description
ISIN	Shows the ISIN of the security concerned by the CA Event.
Asset Account Id	Shows the identifier of the Account where the eligible position is held. The account type depends on the type of Movement (Client/Market) and can be: <ul style="list-style-type: none"> – ECMS Counterparty Asset Account Id – T2S Securities Account Id – ECMS Cross NCB Asset Account Displayed format is: Max. 35 characters.
External Asset Account	Shows the Cross NCB or the T2S Security Account identifier. Displayed format is: Max. 35 characters.
Quantity	Shows the quantity expressed in face amount of the asset.
Record Type	Shows the type of record from the possible values: <ul style="list-style-type: none"> – Position – Pending Movement
Position Nature	Shows from possible Values: <ul style="list-style-type: none"> – Settled

3.4.3 CA Instructions

In case of elective CA events, a Corporate Action Instruction contains all the relevant information conveyed by the relevant account holder concerning a Corporate Action Option. Based on that information, the ECMS may create or cancel the Corporate Action Choice to be sent to the CSD.

Both, mandatory CA Events with options and voluntary CA Events, offer the possibility to explicitly choose between one or more options or, on the contrary, follow the default option indicated in the CA Event.

Once the CA Choices have been processed, the ECMS forwards the CA Instructions to the corresponding CSD.

3.4.3.1 CA Client Instructions

The ECMS receives from the entitled Counterparties account holders CA Instructions (CAIN) to inform of their CA Choice about a particular CA Option available for a CA Event.

3.4.3.1.1 CA Client Instructions – Create / Display

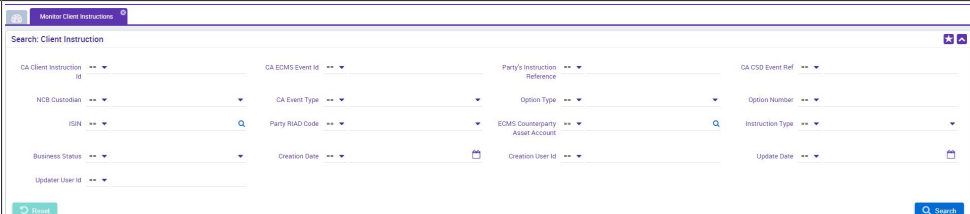
3.4.3.1.1.1 CA Client Instructions – Input screen

Context of Usage	This screen contains a number of fields regarding the CA Instructions Received. You can enter new data. Afterwards you can proceed further by clicking on the buttons below.
Business Case related	Input a new CA Instruction
Screen Access	Corporate Actions >> Instructions >> CA Client Instructions >> Input Instruction >> Input Client Instructions
Screenshot	

CA Client Instructions – Input screen	
CA Client Instructions	
Field	Description
CA ECMS Event Id.*	Enter / Select the reference of the CA Event given by the ECMS to the CA event to be used by counterparties. Required format is: Max. 35 characters.
Party's Instruction Reference*	Enter the Reference of the instruction given by the Instructing Party.
NCB Custodian [#]	Shows the RIAD Code of the NCB Custodian from which the announcement of the CA Event is received. Displayed format is: Max. 30 characters
CA Event Type [#]	Shows the type of CA Event. Displayed format is: Max. 4 characters
Party RIAD Code	Enter the RIAD Code of the Counterparty owning the position. Displayed format is: Max. 30 characters.
ISIN [#]	Shows the ISIN that identifies the Asset concerned by the CA Client Instruction.
Option Type and Number*	Enter / Select the number identifying the option number and the type within the CA Event.

ECMS Counterparty Asset Account*	Enter / Select the identifier of the ECMS Counterparty Asset Account where the Asset is mobilised. Required format is: Max. 35 characters
Quantity Instructed in FAMT*	Enter the quantity of securities to which this instruction applies.
Total Eligible Balance#	Shows the total Eligible balance calculated by ECMS
Remaining Quantity#	Shows the remaining quantity equal to Total Eligible Quantity – Total Quantity Instructed
Instruction Comment	Enter the comment to be sent to the CSD. Required format is: Max. 255 characters.
Button	Function
Reset	This function enables you to clear the form.
Save	This function enables you to save a new CA Client Instruction Received.

3.4.3.1.1.2 CA Client Instructions – Search Screen

Context of Usage	This screen contains a number of search fields. By inputting the relevant data, you can search for the CA Client Instructions. The search results will be displayed in a list. After selecting an entry, you can proceed further by clicking on the buttons that are shown.
Business Case related	Search / List / View CA Client Instructions
Screen Access	Depending how the screen is accessed, it can be used to search the valid information of the CA Instructions or the information that requires 4 eyes validation before they can be considered valid in the system. <i>For valid information:</i> <i>Corporate Actions >> Instructions >> CA Client Instructions >> Monitor >> Monitor Client Instructions</i> <i>For information subject to 4 eyes validation:</i> <i>Corporate Actions >> Instructions >> Validate / Reject CA Client Instructions</i> The following actions related to Client Instructions use this same definition of the screen for their own Search Screens: <i>Cancel Client Instruction: Corporate Actions >> Instructions >> CA Client Instructions >> Cancel Client Instructions</i>
Screenshot	

CA Client Instructions – Search screen

Field	Description
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CA Client Instruction Id	Enter the reference of the CA Client Instruction given by the Counterparty for the CA event. Required format is: Max. 35 characters.
CA ECMS Event Id.	Enter / Select the reference of the CA Event given by the ECMS to the CA event to be used by counterparties. Required format is: Max. 35 characters.
Party's Instruction Reference	Enter the Reference of the instruction given by the Instructing Party.
CA CSD Event Ref	Enter the Reference of the CA Event given by the CSD. Required format is: Max. 35 characters.
NCB Custodian	Enter / Select the RIAD Code of the NCB Custodian from which the announcement of the CA Event is received. Required format is: Max. 30 characters.
CA Event Type	Shows the type of CA Event. Displayed format is: Max. 4 characters
Party RIAD Code	Shows the RIAD Code of the Counterparty owning the position. Displayed format is: Max. 30 characters.
ISIN	Enter / Select the ISIN that identifies the Asset concerned by the CA Client Instruction.
Option Type	Enter / Select the option type within the CA Event.
Option Number	Enter / Select the number identifying the option number within the CA Event.
ECMS Counterparty Asset Account	Enter / Select the identifier of the ECMS Counterparty Asset Account where the Asset is mobilised. Required format is: Max. 35 characters
Instruction Type	Enter / Select the Instruction Type from possible values: <ul style="list-style-type: none"> - Generated - Manual - Received
Business Status	Enter / Select the status of the CA Client Instruction from the possible values are: <ul style="list-style-type: none"> - Draft (in case of manual input, the client instruction will be created in status "Draft" in case of 4 eyes validation) - Rejected (The client instruction can be rejected automatically due to some business errors) - Waiting MI Cancellation Confirmation (Cancellation of instruction waiting confirmation) - Pending CSD Confirmation (If the instruction is accepted without any error, it will be sent to the CSD and the status will be updated to "Pending CSD Confirmation") - Confirmed by CSD (if the acknowledgment is received from the CSD) - Cancelled (The client instruction could be cancelled by the client (seev.040) or manually by the user)

Creation Date	Enter the date and time when the CA Instruction was created in the ECMS. The calendar icon can also be used. Required format is: DD/MM/YYYY hh:mm:ss:µs.
Update Date	Enter the reference date of the last update of the CA Instruction or use the calendar icon. Required format is: DD/MM/YYYY hh:mm:ss:µs
Button	Function
Reset	This function enables you to clear the form.
Search	This function enables you to start a search according to the filled in criteria. The results are displayed in a list on the List Screen. Next screen: – CA Client Instructions – List Screen.

3.4.3.1.1.2.1 CA Client Instructions – List Screen

Context of Usage	This screen contains the search results displayed in a list, which is sorted by the values of the 'Update Date' column in descending order (default setting). After selecting an entry, you can proceed further by clicking on the buttons below.
Business Case related	Search / List / View CA Client Instructions
Screen Access	Depending how the screen is accessed, it can be used to list the valid information of the CA Events or the information that requires 4 eyes validation before they can be considered valid in the system. <i>For valid information:</i> <i>Corporate Actions >> Instructions >> CA Client Instructions >> Monitor >> Monitor Client Instructions >> Click on the search button (after inputting the relevant data, if needed)</i> <i>For information subject to 4 eyes validation:</i> <i>Corporate Actions >> Instructions >> Validate / Reject CA Client Instructions >> Click on the search button (after inputting the relevant data, if needed)</i> The following actions related to Client Instructions use this same definition of the screen for their own List Screens: Cancel Client Instruction: <i>Corporate Actions >> Instructions >> CA Client Instructions >> Cancel Client Instructions >> Click on Search button</i>
Screenshot	<p>The screenshot shows a table titled 'List: CA Client Instruction'. The table has the following columns: CA Client Instr., CA ECMS Event, CA CSD Event, NCI Custodian ID, CA Event Type, ISIN, Option Type, Party BMO Code, ECMS Counter, Quantity Instr., Party's Instr., Instruction Typ., Business Stat., Action To Valid., Creation Date, Update Date, and Update User. The table contains several rows of data, with the first row highlighted in blue. The data includes details such as instruction IDs (e.g., ISCI19, ISCI17, ISCI15, ISCI16, ISCI14, ISCI13, ISCI12, ISCI11, ISCI07, ISCI06), event types (e.g., TEST, TENDVLL01, TENDVLL04, TENDVLL05, TENDVLL06, TENDVLL07, TENDVLL08, TENDVLL09, TENDVLL10, TENDVLL11, TENDVLL12, TENDVLL13, TENDVLL14, TENDVLL15, TENDVLL16, TENDVLL17, TENDVLL18, TENDVLL19, TENDVLL20, TENDVLL21, TENDVLL22, TENDVLL23, TENDVLL24, TENDVLL25, TENDVLL26, TENDVLL27, TENDVLL28, TENDVLL29, TENDVLL30, TENDVLL31, TENDVLL32, TENDVLL33, TENDVLL34, TENDVLL35, TENDVLL36, TENDVLL37, TENDVLL38, TENDVLL39, TENDVLL40, TENDVLL41, TENDVLL42, TENDVLL43, TENDVLL44, TENDVLL45, TENDVLL46, TENDVLL47, TENDVLL48, TENDVLL49, TENDVLL50), and various other identifiers and dates.</p>

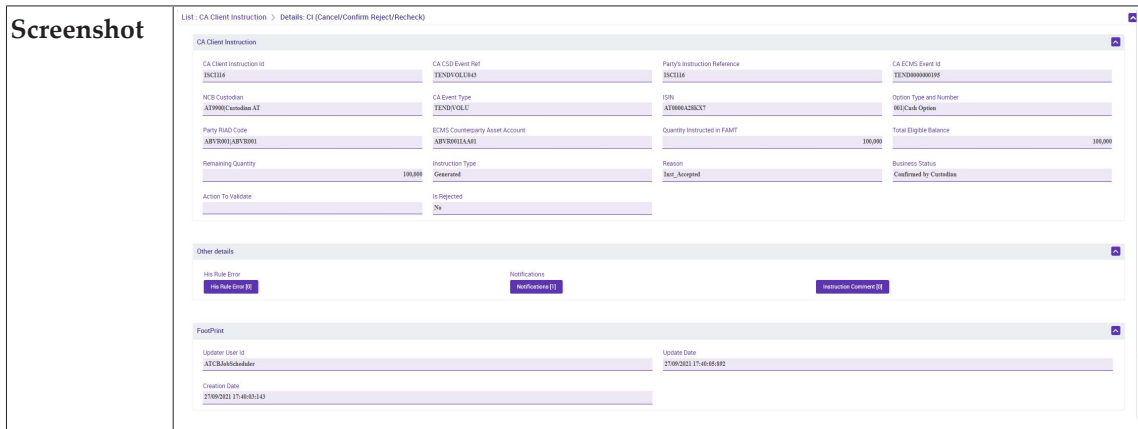
CA Client Instructions – List Screen	
Field	Description
CA Client Instruction Id.	Shows the reference of the CA Client Instruction given by the Counterparty for the CA event.

	Displayed format is: Max. 35 characters.
CA ECMS Event Id.	Shows the reference of the CA Event given by the ECMS to the CA event to be used by counterparties. Displayed format is: Max. 35 characters.
Party's Instruction Reference	Shows the Reference of the instruction given by the Instructing Party.
CA CSD Event Ref	Shows the Reference of the CA Event given by the CSD. Displayed format is: Max. 35 characters.
NCB Custodian	Shows the RIAD Code of the NCB Custodian from which the announcement of the CA Event is received. Displayed format is: Max. 30 characters.
CA Event Type	Shows the type of CA Event. Displayed format is: Max. 4 characters
Party RIAD Code	Shows the RIAD Code of the Counterparty owning the position. Displayed format is: Max. 30 characters.
ISIN	Shows the ISIN that identifies the Asset concerned by the CA Client Instruction.
Option Type and Number	Shows the option type as ISO Code and the option number.
ECMS Counterparty Asset Account	Shows the identifier of the ECMS Counterparty Asset Account where the Asset is mobilised. Displayed format is: Max. 35 characters
Quantity instructed in FAMT	Shows the quantity of securities to which this instruction applies.
Instruction Type	Shows the Instruction Type from possible values: <ul style="list-style-type: none"> - Generated - Manual - Received
Business Status	Shows the status of the CA Client Instruction from the possible values are: <ul style="list-style-type: none"> - Draft - Rejected - Waiting MI Cancellation Confirmation - Pending CSD Confirmation - Confirmed by CSD - Cancelled
Action to validate	Present only when the screen is used in the context of 4 eyes validations, Shows if the validation is required following a creation or an update of the record.
Creation Date	Shows the date and time of the creation of the CA Client Instruction. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Update Date	Shows the date and time of the last update of the CA Client Instruction. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.

Updater User Id	Shows the identification of the user that made the last update of the CA Client Instruction.
After selecting a record, the following buttons are displayed	
Button	Function
Cancel	<i>[Only in Cancel Client Instruction functionality]</i> This function enables you to cancel the selected CA Client Instruction.
View	This function enables you to access to the detailed information of a CA Client Instruction. Next screen: – CA Client Instruction – Details Screen.
If the screen is used in the 4 eyes validation context, the following buttons are also displayed when a record is selected	
Button	Function
View Draft	This function enables the user to view the draft record to be validated. Next screen: – CA Client Instruction – Details Screen.
Validate	This function allows the user to validate the creation or update of the record so that they can be considered valid in the system
Reject	This function allows the user to reject the creation or update of the record. The draft will be deleted.

3.4.3.1.1.2.2 CA Client Instructions – Details Screen

Context of Usage	This screen displays detailed information on the selected CA Client Instructions. You can check the data and proceed further by clicking on the buttons.
Business Case related	Search / List / View CA Client Instructions
Screen Access	Depending how the screen is accessed, it can be used to view the valid information of the CA Events or the information that requires 4 eyes validation before they can be considered valid in the system. <i>For valid information:</i> <i>Corporate Actions >> Instructions >> CA Client Instructions >> Monitor >> Monitor Client Instructions >> Click on the search button (after inputting the relevant data, if needed) >> Click on the View button</i> <i>For information subject to 4 eyes validation:</i> <i>Corporate Actions >> Instructions >> Validate / Reject CA Client Instructions >> Click on the search button (after inputting the relevant data, if needed) >> Click on the View button</i> The following actions related to Client Instructions use this same definition of the screen for their own Details Screens: Cancel Client Instruction: <i>Corporate Actions >> Instructions >> CA Client Instructions >> Cancel Client Instructions >> Click on the search button (after inputting the relevant data, if needed) >> Click on the View button</i>



CA Client Instructions – Details Screen

CA Client Instructions	
Field	Description
CA Client Instruction Id.	Shows the reference of the CA Client Instruction given by the Counterparty for the CA event. Displayed format is: Max. 35 characters.
CA ECMS Event Id.	Shows the reference of the CA Event given by the ECMS to the CA event to be used by counterparties. Displayed format is: Max. 35 characters.
Party's Instruction Reference	Shows the Reference of the instruction given by the Instructing Party.
CA CSD Event Ref.	Shows the reference of the CA Event given by the Custodian to the CA event. Required format is: Max. 35 characters.
NCB Custodian	Shows the RIAD Code of the NCB Custodian from which the announcement of the CA Event is received. Displayed format is: Max. 30 characters.
CA Event Type	Shows the type of CA Event. Displayed format is: Max. 4 characters
Party RIAD Code	Shows the RIAD Code of the Counterparty owning the position. Displayed format is: Max. 30 characters.
ISIN	Shows the ISIN that identifies the Asset concerned by the CA Client Instruction.
Option Type and Number	Shows the option type as ISO Code and the option number.
ECMS Counterparty Asset Account	Shows the identifier of the ECMS Counterparty Asset Account where the Asset is mobilised. Displayed format is: Max. 35 characters
Quantity Instructed in FAMT	Shows the quantity of securities to which this instruction applies.
Total Eligible Balance	Shows the total Eligible balance calculated by ECMS

Remaining Quantity	Shows the remaining quantity equal to Total Eligible Quantity – Total Quantity Instructed
Instruction Type	Shows the Instruction Type from possible values: <ul style="list-style-type: none"> – Generated – Manual – Received
Business Status	Shows the status of the CA Client Instruction from the possible values are: <ul style="list-style-type: none"> – Draft – Rejected – Waiting MI Cancellation Confirmation – Pending CSD Confirmation – Confirmed by CSD – Cancelled
Reason	Shows the reason of the status.
Instruction Comment	Shows the comment to be sent to the CSD. Displayed format is: Max. 255 characters.
Validation details	
Action To Validate	Shows if there is any action waiting for validation.
Rejected	Shows if any action subject to validation has been rejected for validation was already rejected. The possible values are: <ul style="list-style-type: none"> – True – False
Footprint	
Creation Date	Shows the date and time of the creation of the CA Client Instruction. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Creation User Id	Shows the identification of the user that created the CA Client Instruction.
Update Date	Shows the date and time of the update of the CA Client Instruction. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Updater User Id	Shows the identification of the user that made the last update of the CA Client Instruction.
Button	Function
Notifications	This function enables you to display the subsection “Notifications”.
His Rule Error	This function enables you to display the subsection “His Rule Error”.
Notifications	
The existing notifications of the CA Client Instructions are displayed.	
You can download a copy of an existing notification of the CA Client Instructions by selecting it and clicking on the Download button.	
Field	Description
Message Identifier	Shows reference of the message received.
Notification Name	Shows the description of the type of message received.

Direction	Shows the direction of the message from the possible values: Out In
Notification Status	Shows the status of the notification received. The possible values are: Received Rejected Processed
Error Description	Shows the description of the error in case of error when receiving the message.
Update Date	Shows the date and time of the last update of the CA Client Instruction. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Updater User Id	Shows the identification of the user that made the last update of the CA Client Instruction.
Button	Function
Download	This function enables you to download the message received from the Counterparty.
His Rule Error	
The existing His Rule Rule errors of the CA Client Instructions are displayed. If you click on View button following fields are displayed:	
Field	Description
Error Message	Shows error message.
His Rule Id	Shows the identifier of the business rule.
Status	Shows the current status.
Footprint	
Creation Date	Shows the date and time of the creation. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Creation User Id	Shows the identification of the user that does the creation.
Update Date	Shows the date and time of the last update. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Updater User Id	Shows the identification of the user that made the last update.

3.4.3.1.2 Monitor Uninstructed Balance

3.4.3.1.2.1 Monitor Uninstructed Balance – Search Screen

Context of Usage	This screen contains a number of search fields. By inputting the relevant data, you can search for the Client Uninstructed Balance. The search results will be displayed in a list. After selecting an entry, you can proceed further by clicking on the buttons that are shown.
Business Case related	Search / List / View Monitor Uninstructed Balance
Screen Access	Corporate Actions >> Instructions >> CA Client Instructions >> Monitor Uninstructed Balance



Monitor Uninstructed Balance – Search screen	
Field	Description
CA ECMS Event Id.*	Enter / Select the reference of the CA Event given by the ECMS to the CA event to be used by counterparties. Required format is: Max. 35 characters.
Button	Function
Reset	This function enables you to clear the form.
Search	This function enables you to start a search according to the filled in criteria. The results are displayed in a list on the List Screen. Next screen: – Monitor Uninstructed Balance – List Screen.


3.4.3.1.2.1 Monitor Uninstructed Balance – List Screen

Context of Usage	This screen contains the search results displayed in a list, which is sorted by the values of the 'Update Date' column in descending order (default setting). After selecting an entry, you can proceed further by clicking on the buttons below.
Business Case related	Search / List / View Monitor Uninstructed Balance
Screen Access	Corporate Actions >> Instructions >> CA Client Instructions >> Monitor Uninstructed Balance >> Click on the search button (after inputting the relevant data, if needed)
Screenshot	

Monitor Uninstructed Balance– List Screen	
Field	Description
CA ECMS Event Id.	Shows the reference of the CA Event given by the ECMS to the CA event to be used by counterparties. Displayed format is: Max. 35 characters.
Is Instructed	Shows whether the Counterparty has sent instructions for part of the eligible position or not. The possible values are: – Yes – No
Party RIAD Code	Shows the RIAD Code of the Counterparty owning the position. Displayed format is: Max. 30 characters.

ECMS Counterparty Asset Account	Shows the identifier of the ECMS Counterparty Asset Account where the Asset is mobilised. Displayed format is: Max. 35 characters
Remaining Quantity	Shows quantity of securities of the Total Eligible Quantity that the Counterparty has not sent instructions.
Quantity Instructed in FAMT	Shows the quantity of securities to which instruction has been received.
Total Eligible Balance	Shows the total Eligible balance calculated by ECMS.
Generation Date	Shows the Generation Date
After selecting a record, the following buttons are displayed	
Button	Function
Generate Reminder Notification	This function enables you to generate a message to remind to the Counterparty the uninstructed balance for the CA Event.
View	This function enables you to access to the detailed information of an Uninstructed Balance. Next screen: – Monitor Uninstructed Balance – Details Screen.

3.4.3.1.2.1.2 Monitor Uninstructed Balance – Details Screen

Context of Usage	This screen displays detailed information on the selected Uninstructed Balance. You can check the data and proceed further by clicking on the buttons.
Business Case related	Search / List / View Monitor Uninstructed Balance
Screen Access	Corporate Actions >> Instructions >> CA Client Instructions >> Monitor Uninstructed Balance >> Click on the search button (after inputting the relevant data, if needed) >> Select a record >> Click on the <i>View</i> button
Screenshot	

Monitor Uninstructed Balance – Details Screen	
Monitor Uninstructed Balance	
Field	Description
CA ECMS Event Id.	Shows the reference of the CA Event given by the ECMS to the CA event to be used by counterparties. Displayed format is: Max. 35 characters.
Is Instructed	Shows whether the Counterparty has sent instructions for part of the eligible position or not. The possible values are: – Yes – No

Party RIAD Code	Shows the RIAD Code of the Counterparty owning the Uninstructed Balance. Displayed format is: Max. 30 characters.
ECMS Counterparty Asset Account	Shows the identifier of the ECMS Counterparty Asset Account where the Asset is mobilised. Displayed format is: Max. 35 characters
Remaining Quantity	Shows quantity of securities of the Total Eligible Quantity that the Counterparty has not sent instructions.
Quantity Instructed in FAMT	Shows the quantity of securities to which instruction has been received.
Total Eligible Balance	Shows the total Eligible balance calculated by ECMS.
Generation Date	Shows the date and time of generation of the instructed balance. Displayed format is: DD/MM/YYYY hh:mm:ss:µs

3.4.3.2 Proxy Voting Instruction

3.4.3.2.1 PV Client Instruction

3.4.3.2.1.1 Input PV Client Instruction

Context of Usage	This screen allows input data for a new proxy voting Client Instruction.
Screen Access	Corporate Actions >> Instructions >> Proxy Voting Instruction >> PV Client Instruction >> Input PV Client Instruction
Screenshot	

Input PV Client Instruction	
GENERAL INFO	
Field	Description
Party's Instruction Reference	Enter the Reference of the instruction given by the Instructing Party.
Global Reference	Enter the global reference.
Ca Meeting	Enter / Select the reference of the CA Event given by the ECMS to the CA event to be used by counterparties. (Also called CA ECMS Event Id.) Required format is: Max. 35 characters.

Issuer Meeting Reference	Enter the reference of the Issuer Meeting.
Client	Enter or to Select the RIAD code of the Counterparty from a drop down menu.
ECMS Client Asset Account	Enter / Select the identifier of the ECMS Counterparty Asset Account where the Asset is mobilised. Required format is: Max. 35 characters
Execution Conf Required	Enter / Select whether the execution confirmation is required or not.
Instructed Quantity	Enter the quantity of securities to which this instruction applies.
Instructed Quantity Type	Enter / Select the type of the quantity instructed from possible values: – FAMT – UNIT – AMOR
Total Eligible Quantity#	Shows the total Eligible quantity calculated by ECMS
INSTRUCTION DETAILS	
Button	Function
Vote Details	This button allows to access to Vote Details section
Beneficial Owner Details	This button allows to access to Beneficial Owner Details section
Button	Function
Save	This function enables you to save the modified data.
Reset	This function enables you to clear the form.
Vote Details	
Once you click on Vote Details button you see following fields (data record depending on Ca Meeting field):	
Resolution Number	Shows Resolution Number
Abstain	Shows Abstain information
Against	Shows Against information
Against Management	Shows Against Management information
Blank	Shows Blank Information
Ca Meeting Code	Shows the reference of the CA Event given by the ECMS to the CA event to be used by counterparties.
Chairman	Shows Chairman information.
Discretionary	Shows Discretionary information
Instructed Quantity	Shows the quantity Instructed,
No Action	Shows No Action information
One Year	Shows One Year information

Remaining Quantity	Shows Remaining Quantity
Three Years	Shows Three Years information
Total Instructed Quantity	Shows the Total Instructed Quantity
Two Years	Shows Two Years information
Vote For	Shows Vote For information
With Management	Shows With Management information
Withhold	Shows Withhold information
Button	Function
Edit	This function enables you to modify the selected vote details record. Next Screen: – <i>Vote Details – Edit Screen</i>
View	This function enables you to view the details of the selected vote details record. Next Screen: – <i>Vote Details – Details Screen</i>
<i>Vote Details – Edit Screen</i>	
Resolution Number	Enter Resolution Number
Ca Meeting Code	Enter the reference of the CA Event given by the ECMS to the CA event to be used by counterparties.
Instructed Quantity	Enter the quantity Instructed,
Total Instructed Quantity#	Shows the Total Instructed Quantity
Remaining Quantity#	Shows Remaining Quantity
Abstain	Enter Abstain information
Against#	Shows Against information
Against Management#	Shows Against Management information
Blank#	Shows Blank Information
Chairman#	Shows Chairman information.
Discretionary#	Shows Discretionary information
No Action#	Shows No Action information
One Year#	Shows One Year information
Two Years#	Shows Two Years information
Three Years#	Shows Three Years information
Vote For	Enter Vote For information
With Management#	Shows With Management information
Withhold#	Shows Withhold information

Button	Function
Reset	This function enables you to clear the form.
Cancel	This function enables you to cancel the creation of data.
<i>Vote Details – Details Screen</i>	
Resolution Number	Shows Resolution Number
Abstain	Shows Abstain information
Against	Shows Against information
Against Management	Shows Against Management information
Blank	Shows Blank Information
Ca Meeting Code	Shows the reference of the CA Event given by the ECMS to the CA event to be used by counterparties.
Chairman	Shows Chairman information.
Discretionary	Shows Discretionary information
Instructed Quantity	Shows the quantity Instructed,
No Action	Shows No Action information
One Year	Shows One Year information
Remaining Quantity	Shows Remaining Quantity
Three Years	Shows Three Years information
Total Instructed Quantity	Shows the Total Instructed Quantity
Two Years	Shows Two Years information
Vote For	Shows Vote For information
With Management	Shows With Management information
Withhold	Shows Withhold information
Beneficial Owner Details	
Once you click on Beneficial Owner Details button you see following fields:	
Quantity	Shows Quantity
Alternate ID	Shows Alternate ID
Beneficial Identifier Code	Shows the identifier code of the Beneficial
Beneficial Name and Address	Shows Beneficial Name and Address
Bic Code	Shows Bic Code
Certification Type	Shows Certification Type
Country Code	Shows Country Code
Country of Domicile	Shows Country of Domicile

Country of Non Domicile	Shows Country of Non Domicile
Data Source Scheme	Shows Data Source Scheme
Legal Entity Identifier	Shows Legal Entity Identifier
Tax Rate	Shows Tax Rate
Type Of ID	Shows from possible values: <ul style="list-style-type: none"> - ARNU - CCPT - CORP - DRLC - FIIN - TXID
Button	Function
Create	This function enables you to create a Beneficial Owner Details record. Next Screen: <ul style="list-style-type: none"> - <i>Beneficial Owner Details – Create Screen</i>
Delete	This function enables you to delete the selected Beneficial Owner Details record.
Edit	This function enables you to modify the selected Beneficial Owner Details record. Next Screen: <ul style="list-style-type: none"> - <i>Beneficial Owner Details – Edit Screen</i>
View	This function enables you to view the details of the selected Beneficial Owner Details record. Next Screen: <ul style="list-style-type: none"> - <i>Beneficial Owner Details – Details Screen</i>
<i>Beneficial Owner Details – Create Screen</i>	
Quantity*	Enter the Quantity
Alternate ID	Enter the Alternate ID
Beneficial Identifier Code	Enter the identifier code of the Beneficial
Beneficial Name and Address	Enter the Beneficial Name and Address
Bic Code	Enter the Bic Code
Certification Type	Enter the Certification Type
Country Code	Enter the Country Code
Country of Domicile	Enter/Select the Country of Domicile
Country of Non Domicile	Enter/Select the Country of Non Domicile

Data Source Scheme	Enter the Data Source Scheme
Legal Entity Identifier	Enter the Legal Entity Identifier
Tax Rate	Enter the Tax Rate
Type Of ID	Enter/Select from possible values: <ul style="list-style-type: none"> - ARNU - CCPT - CORP - DRLC - FIIN - TXID
Button	Function
Reset	This function enables you to clear the form.
Cancel	This function enables you to cancel the creation of data.
Beneficial Owner Details Narrative	This button/tab allows the user to access to Beneficial Owner Details Narrative.
Beneficial Owner Details Narrative	
Once you click on Beneficial Owner Details Narrative button/tab you see following fields:	
Narrative	Shows the Narrative of the Beneficial Owner.
Button	Function
Create	This function enables you to create a Beneficial Owner Details Narrative record. Next Screen: <ul style="list-style-type: none"> - <i>Beneficial Owner Details Narrative – Create Screen</i>
Delete	This function enables you to delete the selected Beneficial Owner Details Narrative record.
Edit	This function enables you to modify the selected Beneficial Owner Details Narrative record. Next Screen: <ul style="list-style-type: none"> - <i>Beneficial Owner Details Narrative – Edit Screen</i>
View	This function enables you to view the details of the selected Beneficial Owner Details Narrative record. Next Screen: <ul style="list-style-type: none"> - <i>Beneficial Owner Details Narrative – Details Screen</i>
<i>Beneficial Owner Details Narrative – Create Screen</i>	
Narrative	Enter the Narrative
Button	Function
Reset	This function enables you to clear the form.
Cancel	This function enables you to cancel the creation of data.
<i>Beneficial Owner Details – Edit Screen</i>	
Quantity*	Enter the Quantity

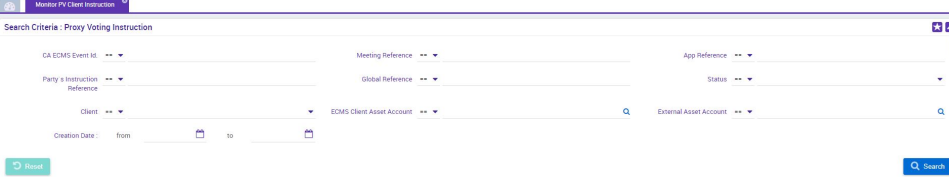
Alternate ID	Enter the Alternate ID
Beneficial Identifier Code	Enter the identifier code of the Beneficial
Beneficial Name and Address	Enter the Beneficial Name and Address
Bic Code	Enter the Bic Code
Certification Type	Enter the Certification Type
Country Code	Enter the Country Code
Country of Domicile	Enter/Select the Country of Domicile
Country of Non Domicile	Enter/Select the Country of Non Domicile
Data Source Scheme	Enter the Data Source Scheme
Legal Entity Identifier	Enter the Legal Entity Identifier
Tax Rate	Enter the Tax Rate
Type Of ID	Enter/Select from possible values: <ul style="list-style-type: none"> - ARNU - CCPT - CORP - DRLC - FIIN - TXID
Button	Function
Reset	This function enables you to clear the form.
Cancel	This function enables you to cancel the creation of data.
Beneficial Owner Details Narrative	This button/Tab allows the user to access to Beneficial Owner Details Narrative.
<i>Beneficial Owner Details Narrative – Edit Screen</i>	
Narrative	Enter the Narrative
Button	Function
Reset	This function enables you to clear the form.
Cancel	This function enables you to cancel the creation of data.
<i>Beneficial Owner Details – Details Screen</i>	
Quantity	Shows the Quantity
Alternate ID	Shows the Alternate ID
Beneficial Identifier Code	Shows the identifier code of the Beneficial
Beneficial Name and Address	Shows the Beneficial Name and Address
Bic Code	Shows the Bic Code

Certification Type	Shows the Certification Type
Country Code	Shows the Country Code
Country of Domicile	Shows the Country of Domicile
Country of Non Domicile	Shows the Country of Non Domicile
Data Source Scheme	Shows the Data Source Scheme
Legal Entity Identifier	Shows the Legal Entity Identifier
Tax Rate	Shows the Tax Rate
Type Of ID	Shows from possible values: <ul style="list-style-type: none"> - ARNU - CCPT - CORP - DRLC - FIIN - TXID
Button	Function
Beneficial Owner Details Narrative	This button/tab allows the user to access to Beneficial Owner Details Narrative
<i>Beneficial Owner Details Narrative – Details Screen</i>	
Narrative	Shows the Narrative

3.4.3.2.1.2 Monitor PV Client Instruction

3.4.3.2.1.2.1 Monitor PV Client Instruction - Search Screen

Context of Usage	<p>This screen contains a number of search fields. By inputting the relevant data, you can search for the PV Client Instructions.</p> <p>The search results will be displayed in a list. After selecting an entry, you can proceed further by clicking on the buttons that are shown.</p>
Screen Access	<p>Depending how the screen is accessed, it can be used to search the valid information of the PV Instructions or the information that requires 4 eyes validation before they can be considered valid in the system.</p> <p><i>For valid information:</i></p> <p><i>Corporate Actions >> Instructions >> Proxy Voting Instruction >> PV Client Instruction >> Monitor PV Client Instruction</i></p> <p><i>For information subject to 4 eyes validation:</i></p> <p><i>Corporate Actions >> Instructions >> Proxy Voting Instruction >> PV Client Instruction >> Validate / Reject – Waiting User Validation >> Validate / Reject PV CI Creation</i></p> <p>The following actions related to PV Client Instructions use this same definition of the screen for their own Search Screens:</p>

	<p>Cancel PV Client Instruction: <i>Corporate Actions >> Instructions >> Proxy Voting Instruction >> PV Client Instruction >> Cancel PV Client Instruction</i></p> <p>Rejected Confirmed: <i>Corporate Actions >> Instructions >> Proxy Voting Instruction >> PV Client Instruction >> Rejected Confirmed</i></p> <p>Confirm Vote Execution: <i>Corporate Actions >> Instructions >> Proxy Voting Instruction >> PV Client Instruction >> Confirm Vote Execution</i></p>
<p>Screenshot</p>	

Monitor PV Client Instruction – Search Screen	
Field	Description
CA ECMS Event Id.	Enter / Select the reference of the CA Event given by the ECMS to the CA event to be used by counterparties. (Also called CA ECMS Event Id.) Required format is: Max. 35 characters.
Meeting Reference	Enter the reference of the Meeting.
App Reference	Enter the App reference.
Party's Instruction Reference	Enter the Reference of the instruction given by the Instructing Party.
Global Reference	Enter the global reference.
Status	Enter/Select from possible values: <ul style="list-style-type: none"> - WaitingPositionControl - WaitingPVMICancellationConfirmation - Cancelled - FreezeAccepted - FreezeRejected - WaitingFreezeConfirmation - Created - Rejected - PossibleRejected - Executed - Draft - InvalidData - WaitingPVMIConfirmation - WaitingUpdate

	<ul style="list-style-type: none"> - BlockedForCutOffTime - WaitingUnfreezeConfirmation - UnfreezeAccepted - UnfreezeRejected - WaitingCancellationValidation
Client	Enter or to Select the RIAD code of the Counterparty from a drop down menu.
ECMS Client Asset Account	Enter / Select the identifier of the ECMS Counterparty Asset Account where the Asset is mobilised. Required format is: Max. 35 characters
External Asset Account	Enter / Select the External Asset Account. Required format is: Max. 35 characters.
Creation Date	Enter the date and time when the Instruction was created in the ECMS. The calendar icon can also be used. Required format is: DD/MM/YYYY hh:mm:ss;µs.
Button	Function
Search	This function enables you to start a search according to the filled in criteria. The results are displayed in a list on the List Screen. Next screen: <ul style="list-style-type: none"> - Monitor PV Client Instruction – List Screen.
Reset	This function enables you to clear the form.

3.4.3.2.1.2.2 Monitor PV Client Instruction - List Screen

Context of Usage	This screen contains the search results displayed in a list, which is sorted by the values of the 'Update Date' column in descending order (default setting). After selecting an entry, you can proceed further by clicking on the buttons below.
Screen Access	<p>Depending how the screen is accessed, it can be used to list the valid information of the PV Instructions or the information that requires 4 eyes validation before they can be considered valid in the system.</p> <p><i>For valid information:</i></p> <p><i>Corporate Actions >> Instructions >> Proxy Voting Instruction >> PV Client Instruction >> Monitor PV Client Instruction >> Click on Search button (after filling in appropriate data if needed)</i></p> <p><i>For information subject to 4 eyes validation:</i></p> <p><i>Corporate Actions >> Instructions >> Proxy Voting Instruction >> PV Client Instruction >> Validate / Reject – Waiting User Validation >> Validate / Reject PV CI Creation >> Click on Search button (after filling in appropriate data if needed)</i></p> <p>The following actions related to PV Client Instructions use this same definition of the screen for their own List Screens:</p> <p>Cancel PV Client Instruction:</p> <p><i>Corporate Actions >> Instructions >> Proxy Voting Instruction >> PV Client Instruction >> Cancel PV Client Instruction >> Click on Search button (after filling in appropriate data if needed)</i></p> <p>Rejected Confirmed:</p>

Corporate Actions >> Instructions >> Proxy Voting Instruction >> PV Client Instruction >> Rejected Confirmed >> Click on Search button (after filling in appropriate data if needed)

Confirm Vote Execution:

Corporate Actions >> Instructions >> Proxy Voting Instruction >> PV Client Instruction >> Confirm Vote Execution >> Click on Search button (after filling in appropriate data if needed)

Screenshot

Ca Meeting	Meeting Reference	App Reference	Party's Instruction Reference	Global Reference	Client	ECMS Client Asset Account	Business Status	Reason	Instructed Quantity	Instructed Quantity %	Update Date	Update User Id
PR03000000196	PR03000000196	PRV00000021	BMETVOLL022XX	000113	ABV002	ABV0020A01	Execution Confirmed	Inst_Accepted	500,000	FAMAT	26/07/2022 15:41:50	STP
PR03000000163	PR03000000163	PRV00000020	BMETVOLL022XX	000051	ABV002	ABV0020A01	Execution Confirmed	Inst_Accepted	500,000	FAMAT	26/07/2022 11:58:01	STP
PR03000000162	PR03000000162	PRV00000019	BMETVOLL022XX	000051	ABV002	ABV0020A01	Rejected	Inst_Rejected_Insuffic...	500,000	FAMAT	26/07/2022 11:51:22	STP
PR03000000155	PR03000000155	PRV00000018	BMETVOLL022XX	000023	ABV002	ABV0020A01	Execution Confirmed	Inst_Accepted	500,000	FAMAT	21/09/2021 17:11:25	STP
PR03000000153	PR03000000153	PRV00000017	BMETVOLL022XX	000025	ABV002	ABV0020A01	Confirmed by Custodi...	Inst_Accepted	500,000	FAMAT	21/09/2021 16:27:55	STP
PR03000000152	PR03000000152	PRV00000016	BMETVOLL022XX	000023	ABV002	ABV0020A01	Confirmed by Custodi...	Inst_Accepted	500,000	FAMAT	21/09/2021 15:55:23	STP
PR03000000144	PR03000000144	PRV00000015	BMETVOLL022XX	000010	ABV002	ABV0020A01	Execution Confirmed	Inst_Accepted	500,000	FAMAT	20/09/2021 15:51:43	STP
PR03000000142	PR03000000142	PRV00000014	BMETVOLL022XX	000006	ABV002	ABV0020A01	Confirmed by Custodi...	Inst_Accepted	500,000	FAMAT	20/09/2021 12:41:23	STP
PR03000000141	PR03000000141	PRV00000013	BMETVOLL022XX	000002	ABV002	ABV0020A01	Waiting PVMI Cancell...	Canc_Accepted_From...	500,000	FAMAT	20/09/2021 16:34:27	STP
PR03000000140	PR03000000140	PRV00000012	BMETVOLL022XX	jffvjffj	ABV001	ABV0010A01	Pending Custodian Co...	Inst_Accepted	200,000	FAMAT	17/09/2021 16:25:47	STP

Monitor PV Client Instruction – List Screen	
Field	Description
Ca Meeting	Shows the reference of the CA Event given by the ECMS to the CA event to be used by counterparties. (Also called CA ECMS Event Id.) Displayed format is: Max. 35 characters.
Meeting Reference	Shows the reference of the Meeting.
App Reference	Shows the App Reference.
Party's Instruction Reference	Shows the Reference of the instruction given by the Instructing Party.
Global Reference	Shows the global reference.
Client	Shows the RIAD code of the Counterparty from a drop down menu.
ECMS Client Asset Account	Shows the identifier of the ECMS Counterparty Asset Account where the Asset is mobilised. Displayed format is: Max. 35 characters
Business Status	Shows the status of the PV Client Instruction from the possible values are: <ul style="list-style-type: none"> – Confirmed by Custodian – Execution Confirmed – Invalid Data – Pending Custodian Confirmation – Pending Rejection – Rejected – Waiting PVMI Cancellation Confirmation
Reason	Shows the reason from possible values: <ul style="list-style-type: none"> – Inst_Accepted – Inst_Rejected_InsufficientPosition – Inst_Rejected – Canc_Accepted_FromClient

Instructed Quantity	Shows the quantity of securities to which this instruction applies.
Instructed Quantity Type	Shows the type of the quantity instructed from possible values: <ul style="list-style-type: none"> - FAMT - UNIT - AMOR
Update Date	Shows the date and time of the last update of the PV Client Instruction. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Updater User Id	Shows the identification of the user that made the last update of the PV Client Instruction.
After selecting a record, the following buttons are displayed:	
Button	Function
View	This function enables you to access to the detailed information of a PV Client Instruction. Next screen: <ul style="list-style-type: none"> - <i>Monitor PV Client Instruction</i> – Details Screen.
requestClient-Cancellation	<i>[Only in case of Cancel PV Client Instruction]</i> This function enables you to request the cancellation of a PV Client Instruction.
rejectedConfirmed	<i>[Only in case of Rejected Confirmed]</i> This function enables you to confirm the rejection of a PV Client Instruction.
editSearchResultItem	<i>[Only in case of Confirm Vote Execution]</i> This function enables you to confirm the vote execution of a PV Client Instruction. Next Screen: <ul style="list-style-type: none"> - <i>Confirm Vote Execution</i> – Edit Screen
If the screen is used in the 4 eyes validation context, the following buttons are also displayed when a record is selected	
Button	Function
View Draft	This function enables the user to view the draft record to be validated. Next screen: <ul style="list-style-type: none"> - <i>Monitor PV Client Instruction</i> – Details Screen.
Validate	This function allows the user to validate the creation of the record so that they can be considered valid in the system
Reject	This function allows the user to reject the creation or update of the record. The draft will be deleted.

3.4.3.2.1.2.3 Monitor PV Client Instruction - Details Screen

Context of Usage	This screen displays detailed information on the selected PV Client Instructions. You can check the data and proceed further by clicking on the buttons.
Screen Access	Depending how the screen is accessed, it can be used to see the detailed valid information of the PV Instructions or the information that requires 4 eyes validation before they can be considered valid in the system.

For valid information:

Corporate Actions >> Instructions >> Proxy Voting Instruction >> PV Client Instruction >> Monitor PV Client Instruction >> Click on Search button (after filling in appropriate data if needed) >> Select a Record >> Click on View button

For information subject to 4 eyes validation:

Corporate Actions >> Instructions >> Proxy Voting Instruction >> PV Client Instruction >> Validate / Reject – Waiting User Validation >> Validate / Reject PV CI Creation >> Click on Search button (after filling in appropriate data if needed) >> Select a Record >> Click on View button

The following actions related to PV Client Instructions use this same definition of the screen for their own Details Screens:

Cancel PV Client Instruction:

Corporate Actions >> Instructions >> Proxy Voting Instruction >> PV Client Instruction >> Cancel PV Client Instruction >> Click on Search button (after filling in appropriate data if needed) >> Select a Record >> Click on View button

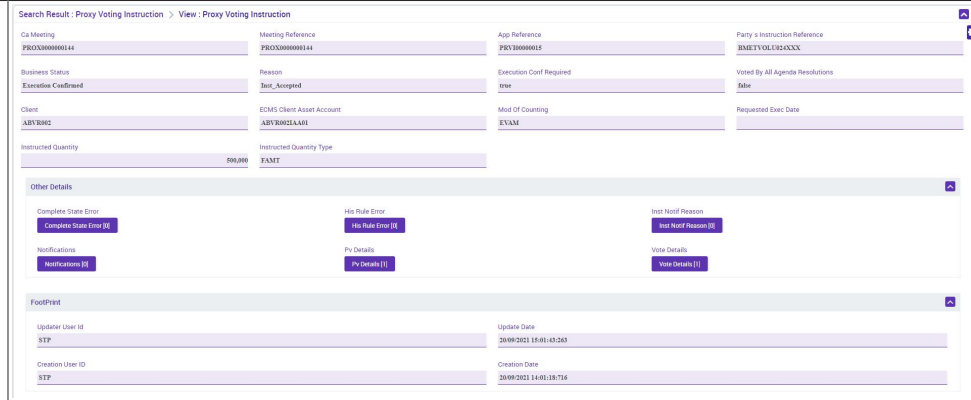
Rejected Confirmed:

Corporate Actions >> Instructions >> Proxy Voting Instruction >> PV Client Instruction >> Rejected Confirmed >> Click on Search button (after filling in appropriate data if needed) >> Select a Record >> Click on View button

Confirm Vote Execution:

Corporate Actions >> Instructions >> Proxy Voting Instruction >> PV Client Instruction >> Confirm Vote Execution >> Click on Search button (after filling in appropriate data if needed) >> Select a Record >> Click on View button

Screenshot



Monitor PV Client Instruction – Details Screen

Field	Description
Ca Meeting	Shows the reference of the CA Event given by the ECMS to the CA event to be used by counterparties. (Also called CA ECMS Event Id.) Displayed format is: Max. 35 characters.
Meeting Reference	Shows the reference of the Meeting.
Party's Instruction Reference	Shows the Reference of the instruction given by the Instructing Party.
App Reference	Shows the App Reference.

Global Reference	Shows the global reference.
Business Status	Shows the status of the PV Client Instruction from the possible values are: <ul style="list-style-type: none"> - Confirmed by Custodian - Execution Confirmed - Invalid Data - Pending Custodian Confirmation - Pending Rejection - Rejected - Waiting PVMI Cancellation Confirmation
Reason	Shows the reason from possible values: <ul style="list-style-type: none"> - Inst_Accepted - Inst_Rejected_InsufficientPosition - Inst_Rejected - Canc_Accepted_FromClient
Execution Conf Required	Shows whether the execution confirmation is required or not.
Voted By All Agenda Resolutions	Shows whether the Voted By All Agenda Resolutions is required or not.
Client	Shows the RIAD code of the Counterparty from a drop down menu.
ECMS Client Asset Account	Shows the identifier of the ECMS Counterparty Asset Account where the Asset is mobilised. Displayed format is: Max. 35 characters
Mod Of Counting	Shows the model of counting from possible values: <ul style="list-style-type: none"> - EVAM - EVBM - PVBM - PVAM
Requested Exec Date	Shows the Requested Execution Date. Displayed format is: DD/MM/YYYY
Instructed Quantity	Shows the quantity of securities to which this instruction applies.
Instructed Quantity Type	Shows the type of the quantity instructed from possible values: <ul style="list-style-type: none"> - FAMT - UNIT - AMOR
Other Details	
Button	Function
Complete State Error [n]	This function enables you to display the subsection "Complete State Error".

His Rule Error [n]	This function enables you to display the subsection “His Rule Error”.
Inst Notif Reason [n]	This function enables you to display the subsection “Inst Notif Reason”.
Notifications [n]	This function enables you to display the subsection “Notifications”.
PV Details [n]	This function enables you to display the subsection “PV Details”.
Vote Details	This function enables you to display the subsection “Vote Details”.
Footprint	
Creation Date	Shows the date and time of the creation of the PV Client Instruction. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Creation User Id	Shows the identification of the user that created the PV Client Instruction.
Update Date	Shows the date and time of the last update of the PV Client Instruction. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Updater User Id	Shows the identification of the user that made the last update of the PV Client Instruction.
Complete State Error	
The existing Complete State errors of the PV Client Instructions are displayed.	
Field	Description
Error Message	Shows error message.
Field Name	Shows the name of the field.
His Rule Error	
The existing Business Rule errors of the PV Client Instructions are displayed.	
If you click on View button following fields are displayed:	
<i>His Rule Error – Details Screen</i>	
Field	Description
Error Message	Shows error message.
His Rule Id	Shows the identifier of the business rule.
Status	Shows the current status.
Footprint	
Creation Date	Shows the date and time of the creation. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Creation User Id	Shows the identification of the user that does the creation.
Update Date	Shows the date and time of the last update. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Updater User Id	Shows the identification of the user that made the last update.
Inst Notif Reason	
The existing Instruction Notifications Reasons of the PV Client Instructions are displayed.	
Field	Description
Identifier	Shows the Identifier.
Description	Shows the description.
Updater User Id	Shows the identification of the user that made the last update.
Update Date	Shows the date and time of the last update.

	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Creation User ID	Shows the identification of the user that does the creation.
Notifications	
The existing notifications of the PV Client Instructions are displayed.	
You can download a copy of an existing notification of the PV Client Instructions by selecting it and clicking on the Download button.	
Field	Description
Message Identifier	Shows reference of the message received.
Notification Name	Shows the description of the type of message received.
Direction	Shows the direction of the message from the possible values: <ul style="list-style-type: none"> - Out - In
Notification Status	Shows the status of the notification received. The possible values are: <ul style="list-style-type: none"> - Received - Rejected - Processed
Error Description	Shows the description of the error in case of error when receiving the message.
Update Date	Shows the date and time of the last update of the PV Client Instruction. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Updater User Id	Shows the identification of the user that made the last update of the PV Client Instruction.
Button	Function
Download	This function enables you to download the message received from the Counterparty.
PV Details	
The existing PV Details of the PV Client Instructions are displayed.	
If you click on View button following fields are displayed:	
Consolidated Status	Shows the Consolidated Status
Quantity	Shows the Quantity
Quantity Type	Shows the Quantity Type
External Asset Account	Shows the External Asset Account
Eligible Quantity	Shows the Eligible Quantity
Position Nature	Shows the Position Nature
Acked	Shows from possible values: <ul style="list-style-type: none"> - Yes - No
Nacked	Shows from possible values:

	<ul style="list-style-type: none"> - Yes - No
Consolidation (PV Market Instruction View Screen)	This is a link to PV Market Instruction -View Screen.
Footprint	
Creation Date	Shows the date and time of the creation. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Creation User Id	Shows the identification of the user that does the creation.
Update Date	Shows the date and time of the last update. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Updater User Id	Shows the identification of the user that made the last update.
Button	Description
Beneficial Owner Details [n]	This function enables you to view the selected Beneficial Owner Details record. Next Screen: <ul style="list-style-type: none"> - <i>Beneficial Owner Details – Details Screen</i>
PV Details by Option [n]	This function enables you to view the details of the selected PV Details by Option record. Next Screen: <ul style="list-style-type: none"> - <i>PV Details by Option – Details Screen</i>
<i>PV Details by Option – Details Screen</i>	
Allowed Vote	Shows the Allowed Vote
Quantity	Shows the Quantity
Quantity Type	Shows the Quantity Type
Consolidated Status	Shows the Consolidated Status
External Asset Account	Shows the External Asset Account
Acked	Shows from possible values: <ul style="list-style-type: none"> - Yes - No
Nacked	Shows from possible values: <ul style="list-style-type: none"> - Yes - No
Vote Details	
The existing Vote Details of the PV Client Instructions are displayed. If you click on View button following fields are displayed:	
Meeting Resolutions	Shows the concatenation of CA ECMS Event Id, “ ” and the Resolution Number
Is Global Vote	Shows from possible values: <ul style="list-style-type: none"> - Yes

	- No
Vote Option	Shows information about the Vote Option
Vote Option by Resolution	Shows information about the Vote Option by Resolution.
Footprint	
Creation Date	Shows the date and time of the creation. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Creation User Id	Shows the identification of the user that does the creation.
Update Date	Shows the date and time of the last update. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Updater User Id	Shows the identification of the user that made the last update.
Button	Description
Complete State Error [n]	This function enables you to view the selected Complete State Error record. Next Screen: - Complete State Error – Details Screen
His Rule Error [n]	This function enables you to view the details of the selected His Rule Error record. Next Screen: - His Rule Error – Details Screen
Vote by Resolution [n]	This function enables you to view the details of the selected Vote by Resolution record. Next Screen: - Vote by Resolution – Details Screen
Vote by Resolution	
The existing Vote by Resolution of the PV Client Instructions are displayed. If you click on View button following fields are displayed:	
Vote Instruction Type	Shows the concatenation of CA ECMS Event Id, “ ”, the Resolution Number, “ ”, and the Vote.
Instructed Quantity	Shows the Instructed Quantity
Footprint	
Creation Date	Shows the date and time of the creation. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Creation User Id	Shows the identification of the user that does the creation.
Update Date	Shows the date and time of the last update. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Updater User Id	Shows the identification of the user that made the last update.
Button	Description
PV Details by Option [n]	This function enables you to view the selected PV Details by Option record. Next Screen: - PV Details by Option– Details Screen

3.4.4 CA Entitlements

A Corporate Action Entitlement represents the upcoming cash and security postings due at payment date when a Corporate Action Event is processed.

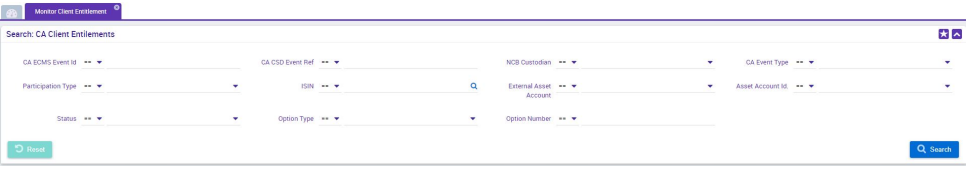
The ECMS calculates the entitlements when the Record Date is reached or when a CA Movement Preliminary Advice (CAPA) is received from the CSD.

Each time a CA Movement Preliminary Advice received is processed, the ECMS sends the corresponding CA Movement Preliminary Advice message to the Counterparties to advise them about the entitled cash or securities movements.

Corporate Action Movement Preliminary Advice can also be used by the CSD to pre-advise the account owner of the reversal of the security and/or cash postings.

3.4.4.1 CA Entitlements – Search Screens

Context of Usage	<p>This screen is used to search Corporate Action Entitlements. Depending on the context, it can be used to search CA Market Entitlement Received in a CA Movement Preliminary Advice (Monitor information or Cancelling), CA Market Entitlements Calculated internally by the ECMS (Monitor information or Cancelling) or CA Client Entitlements also calculated by the ECMS (Monitor information or Cancelling). It can also be used to search the valid information of the CA Client Entitlement or the information that requires 4 eyes validation before they can be considered valid in the system.</p> <p>It contains the fields that can be used as search criteria. The user captures the fields that correspond to its criteria for searching CA Entitlements.</p> <p>If a field is left blank, the field is not considered as a search criterion.</p> <p>Once search criteria have been filled, by clicking on the search button, the list of instructions fulfilling the criteria will be displayed.</p>
Business Case related	<p>Search/List/View CA Market Entitlements Received</p> <p>Search/List/View CA Market Entitlements Calculated</p> <p>Search/List/View Client CA Entitlements</p>
Screen Access	<p><u>For Monitoring data CA Market Entitlements Received:</u> <i>Corporate Actions >> CA Entitlements >> Received Market Entitlements >> Monitor Received Entitlements</i></p> <p><u>For Cancelling CA Market Entitlements Received:</u> <i>Corporate Actions >> CA Entitlements >> Received Market Entitlements >> Cancel Received Entitlements >> Cancel Received Market Entitlement</i></p> <p><u>For Monitoring data CA Market Entitlements Calculated:</u> <i>Corporate Actions >> CA Entitlements >> Calculated Market Entitlements >> Monitor Market Entitlement</i></p> <p><u>For Cancelling CA Market Entitlements Calculated:</u> <i>Corporate Actions >> CA Entitlements >> Calculated Market Entitlements >> Cancel Market Entitlement</i></p> <p><u>For Monitoring data CA Client Entitlements:</u> <i>Corporate Actions >> CA Entitlement >> Client Entitlements >> Monitor Client Entitlement</i></p>

	<p>For information subject to 4 eyes validation -> Monitor data CA Client Entitlements:</p> <p><i>Corporate Actions >> CA Entitlement >> Client Entitlements >> Calculate Client Entitlement >> Validate Client Entitlement</i></p> <p>For Cancelling CA Client Entitlements:</p> <p><i>Corporate Actions >> CA Entitlement >> Client Entitlements >> Cancel Client Entitlement</i></p>
Screenshot	

CA Entitlements – Search screen	
Field	Description
CA ECMS Event Id	Enter / Select the reference of the CA Event given by the ECMS to the CA event to be used by counterparties. Required format is: Max. 35 characters.
CA CSD Event Ref.	Enter/Select the reference of the CA Event given by the Custodian
NCB Custodian	Enter/Select the RIAD Code of the Custodian (i.e the CSD or the NCB in relation with the CSD)
CA Event Type	Enter/Select the Type of CA Event. Required format is: Max. 4 characters.
Participation Type	Enter / Select the code identifying whether participation in the CA event is: <ul style="list-style-type: none"> – mandatory (MAND) – mandatory with options (CHOS) – voluntary (VOLU)
Option Type	Enter / Select the option type within the CA Event.
Option Number	Enter / Select the number identifying the option type within the CA Event.
ISIN	Enter/Select the identification of the security concerned by the corporate action.
Asset Account Id.	<i>[Only for client Entitlements]</i> Enter/Select the identifier of the Account where the eligible position is held. The account type depends on the type of entitlement (Client/Market) and can be: <ul style="list-style-type: none"> – a ECMS Counterparty Asset Account Id – a T2S Securities Account Id – a ECMS Cross NCB Asset Account Id.
External Asset Account	Enter / Select the External Asset Account. Required format is: Max. 35 characters.
Status	Select from possible values: <ul style="list-style-type: none"> – Cancelled – Confirmed

	<ul style="list-style-type: none"> - NotConfirmed - PartiallyConfirmed - WaitingValidation
Creation Date	Date and time when the CA Entitlement was created in the ECMS.
Button	Function
Reset	This function enables the user to clear the form.
Search	<p>This function enables the user to start a search according to the filled in criteria. The results are displayed in a list on the List Screen.</p> <p>Next screen:</p> <ul style="list-style-type: none"> - CA Entitlements – List Screen.

3.4.4.1.1 CA Entitlements – List Screen

Context of Usage	<p>This screen contains a list of CA Entitlements.</p> <p>The entitlement listed correspond to the search criteria captured in the <i>CA Entitlements – Search</i> screen.</p> <p>The list displayed can be sorted and filter by the field displayed.</p> <p>The list can be exported.</p> <p>By clicking on the “View” button or double clicking on the selected line, the user can display the <i>CA Entitlements – Details</i> screen with the details of the selected entitlement.</p>
Business Case related	<p>Search/List/View CA Market Entitlements Received</p> <p>Search/List/View CA Market Entitlements Calculated</p> <p>Search/List/View Client CA Entitlements</p>
Screen Access	<p><u>For Monitoring data CA Market Entitlements Received:</u></p> <p><i>Corporate Actions >> CA Entitlements >> Received Market Entitlements >> Monitor Received Entitlements >> Search CA Entitlements</i></p> <p><u>For Cancelling CA Market Entitlements Received:</u></p> <p><i>Corporate Actions >> CA Entitlements >> Received Market Entitlements >> Cancel Received Entitlements >> Cancel Received Market Entitlement >> Search CA Entitlements</i></p> <p><u>For Monitoring data CA Market Entitlements Calculated:</u></p> <p><i>Corporate Actions >> CA Entitlements >> Calculated Market Entitlements >> Monitor Market Entitlement >> Search CA Entitlements</i></p> <p><u>For Cancelling CA Market Entitlements Calculated:</u></p> <p><i>Corporate Actions >> CA Entitlements >> Calculated Market Entitlements >> Cancel Market Entitlement >> Search CA Entitlements</i></p> <p><u>For Monitoring data CA Client Entitlements:</u></p> <p><i>Corporate Actions >> CA Entitlement >> Client Entitlements >> Monitor Client Entitlement >> Search CA Entitlements</i></p> <p><u>For information subject to 4 eyes validation -> Monitor data CA Client Entitlements:</u></p> <p><i>Corporate Actions >> CA Entitlement >> Client Entitlements >> Calculate Client Entitlement >> Validate Client Entitlement >> Search CA Entitlements</i></p>

For Cancelling CA Client Entitlements:
Corporate Actions >> CA Entitlement >> Client Entitlements >> Cancel Client Entitlement >> Search CA Entitlements

Screenshot

CA Entitlements – List Screen	
Field	Description
CA ECMS Event Id	Shows the reference of the CA Event given by the ECMS to the CA event to be used by counterparties. Displayed format is: Max. 35 characters.
CA CSD Event Ref.	Shows the reference of the CA Event given by the Custodian
NCB Custodian	Shows the RIAD Code of the Custodian (i.e the CSD or the NCB in relation with the CSD)
CA Event Type	Shows the type of CA Event.
Participation Type	Shows the code identifying whether participation in the CA event is: <ul style="list-style-type: none"> – mandatory (MAND) – mandatory with options (CHOS) – voluntary (VOLU)
Option Type and Number	Shows the option type as ISO Code and the option number.
ISIN	Shows the identification of the security concerned by the corporate action.
Asset Account Id	<i>[For client entitlements only]</i> Shows the identifier of the Account where the eligible position is held. The account type depends on the type of entitlement (Client/Market) and can be: <ul style="list-style-type: none"> – a ECMS Counterparty Asset Account Id – a T2S Securities Account Id – a ECMS Cross NCB Asset Account
External Asset Account	Shows the External Asset Account.
Status	Shows from possible values: <ul style="list-style-type: none"> – Created – Cancelled
Allocation Source Status	<i>[For Client Entitlement only]</i> Shows from Possible Values: <ul style="list-style-type: none"> – Allocated – Wait Allocation

	<ul style="list-style-type: none"> - Partially Allocated - Not Applicable
REPE Reconciliation Status	<p><i>[For Calculated Market Entitlement only]</i></p> <p>Shows the REPE reconciliation status :</p> <ul style="list-style-type: none"> - Not reconciled - ReconciledTotally - ReconciledPartially - Not Applicable
Reconciliation Status	<p><i>[For Market Entitlement only]</i></p> <p>Shows the reconciliation status :</p> <ul style="list-style-type: none"> - Not reconciled - ReconciledTotally - ReconciledPartially - Not Applicable
Creation Date	Date and time when the CA Entitlement was created in the ECMS.
Updater User Id.	Identifier of the user responsible for the last update of the CA Entitlement.
Update Date	Date and time when the CA Entitlement was last updated in the ECMS.
After selecting a record, the following buttons are displayed	
Button	Function
View	<p>This function enables the user to access to the detailed information of a CA Entitlement.</p> <p>Next screen:</p> <ul style="list-style-type: none"> - CA Entitlements – Details Screen.
Cancel	<p><i>[Only for Cancel Client Entitlement, Cancel Received Market Entitlement, Cancel Calculated Market Entitlement]</i></p> <p>This button allows the user to cancel the selected entitlement.</p>

3.4.4.1.2 CA Entitlements – Details Screen

Context of Usage	This screen displays the details of a CA Entitlements.
Business Case related	<p>Search/List/View CA Market Entitlements Received</p> <p>Search/List/View CA Market Entitlements Calculated</p> <p>Search/List/View Client CA Entitlements</p>
Screen Access	<p><u>For Monitoring data CA Market Entitlements Received:</u></p> <p><i>Corporate Actions >> CA Entitlements >> Received Market Entitlements >> Monitor Received Entitlements >> Search CA Entitlements >> Select an entitlement >> Click on View button</i></p> <p><u>For Cancelling CA Market Entitlements Received:</u></p> <p><i>Corporate Actions >> CA Entitlements >> Received Market Entitlements >> Cancel Received Entitlements >> Cancel Received Market Entitlement >> Search CA Entitlements >> Select an entitlement >> Click on View button</i></p>

For Monitoring data CA Market Entitlements Calculated:

Corporate Actions >> CA Entitlements >> Calculated Market Entitlements >> Monitor Market Entitlement >> Search CA Entitlements >> Select an entitlement >> Click on View button

For Cancelling CA Market Entitlements Calculated:

Corporate Actions >> CA Entitlements >> Calculated Market Entitlements >> Cancel Market Entitlement >> Search CA Entitlements >> Select an entitlement >> Click on View button

For Monitoring data CA Client Entitlements:

Corporate Actions >> CA Entitlement >> Client Entitlements >> Monitor Client Entitlement >> Search CA Entitlements >> Select an entitlement >> Click on View button

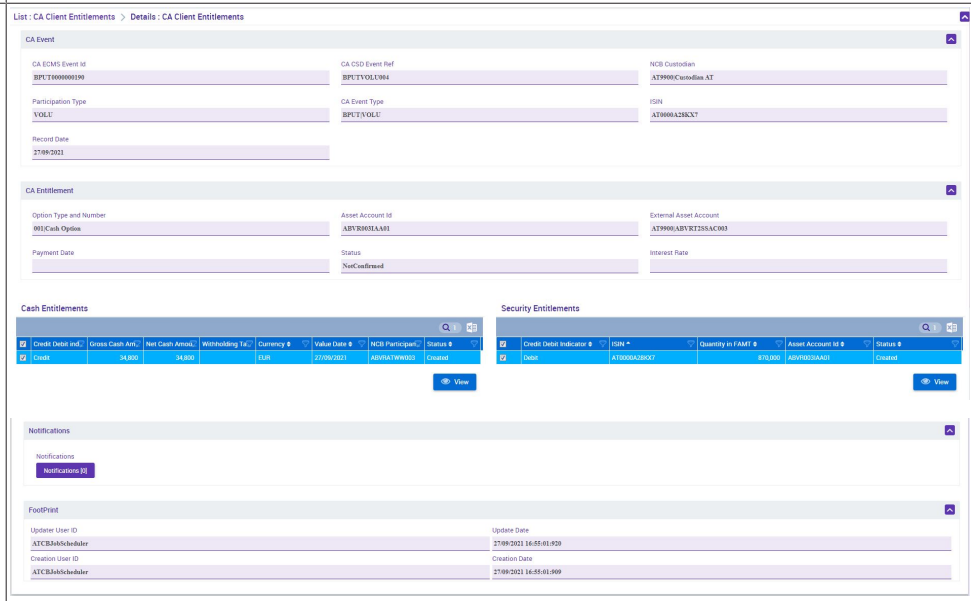
For information subject to 4 eyes validation -> Monitor data CA Client Entitlements:

Corporate Actions >> CA Entitlement >> Client Entitlements >> Calculate Client Entitlement >> Validate Client Entitlement >> Search CA Entitlements >> Select an entitlement >> Click on View button

For Cancelling CA Client Entitlements:

Corporate Actions >> CA Entitlement >> Client Entitlements >> Cancel Client Entitlement >> Search CA Entitlements >> Select an entitlement >> Click on View button

Screenshot



CA Entitlement – Details Screen	
Field	Description
CA Event	
CA ECMS Event Id	Shows the reference of the CA Event given by the ECMS to the CA event to be used by counterparties. Required format is: Max. 35 characters.
CA CSD Event Ref.	Shows the reference of the CA Event given by the Custodian
NCB Custodian	Shows the RIAD Code of the Custodian (i.e the CSD or the NCB in relation with the CSD)

CA Event Type	Shows the type of CA Event.
Participation Type	Shows the code identifying whether participation in the CA event is: <ul style="list-style-type: none"> - mandatory (MAND) - mandatory with options (CHOS) - voluntary (VOLU)
ISIN	Shows the identification of the security concerned by the corporate action.
Asset Account Id.	<i>[For Client Entitlements only]</i> Shows the identifier of the Account where the eligible position is held. The account type depends on the type of entitlement (Client/Market) and can be: <ul style="list-style-type: none"> - a ECMS Counterparty Asset Account Id - a T2S Securities Account Id - a ECMS Cross NCB Asset Account
External Asset Account	Shows the External Asset Account.
Status	Shows from possible values: <ul style="list-style-type: none"> - Created - Cancelled
Reversal Reason Code	<i>[For Monitor Client Entitlements only]</i> Shows from possible values: <ul style="list-style-type: none"> - Day Count Basis Difference - DCBD - Incorrect Value Date - IVAD - Incorrect Record Date - IRED - Incorrect Price - IPRI - Undue Payment - UPAY - Incorrect Event Level Tax Rate - IETR - Funds Not Received - FNRC - Payment Outside Clearing System - POCS - Incorrect Payment Currency - IPCU
Record Date / Entitlement Fixing Date	Shows the date at which positions are struck to note which parties will receive the relevant amount of entitlement, due to the distribution on payment date. Displayed format is: DD/MM/YYYY.
Payment Date	Shows the Payment Date. Displayed format is: DD/MM/YYYY.
CA Entitlement	
Option Type and Number	Shows the option type as ISO Code and the option number.
Reconciliation Status	<i>[For Market Entitlement only]</i> Shows the reconciliation status : <ul style="list-style-type: none"> - Not reconciled - ReconciledTotally - ReconciledPartially

	– Not Applicable
Notification Status	<i>[For Monitor Client Entitlements only]</i> Shows if the entitlements have been sent to the client: – Created – Confirmed
Cash Entitlements	
A table displays the list of cash entitlements	
Field	Description
Credit/Debit indicator	Shows whether the cash payment is a debit or a credit.
Gross Cash Amount	Shows the amount of cash due before deductions and allowance
Net Cash Amount	Shows the net cash amount to be paid at the payment date
Currency	Show the currency of the payment
Withholding Tax Amount	<i>[Only for Client Entitlements]</i> Shows the Amount of a cash distribution that will be withheld by the tax authorities of the jurisdiction of the issuer, for which a relief at source and/or reclaim may be possible.
Value Date	Shows the date when calculating economic benefit for a cash amount.
Cash Account Id	Shows the reference of the cash account where the payment is to be paid
Status	Shows from possible values: – Created – Waiting Payment Confirmation – Payment Confirmation Rejected – Waiting Pool Confirmation – Reversal Waiting Payment Confirmation – Reversal Payment Confirmation Rejected – Sent
After selecting a record, the following button is displayed	
Button	Function
View	This function enables the user to view the details of the CA Cash Entitlement. Next screen: – <i>CA Cash Entitlements – Details</i> Screen.
Security Entitlements	
A table displays the list of security entitlements	
Field	Description
Credit/Debit indicator	Shows whether the security payment is a debit or a credit
ISIN	Shows the identifier of the entitled financial instrument.
Quantity in FAMT	Shows the entitled quantity in FAMT

Status	Shows the status from possible values: <ul style="list-style-type: none"> - Sent - Created - Waiting Valuation
Asset Account Id.	<i>[For Client Entitlements only]</i> Shows the reference of the security account where the movement is to be done
After selecting a record, the following button is displayed	
Button	Function
View	This function enables the user to access to the detailed information of a CA Security Entitlement. Next screen: <ul style="list-style-type: none"> - CA Security Entitlements – Details Screen.
Foot Print	
Creation Date	Shows the date and time of the creation of the CA Entitlement. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Creation User Id	Shows the identification of the user that created the CA Entitlement.
Update Date	Shows the date and time of the update of the CA Entitlement. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Updater User Id	Shows the identification of the user that made the last update of the CA Entitlement.
Notifications	
The existing notifications of the CA Entitlements are displayed.	
You can download a copy of an existing notification of the CA Entitlement by selecting it and clicking on the Download button.	
Field	Description
Message Identifier	Shows reference of the message received.
Notification Name	Shows the description of the type of message received.
Direction	Shows the direction of the message from the possible values: <ul style="list-style-type: none"> - Out - In
Notification Status	Shows the status of the notification received. The possible values are: <ul style="list-style-type: none"> - Received - Rejected
Error Description	Shows the description of the error in case of error when receiving the message.
Update Date	Shows the date and time of the last update of the CA Movement. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Updater User Id	Shows the identification of the user that made the last update of the CA Entitlement.
Button	Function

Download	This function enables you to download the message received.
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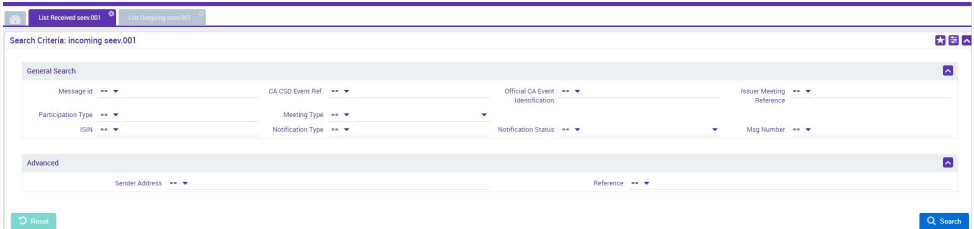
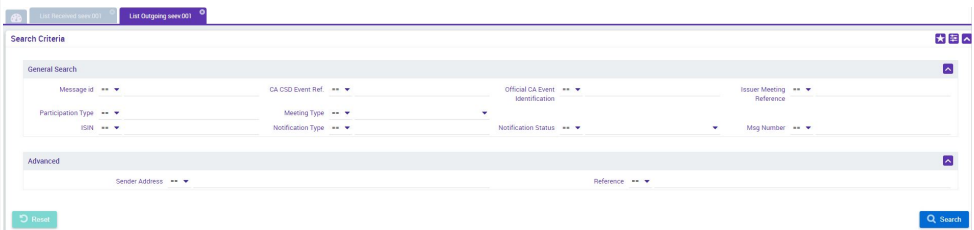
3.4.5 CA Message Monitoring

3.4.5.1 Incoming / Outgoing Messages

3.4.5.1.1 Meeting Notification

3.4.5.1.1.1 Meeting Notification seev.001

3.4.5.1.1.1.1 Meeting Notification seev.001 - Search screen

Context of Usage	<p>This screen contains a number of search fields. By inputting the relevant data, you can search for the Notifications Received / Sent.</p> <p>The search results will be displayed in a list. After selecting an entry, you can proceed further by clicking on the buttons that are shown.</p>
Screen Access	<p><i>For CA Notifications Received:</i></p> <p>Corporate Actions >> Messages Monitoring >> Incoming Messages >> Meeting Notifications >> List Received seev.001</p> <p><i>For CA Notifications Sent:</i></p> <p>Corporate Actions >> Messages Monitoring >> Outgoing Messages >> Meeting Notifications >> List Outgoing seev.001</p>
Screenshot	<p><i>For CA Notifications Received:</i></p>  <p><i>For CA Notifications Sent:</i></p> 

Meeting Notification seev.001 - Search screen	
Field	Description
General Search	

Message id	Enter the identifier of the message. Required format is: Max. 35 characters.
CA CSD Event Ref.	Enter the Reference of the CA Event given by the CSD. Required format is: Max. 35 characters.
Official CA Event Identification	Enter the official CA Event Identification.
Issuer Meeting Reference	Enter the reference of the Issuer meeting
Participation Type	Enter if the CA Event is: – MAND – Mandatory – CHOS – Mandatory with Choice – VOLU - Voluntary
Meeting Type	Enter / select the type of the meeting from possible values: – BMET – CMET
ISIN	Enter the ISIN of the security concerned by the CA Event.
Notification Type	Enter the information specifying the type of notification from the possible values: – NEWM – New notification – REPL – notification replacing a previously sent notification – RMDR – notification sent as a reminder of an event taking place
Notification Status	Enter / Select the status of the notification from possible values: – Impacted – Impact Failed – Rejected – Archived – Processed – Processed with Failure
Msg Number	Enter the number of the message
Advanced	
Sender Address	Enter the BIC address of the sender of the message.
Reference	Enter the reference.
Button	Function
Reset	This function enables you to clear the form.
Search	This function enables you to start a search according to the filled in criteria. The results are displayed in a list on the List Screen. Next screen: – <i>Meeting Notification seev.001</i> – List Screen.

3.4.5.1.1.1.2 Meeting Notification seev.001 – List Screen

Context of Usage	<p>This screen contains the list of Notifications.</p> <p>The seev.001 listed correspond to the search criteria capture in the search screen.</p> <p>The list displayed can be sorted and filter using by the field displayed.</p> <p>The list can be exported.</p>
Screen Access	<p><i>For CA Notifications Received:</i></p> <p>Corporate Actions >> Messages Monitoring >> Incoming Messages >> Meeting Notifications >> List Received seev.001>> Click on the search button (after inputting the relevant data, if needed)</p> <p><i>For CA Notifications Sent:</i></p> <p>Corporate Actions >> Messages Monitoring >> Outgoing Messages >> Meeting Notifications >> List Outgoing seev.001 >> Click on the search button (after inputting the relevant data, if needed)</p>
Screenshot	<p><i>For CA Notifications Received:</i></p> <p><i>For CA Notifications Sent:</i></p>

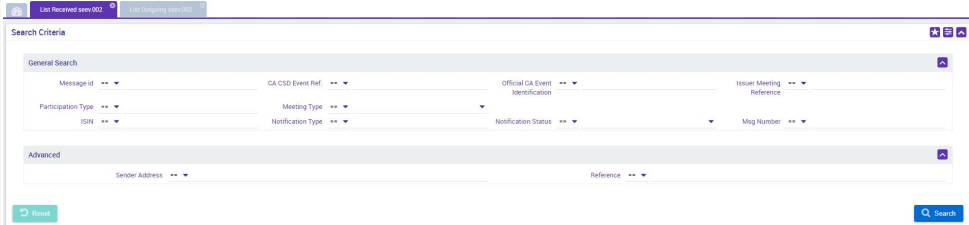
Meeting Notification seev.001 - List screen	
Field	Description
Message id	Shows the identifier of the message. Displayed format is: Max. 35 characters.
CA CSD Event Ref.	<i>[Only for notifications Received]</i> Shows the Reference of the CA Event given by the CSD. Displayed format is: Max. 35 characters.
CA ECMS Event Id.	<i>[Only for notifications Sent]</i> Shows the reference of the CA Event given by the ECMS to the CA event to be used by counterparties. Displayed format is: Max. 35 characters.
Sender Address	<i>[Only for notifications Received]</i> Shows the BIC address of the sender of the message.

Receiver Address	Shows the BIC address of the receiver of the message.
Official CA Event Identification	<i>[Only for notifications Received]</i> Shows the official CA Event Identification.
Issuer Meeting Reference	<i>[Only for notifications Received]</i> Shows the reference of the Issuer meeting
Msg Number	<i>[Only for notifications Received]</i> Shows the number of the message
Notification Type	Shows the information specifying the type of notification from the possible values: <ul style="list-style-type: none"> - NEWM – New notification - REPL – notification replacing a previously sent notification - RMDR – notification sent as a reminder of an event taking place
Meeting Type	<i>[Only for notifications Received]</i> Shows the type of the meeting from possible values: <ul style="list-style-type: none"> - BMET - CMET
Meeting Classification	<i>[Only for notifications Received]</i> Shows the classification of the meeting
Participation Type	<i>[Only for notifications Received]</i> Shows if the CA Event is: <ul style="list-style-type: none"> - MAND – Mandatory - CHOS – Mandatory with Choice - VOLU - Voluntary
Entitled Sec Isin	<i>[Only for notifications Received]</i> Shows the ISIN of the security concerned by the CA Event.
Notification Status	Shows the status of the notification from possible values: <ul style="list-style-type: none"> - Impacted - Impact Failed - Rejected - Archived - Processed - Processed with Failure
Asset Account Id.	<i>[Only for notifications Sent]</i> Shows the Account number where the security concerned by the CA Event is hold.
Total Eligible Balance	<i>[Only for notifications Sent]</i> Shows the total balance of securities eligible on the Account for the CA Event.
Error Description	Shows the description of the error (if it has occurred)
Update Date	Shows the date and time of the last update of the CA Notification.

	Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Creation Date	Shows the date and time of the creation of the CA Notification. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Updater User Id	Shows the identification of the user that made the last update of the CA Notification.
After selecting a record, the following buttons are displayed	
Button	Function
Download	This function enables you to download a copy of the message received / sent.

3.4.5.1.1.2 Meeting Cancellation seev.002

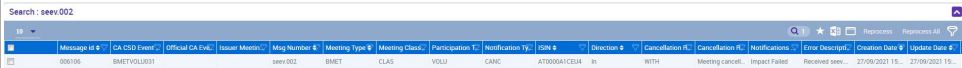
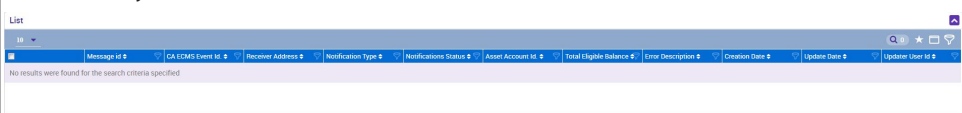
3.4.5.1.1.2.1 Meeting Cancellation seev.002 - Search screen

Context of Usage	<p>This screen contains a number of search fields. By inputting the relevant data, you can search for the Notifications Received / Sent.</p> <p>The search results will be displayed in a list. After selecting an entry, you can proceed further by clicking on the buttons that are shown.</p>
Screen Access	<p><i>For CA Notifications Received:</i> Corporate Actions >> Messages Monitoring >> Incoming Messages >> Meeting Notifications >> List Received seev.002</p> <p><i>For CA Notifications Sent:</i> Corporate Actions >> Messages Monitoring >> Outgoing Messages >> Meeting Notifications >> List Outgoing seev.002</p>
Screenshot	<p><i>For CA Notifications Received:</i></p>  <p><i>For CA Notifications Sent:</i></p> 

Meeting Cancellation seev.002 - Search screen	
Field	Description
General Search	

Message id	Enter the identifier of the message. Required format is: Max. 35 characters.
CA CSD Event Ref.	Enter the Reference of the CA Event given by the CSD. Required format is: Max. 35 characters.
Official CA Event Identification	Enter the official CA Event Identification.
Issuer Meeting Reference	Enter the reference of the Issuer meeting
Participation Type	Enter if the CA Event is: – MAND – Mandatory – CHOS – Mandatory with Choice – VOLU - Voluntary
Meeting Type	Enter / select the type of the meeting from possible values: – BMET – CMET
ISIN	Enter the ISIN of the security concerned by the CA Event.
Notification Type	Enter the information specifying the type of notification from the possible values: – NEWM – New notification – REPL – notification replacing a previously sent notification – RMDR – notification sent as a reminder of an event taking place
Notification Status	Enter / Select the status of the notification from possible values: – Impacted – Impact Failed – Rejected – Archived – Processed – Processed with Failure
Msg Number	Enter the number of the message
Advanced	
Sender Address	Enter the BIC address of the sender of the message.
Reference	Enter the reference.
Button	Function
Reset	This function enables you to clear the form.
Search	This function enables you to start a search according to the filled in criteria. The results are displayed in a list on the List Screen. Next screen: – Meeting Cancellation seev.002 – List Screen.

3.4.5.1.1.2.2 Meeting Cancellation seev.002 – List Screen

Context of Usage	<p>This screen contains the list of Notifications.</p> <p>The seev.002 listed correspond to the search criteria capture in the search screen.</p> <p>The list displayed can be sorted and filter using by the field displayed.</p> <p>The list can be exported.</p>
Screen Access	<p><i>For CA Notifications Received:</i></p> <p>Corporate Actions >> Messages Monitoring >> Incoming Messages >> Meeting Notifications >> List Received seev.002 >> Click on the search button (after inputting the relevant data, if needed)</p> <p><i>For CA Notifications Sent:</i></p> <p>Corporate Actions >> Messages Monitoring >> Outgoing Messages >> Meeting Notifications >> List Outgoing seev.002 >> Click on the search button (after inputting the relevant data, if needed)</p>
Screenshot	<p><i>For CA Notifications Received:</i></p>  <p><i>For CA Notifications Sent:</i></p> 

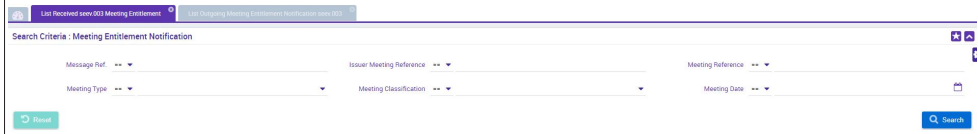
Meeting Cancellation seev.002 - List screen	
Field	Description
Message id	Shows the identifier of the message. Displayed format is: Max. 35 characters.
CA CSD Event Ref.	<i>[Only for notifications Received]</i> Shows the Reference of the CA Event given by the CSD. Displayed format is: Max. 35 characters.
CA ECMS Event Id.	<i>[Only for notifications Sent]</i> Shows the reference of the CA Event given by the ECMS to the CA event to be used by counterparties. Displayed format is: Max. 35 characters.
Receiver Address	<i>[Only for notifications Sent]</i> Shows the BIC address of the receiver of the message.
Official CA Event Identification	<i>[Only for notifications Received]</i> Shows the official CA Event Identification.
Issuer Meeting Reference	<i>[Only for notifications Received]</i> Shows the reference of the Issuer meeting

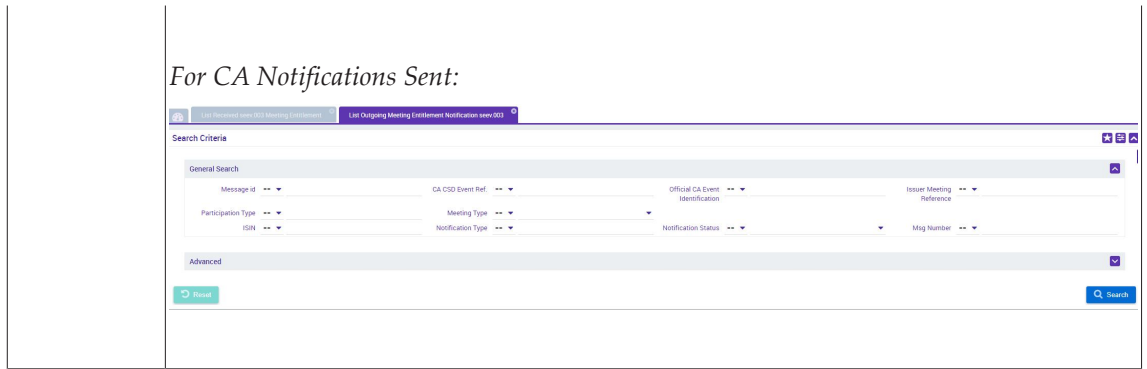
Msg Number	<i>[Only for notifications Received]</i> Shows the number of the message
Notification Type	Shows the information specifying the type of notification from the possible values: <ul style="list-style-type: none"> - NEWM – New notification - REPL – notification replacing a previously sent notification - RMDR – notification sent as a reminder of an event taking place
Meeting Type	<i>[Only for notifications Received]</i> Shows the type of the meeting from possible values: <ul style="list-style-type: none"> - BMET - CMET
Meeting Classification	<i>[Only for notifications Received]</i> Shows the classification of the meeting
Participation Type	<i>[Only for notifications Received]</i> Shows if the CA Event is: <ul style="list-style-type: none"> - MAND – Mandatory - CHOS – Mandatory with Choice - VOLU - Voluntary
ISIN	<i>[Only for notifications Received]</i> Shows the ISIN of the security concerned by the CA Event.
Notification Status	Shows the status of the notification from possible values: <ul style="list-style-type: none"> - Impacted - Impact Failed - Rejected - Archived - Processed - Processed with Failure
Direction	<i>[Only for notifications Received]</i> Shows the direction of the message from possible values: <ul style="list-style-type: none"> - In - Out
Cancellation Reason Code	<i>[Only for notifications Received]</i> Shows the Cancellation Reason Code from possible values: <ul style="list-style-type: none"> - QORM - Quorum - PROC - Processing - WITH - Withdrawal
Cancellation Reason Narrative	<i>[Only for notifications Received]</i> Shows the Cancellation Reason narrative.
Asset Account Id.	<i>[Only for notifications Sent]</i>

	Shows the Account number where the security concerned by the CA Event is hold.
Total Eligible Balance	[Only for notifications Sent] Shows the total balance of securities eligible on the Account for the CA Event.
Error Description	Shows the description of the error (if it has occurred)
Update Date	Shows the date and time of the last update of the CA Notification. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Creation Date	Shows the date and time of the creation of the CA Notification. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Updater User Id	Shows the identification of the user that made the last update of the CA Notification.
After selecting a record, the following buttons are displayed	
Button	Function
Download	This function enables you to download a copy of the message received / sent.
Reprocess	[Only for notifications Received] This function enables the user to reprocess the selected message (only available for users with appropriate rights).
Reprocess All	[Only for notifications Received] This function enables the user to reprocess all the messages in List screen (only available for users with appropriate rights).

3.4.5.1.1.3 Meeting Entitlement Notification seev.003

3.4.5.1.1.3.1 Meeting Entitlement Notification seev.003 - Search screen

Context of Usage	This screen contains a number of search fields. By inputting the relevant data, you can search for the Notifications Received / Sent. The search results will be displayed in a list. After selecting an entry, you can proceed further by clicking on the buttons that are shown.
Screen Access	<i>For CA Notifications Received:</i> Corporate Actions >> Messages Monitoring >> Incoming Messages >> Meeting Notifications >> List Received seev.003 Meeting Entitlement <i>For CA Notifications Sent:</i> Corporate Actions >> Messages Monitoring >> Outgoing Messages >> Meeting Notifications >> List Outgoing Meeting Entitlement Notification seev.003
Screenshot	<i>For CA Notifications Received:</i> 



Meeting Entitlement Notification seev.003 - Search screen

Field	Description
General Search	
Message id	Enter the identifier of the message. Required format is: Max. 35 characters.
CA CSD Event Ref.	<i>[Only for notifications Sent]</i> Enter the Reference of the CA Event given by the CSD. Required format is: Max. 35 characters.
Official CA Event Identification	<i>[Only for notifications Sent]</i> Enter the official CA Event Identification.
Issuer Meeting Reference	Enter the reference of the Issuer meeting
Participation Type	<i>[Only for notifications Sent]</i> Enter if the CA Event is: <ul style="list-style-type: none"> - MAND – Mandatory - CHOS – Mandatory with Choice - VOLU - Voluntary
Meeting Reference	<i>[Only for notifications Received]</i> Enter the reference of the meeting.
Meeting Type	Enter / select the type of the meeting from possible values: <ul style="list-style-type: none"> - BMET - CMET
Meeting Classification	<i>[Only for notifications Received]</i> Enter the classification of the meeting from possible values: <ul style="list-style-type: none"> - AMET - OMET - CLAS - ISSU - VRHI - CORT
Meeting Date	<i>[Only for notifications Received]</i>

	Enter the date of the meeting.
ISIN	<i>[Only for notifications Sent]</i> Enter the ISIN of the security concerned by the CA Event.
Notification Type	<i>[Only for notifications Sent]</i> Enter the information specifying the type of notification from the possible values: <ul style="list-style-type: none"> - NEWM – New notification - REPL – notification replacing a previously sent notification - RMDR – notification sent as a reminder of an event taking place
Notification Status	<i>[Only for notifications Sent]</i> Enter / Select the status of the notification from possible values: <ul style="list-style-type: none"> - Impacted - Impact Failed - Rejected - Archived - Processed - Processed with Failure
Msg Number	<i>[Only for notifications Sent]</i> Enter the number of the message
Advanced	
Sender Address	<i>[Only for notifications Sent]</i> Enter the BIC address of the sender of the message.
Reference	<i>[Only for notifications Sent]</i> Enter the reference.
Button	Function
Reset	This function enables you to clear the form.
Search	This function enables you to start a search according to the filled in criteria. The results are displayed in a list on the List Screen. Next screen: <ul style="list-style-type: none"> - Meeting Entitlement Notification seev.003 – List Screen.

3.4.5.1.1.3.2 Meeting Entitlement Notification seev.003 – List Screen

Context of Usage	This screen contains the list of Notifications. The seev.002 listed correspond to the search criteria capture in the search screen. The list displayed can be sorted and filter using by the field displayed. The list can be exported.
Screen Access	<i>For CA Notifications Received:</i> Corporate Actions >> Messages Monitoring >> Incoming Messages >> Meeting Notifications >> List Received seev.003 Meeting Entitlement >> Click on the search button (after inputting the relevant data, if needed) <i>For CA Notifications Sent:</i>

Corporate Actions >> Messages Monitoring >> Outgoing Messages >> Meeting Notifications >> List Outgoing Meeting Entitlement Notification seev.003 >> Click on the search button (after inputting the relevant data, if needed)

Screenshot

For CA Notifications Received:

For CA Notifications Sent:

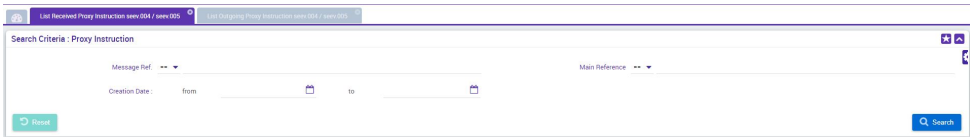
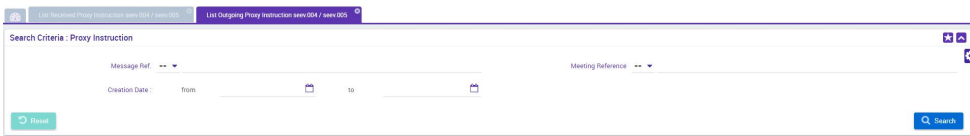
Meeting Entitlement Notification seev.003 - List screen	
Field	Description
Message id	Shows the identifier of the message. Displayed format is: Max. 35 characters.
Meeting Reference	<i>[Only for notifications Received]</i> Shows the reference of the meeting
CA ECMS Event Id.	<i>[Only for notifications Sent]</i> Shows the reference of the CA Event given by the ECMS to the CA event to be used by counterparties. Displayed format is: Max. 35 characters.
Sender Address	<i>[Only for notifications Received]</i> Shows the BIC address of the sender of the message.
Receiver Address	Shows the BIC address of the receiver of the message.
Official CA Event Identification	<i>[Only for notifications Received]</i> Shows the official CA Event Identification.
Issuer Meeting Reference	<i>[Only for notifications Received]</i> Shows the reference of the Issuer meeting
Msg Number	<i>[Only for notifications Received]</i> Shows the number of the message
Notification Type	Shows the information specifying the type of notification from the possible values: – NEWM – New notification

	<ul style="list-style-type: none"> - REPL – notification replacing a previously sent notification - RMDR – notification sent as a reminder of an event taking place
Meeting Type	<p><i>[Only for notifications Received]</i></p> <p>Shows the type of the meeting from possible values:</p> <ul style="list-style-type: none"> - BMET - CMET
Meeting Classification	<p><i>[Only for notifications Received]</i></p> <p>Shows the classification of the meeting</p>
Meeting Date	<p><i>[Only for notifications Received]</i></p> <p>Shows the date of the meeting</p>
Msg Function	<p><i>[Only for notifications Received]</i></p> <p>Shows the function of the message.</p>
Participation Type	<p><i>[Only for notifications Received]</i></p> <p>Shows if the CA Event is:</p> <ul style="list-style-type: none"> - MAND – Mandatory - CHOS – Mandatory with Choice - VOLU - Voluntary
Security Identifier	<p><i>[Only for notifications Received]</i></p> <p>Shows the ISIN of the security concerned by the CA Event.</p>
Security Description	<p><i>[Only for notifications Received]</i></p> <p>Shows the ISIN description of the security concerned by the CA Event.</p>
Notification Status	<p>Shows the status of the notification from possible values:</p> <ul style="list-style-type: none"> - Impacted - Impact Failed - Rejected - Archived - Processed - Processed with Failure
Direction	<p><i>[Only for notifications Received]</i></p> <p>Shows the direction of the message from possible values:</p> <ul style="list-style-type: none"> - In - Out
Asset Account Id.	<p><i>[Only for notifications Sent]</i></p> <p>Shows the Account number where the security concerned by the CA Event is hold.</p>
Total Eligible Balance	<p><i>[Only for notifications Sent]</i></p> <p>Shows the total balance of securities eligible on the Account for the CA Event.</p>
Error Description	<p>Shows the description of the error (if it has occurred)</p>
Update Date	<p>Shows the date and time of the last update of the CA Notification.</p> <p>Displayed format is: DD/MM/YYYY hh:mm:ss:µs.</p>

Creation Date	Shows the date and time of the creation of the CA Notification. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Updater User Id	Shows the identification of the user that made the last update of the CA Notification.
After selecting a record, the following buttons are displayed	
Button	Function
Download	This function enables you to download a copy of the message received / sent.
Reprocess	[Only for notifications Received] This function enables the user to reprocess the selected message (only available for users with appropriate rights).
Reprocess All	[Only for notifications Received] This function enables the user to reprocess all the messages in List screen (only available for users with appropriate rights).

3.4.5.1.1.4 Meeting Instruction seev.004 / Meeting Inst Cancel Request seev.005

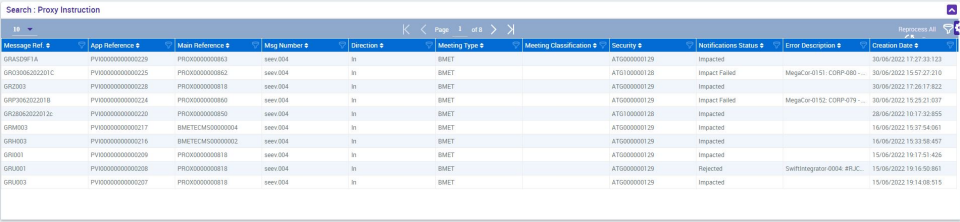
3.4.5.1.1.4.1 Meeting Instruction seev.004 / Meeting Inst Cancel Request seev.005 - Search screen

Context of Usage	This screen contains a number of search fields. By inputting the relevant data, you can search for the Notifications Received / Sent. The search results will be displayed in a list. After selecting an entry, you can proceed further by clicking on the buttons that are shown.
Screen Access	<i>For CA Notifications Received:</i> Corporate Actions >> Messages Monitoring >> Incoming Messages >> Meeting Notifications >> List Received Proxy Instruction seev.004/seev.005 <i>For CA Notifications Sent:</i> Corporate Actions >> Messages Monitoring >> Outgoing Messages >> Meeting Notifications >> List Outgoing Proxy Instruction seev.004/seev.005
Screenshot	<i>For CA Notifications Received:</i>  <i>For CA Notifications Sent:</i> 

Meeting Instruction seev.004 / Meeting Inst Cancel Request seev.005 - Search screen

Field	Description
General Search	
Message id	Enter the identifier of the message. Required format is: Max. 35 characters.
Main Reference	<i>[Only for notifications Received]</i> Enter the Main Reference of the CA Event. Required format is: Max. 35 characters.
Meeting Reference	<i>[Only for notifications Sent]</i> Enter the reference of the Meeting. Required format is: Max. 35 characters.
Creation Date	Enter the creation Date or use the calendar icon. Required format is: DD/MM/YYYY hh:mm:ss:µs
Button	Function
Reset	This function enables you to clear the form.
Search	This function enables you to start a search according to the filled in criteria. The results are displayed in a list on the List Screen. Next screen: <ul style="list-style-type: none"> – Meeting Instruction seev.004 / Meeting Inst Cancel Request seev.005 – List Screen.

3.4.5.1.1.4.2 Meeting Instruction seev.004 / Meeting Inst Cancel Request seev.005 – List Screen

Context of Usage	<p>This screen contains the list of Notifications.</p> <p>The notifications listed correspond to the search criteria capture in the search screen.</p> <p>The list displayed can be sorted and filter using by the field displayed.</p> <p>The list can be exported.</p>
Screen Access	<p><i>For CA Notifications Received:</i></p> <p>Corporate Actions >> Messages Monitoring >> Incoming Messages >> Meeting Notifications >> List Received Proxy Instruction seev.004/seev.005>> Click on the search button (after inputting the relevant data, if needed)</p> <p><i>For CA Notifications Sent:</i></p> <p>Corporate Actions >> Messages Monitoring >> Outgoing Messages >> Meeting Notifications >> List Outgoing Proxy Instruction seev.004/seev.005 >> Click on the search button (after inputting the relevant data, if needed)</p>
Screenshot	<p><i>For CA Notifications Received:</i></p>  <p><i>For CA Notifications Sent:</i></p>

Message Ref	App Reference	Meeting Reference	Msg Number	Direction	Meeting Type	Meeting Classification	Security	Notifications Status	Error Description	Creation Date
92288410	PV0000000000021	BMETECM50000003	sev.004	Out	BMET	ESU	ATG00000129	Processed		30/06/2022 17:27:40.983
92288410	PV00000000000230	BMETECM50000003	sev.004	Out	BMET	ESU	ATG00000129	Processed		30/06/2022 17:27:36.911
79548410	PV00000000000221	GR28K2022012B	sev.004	Out	BMET	ESU	ATG00000128	Processed		28/06/2022 10:17:39.927
4988410	PV00000000000219	BMETECM50000002	565	Out	BMET	ESU	ATG00000129	Processed		20/06/2022 18:00:09.894
92288410	PV00000000000218	ELP1062022012A	sev.004	Out	BMET	ESU	ATG00000128	Processed		15/06/2022 14:44:37.137
61058410	PV00000000000211	BMETECM50000002	sev.004	Out	BMET	ESU	ATG00000129	Processed		15/06/2022 19:17:54.164
61048410	PV00000000000210	BMETECM50000002	sev.004	Out	BMET	ESU	ATG00000129	Processed		15/06/2022 19:17:51.839
60748410	PV00000000000204	BMETECM50000002	sev.004	Out	BMET	ESU	ATG00000129	Processed		15/06/2022 18:50:55.394
60738410	PV00000000000201	BMETECM50000002	sev.004	Out	BMET	ESU	ATG00000129	Processed		15/06/2022 18:47:11.892
60728410	PV00000000000200	BMETECM50000002	sev.004	Out	BMET	ESU	ATG00000129	Processed		15/06/2022 18:47:09.182

Meeting Instruction sev.004 / Meeting Inst Cancel Request sev.005 - List screen

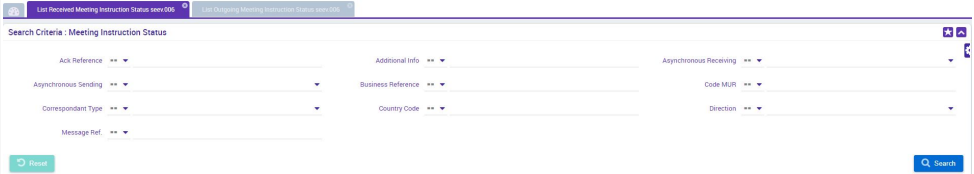
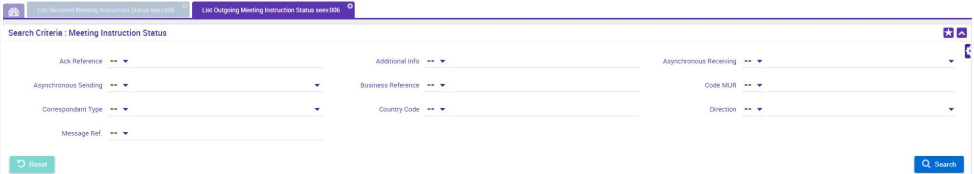
Field	Description
Message id	Shows the identifier of the message. Displayed format is: Max. 35 characters.
App Reference	Shows the App Reference. Displayed format is: Max. 35 characters.
Main Reference	<i>[Only for notifications Received]</i> Shows the Main Reference of the CA Event. Displayed format is: Max. 35 characters.
Meeting Reference	<i>[Only for notifications Sent]</i> Shows the reference of the Meeting. Displayed format is: Max. 35 characters.
Msg Number	Shows the number of the message
Direction	Shows the direction of the message from possible values: – Yes – No
Meeting Type	Shows the type of the meeting from possible values: – BMET – CMET
Meeting Classification	Shows the classification of the meeting
Security	Shows the ISIN of the security concerned by the CA Event.
Notification Status	Shows the status of the notification from possible values: – Impacted – Impact Failed – Rejected – Archived – Processed – Processed with Failure
Error Description	Shows the description of the error (if it has occurred)
Creation Date	Shows the date and time of the creation of the CA Notification. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.

After selecting a record, the following buttons are displayed

Button	Function
Download	This function enables you to download a copy of the message received / sent.
Reprocess	[Only for notifications Received] This function enables the user to reprocess the selected message (only available for users with appropriate rights).
Reprocess All	[Only for notifications Received] This function enables the user to reprocess all the messages in List screen (only available for users with appropriate rights).

3.4.5.1.1.5 Meeting Instruction Status seev.006

3.4.5.1.1.5.1 Meeting Instruction Status seev.006 - Search screen

Context of Usage	<p>This screen contains a number of search fields. By inputting the relevant data, you can search for the Notifications Received / Sent.</p> <p>The search results will be displayed in a list. After selecting an entry, you can proceed further by clicking on the buttons that are shown.</p>
Screen Access	<p><i>For CA Notifications Received:</i> Corporate Actions >> Messages Monitoring >> Incoming Messages >> Meeting Notifications >> List Received Meeting Instruction Status seev.006</p> <p><i>For CA Notifications Sent:</i> Corporate Actions >> Messages Monitoring >> Outgoing Messages >> Meeting Notifications >> List Outgoing Meeting Instruction Status seev.006</p>
Screenshot	<p><i>For CA Notifications Received:</i></p>  <p><i>For CA Notifications Sent:</i></p> 

Meeting Instruction Status seev.006 - Search screen	
Field	Description
General Search	

Message id	Enter the identifier of the message. Required format is: Max. 35 characters.
Ack Reference	Enter the Ack Reference.
Additional Info	Enter the additional information.
Asynchronous Receiving	Select from possible values: – Yes – No
Asynchronous Sending	Select from possible values: – Yes – No
Business Reference	Enter the business reference.
Code MUR	Enter the Code MUR
Correspondent Type	Enter the type of the correspondent from possible values: – Client – Market – Other
Country Code	Enter the country of location. Required/Displayed format is: Max. 2 characters (SWIFT-x).
Direction	Enter the direction of the message from possible values: – In – Out
Button	Function
Reset	This function enables you to clear the form.
Search	This function enables you to start a search according to the filled in criteria. The results are displayed in a list on the List Screen. Next screen: – Meeting Instruction Status seev.006 – List Screen.

3.4.5.1.1.5.2 Meeting Instruction Status seev.006 – List Screen

Context of Usage	This screen contains the list of Notifications. The seev.002 listed correspond to the search criteria capture in the search screen. The list displayed can be sorted and filter using by the field displayed. The list can be exported.
Screen Access	<i>For CA Notifications Received:</i> Corporate Actions >> Messages Monitoring >> Incoming Messages >> Meeting Notifications >> List Received Meeting Instruction Status seev.006 >> Click on the search button (after inputting the relevant data, if needed) <i>For CA Notifications Sent:</i> Corporate Actions >> Messages Monitoring >> Outgoing Messages >> Meeting Notifications >> List Outgoing Meeting Instruction Status seev.006 >> Click on the search button (after inputting the relevant data, if needed)

Screenshot *For CA Notifications Received:*

For CA Notifications Sent:

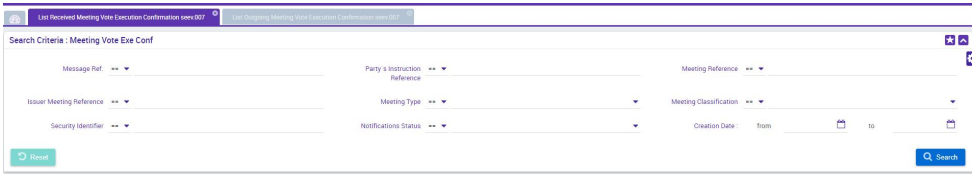
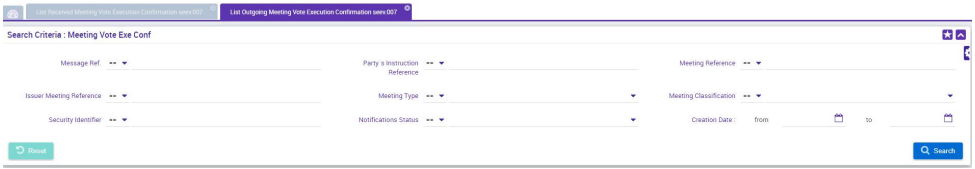
Meeting Instruction Status seev.006 - List screen

Field	Description
Message id	Shows the identifier of the message. Displayed format is: Max. 35 characters.
Meeting Reference	Shows the reference of the meeting
Security Identifier	Shows the ISIN of the security concerned by the CA Event.
Sender Address	Shows the BIC address of the sender of the message.
Receiver Address	Shows the BIC address of the receiver of the message.
Msg Function	Shows the function of the message.
Notification Status	Shows the status of the notification from possible values: <ul style="list-style-type: none"> - Impacted - Impact Failed - Rejected - Archived - Processed - Processed with Failure
Error Description	Shows the description of the error (if it has occurred)
Instruction Id	Shows the identifier of the instruction.
Instruction Cancellation Id	Shows the identifier of the cancellation instruction.
Msg Number	Shows the number of the message

App Reference	Shows the App Reference.
Creation Date	Shows the date and time of the creation of the CA Notification. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Creation User Id	Shows the identification of the user that made the creation of the CA Notification.
After selecting a record, the following buttons are displayed	
Button	Function
Download	This function enables you to download a copy of the message received / sent.
Reprocess	[Only for notifications Received] This function enables the user to reprocess the selected message (only available for users with appropriate rights).
Reprocess All	[Only for notifications Received] This function enables the user to reprocess all the messages in List screen (only available for users with appropriate rights).

3.4.5.1.1.6 Meeting Vote Execution Confirmation sev.007

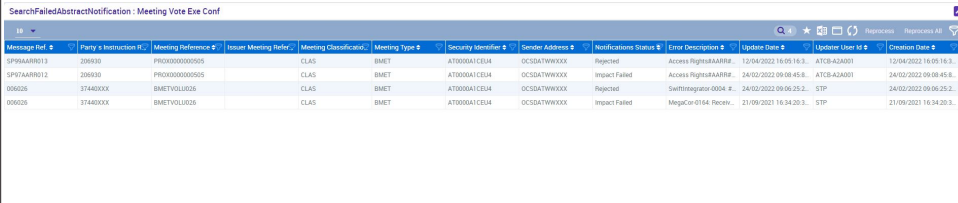
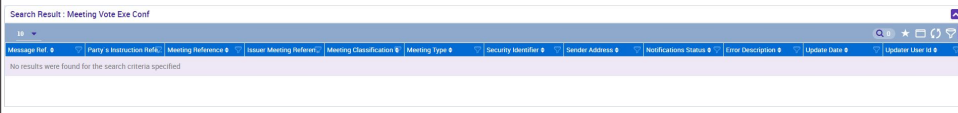
3.4.5.1.1.6.1 Meeting Vote Execution Confirmation sev.007 - Search screen

Context of Usage	<p>This screen contains a number of search fields. By inputting the relevant data, you can search for the Notifications Received / Sent.</p> <p>The search results will be displayed in a list. After selecting an entry, you can proceed further by clicking on the buttons that are shown.</p>
Screen Access	<p><i>For CA Notifications Received:</i></p> <p>Corporate Actions >> Messages Monitoring >> Incoming Messages >> Meeting Notifications >> List Received Meeting Vote Execution Confirmation sev.007</p> <p><i>For CA Notifications Sent:</i></p> <p>Corporate Actions >> Messages Monitoring >> Outgoing Messages >> Meeting Notifications >> List Outgoing Vote Execution Confirmation sev.007</p>
Screenshot	<p><i>For CA Notifications Received:</i></p>  <p><i>For CA Notifications Sent:</i></p> 

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Meeting Vote Execution Confirmation seev.007 - Search screen	
Field	Description
General Search	
Message id	Enter the identifier of the message. Required format is: Max. 35 characters.
Party's In-struction Reference	Reference given by the counterparty of the instruction.
Meeting Reference	Enter the reference of the meeting
Issuer Meeting Reference	Enter the reference of the Issuer meeting
Meeting Type	Enter / select the type of the meeting from possible values: <ul style="list-style-type: none"> - BMET - CMET
Meeting Classification	Enter the classification of the meeting from possible values: <ul style="list-style-type: none"> - AMET - OMET - CLAS - ISSU - VRHI - CORT
Security Identifier	Enter the ISIN of the security concerned by the CA Event.
Notification Status	Enter / Select the status of the notification from possible values: <ul style="list-style-type: none"> - Impacted - Impact Failed - Rejected - Archived - Processed - Processed with Failure
Creation Date	Enter the date and time of the creation of the notification. Required format is: DD/MM/YYYY hh:mm:ss:µs.
Button	Function
Reset	This function enables you to clear the form.
Search	This function enables you to start a search according to the filled in criteria. The results are displayed in a list on the List Screen. Next screen: <ul style="list-style-type: none"> - <i>Meeting Vote Execution Confirmation seev.007 – List Screen.</i>

3.4.5.1.1.6.2 Meeting Vote Execution Confirmation seev.007 – List Screen

Context of Usage	<p>This screen contains the list of Notifications.</p> <p>The seev.007 listed correspond to the search criteria capture in the search screen.</p> <p>The list displayed can be sorted and filter using by the field displayed.</p> <p>The list can be exported.</p>
Screen Access	<p><i>For CA Notifications Received:</i></p> <p>Corporate Actions >> Messages Monitoring >> Incoming Messages >> Meeting Notifications >> List Received Vote Execution Confirmation seev.007 >> Click on the search button (after inputting the relevant data, if needed)</p> <p><i>For CA Notifications Sent:</i></p> <p>Corporate Actions >> Messages Monitoring >> Outgoing Messages >> Meeting Notifications >> List Outgoing Vote Execution Confirmation seev.007 >> Click on the search button (after inputting the relevant data, if needed)</p>
Screenshot	<p><i>For CA Notifications Received:</i></p>  <p><i>For CA Notifications Sent:</i></p> 

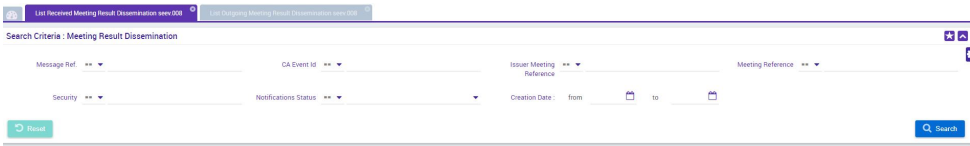
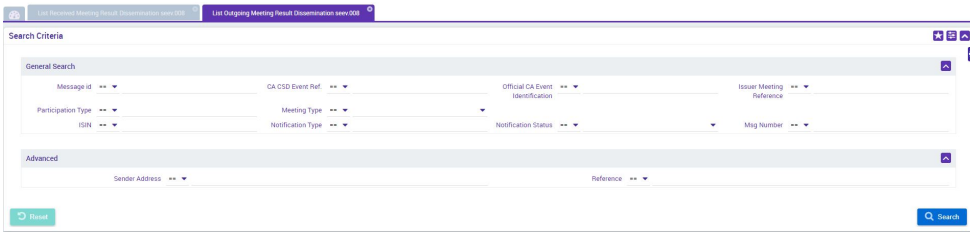
Meeting Vote Execution Confirmation seev.007 - List screen	
Field	Description
Message id	Shows the identifier of the message. Displayed format is: Max. 35 characters.
Party's Instruction Reference	Shows the Reference given by the counterparty of the instruction.
Meeting Reference	Shows the reference of the meeting
Issuer Meeting Reference	Shows the reference of the Issuer meeting
Meeting Type	Shows the type of the meeting from possible values: <ul style="list-style-type: none"> – BMET – CMET
Meeting Classification	Shows the classification of the meeting from possible values: <ul style="list-style-type: none"> – AMET – OMET – CLAS

	<ul style="list-style-type: none"> - ISSU - VRHI - CORT
Meeting Type	Shows the type of the meeting from possible values: <ul style="list-style-type: none"> - BMET - CMET
Security Identifier	Shows the ISIN of the security concerned by the CA Event.
Sender Address	Shows the BIC address of the receiver of the message.
Notification Status	Shows the status of the notification from possible values: <ul style="list-style-type: none"> - Impacted - Impact Failed - Rejected - Archived - Processed - Processed with Failure
Error Description	Shows the description of the error (if it has occurred)
Update Date	Shows the date and time of the last update of the CA Notification. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Creation Date	Shows the date and time of the creation of the CA Notification. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Updater User Id	Shows the identification of the user that made the last update of the CA Notification.
After selecting a record, the following buttons are displayed	
Button	Function
Download	This function enables you to download a copy of the message received / sent.
Reprocess	[Only for notifications Received] This function enables the user to reprocess the selected message (only available for users with appropriate rights).
Reprocess All	[Only for notifications Received] This function enables the user to reprocess all the messages in List screen (only available for users with appropriate rights).

3.4.5.1.1.7 Meeting Result Dissemination seev.008

3.4.5.1.1.7.1 Meeting Result Dissemination seev.008 - Search screen

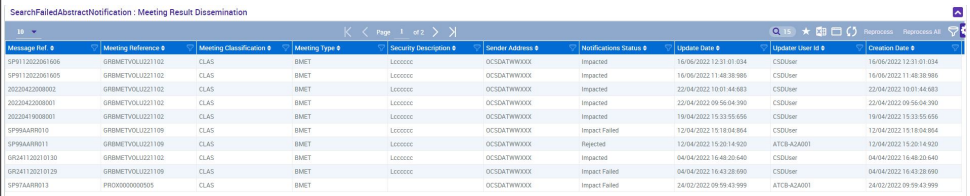
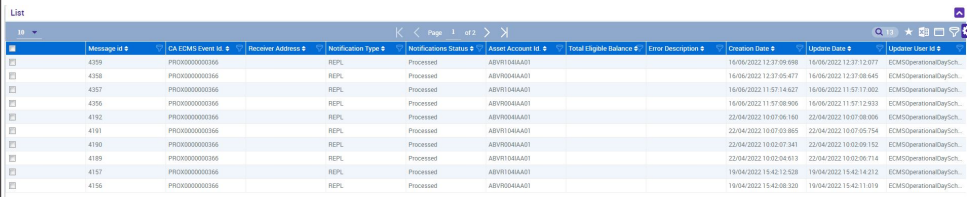
Context of Usage	This screen contains a number of search fields. By inputting the relevant data, you can search for the Notifications Received / Sent.
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	The search results will be displayed in a list. After selecting an entry, you can proceed further by clicking on the buttons that are shown.
Screen Access	<p><i>For CA Notifications Received:</i></p> <p>Corporate Actions >> Messages Monitoring >> Incoming Messages >> Meeting Notifications >> List Received Meeting Result Dissemination seev.008</p> <p><i>For CA Notifications Sent:</i></p> <p>Corporate Actions >> Messages Monitoring >> Outgoing Messages >> Meeting Notifications >> List Outgoing Meeting Result Dissemination seev.008</p>
Screenshot	<p><i>For CA Notifications Received:</i></p>  <p><i>For CA Notifications Sent:</i></p> 

Meeting Result Dissemination seev.008 - Search screen	
Field	Description
General Search	
Message id	Enter the identifier of the message. Required format is: Max. 35 characters.
CA Event Id	<i>[Only for notifications Received]</i> Enter the Reference of the CA Event. Required format is: Max. 35 characters.
CA CSD Event Ref.	<i>[Only for notifications Sent]</i> Enter the Reference of the CA Event given by the CSD. Required format is: Max. 35 characters.
Official CA Event Identification	<i>[Only for notifications Sent]</i> Enter the official CA Event Identification.
Issuer Meeting Reference	Enter the reference of the Issuer meeting
Meeting Reference	<i>[Only for notifications Received]</i> Enter the reference of the meeting.
Security / ISIN	Enter the ISIN of the security concerned by the CA Event.

Notification Status	Enter / Select the status of the notification from possible values: <ul style="list-style-type: none"> - Impacted - Impact Failed - Rejected - Archived - Processed - Processed with Failure
Creation Date	<i>[Only for notifications Received]</i> Enter the date and time of the creation of the notification. Required format is: DD/MM/YYYY hh:mm:ss:µs.
Participation Type	<i>[Only for notifications Sent]</i> Enter if the CA Event is: <ul style="list-style-type: none"> - MAND – Mandatory - CHOS – Mandatory with Choice - VOLU - Voluntary
Meeting Type	<i>[Only for notifications Sent]</i> Enter / select the type of the meeting from possible values: <ul style="list-style-type: none"> - BMET - CMET
Notification Type	<i>[Only for notifications Sent]</i> Enter the information specifying the type of notification from the possible values: <ul style="list-style-type: none"> - NEWM – New notification - REPL – notification replacing a previously sent notification - RMDR – notification sent as a reminder of an event taking place
Msg Number	<i>[Only for notifications Sent]</i> Enter the number of the message
Advanced	
Sender Address	<i>[Only for notifications Sent]</i> Enter the BIC address of the sender of the message.
Reference	<i>[Only for notifications Sent]</i> Enter the reference.
Button	Function
Reset	This function enables you to clear the form.
Search	This function enables you to start a search according to the filled in criteria. The results are displayed in a list on the List Screen. Next screen: <ul style="list-style-type: none"> - Meeting Result Dissemination sev.008 – List Screen.

3.4.5.1.1.7.2 Meeting Result Dissemination seev.008 – List Screen

Context of Usage	<p>This screen contains the list of Notifications.</p> <p>The seev.002 listed correspond to the search criteria capture in the search screen.</p> <p>The list displayed can be sorted and filter using by the field displayed.</p> <p>The list can be exported.</p>
Screen Access	<p><i>For CA Notifications Received:</i></p> <p>Corporate Actions >> Messages Monitoring >> Incoming Messages >> Meeting Notifications >> List Received Meeting Result Dissemination seev.008 >> Click on the search button (after inputting the relevant data, if needed)</p> <p><i>For CA Notifications Sent:</i></p> <p>Corporate Actions >> Messages Monitoring >> Outgoing Messages >> Meeting Notifications >> List Outgoing Meeting Result Dissemination seev.008 >> Click on the search button (after inputting the relevant data, if needed)</p>
Screenshot	<p><i>For CA Notifications Received:</i></p>  <p><i>For CA Notifications Sent:</i></p> 

Meeting Result Dissemination seev.008 - List screen	
Field	Description
Message id	Shows the identifier of the message. Displayed format is: Max. 35 characters.
Meeting Reference	<i>[Only for notifications Received]</i> Shows the reference of the meeting
CA ECMS Event Id.	<i>[Only for notifications Sent]</i> Shows the reference of the CA Event given by the ECMS to the CA event to be used by counterparties. Displayed format is: Max. 35 characters.
Sender Address	<i>[Only for notifications Received]</i> Shows the BIC address of the sender of the message.

Receiver Address	<i>[Only for notifications Sent]</i> Shows the BIC address of the receiver of the message.
Meeting Classification	<i>[Only for notifications Received]</i> Shows the classification of the meeting
Meeting Type	<i>[Only for notifications Received]</i> Shows the type of the meeting from possible values: – BMET – CMET
Security Description	<i>[Only for notifications Received]</i> Shows the ISIN description of the security concerned by the CA Event.
Notification Status	Shows the status of the notification from possible values: – Impacted – Impact Failed – Rejected – Archived – Processed – Processed with Failure
Notification Type	Shows the information specifying the type of notification from the possible values: – NEWM – New notification – REPL – notification replacing a previously sent notification – RMDR – notification sent as a reminder of an event taking place
Asset Account Id.	<i>[Only for notifications Sent]</i> Shows the Account number where the security concerned by the CA Event is hold.
Total Eligible Balance	<i>[Only for notifications Sent]</i> Shows the total balance of securities eligible on the Account for the CA Event.
Error Description	Shows the description of the error (if it has occurred)
Update Date	Shows the date and time of the last update of the CA Notification. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Creation Date	Shows the date and time of the creation of the CA Notification. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Updater User Id	Shows the identification of the user that made the last update of the CA Notification.
After selecting a record, the following buttons are displayed	
Button	Function
Download	This function enables you to download a copy of the message received / sent.
Reprocess	<i>[Only for notifications Received]</i> This function enables the user to reprocess the selected message (only available for users with appropriate rights).
Reprocess All	<i>[Only for notifications Received]</i>

This function enables the user to reprocess all the messages in List screen (only available for users with appropriate rights).

3.4.5.1.2 CA Notifications (seev.031)

The ECMS receives from the CSDs Corporate Action Notifications (CANO) to inform about upcoming CA Events.

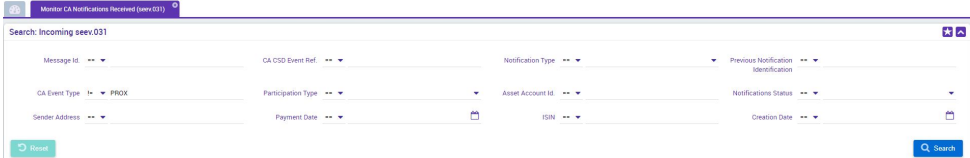
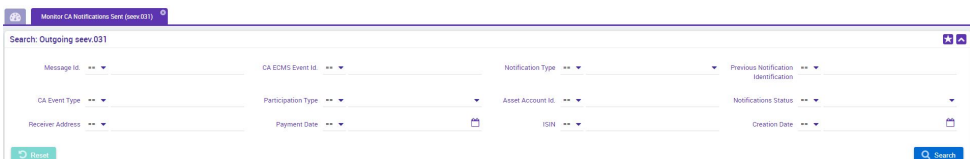
Depending on the function of the message, the ECMS processes the notification in a different way.

NEWM: the ECMS processes the creation of the CA Event and afterwards forwards the message to the entitled Counterparties.

REPL or RMDR: when applicable, the ECMS processes the update of the CA Event and afterwards forwards the message to the entitled Counterparties.

Once processed, the CA Announcements are sent to the Counterparties in order to inform them about the CA Events and its details.

3.4.5.1.2.1 CA Notifications (seev.031) - Search screen

Context of Usage	<p>This screen contains a number of search fields. By inputting the relevant data, you can search for the CA Notifications Received / Sent.</p> <p>The search results will be displayed in a list. After selecting an entry, you can proceed further by clicking on the buttons that are shown.</p>
Business Case related	CA Notifications
Screen Access	<p><i>For CA Notifications Received:</i></p> <p>Corporate Actions >> Messages Monitoring >> Incoming Messages >> Monitor CA Notifications Received (seev.031)</p> <p><i>For CA Notifications Sent:</i></p> <p>Corporate Actions >> Messages Monitoring >> Outgoing Messages >> Monitor CA Notifications Sent (seev.031)</p>
Screenshot	<p><i>For CA Notifications Received:</i></p>  <p><i>For CA Notifications Sent:</i></p> 

CA Notifications (seev.031) - Search screen	
Field	Description

Message Identifier	Enter the identifier of the message. Required format is: Max. 35 characters.
CA CSD Event Ref.	<i>[Only for notifications Received]</i> Enter the Reference of the CA Event given by the CSD. Required format is: Max. 35 characters.
CA ECMS Event Id.	<i>[Only for notifications Sent]</i> Enter the reference of the CA Event given by the ECMS to the CA event to be used by counterparties. Required format is: Max. 35 characters.
Sender Address	<i>[Only for notifications Received]</i> Enter the BIC address of the sender of the message.
Receiver Address	<i>[Only for notifications Sent]</i> Enter the BIC address of the receiver of the message.
Notification Type	Enter the information specifying the type of notification from the possible values: <ul style="list-style-type: none"> - NEWM – New notification - REPL – notification replacing a previously sent notification - RMDR – notification sent as a reminder of an event taking place
Previous Notification Identification	Enter the identification of the previous notification in case the Notification Type is REPL or RMDR.
CA Event Type	Enter the type of CA Event. Required format is: Max. 4 characters.
Participation Type	Enter if the CA Event is: <ul style="list-style-type: none"> - MAND – Mandatory - CHOS – Mandatory with Choice - VOLU - Voluntary
ISIN	Enter the ISIN of the security concerned by the CA Event.
Asset Account Id.	Enter the Account number where the security concerned by the CA Event is hold.
Payment Date	Enter the date at which the movement is due to take place (cash and/or securities) or use the calendar icon. Required format is: DD/MM/YYYY
Notification Status	Enter / Select the status of the notification from possible values: <ul style="list-style-type: none"> - Impacted - Impact Failed - Rejected - Archived - Processed - Processed with Failure
Creation Date	Enter the reference date of the creation of the CA Notification or use the calendar icon.

	Required format is: DD/MM/YYYY hh:mm:ss:µs
Button	Function
Reset	This function enables you to clear the form.
Search	This function enables you to start a search according to the filled in criteria. The results are displayed in a list on the List Screen. Next screen: – CA Notifications (seev.031) – List Screen.

3.4.5.1.2.2 CA Notifications (seev.031) – List Screen

Context of Usage	This screen contains the list of CA Notifications. The seev.031 listed correspond to the search criteria capture in the search screen. The list displayed can be sorted and filter using by the field displayed. The list can be exported.
Business Case related	CA Notifications
Screen Access	<i>For CA Notifications Received:</i> Corporate Actions >> Messages Monitoring >> Incoming Messages >> Monitor CA Notifications Received (seev.031) >> Click on the search button (after inputting the relevant data, if needed) <i>For CA Notifications Sent:</i> Corporate Actions >> Messages Monitoring >> Outgoing Messages >> Monitor CA Notifications Sent (seev.031) >> Click on the search button (after inputting the relevant data, if needed)
Screenshot	<p><i>For CA Notifications Received:</i></p> <p><i>For CA Notifications Sent:</i></p>

CA Notifications (seev.031) - List screen	
Field	Description

Message Identifier	Shows the identifier of the message. Displayed format is: Max. 35 characters.
CA CSD Event Ref.	<i>[Only for notifications Received]</i> Shows the Reference of the CA Event given by the CSD. Displayed format is: Max. 35 characters.
CA ECMS Event Id.	<i>[Only for notifications Sent]</i> Shows the reference of the CA Event given by the ECMS to the CA event to be used by counterparties. Displayed format is: Max. 35 characters.
Sender Address	<i>[Only for notifications Received]</i> Shows the BIC address of the sender of the message.
Receiver Address	<i>[Only for notifications Sent]</i> Shows the BIC address of the receiver of the message.
Notification Type	Shows the information specifying the type of notification from the possible values: <ul style="list-style-type: none"> - NEWM – New notification - REPL – notification replacing a previously sent notification - RMDR – notification sent as a reminder of an event taking place
CA Event Type	Shows the type of CA Event. Displayed format is: Max. 4 characters.
Participation Type	Enter if the CA Event is: <ul style="list-style-type: none"> - MAND – Mandatory - CHOS – Mandatory with Choice - VOLU - Voluntary
ISIN	Shows the ISIN of the security concerned by the CA Event.
Asset Account Id.	Shows the Account number where the security concerned by the CA Event is hold.
Total Eligible Balance	Shows the total balance of securities eligible on the Account for the CA Event.
Notification Status	Shows the status of the notification from possible values: <ul style="list-style-type: none"> - Impacted - Impact Failed - Rejected - Archived - Processed - Processed with Failure
Error Description	Shows the description of the error (if it has occurred)
Update Date	Shows the date and time of the last update of the CA Notification. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Updater User Id	Shows the identification of the user that made the last update of the CA Notification.

After selecting a record, the following buttons are displayed	
Button	Function
Download	This function enables you to download a copy of the message received / sent.

3.4.5.1.3 CA Instruction (seev.033)

The ECMS may receive CA Instructions (CAIN) from the Counterparties to inform of their CA Choice about a particular CA Option available for a CA Event.

Once processed, the ECMS sends a Corporate Action Instruction (CAIN) message to the CSD in order to inform of its counterparty's CA Choice about a particular CA Option available for an elective CA Event.

3.4.5.1.3.1 CA Instruction (seev.033) - Search screen

Context of Usage	<p>This screen contains a number of search fields. By inputting the relevant data, you can search for the CA Instructions Received / Sent.</p> <p>The search results will be displayed in a list. After selecting an entry, you can proceed further by clicking on the buttons that are shown.</p>
Screen Access	<p><i>For CA Instructions Received:</i></p> <p>Corporate Actions >> Messages Monitoring >> Incoming Messages >> Monitor CA Instructions Received (seev.033)</p> <p><i>For CA Instructions Sent:</i></p> <p>Corporate Actions >> Messages Monitoring >> Outgoing Messages >> Monitor CA Instructions Sent (seev.033)</p>
Screenshot	<p><i>For CA Instructions Received:</i></p>  <p><i>For CA Instructions Sent:</i></p> 

CA Instruction (seev.033) - Search screen	
Field	Description
Message Identifier	Enter the identifier of the message. Required format is: Max. 35 characters.
CA CSD Event Ref.	<i>[Only for notifications Sent]</i> Enter the Reference of the CA Event given by the CSD. Required format is: Max. 35 characters.
CA ECMS Event Id.	<i>[Only for notifications Received]</i>

	Enter the reference of the CA Event given by the ECMS to the CA event to be used by counterparties. Required format is: Max. 35 characters.
Sender Address	<i>[Only for notifications Received]</i> Enter the BIC address of the sender of the message.
Receiver Address	<i>[Only for notifications Sent]</i> Enter the BIC address of the receiver of the message.
Asset Account Id.	Enter the Account number where the security concerned by the CA Event is hold.
ISIN	Enter the ISIN of the security concerned by the CA Event.
CA Event Type	Enter the type of CA Event. Required format is: Max. 4 characters.
Option Type	Enter the CA Option Type chosen by the Counterparty.
Notification Status	Enter / Select the status of the notification from possible values: <ul style="list-style-type: none"> - Impacted - Impact Failed - Rejected - Archived - Processed - Processed with Failure
Creation Date	Enter the reference date of the creation of the CA Notification or use the calendar icon. Required format is: DD/MM/YYYY hh:mm:ss:µs
Button	Function
Reset	This function enables you to clear the form.
Search	This function enables you to start a search according to the filled in criteria. The results are displayed in a list on the List Screen. Next screen: <ul style="list-style-type: none"> - CA Instruction (seev.033) – List Screen.

3.4.5.1.3.2 CA Instruction (seev.033) – List Screen

Context of Usage	This screen contains the list of CA Instructions. The seev.033 listed correspond to the search criteria capture in the search screen. The list displayed can be sorted and filter using by the field displayed. The list can be exported.
Screen Access	<i>For CA Instructions Received:</i> Corporate Actions >> Messages Monitoring >> Incoming Messages >> Monitor CA Instructions Received (seev.033) >> Click on the search button (after inputting the relevant data, if needed) <i>For CA Instructions Sent:</i>

Corporate Actions >> Messages Monitoring >> Outgoing Messages >> Monitor CA Instructions Sent (seev.033) >> Click on the search button (after inputting the relevant data, if needed)

Screenshot

For CA Instructions Received:

Message Id	CA CSD Event Ref	CA ECMS Event Id	Msg Function	Sender Address	CA Event Type	Option Type	ISIN	Notifications Status	Error Description	Update Date	Update User
GR120520220214	BES0000000115	NEWM	ABVRATW004	BIDS	CASH	AT000000000		Impacted		20/05/2022 09:51:40	ABVR001-ADACA
GR120520220216	INTR0000000631	NEWM	ABVRATW004	INTR	CASH	AT0000000128		Impacted		21/04/2022 09:23:33	ABVR001-ADACA
CR058333	TEND0000000590	NEWM	ABVRATW001	TEND	CASE	AT0000A0CD0H1		Impacted		06/04/2022 17:59:58	ABVR001-ADACA
CR058332	TEND0000000589	NEWM	ABVRATW001	TEND	CASE	AT0000A0CD0H1		Impacted		06/04/2022 17:42:55	ABVR001-ADACA
CR058331	TEND0000000588	NEWM	ABVRATW001	TEND	CASE	AT0000A0CD0H1		Impacted		06/04/2022 17:41:53	ABVR001-ADACA
CR091176m8f	TEND0000000575	NEWM	ABVRATW001	TEND	CASH	AT0000A0CD0H1		Impacted		25/02/2022 17:36:50	ABVR001-ADACA
CR091176m9	BES0000000560	NEWM	ABVRATW001	BIDS	CASH	AT0000A0CD0H1		Impacted		17/03/2022 09:50:46	ABVR001-ADACA
CR091176m9	BES0000000554	NEWM	ABVRATW001	BIDS	CASH	AT0000A0CD0H1		Impacted		16/03/2022 17:57:00	ABVR001-ADACA
CR091176m2	BES0000000545	NEWM	ABVRATW008	BIDS	NOAC	AT0000A0CD0H1		Impacted		09/03/2022 17:41:20	STP

For CA Instructions Sent:

Message Id	CA CSD Event Ref	CA ECMS Event Id	Msg Function	Receiver Address	CA Event Type	Option Type	ISIN	Notifications Status	Error Description	Update Date	Update User
125	BES0000000545	NEWM	OCSDATWXXXX	BIDS	CASH	AT000000000		Processed		20/05/2022 09:52:11:245	ABVR001-ADACA
129	TEND0000000575	NEWM	OCSDATWXXXX	TEND	CASH	AT0000A0CD0H1		Processed		25/02/2022 17:37:09:428	ABVR001-ADACA
127	BES0000000555	NEWM	OCSDATWXXXX	BIDS	CASH	AT0000A0CD0H1		Processed		17/03/2022 09:51:04:534	ABVR001-ADACA
125	BES0000000545	NEWM	OCSDATWXXXX	BIDS	NOAC	AT0000A0CD0H1		Processed		09/03/2022 17:41:21:766	STP
122	BES0000000545	NEWM	OCSDATWXXXX	BIDS	CASH	AT0000A0CD0H1		Processed		09/03/2022 17:40:39:790	ABVR001-ADACA
120	BES0000000544	NEWM	OCSDATWXXXX	BIDS	NOAC	AT0000A0CD0H1		Processed		09/03/2022 12:34:19:849	STP
119	BES0000000544	NEWM	OCSDATWXXXX	BIDS	CASH	AT0000A0CD0H1		Processed		09/03/2022 12:19:24:467	ABVR001-ADACA
117	TEND0000000498	NEWM	OCSDATWXXXX	TEND	CASE	AT0000A1EEY2		Processed		17/02/2022 11:23:38:069	STP
116	TEND0000000498	NEWM	OCSDATWXXXX	TEND	CASH	AT0000A1EEY2		Processed		17/02/2022 11:23:33:996	STP
115	TEND0000000498	NEWM	OCSDATWXXXX	TEND	CASH	AT0000A1EEY2		Processed		17/02/2022 11:23:27:461	ABVR001-ADACA

CA Instruction (seev.033) - List screen

Field	Description
Message Identifier	Shows the identifier of the message. Displayed format is: Max. 35 characters.
CA CSD Event Ref.	<i>[Only for notifications Sent]</i> Shows the Reference of the CA Event given by the CSD. Displayed format is: Max. 35 characters.
CA ECMS Event Id.	<i>[Only for notifications Received]</i> Shows the reference of the CA Event given by the ECMS to the CA event to be used by counterparties. Displayed format is: Max. 35 characters.
Sender Address	<i>[Only for notifications Received]</i> Shows the BIC address of the sender of the message.
Receiver Address	<i>[Only for notifications Sent]</i> Shows the BIC address of the receiver of the message.
Asset Account Id.	Shows the Account number where the security concerned by the CA Event is hold.
ISIN	Shows the ISIN of the security concerned by the CA Event.
CA Event Type	Shows the type of CA Event. Displayed format is: Max. 4 characters.
Option Type	Shows the CA Option Type chosen by the Counterparty.

Quantity Instructed in FAMT	Shows the quantity of securities to which the instruction applies.
Notification Status	Shows the status of the notification from possible values: <ul style="list-style-type: none"> - Impacted - Impact Failed - Rejected - Archived - Processed - Processed with Failure
Error Description	Shows the description of the error (if it has occurred)
Update Date	Shows the date and time of the last update of the CA Notification. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Updater User Id	Shows the identification of the user that made the last update of the CA Notification.
After selecting a record, the following buttons are displayed	
Button	Function
Download	This function enables the user to download a copy of the message.
Reprocess	[Only for notifications Received] This function enables the user to reprocess the selected message (only available for users with appropriate rights).
Reprocess All	[Only for notifications Received] This function enables the user to reprocess all the messages in List screen (only available for users with appropriate rights).

3.4.5.1.4 CA Instruction Status Advice (seev.034)

The ECMS sends a CA Instruction Status Advice to the Counterparty to inform about the status of a CA Instruction.

The CA Instruction Status Advice message could be received from CSD in response to the message (seev.033) previously sent to the CSD, and then forwarded to the Counterparty in response to their seev.033.

3.4.5.1.4.1 CA Instruction Status Advice (seev.034) - Search screen

Context of Usage	This screen contains a number of search fields. By inputting the relevant data, you can search for the CA Instructions Status Advice Received / Sent. The search results will be displayed in a list. After selecting an entry, you can proceed further by clicking on the buttons that are shown.
Screen Access	<i>For CA Instructions Status Advice Received:</i> Corporate Actions >> Messages Monitoring >> Incoming Messages >> Monitor CA Instructions Status Advice Received (seev.034) <i>For CA Instructions Status Advice Sent:</i>

Corporate Actions >> Messages Monitoring >> Outgoing Messages >> Monitor CA Instructions Status Advice Sent (seev.034)

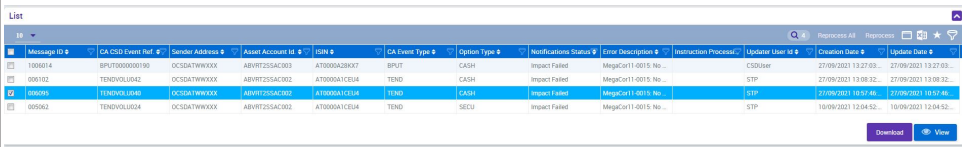
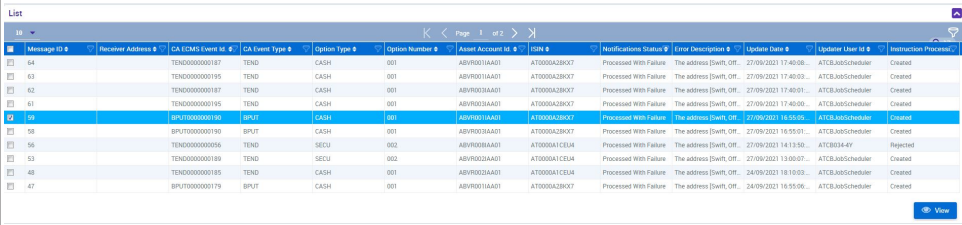
Screenshot For CA Instructions Status Advice Received:

For CA Instructions Status Advice Sent:

CA Instruction Status Advice (seev.034) - Search screen	
Field	Description
Message Identifier	Enter the identifier of the message. Required format is: Max. 35 characters.
CA CSD Event Ref.	<i>[Only for notifications Received]</i> Enter the Reference of the CA Event given by the CSD. Required format is: Max. 35 characters.
CA ECMS Event Id.	<i>[Only for notifications Sent]</i> Enter the reference of the CA Event given by the ECMS to the CA event to be used by counterparties. Required format is: Max. 35 characters.
Sender Address	<i>[Only for notifications Received]</i> Enter the BIC address of the sender of the message.
Receiver Address	<i>[Only for notifications Sent]</i> Enter the BIC address of the receiver of the message.
Asset Account Id.	Enter the Account number where the security concerned by the CA Event is hold.
ISIN	Enter the ISIN of the security concerned by the CA Event.
CA Event Type	Enter the type of CA Event. Required format is: Max. 4 characters.
Notification Status	Enter / Select the status of the notification from possible values: <ul style="list-style-type: none"> - Impacted - Impact Failed - Rejected - Archived - Processed

	– Processed with Failure
Creation Date	Enter the reference date of the creation of the CA Notification or use the calendar icon. Required format is: DD/MM/YYYY hh:mm:ss:µs
Button	Function
Reset	This function enables you to clear the form.
Search	This function enables you to start a search according to the filled in criteria. The results are displayed in a list on the List Screen. Next screen: – CA Instruction Status Advice (seev.034) – List Screen.

3.4.5.1.4.2 CA Instruction Status Advice (seev.034) – List Screen

Context of Usage	This screen contains the list of CA Instruction Status Advice. The seev.034 listed correspond to the search criteria capture in the search screen. The list displayed can be sorted and filter using by the field displayed. The list can be exported.
Screen Access	<i>For CA Instructions Status Advice Received:</i> Corporate Actions >> Messages Monitoring >> Incoming Messages >> Monitor CA Instructions Status Advice Received (seev.034) >> Click on the search button (after inputting the relevant data, if needed) <i>For CA Instructions Status Advice Sent:</i> Corporate Actions >> Messages Monitoring >> Outgoing Messages >> Monitor CA Instructions Status Advice Sent (seev.034) >> Click on the search button (after inputting the relevant data, if needed)
Screenshot	<i>For CA Instructions Status Advice Received:</i>  <i>For CA Instructions Status Advice Sent:</i> 

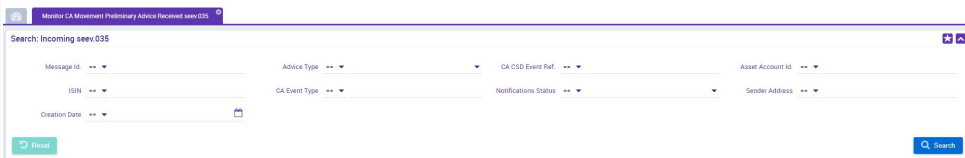
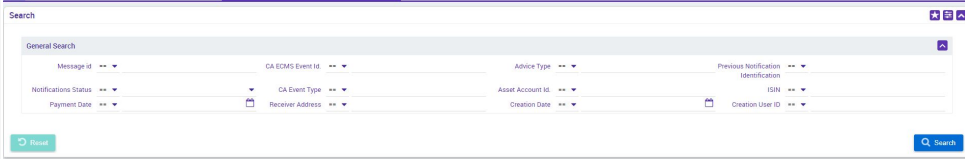
CA Instruction Status Advice (seev.034) - List screen	
Field	Description
Message Identifier	Shows the identifier of the message. Displayed format is: Max. 35 characters.

CA CSD Event Ref.	<i>[Only for notifications Received]</i> Shows the Reference of the CA Event given by the CSD. Displayed format is: Max. 35 characters.
CA ECMS Event Id.	<i>[Only for notifications Sent]</i> Shows the reference of the CA Event given by the ECMS to the CA event to be used by counterparties. Displayed format is: Max. 35 characters.
Sender Address	<i>[Only for notifications Received]</i> Shows the BIC address of the sender of the message.
Receiver Address	<i>[Only for notifications Sent]</i> Shows the BIC address of the receiver of the message.
Asset Account Id.	Shows the Account number where the security concerned by the CA Event is hold.
Quantity Instructed in FAMT	Shows the quantity instructed in FAMT.
ISIN	Shows the ISIN of the security concerned by the CA Event.
CA Event Type	Shows the type of CA Event. Displayed format is: Max. 4 characters.
Option Type	Shows the CA Option Type chosen by the Counterparty.
Option Number	Shows the CA Option Number chosen by the Counterparty.
Notification Status	Shows the status of the notification from possible values: – Impacted – Impact Failed – Rejected – Archived – Processed – Processed with Failure
Instruction Processing Status	<i>[Only for notifications Sent]</i> Shows the Instruction Status combining Processing Status / Reason Code values.
Error Description	Shows the description of the error (if it has occurred)
Update Date	Shows the date and time of the last update of the CA Notification. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Updater User Id	Shows the identification of the user that made the last update of the CA Notification.
After selecting a record, the following buttons are displayed	
Button	Function
Download	This function enables the user to download a copy of the message.
Reprocess	<i>[Only for notifications Received]</i>

	This function enables the user to reprocess the selected message (only available for users with appropriate rights).
Reprocess All	[Only for notifications Received] This function enables the user to reprocess all the messages in List screen (only available for users with appropriate rights).

3.4.5.1.5 CA Movement Preliminary Advice (seev.035)

3.4.5.1.5.1 CA Movement Preliminary Advice (seev.035) - Search screen

Context of Usage	<p>This screen is used to search CA Movement Preliminary Advice Received or Sent by the ECMS.</p> <p>It contains a number of search fields. By inputting the relevant data, you can search for the messages corresponding to the entered criteria.</p> <p>The search results will be displayed in a list in the <i>CA Movement Preliminary Advice (seev.035) – List Screen</i>.</p>
Business Case Related	<p><i>Custody >> Corporate Actions >> CA Entitlements >> Search / List CA Movement Preliminary Advice Received</i></p> <p><i>Custody >> Corporate Actions >> CA Entitlements >> Search / List CA Movement Preliminary Advice Sent</i></p>
Screen Access	<p><i>For CA Movement Preliminary Advice Received:</i></p> <p>Corporate Actions >> Messages Monitoring >> Incoming Messages >> Monitor CA Movement Preliminary Advice Received seev.035</p> <p><i>For CA Movement Preliminary Advice Sent:</i></p> <p>Corporate Actions >> Messages Monitoring >> Outgoing Messages >> Monitor CA Movement Preliminary Advice Sent seev.035</p>
Screenshot	<p><i>For CA Movement Preliminary Advice Received:</i></p>  <p><i>For CA Movement Preliminary Advice Sent:</i></p> 

CA Movement Preliminary Advice (seev.035) - Search screen	
Field	Description
Message Identifier	Enter the identifier of the message. Required format is: Max. 35 characters.

CA CSD Event Ref.	<i>[Only for notifications Received]</i> Enter the Reference of the CA Event given by the CSD. Required format is: Max. 35 characters.
CA ECMS Event Id.	<i>[Only for notifications Sent]</i> Enter the reference of the CA Event given by the ECMS to the CA event to be used by counterparties. Required format is: Max. 35 characters.
Advice Type	Enter / Select the function of the message from possible values: – CAST – NEWM – REPL – REVR
Sender Ad- dress	<i>[Only for notifications Received]</i> Enter the BIC address of the sender of the message.
Receiver Ad- dress	<i>[Only for notifications Sent]</i> Enter the BIC address of the receiver of the message.
Asset Ac- count Id.	Enter the Account number where the security concerned by the CA Event is hold.
ISIN	Enter the ISIN of the security concerned by the CA Event.
CA Event Type	Enter the type of CA Event. Required format is: Max. 4 characters.
Notification Status	Enter / Select the status of the notification from possible values: – Impacted – Impact Failed – Rejected – Archived – Processed – Processed with Failure
Creation Date	Enter the reference date of the creation of the CA Notification or use the calendar icon. Required format is: DD/MM/YYYY hh:mm:ss:µs
Creation User Id	<i>[Only for notifications Sent]</i> Enter the identification of the user that made the creation of the CA Notification.
Button	Function
Reset	This function enables you to clear the form.
Search	This function enables you to start a search according to the filled in criteria. The results are displayed in a list on the List Screen. Next screen: – CA Movement Preliminary Advice (seev.035) – List Screen.

3.4.5.1.5.2 CA Movement Preliminary Advice (seev.035) – List Screen

Context of Usage	<p>This screen contains the list of CA Movement Preliminary Advices.</p> <p>The seev.035 listed correspond to the search criteria capture in the search screen.</p> <p>The list displayed can be sorted and filter using by the field displayed.</p> <p>The list can be exported.</p>
Business Case Related	<p><i>Custody >> Corporate Actions >> CA Entitlements >> Search / List CA Movement Preliminary Advice Received</i></p> <p><i>Custody >> Corporate Actions >> CA Entitlements >> Search / List CA Movement Preliminary Advice Sent</i></p>
Screen Access	<p><i>For CA Movement Preliminary Advice Received:</i></p> <p>Corporate Actions >> Messages Monitoring >> Incoming Messages >> List Received seev.035 >> Click on the search button</p> <p><i>For CA Movement Preliminary Advice Sent:</i></p> <p>Corporate Actions >> Messages Monitoring >> Outgoing Messages >> List Outgoing seev.035 >> Click on the search button</p>
Screenshot	<p><i>For CA Movement Preliminary Advice Received:</i></p> <p><i>For CA Movement Preliminary Advice Sent:</i></p>

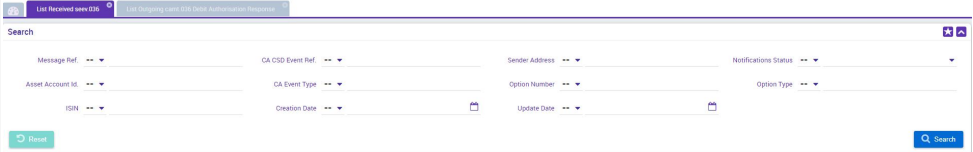
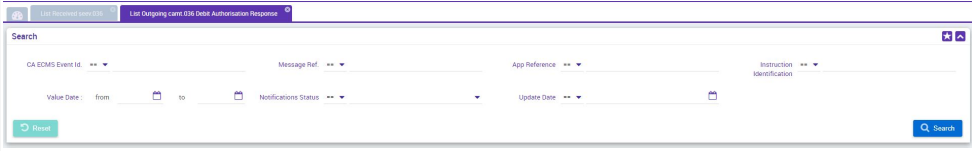
CA Movement Preliminary Advice (seev.035) - List screen	
Field	Description
Message Identifier	Shows the identifier of the message. Displayed format is: Max. 35 characters.
CA CSD Event Ref.	<i>[Only for notifications Received]</i> Shows the Reference of the CA Event given by the CSD. Displayed format is: Max. 35 characters.
CA ECMS Event Id.	<i>[Only for notifications Sent]</i> Shows the reference of the CA Event given by the ECMS to the CA event to be used by counterparties. Displayed format is: Max. 35 characters.

Advice Type	Shows the function of the message from possible values: <ul style="list-style-type: none"> - CAST - NEWM - REPL - REVR
Eligibility Indicator	Shows whether the message is final [Yes] or not [No]
Sender Address	<i>[Only for notifications Received]</i> Shows the BIC address of the sender of the message.
Receiver Address	<i>[Only for notifications Sent]</i> Shows the BIC address of the receiver of the message.
Asset Account Id.	Shows the Account number where the security concerned by the CA Event is hold.
ISIN	Shows the ISIN of the security concerned by the CA Event.
Eligible Balance	Shows the balance of securities eligible for this corporate action event. The entitlement calculation is based on this balance
Reversal Reason Code	Shows the reason for the reversal from possible values: <ul style="list-style-type: none"> - Day Count Basis Difference - DCBD - Incorrect Value Date - IVAD - Incorrect Record Date - IRED - Incorrect Price - IPRI - Undue Payment - UPAY - Incorrect Event Level Tax Rate - IETR - Funds Not Received - FNRC - Payment Outside Clearing System - POCS - Incorrect Payment Currency - IPCU
CA Event Type	Shows the type of CA Event. Displayed format is: Max. 4 characters.
Notification Status	Shows the status of the notification from possible values: <ul style="list-style-type: none"> - Impacted - Impact Failed - Rejected - Archived - Processed - Processed with Failure
Error Description	Shows the description of the error (if it has occurred)
Update Date	Shows the date and time of the last update of the CA Notification. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Updater User Id	Shows the identification of the user that made the last update of the CA Notification.
After selecting a record, the following buttons are displayed	

Button	Function
Download	This function enables the user to download a copy of the message.
Reprocess	[Only for notifications Received] This function enables the user to reprocess the selected message (only available for users with appropriate rights).
Reprocess All	[Only for notifications Received] This function enables the user to reprocess all the messages in List screen (only available for users with appropriate rights).

3.4.5.1.6 CA Movement Confirmation (seev.036)

3.4.5.1.6.1 CA Movement Confirmation (seev.036) - Search screen

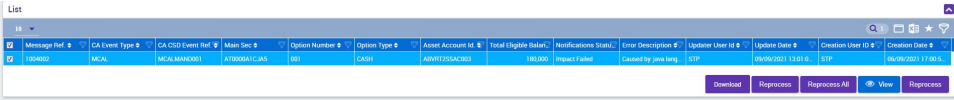
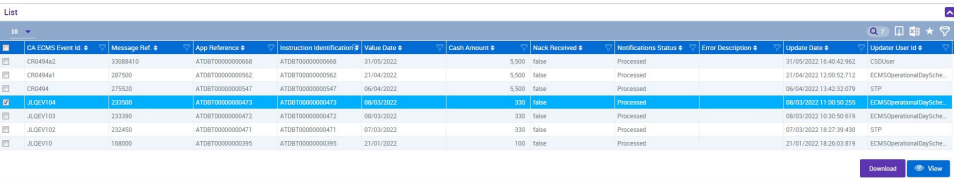
Context of Usage	<p>This screen is used to search CA Movement Confirmation Received or Sent by the ECMS.</p> <p>It contains a number of search fields. By inputting the relevant data, you can search for the messages corresponding to the entered criteria.</p> <p>The search results will be displayed in a list in the <i>CA Movement Confirmation (seev.036) – List Screen</i>.</p>
Business Case Related	<p><i>Custody >> Corporate Actions > CA Movements >> Search / List CA Movement Confirmation Received</i></p> <p><i>Custody >> Corporate Actions > CA Movements >> Search / List CA Movement Confirmation Sent</i></p>
Screen Access	<p><i>For CA Movement Confirmation Received:</i> Corporate Actions >> Messages Monitoring >> Incoming Messages >> List Received seev.036</p> <p><i>For CA Movement Confirmation Sent:</i> Corporate Actions >> Messages Monitoring >> Outgoing Messages >> List Outgoing seev.036</p>
Screenshot	<p><i>For CA Movement Confirmation Received:</i></p>  <p><i>For CA Movement Confirmation Sent:</i></p> 

CA Movement Confirmation (seev.036) - Search screen	
Field	Description

Message Identifier	Enter the identifier of the message. Required format is: Max. 35 characters.
CA CSD Event Ref.	<i>[Only for notifications Received]</i> Enter the Reference of the CA Event given by the CSD. Required format is: Max. 35 characters.
CA ECMS Event Id.	<i>[Only for notifications Sent]</i> Enter the reference of the CA Event given by the ECMS to the CA event to be used by counterparties. Required format is: Max. 35 characters.
Sender Address	<i>[Only for notifications Received]</i> Enter the BIC address of the sender of the message.
Receiver Address	<i>[Only for notifications Sent]</i> Enter the BIC address of the receiver of the message.
Asset Account Id.	Enter the Account number where the security concerned by the CA Event is held
ISIN	Enter the ISIN of the security concerned by the CA Event.
CA Event Type	Enter the type of CA Event. Required format is: Max. 4 characters.
Option Type	Enter the CA Option Type chosen by the Counterparty.
Option Number	Enter the CA Option Number chosen by the Counterparty.
Notification Status	Enter / Select the status of the notification from possible values: – Impacted – Impact Failed – Rejected
Creation Date	Enter the reference date of the creation of the CA Movement Confirmation Received / Sent or use the calendar icon. Required format is: DD/MM/YYYY hh:mm:ss:µs
Update Date	Enter the date and time of the last update of the CA Movement Confirmation Received / Sent or use the calendar icon. Required format is: DD/MM/YYYY hh:mm:ss:µs.
Button	Function
Reset	This function enables you to clear the form.
Search	This function enables you to start a search according to the filled in criteria. The results are displayed in a list on the List Screen. Next screen: – CA Movement Confirmation (seev.036) – List Screen.

3.4.5.1.6.2 CA Movement Confirmation (seev.036) – List Screen

Context of Usage	This screen contains the list of CA Movement Confirmations. The CACO listed correspond to the search criteria capture in the search screen. The list displayed can be sorted and filter using by the field displayed.
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	The list can be exported.
Business Case Related	<p><i>Custody >> Corporate Actions > CA Movements >> Search / List CA Movement Confirmation Received</i></p> <p><i>Custody >> Corporate Actions > CA Movements >> Search / List CA Movement Confirmation Sent</i></p>
Screen Access	<p><i>For CA Movement Confirmation Received:</i></p> <p>Corporate Actions >> Messages Monitoring >> Incoming Messages >> List Received seev.036 >> Click on the search button</p> <p><i>For CA Movement Confirmation Sent:</i></p> <p>Corporate Actions >> Messages Monitoring >> Outgoing Messages >> List Outgoing seev.036 >> Click on the search button</p>
Screenshot	<p><i>For CA Movement Confirmation Received:</i></p>  <p><i>For CA Movement Confirmation Sent:</i></p> 

CA Movement Confirmation (seev.036) – List screen	
Field	Description
Message Identifier	Shows the identifier of the message. Displayed format is: Max. 35 characters.
CA CSD Event Ref.	<i>[Only for notifications Received]</i> Shows the Reference of the CA Event given by the CSD. Displayed format is: Max. 35 characters.
CA ECMS Event Id.	<i>[Only for notifications Sent]</i> Shows the reference of the CA Event given by the ECMS to the CA event to be used by counterparties. Displayed format is: Max. 35 characters.
Sender Address	<i>[Only for notifications Received]</i> Shows the BIC address of the sender of the message.
Receiver Address	<i>[Only for notifications Sent]</i> Shows the BIC address of the receiver of the message.
Option Type	Shows the CA Option Type chosen by the Counterparty.
Option Number	Shows the CA Option Number chosen by the Counterparty.
Asset Account Id.	Shows the Account number where the security concerned by the CA Event is held

ISIN	Shows the ISIN of the security concerned by the CA Event.
CA Event Type	Shows the type of CA Event. Displayed format is: Max. 4 characters.
Total Eligible Balance	Shows the Total balance of securities eligible for this corporate action event. The entitlement calculation is based on this balance.
Notification Status	Shows the status of the notification from possible values: – Impacted – Impact Failed – Rejected
Error Description	Shows the description of the error (if it has occurred)
Update Date	Shows the date and time of the last update of the CA Notification. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Updater UserId	Shows the identification of the user that made the last update of the CA Notification.
After selecting a record, the following buttons are displayed	
Button	Function
Download	This function enables the user to download a copy of the message.
Reprocess	[Only for notifications Received] This function enables the user to reprocess the selected message (only available for users with appropriate rights).
Reprocess All	[Only for notifications Received] This function enables the user to reprocess all the messages in List screen (only available for users with appropriate rights).

3.4.5.1.7 CA Movement Reversal Advice (seev.037)

The ECMS receives from the CSDs Corporate Action Movement Reversal Advice (CARE) to confirm the reversal of the postings sent in the seev.035 (cash and/or securities) made to the account owner's safekeeping and / or cash accounts.

The ECMS processes the reversal of the posting and afterwards forwards the message to the entitled Counterparties.

3.4.5.1.7.1 CA Movement Reversal Advice (seev.037) - Search screen

Context of Usage	This screen contains a number of search fields. By inputting the relevant data, you can search for the CA Movement Reversal Advice Received / Sent. The search results will be displayed in a list. After selecting an entry, you can proceed further by clicking on the buttons that are shown.
Screen Access	For CA Movement Reversal Advice Received: Corporate Actions >> Messages Monitoring >> Incoming Messages >> Monitor CA Movement Reversal Advice Received (seev.037) For CA Movement Reversal Advice Sent: Corporate Actions >> Messages Monitoring >> Outgoing Messages >> Monitor CA Movement Reversal Advice Sent (seev.037)

Screenshot *For CA Movement Reversal Advice Received:*

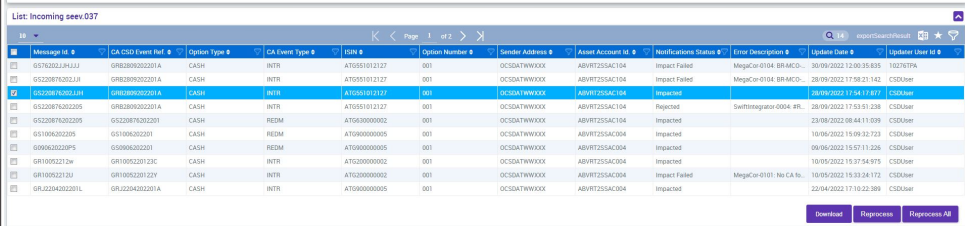
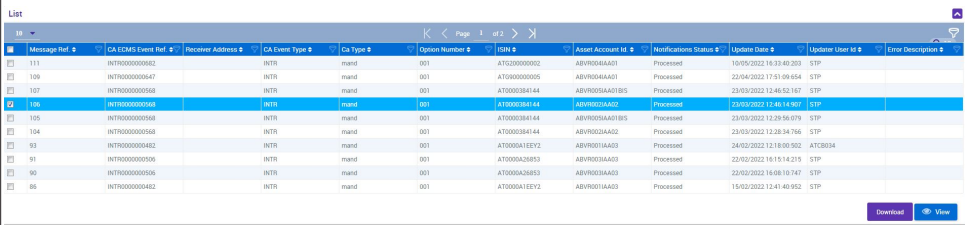
For CA Movement Reversal Advice Sent:

CA Movement Reversal Advice (seev.037) – Search screen

Field	Description
Message Identifier	Enter the identifier of the message. Required format is: Max. 35 characters.
CA CSD Event Ref.	<i>[Only for notifications Received]</i> Enter the Reference of the CA Event given by the CSD. Required format is: Max. 35 characters.
CA ECMS Event Id.	<i>[Only for notifications Sent]</i> Enter the reference of the CA Event given by the ECMS to the CA event to be used by counterparties. Required format is: Max. 35 characters.
Sender Address	<i>[Only for notifications Received]</i> Enter the BIC address of the sender of the message.
Receiver Address	<i>[Only for notifications Sent]</i> Enter the BIC address of the receiver of the message.
Asset Account Id.	Enter the identifier of the T2S Securities Account where financial instruments are maintained. Required format is: Max. 35 characters.
ISIN	Enter the ISIN of the security concerned by the CA Event.
CA Event Type	Enter the type of CA Event. Required format is: Max. 4 characters.
Option Type	Enter the CA Option Type chosen by the Counterparty.
Option Number	Enter the CA Option Number chosen by the Counterparty.
Notification Status	Enter / Select the status of the notification from possible values: <ul style="list-style-type: none"> – Impacted – Impact Failed – Rejected

	<ul style="list-style-type: none"> - Archived - Processed - Processed with Failure
Creation Date	Enter the reference date of the creation of the CA Instruction Cancellation Request Received / Sent or use the calendar icon. Required format is: DD/MM/YYYY hh:mm:ss:µs
Button	Function
Reset	This function enables you to clear the form.
Search	This function enables you to start a search according to the filled in criteria. The results are displayed in a list on the List Screen. Next screen: <ul style="list-style-type: none"> - CA Movement Reversal Advice (seev.037) – List Screen.

3.4.5.1.7.2 CA Movement Reversal Advice (seev.037) – List Screen

Context of Usage	<p>This screen contains the list of CA Movement Reversal Advice.</p> <p>The seev.037 listed correspond to the search criteria capture in the search screen.</p> <p>The list displayed can be sorted and filter using by the field displayed.</p> <p>The list can be exported.</p>
Screen Access	<p><i>For CA Movement Reversal Advice Received:</i></p> <p>Corporate Actions >> Messages Monitoring >> Incoming Messages >> Monitor CA Movement Reversal Advice Received (seev.037) >> Click on the search button (after inputting the relevant data, if needed)</p> <p><i>For CA Movement Reversal Advice Sent:</i></p> <p>Corporate Actions >> Messages Monitoring >> Outgoing Messages >> Monitor CA Movement Reversal Advice Sent (seev.037) >> Click on the search button (after inputting the relevant data, if needed)</p>
Screenshot	<p><i>For CA Movement Reversal Advice Received:</i></p>  <p><i>For CA Movement Reversal Advice Sent:</i></p> 

CA Movement Reversal Advice (seev.037) – List screen	
Field	Description
Message Identifier	Shows the identifier of the message. Displayed format is: Max. 35 characters.
CA CSD Event Ref.	<i>[Only for notifications Received]</i> Shows the Reference of the CA Event given by the CSD. Displayed format is: Max. 35 characters.
CA ECMS Event Id.	<i>[Only for notifications Sent]</i> Shows the reference of the CA Event given by the ECMS to the CA event to be used by counterparties. Displayed format is: Max. 35 characters.
Sender Address	<i>[Only for notifications Received]</i> Shows the BIC address of the sender of the message.
Receiver Address	<i>[Only for notifications Sent]</i> Shows the BIC address of the receiver of the message.
Option Type	Shows the CA Option Type chosen by the Counterparty.
Option Number	Shows the CA Option Number chosen by the Counterparty.
Asset Account Id.	Shows the identifier of the T2S Securities Account where financial instruments are maintained. Displayed format is: Max. 35 characters.
ISIN	Shows the ISIN of the security concerned by the CA Event.
CA Event Type	Shows the type of CA Event. Displayed format is: Max. 4 characters.
Notification Status	Shows the status of the notification from possible values: <ul style="list-style-type: none"> – Impacted – Impact Failed – Rejected – Archived – Processed – Processed with Failure
Error Description	Shows the description of the error (if it has occurred)
Update Date	Shows the date and time of the last update of the CA Notification. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Updater User Id	Shows the identification of the user that made the last update of the CA Notification.
After selecting a record, the following buttons are displayed	
Button	Function
Download	This function enables the user to download a copy of the message.
Reprocess	<i>[Only for notifications Received]</i>

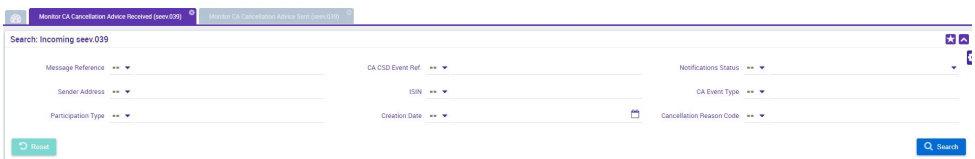
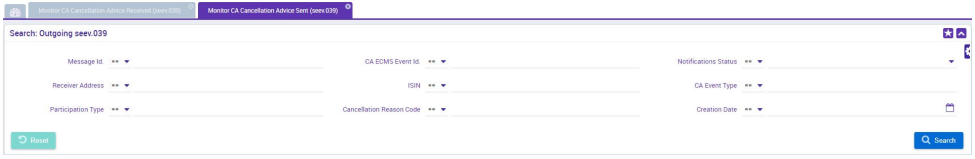
	This function enables the user to reprocess the selected message (only available for users with appropriate rights).
Reprocess All	[Only for notifications Received] This function enables the user to reprocess all the messages in List screen (only available for users with appropriate rights).

3.4.5.1.8 CA Cancellation Advice (seev.039)

The ECMS receives from the CSDs Corporate Action Cancellation Advice (CANC) to inform on the cancellation of upcoming CA Events.

The ECMS processes the cancellation of the CA Event and afterwards forwards the message to the entitled Counterparties.

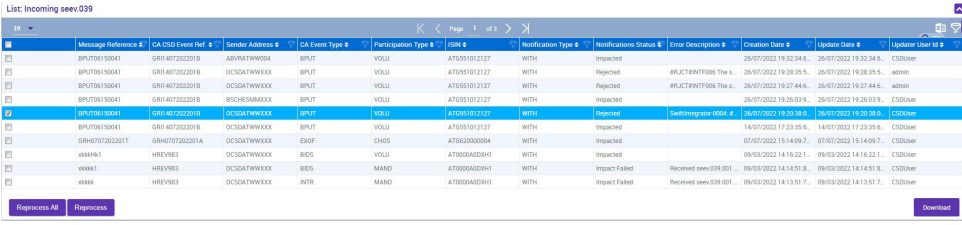
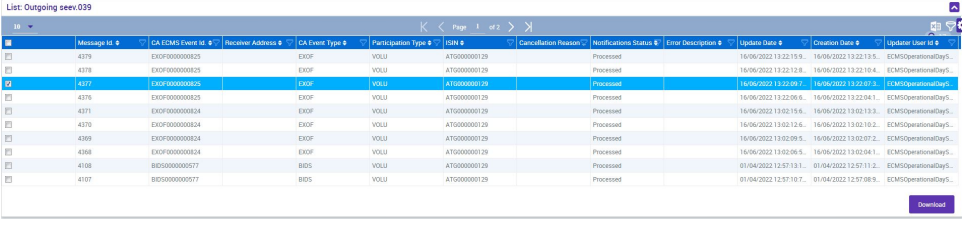
3.4.5.1.8.1 CA Cancellation Advice (seev.039)- Search screen

Context of Usage	This screen contains a number of search fields. By inputting the relevant data, you can search for the CA Cancellation Advice Received / Sent. The search results will be displayed in a list. After selecting an entry, you can proceed further by clicking on the buttons that are shown.
Screen Access	<i>For CA Cancellation Advice Received:</i> Corporate Actions >> Messages Monitoring >> Incoming Messages >> Monitor CA Cancellation Advice Received (seev.039) <i>For CA Cancellation Advice Sent:</i> Corporate Actions >> Messages Monitoring >> Outgoing Messages >> Monitor CA Cancellation Advice Sent (seev.039)
Screenshot	<i>For CA Cancellation Advice Received:</i>  <i>For CA Cancellation Advice Sent:</i> 

CA Cancellation Advice (seev.039) – Search screen	
Field	Description
Message Identifier	Enter the identifier of the message. Required format is: Max. 35 characters.
CA CSD Event Ref.	[Only for notifications Received] Enter the Reference of the CA Event given by the CSD.

	Required format is: Max. 35 characters.
CA ECMS Event Id.	<i>[Only for notifications Sent]</i> Enter the reference of the CA Event given by the ECMS to the CA event to be used by counterparties. Required format is: Max. 35 characters.
Sender Address	<i>[Only for notifications Received]</i> Enter the BIC address of the sender of the message.
Receiver Address	<i>[Only for notifications Sent]</i> Enter the BIC address of the receiver of the message.
ISIN	Enter the ISIN of the security concerned by the CA Event.
CA Event Type	Enter the type of CA Event. Required format is: Max. 4 characters.
Option Type	Enter the CA Option Type chosen by the Counterparty.
Option Number	Enter the CA Option Number chosen by the Counterparty.
Notification Status	Enter / Select the status of the notification from possible values: <ul style="list-style-type: none"> - Impacted - Impact Failed - Rejected - Archived - Processed - Processed with Failure
Participation Type	Enter if the CA Event is: <ul style="list-style-type: none"> - MAND – Mandatory - CHOS – Mandatory with Choice - VOLU - Voluntary
Cancellation Reason Code	Enter the code of the Cancellation Reason of the CA Event from the possible values: WITH – Withdrawal PROC - Processing
Creation Date	Enter the reference date of the creation of the CA Instruction Cancellation Request Received / Sent or use the calendar icon. Required format is: DD/MM/YYYY hh:mm:ss:µs
Button	Function
Reset	This function enables you to clear the form.
Search	This function enables you to start a search according to the filled in criteria. The results are displayed in a list on the List Screen. Next screen: <ul style="list-style-type: none"> - CA Cancellation Advice (seev.039) – List Screen.

3.4.5.1.8.2 CA Cancellation Advice (seev.039)– List Screen

Context of Usage	<p>This screen contains the list of CA Cancellation Advice.</p> <p>The seev.039 listed correspond to the search criteria capture in the search screen.</p> <p>The list displayed can be sorted and filter using by the field displayed.</p> <p>The list can be exported.</p>
Screen Access	<p><i>For CA Cancellation Advice Received:</i></p> <p>Corporate Actions >> Messages Monitoring >> Incoming Messages >> Monitor CA Cancellation Advice Received (seev.039) >> Click on the search button (after inputting the relevant data, if needed)</p> <p><i>For CA Cancellation Advice Sent:</i></p> <p>Corporate Actions >> Messages Monitoring >> Outgoing Messages >> Monitor CA Cancellation Advice Sent (seev.039) >> Click on the search button (after inputting the relevant data, if needed)</p>
Screenshot	<p><i>For CA Cancellation Advice Received:</i></p>  <p><i>For CA Cancellation Advice Sent:</i></p> 

CA Cancellation Advice (seev.039) – List Screen	
Field	Description
Message Identifier	Shows the identifier of the message. Displayed format is: Max. 35 characters.
CA CSD Event Ref.	<i>[Only for notifications Received]</i> Shows the Reference of the CA Event given by the CSD. Displayed format is: Max. 35 characters.
CA ECMS Event Id.	<i>[Only for notifications Sent]</i> Shows the reference of the CA Event given by the ECMS to the CA event to be used by counterparties. Displayed format is: Max. 35 characters.
Sender Address	<i>[Only for notifications Received]</i> Shows the BIC address of the sender of the message.

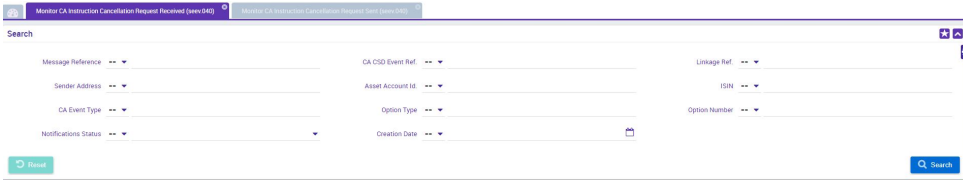
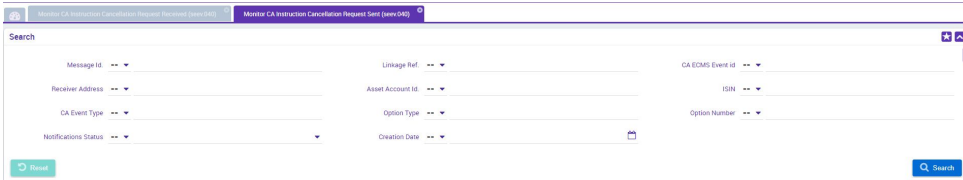
Receiver Address	<i>[Only for notifications Sent]</i> Shows the BIC address of the receiver of the message.
ISIN	Shows the ISIN of the security concerned by the CA Event.
CA Event Type	Shows the type of CA Event. Displayed format is: Max. 4 characters.
Notification Status	Shows the status of the notification from possible values: <ul style="list-style-type: none"> - Impacted - Impact Failed - Rejected - Archived - Processed - Processed with Failure
Participation Type	Shows if the CA Event is: <ul style="list-style-type: none"> - MAND – Mandatory - CHOS – Mandatory with Choice - VOLU - Voluntary
Cancellation Reason Code	Enter the code of the Cancellation Reason of the CA Event from the possible values: <ul style="list-style-type: none"> - WITH – Withdrawal - PROC - Processing
Error Description	Shows the description of the error (if it has occurred)
Creation Date	Shows the date and time of the creation of the CA Notification. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Update Date	Shows the date and time of the last update of the CA Notification. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Updater User Id	Shows the identification of the user that made the last update of the CA Notification.
After selecting a record, the following buttons are displayed	
Button	Function
Download	This function enables the user to download a copy of the message.
Reprocess	<i>[Only for notifications Received]</i> This function enables the user to reprocess the selected message (only available for users with appropriate rights).
Reprocess All	<i>[Only for notifications Received]</i> This function enables the user to reprocess all the messages in List screen (only available for users with appropriate rights).

3.4.5.1.9 CA Instruction Cancellation Request (seev.040)

The ECMS receives Corporate Action Instruction Cancellation Request (CAIC) from the Counterparty to request the cancellation of a previously sent corporate action instruction.

The ECMS sends a Corporate Action Instruction (CAIC) message to the CSD in order to cancel a previously sent corporate action instruction.

3.4.5.1.9.1 CA Instruction Cancellation Request (seev.040) - Search screen

Context of Usage	<p>This screen contains a number of search fields. By inputting the relevant data, you can search for the CA Instruction Cancellation Request Received / Sent.</p> <p>The search results will be displayed in a list. After selecting an entry, you can proceed further by clicking on the buttons that are shown.</p>
Screen Access	<p><i>For CA Instruction Cancellation Request Received:</i></p> <p>Corporate Actions >> Messages Monitoring >> Incoming Messages >> Monitor CA Instruction Cancellation Request Received (seev.040)</p> <p><i>For CA Instruction Cancellation Request Sent:</i></p> <p>Corporate Actions >> Messages Monitoring >> Outgoing Messages >> Monitor CA Instruction Cancellation Request Sent (seev.040)</p>
Screenshot	<p><i>For CA Instruction Cancellation Request Received:</i></p>  <p><i>For CA Instruction Cancellation Request Sent:</i></p> 

CA Instruction Cancellation Request (seev.040) – Search screen	
Field	Description
Message Identifier	Enter the identifier of the message. Required format is: Max. 35 characters.
Linkage Ref.	Enter the identifier of the CA Instruction previously sent by the Counterparty. Required format is: Max. 35 characters.
CA CSD Event Ref.	<i>[Only for notifications Received]</i> Enter the Reference of the CA Event given by the CSD. Required format is: Max. 35 characters.
CA ECMS Event Id.	<i>[Only for notifications Sent]</i> Enter the reference of the CA Event given by the ECMS to the CA event to be used by counterparties. Required format is: Max. 35 characters.

Sender Address	<i>[Only for notifications Received]</i> Enter the BIC address of the sender of the message.
Receiver Address	<i>[Only for notifications Sent]</i> Enter the BIC address of the receiver of the message.
Asset Account Id.	Enter the identifier of the T2S Securities Account where financial instruments are maintained. Required format is: Max. 35 characters.
ISIN	Enter the ISIN of the security concerned by the CA Event.
CA Event Type	Enter the type of CA Event. Required format is: Max. 4 characters.
Option Type	Enter the CA Option Type chosen by the Counterparty.
Option Number	Enter the CA Option Number chosen by the Counterparty.
Notification Status	Enter / Select the status of the notification from possible values: <ul style="list-style-type: none"> - Impacted - Impact Failed - Rejected - Archived - Processed - Processed with Failure
Creation Date	Enter the reference date of the creation of the CA Instruction Cancellation Request Received / Sent or use the calendar icon. Required format is: DD/MM/YYYY hh:mm:ss:µs
Button	Function
Reset	This function enables you to clear the form.
Search	This function enables you to start a search according to the filled in criteria. The results are displayed in a list on the List Screen. Next screen: <ul style="list-style-type: none"> - CA Instruction Cancellation Request (seev.040)– List Screen.

3.4.5.1.9.2 CA Instruction Cancellation Request (seev.040) – List Screen

Context of Usage	This screen contains the list of CA Instruction Cancellation Request. The seev.040 listed correspond to the search criteria capture in the search screen. The list displayed can be sorted and filter using by the field displayed. The list can be exported.
Screen Access	<i>For CA Instruction Cancellation Request Received:</i> Corporate Actions >> Messages Monitoring >> Incoming Messages >> Monitor CA Instruction Cancellation Request Received (seev.040) >> Click on the search button (after inputting the relevant data, if needed) <i>For CA Instruction Cancellation Request Sent:</i>

Corporate Actions >> Messages Monitoring >> Outgoing Messages >> Monitor CA Instruction Cancellation Request Sent (seev.040) >> Click on the search button (after inputting the relevant data, if needed)

Screenshot

For CA Instruction Cancellation Request Received:

For CA Instruction Cancellation Request Sent:

CA Instruction Cancellation Request (seev.040) – List screen

Field	Description
Message Identifier	Shows the identifier of the message. Displayed format is: Max. 35 characters.
Linkage Ref.	Shows the identifier of the CA Instruction previously sent by the Counterparty. Displayed format is: Max. 35 characters.
CA CSD Event Ref.	<i>[Only for notifications Received]</i> Shows the Reference of the CA Event given by the CSD. Displayed format is: Max. 35 characters.
CA ECMS Event Id.	<i>[Only for notifications Sent]</i> Shows the reference of the CA Event given by the ECMS to the CA event to be used by counterparties. Displayed format is: Max. 35 characters.
Sender Address	<i>[Only for notifications Received]</i> Shows the BIC address of the sender of the message.
Receiver Address	<i>[Only for notifications Sent]</i> Shows the BIC address of the receiver of the message.
Asset Account Id.	Shows the identifier of the T2S Securities Account where financial instruments are maintained. Displayed format is: Max. 35 characters.
ISIN	Shows the ISIN of the security concerned by the CA Event.
CA Event Type	Shows the type of CA Event. Displayed format is: Max. 4 characters.
Option Type	Shows the CA Option Type chosen by the Counterparty.

Option Number	Shows the CA Option Number chosen by the Counterparty.
Notification Status	Shows the status of the notification from possible values: <ul style="list-style-type: none"> - Impacted - Impact Failed - Rejected - Archived - Processed - Processed with Failure
Error Description	Shows the description of the error (if it has occurred)
Update Date	Shows the date and time of the last update of the CA Notification. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Updater User Id	Shows the identification of the user that made the last update of the CA Notification.
After selecting a record, the following buttons are displayed	
Button	Function
Download	This function enables the user to download a copy of the message.
Reprocess	[Only for notifications Received] This function enables the user to reprocess the selected message (only available for users with appropriate rights).
Reprocess All	[Only for notifications Received] This function enables the user to reprocess all the messages in List screen (only available for users with appropriate rights).

3.4.5.1.10 CA Instruction Cancellation Request Status Advice (seev.041)

The ECMS receives a Corporate Action Instruction Cancellation Request Status Advice from the CSD to receive status updates of a Corporate Action Instruction Cancellation Request message previously sent from ECMS to the CSD.

The ECMS sends a Corporate Action Instruction Cancellation Request Status Advice to the Counterparty to inform about the current status of a Corporate Action Instruction Cancellation Request message (seev.040) previously sent to the CSD or about the rejection of it by the ECMS.

3.4.5.1.10.1 CA Instruction Cancellation Request Status Advice (seev.041)- Search screen

Context of Usage	This screen contains a number of search fields. By inputting the relevant data, you can search for the CA Instruction Cancellation Request Status Advice Received / Sent. The search results will be displayed in a list. After selecting an entry, you can proceed further by clicking on the buttons that are shown.
Screen Access	<i>For CA Instruction Cancellation Request Status Advice Received:</i> Corporate Actions >> Messages Monitoring >> Incoming Messages >> Monitor CA Instruction Cancellation Request Status Advice Received (seev.041) <i>For CA Instruction Cancellation Request Status Advice Sent:</i>

Corporate Actions >> Messages Monitoring >> Outgoing Messages >> Monitor CA Instruction Cancellation Request Status Advice Sent (seev.041)

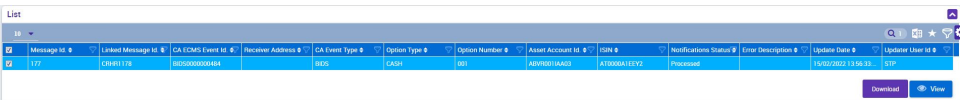
Screenshot *For CA Instruction Cancellation Request Status Advice Received:*

For CA Instruction Cancellation Request Status Advice Sent:

CA Instruction Cancellation Request Status Advice (seev.041)– Search screen	
Field	Description
Message Identifier	Enter the identifier of the message. Required format is: Max. 35 characters.
Linkage Ref.	Enter the identifier of the CA Instruction Cancellation Request previously sent by the Counterparty. Required format is: Max. 35 characters.
CA CSD Event Ref.	[Only for notifications Received] Enter the Reference of the CA Event given by the CSD. Required format is: Max. 35 characters
CA ECMS Event Id.	[Only for notifications Sent] Enter the reference of the CA Event given by the ECMS to the CA event to be used by counterparties. Required format is: Max. 35 characters.
Sender Address	[Only for notifications Received] Enter the BIC address of the sender of the message.
Receiver Address	[Only for notifications Sent] Enter the BIC address of the receiver of the message.
Account Account Id.	Enter the identifier of the T2S Securities Account where financial instruments are maintained. Required format is: Max. 35 characters.
ISIN	Enter the ISIN of the security concerned by the CA Event.
CA Event Type	Enter the type of CA Event. Required format is: Max. 4 characters.
Option Type	Enter the CA Option Type chosen by the Counterparty.

Option Number	Enter the CA Option Number chosen by the Counterparty.
Notification Status	Enter / Select the status of the notification from possible values: <ul style="list-style-type: none"> - Impacted - Impact Failed - Rejected - Archived - Processed - Processed with Failure
Creation Date	Enter the reference date of the creation of the CA Instruction Cancellation Request Status Advice Received / Sent or use the calendar icon. Required format is: DD/MM/YYYY hh:mm:ss:µs
Button	Function
Reset	This function enables you to clear the form.
Search	This function enables you to start a search according to the filled in criteria. The results are displayed in a list on the List Screen. Next screen: <ul style="list-style-type: none"> - CA Instruction Cancellation Request Status Advice (seev.041) – List Screen.

3.4.5.1.10.2 CA Instruction Cancellation Request Status Advice (seev.041) – List Screen

Context of Usage	<p>This screen contains the list of CA Instruction Cancellation Request Status Advice.</p> <p>The seev.041 listed correspond to the search criteria capture in the search screen. The list displayed can be sorted and filter using by the field displayed. The list can be exported.</p>
Screen Access	<p><i>For CA Instruction Cancellation Request Status Advice Received:</i></p> <p>Corporate Actions >> Messages Monitoring >> Incoming Messages >> Monitor CA Instruction Cancellation Request Status Advice Received (seev.041) >> Click on the search button (after inputting the relevant data, if needed)</p> <p><i>For CA Instruction Cancellation Request Status Advice Sent:</i></p> <p>Corporate Actions >> Messages Monitoring >> Outgoing Messages >> Monitor CA Instruction Cancellation Request Status Advice Sent (seev.041) >> Click on the search button (after inputting the relevant data, if needed)</p>
Screenshot	<p><i>For CA Instruction Cancellation Request Status Advice Received:</i></p>  <p><i>For CA Instruction Cancellation Request Status Advice Sent:</i></p> 

CA Instruction Cancellation Request Status Advice (seev.041) – List screen	
Field	Description
Message Identifier	Shows the identifier of the message. Displayed format is: Max. 35 characters.
Linkage Ref.	Shows the identifier of the CA Instruction Cancellation Request previously sent by the Counterparty. Displayed format is: Max. 35 characters.
CA CSD Event Ref.	[Only for notifications Received] Shows the Reference of the CA Event given by the CSD. Displayed format is: Max. 35 characters
CA ECMS Event Id.	[Only for notifications Sent] Shows the reference of the CA Event given by the ECMS to the CA event to be used by counterparties. Displayed format is: Max. 35 characters.
Sender Address	[Only for notifications Received] Shows the BIC address of the sender of the message.
Receiver Address	[Only for notifications Sent] Shows the BIC address of the receiver of the message.
Account Account Id.	Shows the identifier of the T2S Securities Account where financial instruments are maintained. Displayed format is: Max. 35 characters.
ISIN	Shows the ISIN of the security concerned by the CA Event.
CA Event Type	Shows the type of CA Event. Displayed format is: Max. 4 characters.
Option Type	Shows the CA Option Type chosen by the Counterparty.
Option Number	Shows the CA Option Number chosen by the Counterparty.
Error Description	Shows the description of the error (if it has occurred)
Notification Status	Shows the status of the notification from possible values: <ul style="list-style-type: none"> – Impacted – Impact Failed – Rejected – Archived – Processed – Processed with Failure
Update Date	Shows the date and time of the last update of the CA Instruction Cancellation Request Status Advice Received / Sent. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.

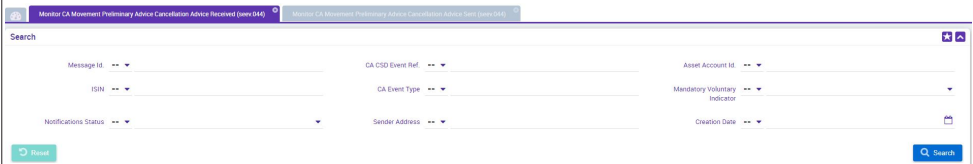
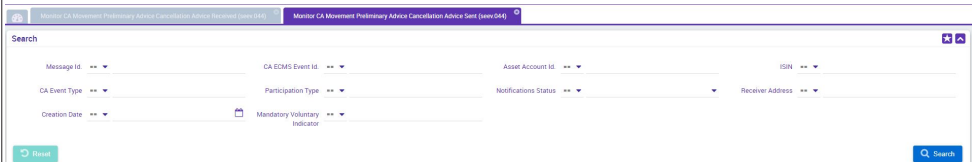
Updater User Id	Shows the identification of the user that made the last update of the CA Instruction Cancellation Request Status Advice Received / Sent.
After selecting a record, the following buttons are displayed	
Button	Function
Download	This function enables the user to download a copy of the message.
Reprocess	[Only for notifications Received] This function enables the user to reprocess the selected message (only available for users with appropriate rights).
Reprocess All	[Only for notifications Received] This function enables the user to reprocess all the messages in List screen (only available for users with appropriate rights).

3.4.5.1.11 CA Movement Preliminary Advice Cancellation Advice (seev.044)

The ECMS receives from the CSDs Corporate Action Movement Preliminary Advice Cancellation Advice to cancel a Corporate Action Movement Preliminary Advice (CAPA) previously sent to the ECMS.

The ECMS processes the cancelation of the posting and afterwards forwards the message to the entitled Counterparties.


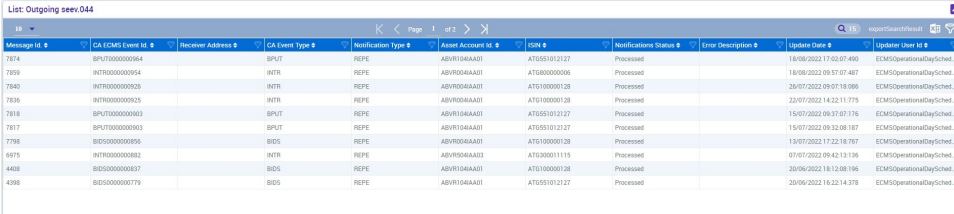
3.4.5.1.11.1 CA Movement Preliminary Advice Cancellation Advice (seev.044) - Search screen

Context of Usage	<p>This screen contains a number of search fields. By inputting the relevant data, you can search for the CA Movement Preliminary Advice Cancellation Advice Received / Sent.</p> <p>The search results will be displayed in a list. After selecting an entry, you can proceed further by clicking on the buttons that are shown.</p>
Screen Access	<p><i>For CA Movement Preliminary Advice Cancellation Advice Received:</i> Corporate Actions >> Messages Monitoring >> Incoming Messages >> Monitor CA Movement Preliminary Advice Cancellation Advice Received (seev.044)</p> <p><i>For CA Movement Preliminary Advice Cancellation Advice Sent:</i> Corporate Actions >> Messages Monitoring >> Outgoing Messages >> Monitor CA Movement Preliminary Advice Cancellation Advice Sent (seev.044)</p>
Screenshot	<p><i>For CA Movement Preliminary Advice Cancellation Advice Received:</i></p>  <p><i>For CA Movement Preliminary Advice Cancellation Advice Sent:</i></p> 

CA Movement Preliminary Advice Cancellation Advice (seev.044) – Search screen	
Field	Description
Message Identifier	Enter the identifier of the message. Required format is: Max. 35 characters.
CA CSD Event Ref.	<i>[Only for notifications Received]</i> Enter the Reference of the CA Event given by the CSD. Required format is: Max. 35 characters.
CA ECMS Event Id.	<i>[Only for notifications Sent]</i> Enter the reference of the CA Event given by the ECMS to the CA event to be used by counterparties. Required format is: Max. 35 characters.
Asset Account Id.	Enter the identifier of the T2S Securities Account where financial instruments are maintained. Required format is: Max. 35 characters.
ISIN	Enter the ISIN of the security concerned by the CA Event.
CA Event Type	Enter the type of CA Event. Required format is: Max. 4 characters.
Participation Type	Enter if the CA Event is: <ul style="list-style-type: none"> – MAND – Mandatory – CHOS – Mandatory with Choice – VOLU - Voluntary
Notification Status	Enter / Select the status of the notification from possible values: <ul style="list-style-type: none"> – Impacted – Impact Failed – Rejected – Archived – Processed – Processed with Failure
Sender Address	<i>[Only for notifications Received]</i> Enter the BIC address of the sender of the message.
Receiver Address	<i>[Only for notifications Sent]</i> Enter the BIC address of the receiver of the message.
Creation Date	Enter the reference date of the creation of the CA Movement Preliminary Advice Cancellation Advice Received / Sent or use the calendar icon. Required format is: DD/MM/YYYY hh:mm:ss:µs
Button	Function
Reset	This function enables you to clear the form.
Search	This function enables you to start a search according to the filled in criteria. The results are displayed in a list on the List Screen.

	Next screen: – CA Movement Preliminary Advice Cancellation Advice (seev.044)– List Screen.
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3.4.5.1.11.2 CA Movement Preliminary Advice Cancellation Advice (seev.044) – List Screen

Context of Usage	This screen contains the list of CA Movement Preliminary Advice Cancellation Advice. The seev.044 listed correspond to the search criteria capture in the search screen. The list displayed can be sorted and filter using by the field displayed. The list can be exported.
Screen Access	For CA Movement Preliminary Advice Cancellation Advice Received: Corporate Actions >> Messages Monitoring >> Incoming Messages >> Monitor CA Movement Preliminary Advice Cancellation Advice Received (seev.044) >> Click on the search button (after inputting the relevant data, if needed) For CA Movement Preliminary Advice Cancellation Advice Sent: Corporate Actions >> Messages Monitoring >> Outgoing Messages >> Monitor CA Movement Preliminary Advice Cancellation Advice Sent (seev.044) >> Click on the search button (after inputting the relevant data, if needed)
Screenshot	For CA Movement Preliminary Advice Cancellation Advice Received:  For CA Movement Preliminary Advice Cancellation Advice Sent: 

CA Movement Preliminary Advice Cancellation Advice (seev.044) – List screen	
Field	Description
Message Identifier	Shows the identifier of the message. Displayed format is: Max. 35 characters.
CA CSD Event Ref.	<i>[Only for notifications Received]</i> Shows the Reference of the CA Event given by the CSD. Displayed format is: Max. 35 characters.
CA ECMS Event Id.	<i>[Only for notifications Sent]</i> Shows the reference of the CA Event given by the ECMS to the CA event to be used by counterparties. Displayed format is: Max. 35 characters.

Asset Account Id.	Shows the identifier of the T2S Securities Account where financial instruments are maintained. Displayed format is: Max. 35 characters.
ISIN	Shows the ISIN of the security concerned by the CA Event.
CA Event Type	Shows the type of CA Event. Displayed format is: Max. 4 characters.
Notification Status	Shows the status of the notification from possible values: <ul style="list-style-type: none"> - Impacted - Impact Failed - Rejected - Archived - Processed - Processed with Failure
Sender Address	<i>[Only for notifications Received]</i> Shows the BIC address of the sender of the message.
Receiver Address	<i>[Only for notifications Sent]</i> Shows the BIC address of the receiver of the message.
Error Description	Shows the description of the error (if it has occurred)
Update Date	Shows the date and time of the last update of the CA Notification. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Updater User Id	Shows the identification of the user that made the last update of the CA Notification.
After selecting a record, the following buttons are displayed	
Button	Function
Download	This function enables the user to download a copy of the message.
Reprocess	<i>[Only for notifications Received]</i> This function enables the user to reprocess the selected message (only available for users with appropriate rights).
Reprocess All	<i>[Only for notifications Received]</i> This function enables the user to reprocess all the messages in List screen (only available for users with appropriate rights).

3.4.6 MegaCor Setup

3.4.6.1 Notification

3.4.6.1.1 Message Subscription Setup

3.4.6.1.1.1 Message Subscription Setup – Search Screen

Context of Usage	This screen contains a number of search fields. By inputting the relevant data, you can search for the Message Subscription Setup.
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	The search results will be displayed in a list. After selecting an entry, you can proceed further by clicking on the buttons that are shown.
Screen Access	Message Subscription >> MegaCor Setup >> Notification >> Message Subscription Setup
Screenshot	

Message Subscription Setup – Search screen	
Field	Description
Message Number	Enter / Select the type of the notification from possible values: <ul style="list-style-type: none"> – Seev.001 – Seev.002 – Seev.003 – Seev.006_InstrSts – Seev.006_CxlSts – Seev.007 – Seev.008 – Seev.031 – Seev.032 – Seev.034 – Seev.035 – Seev.035 Reversal – Seev.036 – Seev.037 – Seev.039 – Seev.041 – Seev.044
Instruction Processing Status	Enter / Select one or more of the following options: <ul style="list-style-type: none"> – Accepted – Accepted for further processing – Cancellation Completed – Cancellation Rejected – Cancelled – Default Action – Pending – Pending Cancellation – Rejected – Standing Instruction

DESTINATION	
NCB Participant	Enter / Select the RIAD Code that identifies the counterparty in the ECMS. Required format is: Max. 30 characters.
ECMS Internal Asset Account	Enter the unique ECMS Counterparty Asset Account reference. Required format is: Max. 35 characters.
NCB Participant Type	Enter / Select the type of the NCB Participant from possible values: <ul style="list-style-type: none"> - Counterparties - Cross NCBs - Non-euro Area CBs
Button	Function
Reset	This function enables you to clear the form.
Create	This function enables you to create a new Message Subscription Setup. Next screen: <ul style="list-style-type: none"> - <i>Message Subscription Setup – Create Screen.</i>
Search	This function enables you to start a search according to the filled in criteria. The results are displayed in a list on the List Screen. Next screen: <ul style="list-style-type: none"> - <i>Message Subscription Setup – List Screen.</i>

3.4.6.1.1.1 Message Subscription Setup – List Screen

Context of Usage	This screen contains the search results displayed in a list. After selecting an entry, you can proceed further by clicking on the buttons below.
Screen Access	Message Subscription >> MegaCor Setup >> Notification >> Message Subscription Setup >> Click on the search button (after inputting the relevant data, if needed)
Screenshot	<p>The screenshot shows a web interface with a search result table titled 'Search Result: Message Subscription Setup'. The table has 10 columns: Message Number, Instruction Processing Date, ECMS Internal Asset Account, NCB Participant, NCB Participant Type, Notify, Creation User ID, Creation Date, Update Date, and Notification Type. There are 11 rows of data, with the first row (Seev.007) highlighted in blue. Below the table are buttons for 'History', 'Delete', 'Edit', and 'View'.</p>

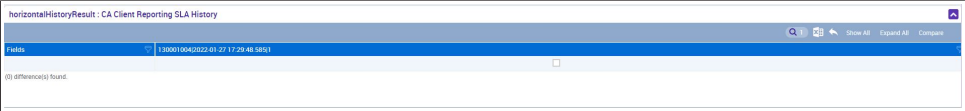
Message Subscription Setup – List Screen	
Field	Description
Message Number	Shows the type of the notification from possible values: <ul style="list-style-type: none"> - Seev.001 - Seev.002 - Seev.003 - Seev.006_InstrSts - Seev.006_CxlSts - Seev.007 - Seev.008

	<ul style="list-style-type: none"> - Seev.031 - Seev.032 - Seev.034 - Seev.035 - Seev.035 Reversal - Seev.036 - Seev.037 - Seev.039 - Seev.041 - Seev.044
Instruction Processing Status	<p>Shows from the following options:</p> <ul style="list-style-type: none"> - Accepted - Accepted for further processing - Cancellation Completed - Cancellation Rejected - Cancelled - Default Action - Pending - Pending Cancellation - Rejected - Standing Instruction
Context	
ECMS Internal Asset Account	<p>Shows the unique ECMS Counterparty Asset Account reference. Displayed format is: Max. 35 characters.</p>
NCB Participant	<p>Shows the RIAD Code that identifies the counterparty in the ECMS. Displayed format is: Max. 30 characters.</p>
NCB Participant Type	<p>Shows the type of the NCB Participant from possible values:</p> <ul style="list-style-type: none"> - Counterparties - Cross NCBs - Non-euro Area CBs
Result	
Notify	<p>Shows if the flag Notify is activated, from possible values:</p> <ul style="list-style-type: none"> - Yes - No
Creation User Id	<p>Identifier of the user responsible for the creation of the instruction.</p>
Creation Date	<p>Date and time when the instruction was created in the ECMS. Shows format is: DD/MM/YYYY hh:mm:ss:µs.</p>
Update Date	<p>Date and time when the instruction was last updated in the ECMS. Shows format is: DD/MM/YYYY hh:mm:ss:µs.</p>

Updater User Id	Identifier of the user responsible for the last update of the instruction.
After selecting a record, the following buttons are displayed	
Button	Function
History	This function enables you to access to the historical information of a Message Subscription Setup. Next screen: – <i>Message Subscription Setup – History Screen.</i>
Edit	This function enables you to edit a Message Subscription Setup. Next screen: – <i>Message Subscription Setup – Edit Screen.</i>
View	This function enables you to access to the detailed information of a Message Subscription Setup. Next screen: – <i>Message Subscription Setup – Details Screen.</i>
Delete	This button allows you to delete the selected record.

3.4.6.1.1.1. Message Subscription Setup – History Screen

1

Context of Usage	This screen displays historical information that has changed on the selected Message SubscriptionSetup. You can check the data, including the user who made the changes, and proceed further by clicking on the buttons that are shown.
Screen Access	<ul style="list-style-type: none"> – Message Subscription >> MegaCor Setup >> Notification >> Message Subscription Setup >> Click on the search button (after inputting the relevant data, if needed) >> Select a record >> Click on the <i>History</i> button – Message Subscription >> MegaCor Setup >> Notification >> Message Subscription Setup >> Click on the search button (after inputting the relevant data, if needed) >> Select a record >> Click on the <i>View</i> button (<i>Message Subscription Setup – Details Screen</i>) >> <i>Click on the History Button</i>
Screenshot	

Message Subscription Setup – History Screen	
Field	Description
Fields	Shows the fields whose information have been updated since the creation of the selected Message Subscription Setup.
Timestamp	Shows the date and time when the fields have been updated Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Button	Description
Compare	Shows the differences between the selected records whose data have been updated.
Expand All	Shows the expanded information of the fields whose information have been updated since the creation of the selected record.

Show All	Shows the information that existed before each modification of all the fields regarding the selected record.
Collapse All	Collapse the expanded information of the fields that have been updated since the creation of the selected record.
Show Differences Only	Shows only the fields whose information have been updated since the creation of the selected record.

3.4.6.1.1.2 Message Subscription Setup – Details Screen

Context of Usage	This screen displays detailed information on the selected Message Subscription Setup. You can check the data and proceed further by clicking on the buttons.
Screen Access	Message Subscription >> MegaCor Setup >> Notification >> Message Subscription Setup >> Click on the search button (after inputting the relevant data, if needed) >> Select a record >> Click on the <i>View</i> button
Screenshot	

Message Subscription Setup – Details Screen	
Button	Description
History	<p>This function enables you to access to the historical information of a Message Subscription Setup.</p> <p>Next screen:</p> <ul style="list-style-type: none"> – <i>Message Subscription Setup – History Screen.</i>
Context	
Field	Description
Message Number	<p>Shows the type of the notification from possible values:</p> <ul style="list-style-type: none"> – Seev.001 – Seev.002 – Seev.003 – Seev.006_InstrSts – Seev.006_CxlSts – Seev.007 – Seev.008 – Seev.031 – Seev.032 – Seev.034 – Seev.035 – Seev.035 Reversal – Seev.036

	<ul style="list-style-type: none"> - Seev.037 - Seev.039 - Seev.041 - Seev.044
DESTINATION	
NCB Participant	Shows the RIAD Code that identifies the counterparty in the ECMS. Displayed format is: Max. 30 characters.
ECMS Internal Asset Account	Shows the unique ECMS Counterparty Asset Account reference. Displayed format is: Max. 35 characters.
NCB Participant Type	Enter / Select the type of the NCB Participant from possible values: <ul style="list-style-type: none"> - Counterparties - Cross NCBs - Non-euro Area CBs
Result	
Notify	Shows if the flag Notify is activated, from possible values: <ul style="list-style-type: none"> - Yes - No
Button	Function
Notification Template	This function enables you to access the subsection Notification Template.
Notification Template	
The existing additional Notification Template are displayed, if you select one record and click on View button following fields are displayed.	
Template	Shows the template to be used. Displayed format: Freetext
Message Recipient	Shows Message Recipient from possible values: <ul style="list-style-type: none"> - Account Owner - Refinancing NCB
Purpose	Shows Purpose from possible values: <ul style="list-style-type: none"> - Securities Settlement

3.5 Support Functionalities

3.5.1 Pool Position

The ECMS provides the Counterparty and the NCB user with information on the Counterparty Pool positions. The Pool position is either the actual position based on the current value of the Counterparty Pool or is a position at a specific ECMS Business date in the past (the maximum business days in the past is set as a system parameter).

The Pool position can be viewed via several queries:

- Pool Overview, for the current ECMS Business Date, available to the Counterparty or NCB user:

- Provides an overview of all the Counterparty Pools for an NCB user
- Provides an overview of all its Pools for a Counterparty.
- Pool Position History, for the current ECMS Business Date or Past ECMS Business Date, available to the Counterparty or NCB user:
- Provides an overview of all the Counterparty Pools on a specific Business Date for an NCB user.
- Provides an overview of all its Pools on a specific Business Date for a Counterparty.
- Actual / Past Pool Position, for the current ECMS Business Date or Past ECMS Business Date, available to the Counterparty or NCB user:
- Provides an overview of a single Counterparty Pool on a specific Business Date for a Counterparty or an NCB user.
- Consolidated Pool Position, for the current ECMS Business Date or Past ECMS Business Date, available to the NCB user.
- Consolidated Pool Position on Banking Group level, for the current ECMS Business Date or Past ECMS Business Date, available to the Counterparty designated as the Manager of the Banking Group or the NCB of the Counterparty designated as the Manager of the Banking Group.

3.5.1.1 Pool Overview – Search Screen

Context of Usage	<p>This screen contains a number of search fields and shows the latest and stored searches. By inputting the relevant data, you can search for the Pool Overview for a specific Pool for the current ECMS Business Date.</p> <p>The search results will be displayed in a list. After selecting an entry, you can proceed further by clicking on the buttons that are shown.</p>
Screen Access	Collateral and Credit >> Pool Overview >> Pool Overview >> Display
Screenshot	

Pool Overview – Search screen	
Field	Description
Pool Identifier	Enter/Select the unique identifier of the Pool provided by the system Required format is: Max. 16 characters.
Pool Reference	Enter/Select the reference of the Pool given by the refinancing NCB. Required format is: Max. 255 characters.
Counterparty RIAD Id*	Enter/Select the unique RIAD identifier of the Counterparty Required format is: Max. XX characters.
Pool Type	Enter/Select the type of Pool Either "ELA" or "SCP"
Pool in Margin Call	Enter/Select whether the Pool is subject to a Margin Call Displayed format is: "YES" or "NO"
Is Active	Enter/Select whether the Pool is active or not.

	Displayed format is: "YES" or "NO"
Validity Start Date	Enter the Validity Start Date of the Pool. The calendar icon can also be used. Required format is: DD/MM/YYYY
Validity End Date	Enter the Validity End Date of the Pool. The calendar icon can also be used. Required format is: DD/MM/YYYY.
Button	Function
Reset	This function enables you to clear the form.
Search	This function enables you to start a search according to the filled in criteria. The results are displayed in a list on the List Screen. Next screen: <ul style="list-style-type: none"> Pool Overview – List Screen.

3.5.1.1.1 Pool Overview – List screen

Context of Usage	This screen contains the search results of the Pool Overview displayed in a list. After selecting an entry, you can proceed further by clicking on the buttons below.
Screen Access	Collateral and Credit >> Pool Overview >> Pool Overview >> Display >> Click on the <i>search</i> button (after inputting the relevant fields, if needed)
Screenshot	

Pool Overview – List screen	
Field	Description
Pool Identifier	Shows the unique identifier of the Pool provided by the system Displayed format is: Max. 16 characters.
Pool Type	Shows the type of Pool Either "ELA" or "SCP"
Is Active	Shows whether the Pool is active or not. Displayed format is: "YES" or "NO"
Counterparty RIAD Id	Shows the unique RIAD identifier of the Counterparty Displayed format is: Max. XX characters.
SCP	
Total Collateral Value	Shows the Total Pool Collateral value Displayed format is: Max. XX characters.
Total Credit Value	Shows the Total Credit value Displayed format is: Max. XX characters.

Total Collateral Excess	Shows the Total Collateral Excess value .This corresponds to the collateral excess in case the Credit Line is capped by a MaCL or an Absolute Credit limit. The collateral not used following the cap is stocked in this field. Displayed format is: Max. XX characters.
SCL	Shows the Suggested Credit Line Displayed format is: Max. XX characters.
Pool in Margin Call	Shows whether the Pool is subject to a Margin Call Displayed format is: "YES" or "NO"
Is Valuated	Flag to show whether the Pool is valuated. Shows if the position was successfully valuated or not during the valuation process. Displayed format is: "YES" or "NO"
Is Locked	Flag to show whether the Pool is locked. Displayed format is: "YES" or "NO"
ELA	
Total ELA Collateral Value	Shows the Total ELA Pool Collateral value Displayed format is: Max. XX characters.
Total ELA Credit Value	Shows the Total ELA Credit value Displayed format is: Max. XX characters.
Counterparty CLM Cash Account	Shows Counterparty CLM Cash Account linked to the Pool. Displayed format is: Max. XX characters.
Update Date	Shows the Updated Date of the Position Displayed format is: DD/MM/YYYY hh:mm:ss:µs
Updater User Id	Shows the Updater User Id Displayed format is: Max. XX characters.
After selecting a record, the following buttons are displayed	
Button	Description
View	This function enables you to access to the detailed information of a Pool Overview. Next screen: <i>Pool Overview – Details Screen.</i>

3.5.1.1.1 Pool Overview – Details Screen

Context of Usage	This screen displays the details of the Pool View for a selected Pool.
Screen Access	– Collateral and Credit >> Pool Overview >> Pool Overview >> Click on the search button (after inputting the relevant fields, if needed) >> Select a Pool >> Click on the View button

Screenshot

List Pools Overview > View: Pool

POOL GENERAL DETAILS

Pool Identifier POOL09999990135	Pool Reference POOLREFERENCES	Counterparty FR18129	RTGS ACCESS No
Counterparty CLM Main Cash Account BSAEFPFAC1	NCB CLM Main Cash Account CBXXTC001X	Contingency Cash Account ---	CB Contingency Cash Account ---
Absolute Credit Limit 0	NCB MaCL 0	Counterparty Maximum Credit Line Value 0	

LAST MODIFICATION DETAILS

Update Date 16/05/2022 14:46:42:273	Modification Reason Netting FIXED TERM DEPOSIT DECREASE	Last Movement Details POOLMVT00084682	Amount -1388.89
Pool Variation Amount 1388.89	MCL Online 0	CP Online 0	App Reference POOLPOS00074915
Position Date 18/05/2022	Last Cash Collateral Interest Calcul Date	Last Cash Collateral Mobilization Date	

POOL POSITIONS

COLLATERAL

Marketable Asset Collateral

EXPOSURE

Credit Freezing

COLLATERAL

Marketable Asset Collateral	DCBM Collateral	0	0
Domestic Collateral	Cross Border Collateral	0	0
Emergency foreign collateral	Total Eligible Marketable Asset	0	0

EXPOSURE

Credit Freezing

Total Credit Freezing
60000000

EURO OMO

Total OMO in EURO
0

Total OMO in EURO Margin
0

Total OMO in EURO Interest
0

Non EURO OMO

Total OMO in Currency
0

Total OMO in Currency Margin
0

Total OMO in Currency Interest
0

Marginal Lending Facility

Total Marginal Lending
0

Total Marginal Lending Interest
0

Cash Collateral Positions

Fixed Term Deposit

Total FTD used as Collateral
10000000

Total FTD used as Collateral Interest
27777.78

TRIPARTY COLLATERAL

Total Triparty Collateral
0

Credit Claims

Domestic Credit Claim	Domestic ACC	0	0
Cross Border Credit Claim	Cross Border ACC	0	0
Total Credit Claim		0	

Total Collateral

Total Collateral Operations
10000000

Relative Credit Limit
0

Total Collateral

Total Collateral Operations
10002777.78

Relative Credit Limit
0

Total Collateral affected to OMO
0

Total Collateral Value
10002777.78

CREDIT LINE DETAILS

SCL	ECL	RCL	Maximum Credit Line value
4902777.78	0	0	0
Excess ECL	Deficit Econs	COLLATERAL EXCESS	Credit Line Details
0	0	0	

MARGIN CALL DETAILS

Pool In Margin Call No	Margin Call Date	Margin Call Value	Margin Call Reason

Activation Details

FootPrint

Pool Overview – Details screen	
Field	Description
Pool Position Details	
Pool Identifier	Shows the unique identifier of the Pool provided by the system Displayed format is: Max. 16 characters.
Pool Reference	Shows the reference of the Pool given by the refinancing NCB. Displayed format is: Max. 255 characters.
Counterparty RIAD Id	Shows the unique RIAD identifier of the Counterparty Displayed format is: Max. XX characters.
Counterparty BIC	Shows the Counterparty BIC code to whom the Pool belongs Displayed format is: Max. XX characters.
Counterparty Name	Shows the Name of the Counterparty to whom the Pool belongs Displayed format is: Max. XX characters.
Counterparty Blocked Status	Shows the Counterparty Blocked Status. In case the Counterparty Blocked Status is “YES” and the Projection Date is between the Blocked Start Date and the Blocked End Date, the field has “Blocked”, otherwise blank. Displayed format is: Max. XX characters
CLM Access	Flag indicating use of CLM Either “YES” or “NO”
Counterparty CLM Main Cash Account	Shows the linked Counterparty CLM Main Cash Account Displayed format is: Max. XX characters.
NCB CLM Main Cash Account	Shows the linked NCB CLM Main Cash Account Displayed format is: Max. XX characters.
Relative Credit Limit	Shows the Relative Credit Limit Displayed format is: Max. XX characters.
Absolute Credit Limit	Shows the Absolute Credit Limit Displayed format is: Max. XX characters.
NCB MaCL	Shows the NCB Maximum Credit Limit Displayed format is: Max. XX characters.
Counterparty MaCL	Shows the Counterparty Maximum Credit Limit Displayed format is: Max. XX characters.
Position Date	Shows the Position Date of the Pool Displayed format is: DD/MM/YYYY hh:mm:ss:µs
Last Modification Details	
Update Date	Shows the Updated Date of the Pool Displayed format is: DD/MM/YYYY hh:mm:ss:µs
Modification Reason	Shows the event that has resulted in the update of the Pool Position. Possible values:

	<ul style="list-style-type: none"> - Add Cash Collateral - Cancel Credit Freezing - Cash Collateral Interest - Cash Collateral Interest Decrease - Cash Collateral Interest Increase - Cash Collateral Out - Collateral Settlement - Credit Freezing Decrease - Credit Freezing Increase - Delivery of Collateral <p>Displayed format is: Max. XX characters.</p>
Last Move-ment Details	Shows the details on the event that has resulted in the update of the Pool Position. Displayed format is: Max. XX characters.
Last Move-ment Amount	Shows the amount of the Last Movement. Displayed format is: Max. XX characters.
Pool Variation Amount	Shows the amount of the Pool Variation. Displayed format is: Max. XX characters.
MCL Online	Shows the amount of the Modify Credit Line. Displayed format is: Max. XX characters.
CP Online	Shows the amount of the Connected Payment. Displayed format is: Max. XX characters.
Application Reference Number	Shows the application reference that uniquely identifies the instruction. Displayed format is: Max. XX characters.
Position Date	Shows the Position Date of the Pool Displayed format is: DD/MM/YYYY hh:mm:ss:µs
Last Cash Col-lateral Interest Calcul Date	Shows the Last Cash Collateral Interest Calculation Date Displayed format is: DD/MM/YYYY hh:mm:ss:µs
Last Cash Col-lateral Mobil-isation Date	Shows the Last Cash Collateral Mobilisation Date Displayed format is: DD/MM/YYYY hh:mm:ss:µs
Collateral Details	
Domestic Eli-gible Market-able Asset	Shows the Domestic Eligible Marketable Asset value. Displayed format is: Max. XX characters.
CCBM Eligible Marketable Asset	Shows the CCBM Eligible Marketable Asset value. Displayed format is: Max. XX characters.
Emergency Eligible Mar-ketable Asset	Shows the Emergency Eligible Marketable Asset value. Displayed format is: Max. XX characters.

Total Market-able Assets Collateral	Shows the Total Mobilised Marketable Assets Collateral value. Displayed format is: Max. XX characters.
Total Domestic Standard Credit Claims	Shows the Total Domestic Standard Credit Claims value Displayed format is: Max. XX characters.
Total CCBM Standard Credit Claims	Shows the Total CCBM Standard Credit Claims value Displayed format is: Max. XX characters.
Total Domestic Additional Credit Claims	Shows the Total Domestic Additional Credit Claims value Displayed format is: Max. XX characters.
Total CCBM Additional Credit Claims	Shows the Total CCBM Additional Credit Claims value Displayed format is: Max. XX characters.
Total Extern-ally Managed Credit Claims	Shows Total Externally Managed Credit Claims value Displayed format is: Max. XX characters.
Total Extern-ally Managed Collateral apart from Credit Claims	Shows the Total Externally Managed Collateral apart from Credit Claims value Displayed format is: Max. XX characters.
Total Extern-ally Managed Additional Credit Claims	Shows Total Externally Managed Additional Credit Claims Displayed format is: Max. XX characters.
Total Credit Claims	Shows Total Credit Claims value Displayed format is: Max. XX characters.
Total Triparty Collateral	Shows the Total Triparty Collateral value Displayed format is: Max. XX characters.
Total Cash Collateral	Shows Total mobilised Cash Collateral value Displayed format is: Max. XX characters.
Total Fixed-Term Deposit used as Collateral	Shows the Total Fixed-Term Deposit (FTD) collateral value Displayed format is: Max. XX characters.
Total Interest for Fixed-Term Deposit used as Collateral	Shows the Total Interest Fixed-Term Deposit (FTD) used as collateral value Displayed format is: Max. XX characters.
Total Collateral affected to OMO	Total Collateral affected to OMO value = sum of the Collateral affected to OMO Displayed format is: Max. XX characters.
Relative Credit Limit in per-centage (%)	Relative Credit Limit in percentage (%) Displayed format is: Max. XX characters.

Total Collateral Value before Relative Credit Limit	Shows Total Collateral Value before Relative Credit Limit Displayed format is: Max. XX characters.
Total Collateral Value	Shows Total Collateral Value after application of the Relative Credit Limit Displayed format is: Max. XX characters.
Collateral Ratio	Collateral Ratio = Total Collateral / Total Collateral Used (Open Market Operations + Marginal Lending operations + Credit Freezing operations) * 100 Displayed format is: Max. XX characters.
Credit Details	
Total Credit Freezing	Shows Total Credit Freezing Displayed format is: Max. XX characters.
Total Open Market Credit Operation (Euro)	Shows Total Open Market Credit Operations (OMO) – Euro value Segregated by type of operations and operation Displayed format is: Max. XX characters.
Total Open Market Credit Operation Margin (Euro)	Shows Total Open Market Credit Operations (OMO) Margin – Euro value Segregated by type of operations and operation Displayed format is: Max. XX characters.
Total Open Market Credit Operation Interest (Euro)	Shows Total Open Market Credit Operations (OMO) Interest – Euro value Segregated by type of operations and operation Displayed format is: Max. XX characters.
Total Open Market Credit Operation (non-Euro)	Shows Total Open Market Credit Operations (OMO) – non-Euro value Segregated by type of operations and operation Displayed format is: Max. XX characters.
Total Open Market Credit Operation Margin (non-Euro)	Shows Total Open Market Credit Operations (OMO) Margin – non-Euro value Segregated by type of operations and operation Displayed format is: Max. XX characters.
Total Open Market Credit Operation Interest (non-Euro)	Shows Total Open Market Credit Operations (OMO) Interest – non-Euro value Segregated by type of operations and operation Displayed format is: Max. XX characters.
Total Fixed-Term Deposit not used as Collateral	Shows the Total Fixed-Term Deposit (FTD) not used as Collateral value Displayed format is: Max. XX characters.
Total Interest for Fixed-Term Deposit not used as Collateral	Shows the Total Interest Fixed-Term Deposit (FTD) not used as Collateral value Displayed format is: Max. XX characters.

Total MLOR	Shows Total MLOR Displayed format is: Max. XX characters.
Total MLOR Interest	Shows Total MLOR Interest Displayed format is: Max. XX characters.
Total AML	Shows Total AML Displayed format is: Max. XX characters.
Total AML Interest	Shows Total AML Interest Displayed format is: Max. XX characters.
Total Credit	Shows sum of the Total Credit Displayed format is: Max. XX characters.
Credit Line Details	
Suggested Credit Line	Shows Suggested Credit Line (SCL) Displayed format is: Max. XX characters.
Expected Credit Line	Shows Expected Credit Line (ECL) Displayed format is: Max. XX characters.
Real Credit Line	Shows Real Credit Line (RCL) Displayed format is: Max. XX characters.
NCB Maximum Credit Line Value	Shows Optional Maximum Credit line value authorised set by the Refinancing Central Bank Displayed format is: Max. XX characters.
Counterparty Maximum Credit Line Value	Shows Optional Maximum Credit line value set by the Counterparty Displayed format is: Max. XX characters.
Amount of Absolute Credit Limit	In case the NCB has set an Absolute Limit as a discretionary measure Displayed format is: Max. XX characters.
Result	Shows Description of the result: "Sufficient collateral" or "Insufficient collateral" Displayed format is: Max. XX characters
Margin Call Details	
Pool in Margin Call	Shows the Pool in the Margin Call Displayed format is: Max. XX characters.
Total Pool Deficit	Shows the Total Pool Deficit Displayed format is: Max. XX characters.
Margin Call Date & Time	Shows the Date/hour of the Margin Call. Displayed Format is: YYYYMMDD hh:mm:ss:µs
Margin Call Value	Value of the Margin Call Displayed format is: Max. XX characters.
Margin Call Reason	Shows the reason of the Margin Call Displayed format is: Max. XX characters.
Activation details	
Is Active	Shows whether the Party is active or not. The possible values are:

	<ul style="list-style-type: none"> - YES - NO
(De)Activation Reason	Shows the reason informed when the Party was (de)activated.
Validity Start Date	Shows the date from which the Party is active. Displayed format is: DD/MM/YYYY. If the Party is not active (Is Active field = "NO"), this field is empty.
Validity End Date	Shows the date from which the Party is closed. Displayed format is: DD/MM/YYYY. The Validity End Date must be equal to or later than the current date and later than the Validity Start Date. If the Party is active, this field is empty.
Footprint	
Creation Date	Shows the date and time of the creation. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Creation User Id	Shows the identification of the user that created.
Update Date	Shows the date and time of the update. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Updater User Id	Shows the identification of the user that made the last update.

Section Button	Description
Credit Freezing Position	Section to view the list of the Credit Freezing Position of the Pool.
Securities Position Details	Section to view the list of the Securities Position of the Pool.
EMC Position	Section to view the list of the EMC Position of the Pool.
Cash Collateral Positions	Section to view the list of the Cash Collateral Position of the Pool.
FTD Positions	Section to view the list of the FTD Positions of the Pool.
Credit Claims Positions	Section to view the list of the Credit Claims Position of the Pool.
Triparty Securities Positions	Section to view the list of the Triparty Securities Position of the Pool.
Credit Line Details	Section to view the list of the Credit Line Details of the Pool.
Credit Freezing Position List - Tab	
Field	Description
Process Reference	Shows the Process Reference. Displayed format is: Max. XX characters.
Pool Id	Shows the identifier that uniquely identifies the Pool. Displayed format is: Max. 16 characters.

Counterparty RIAD Id	Shows the unique RIAD identifier of the Counterparty Displayed format is: Max. XX characters.
Position Date	Shows the Position Date of the Pool Displayed format is: DD/MM/YYYY
Validity Date	Shows the Validity Date of the Pool Displayed format is: DD/MM/YYYY
Position Status	Shows the status of the Position.
Credit Freezing Type	Shows the Credit Freezing Type. Displayed format is: Max. XX characters.
Amount	Shows the amount. Displayed format is: Max. XX characters.
Currency	Shows "EUR".
Creation Date	Shows the Creation Date of the Pool Displayed format is: DD/MM/YYYY hh:mm:ss:µs
Update Date	Shows the Update Date of the Pool Displayed format is: DD/MM/YYYY hh:mm:ss:µs
After selecting a record, the following buttons are displayed	
Button	Description
View	This function enables you to access to the detailed information of a Credit Freezing Position. Next screen: <i>Credit Freezing Position View – Details Screen.</i>
Credit Freezing Position View – Details Screen	
Field	Description
Position Date	Shows the Position Date of the Pool Displayed format is: DD/MM/YYYY
Cash Position Id	Shows the Cash Position Id of the Credit Freezing Position. Displayed format is: Max. XX characters.
Amount	Shows the amount. Displayed format is: Max. XX characters.
Being Cancelled	Shows whether the Position is cancelled or not from the possible values: – YES – NO
Pool Id	Shows the identifier that uniquely identifies the Pool. Displayed format is: Max. 16 characters.
Position Owner Type	Shows the Position Owner Type. Displayed format is: Max. XX characters.
Position Nature	Shows the nature of the Position from the possible values: – Initiation – Return
Position Status	Shows the status of the Position.

	Displayed format is: Max. XX characters.
Position Type	Shows the Position Type. Displayed format is: Max. XX characters.
Process Reference	Shows the Process Reference. Displayed format is: Max. XX characters.
Adjustment Rate	Shows the Adjustment Rate.
Amount	Shows the amount.
Currency	Shows "EUR".
Counterparty RIAD Id	Shows the unique RIAD identifier of the Counterparty Displayed format is: Max. XX characters.
Convertible	Shows if the Position is convertible from the possible values: – YES – NO
Conversion Failure Reason	Shows if the reasons for the conversion failure, if this is the case.
Validity Date	Shows the Validity Date of the Pool Displayed format is: DD/MM/YYYY hh:mm:ss:µs
FTD Identifier	Shows the FTD Identifier. Displayed format is: Max. XX characters.
FX	Shows the FX, if this is the case. Displayed format is: Max. XX characters.
FX Date	Shows the FX Date of the Pool, if this is the case. Displayed format is: DD/MM/YYYY
Is Converted	Shows if the Position is converted from the possible values: – YES – NO
Is Direct FX	Shows if the Position is Direct FX from the possible values: – YES – NO
Margin Amount	Shows the Margin amount.
Margin Rate	Shows the Margin Rate.
Movement Reference	Shows the Movement Reference. Displayed format is: Max. XX characters.
Original Currency	Shows Original Currency.
Process Valuation Type	Shows Process Valuation Type.
Search Date	Shows the Search Date of the Pool Displayed format is: DD/MM/YYYY hh:mm:ss:µs
Simulation Id	Shows the Simulation Id of the Credit Freezing Position.

	Displayed format is: Max. XX characters.
Valuation Failure Reason	Shows the Valuation Failure Reason of the Credit Freezing Position Displayed format is: Max. XX characters.
Value Date	Shows the Value Date of the Pool Displayed format is: DD/MM/YYYY hh:mm:ss:µs
Footprint	
Creation Date	Shows the date and time of the creation. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Creation User Id	Shows the identification of the user that created.
Update Date	Shows the date and time of the update. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Updater User Id	Shows the identification of the user that made the last update.
Securities Position Details - Tab	
Field	Description
Security	Shows the ISIN of the Security Displayed format is: Max. XX characters.
Quantity	Shows the quantity of the Security Displayed format is: Max. XX characters.
Value	Shows the value of the Security. Displayed format is: Max. XX characters.
Is Eligible	Shows if the asset is Eligible or not from the possible values: – YES – NO
Is Matured	Shows if the asset is matured or not from the possible values: – YES – NO
Subject to DL	Shows if the asset is matured or not from the possible values: – YES – NO
Subject to CL	Shows if the asset is matured or not from the possible values: – YES – NO
Position Date	Shows the Position Date of the Pool Displayed format is: DD/MM/YYYY
Place of Settlement	Shows the CSD of the Place of Settlement Displayed format is: Max. XX characters.
Borrower Nostro Security Account	Shows the Borrower Nostro Security Account in the CSD Displayed format is: Max. XX characters.
After selecting a record, the following buttons are displayed	

Button	Description
View	This function enables you to access to the detailed information of a Securities Position. Next screen: <i>Securities Position View – Details Screen.</i>
Securities Position View – Details Screen	
Field	Description
Position Date	Shows the Position Date of the Pool Displayed format is: DD/MM/YYYY
Amount	Shows the amount. Displayed format is: Max. XX characters.
Position Owner Type	Shows the Position Owner Type. Displayed format is: Max. XX characters.
Position Nature	Shows the nature of the Position from the possible values: – Initiation – Return
Position Status	Shows the status of the Position. Displayed format is: Max. XX characters.
Position Type	Shows the Position Type. Displayed format is: Max. XX characters.
Process Reference	Shows the Process Reference. Displayed format is: Max. XX characters.
Value	Shows the value.
Currency	Shows “EUR”.
Counterparty RIAD Id	Shows the unique RIAD identifier of the Counterparty Displayed format is: Max. XX characters.
Footprint	
Creation Date	Shows the date and time of the creation. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Creation User Id	Shows the identification of the user that created.
Update Date	Shows the date and time of the update. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Updater User Id	Shows the identification of the user that made the last update.
External Managed Position Details - Tab	
Field	Description
Process Reference	Shows the Process Reference. Displayed format is: Max. XX characters.
Pool Id	Shows the identifier that uniquely identifies the Pool. Displayed format is: Max. 16 characters.

Counterparty RIAD Id	Shows the unique RIAD identifier of the Counterparty Displayed format is: Max. XX characters.
Position Date	Shows the Position Date of the Pool – Displayed format is: DD/MM/YYYY
Validity Date	Shows the Validity Date of the Pool – Displayed format is: DD/MM/YYYY
Position Status	– Shows the status of the Position.
Credit Freezing Type	Shows the Credit Freezing Type. – Displayed format is: Max. XX characters.
Amount	Shows the amount. Displayed format is: Max. XX characters.
Currency	Shows “EUR”.
Creation Date	Shows the Creation Date of the Pool Displayed format is: DD/MM/YYYY hh:mm:ss:µs
After selecting a record, the following buttons are displayed	
Button	Description
View	This function enables you to access to the detailed information of an Externally Managed Collateral Position. Next screen: <i>Externally Managed Collateral Position View – Details Screen.</i>
Externally Managed Collateral Position View – Details Screen	
Field	Description
Position Date	Shows the Position Date of the Pool Displayed format is: DD/MM/YYYY
Amount	Shows the amount. Displayed format is: Max. XX characters.
Position Owner Type	Shows the Position Owner Type. Displayed format is: Max. XX characters.
Position Nature	Shows the nature of the Position from the possible values: – Initiation – Return
Position Status	Shows the status of the Position. Displayed format is: Max. XX characters.
Position Type	Shows the Position Type. Displayed format is: Max. XX characters.
Process Reference	Shows the Process Reference. Displayed format is: Max. XX characters.
Value	Shows the value.
Currency	Shows “EUR”.
Counterparty RIAD Id	Shows the unique RIAD identifier of the Counterparty Displayed format is: Max. XX characters.

Footprint	
Creation Date	Shows the date and time of the creation. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Creation User Id	Shows the identification of the user that created.
Update Date	Shows the date and time of the update. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Updater User Id	Shows the identification of the user that made the last update.
Cash Collateral Position Details - Tab	
Field	Description
Pool Id	Shows the identifier that uniquely identifies the Pool. Displayed format is: Max. 16 characters.
Position Type	Shows the Position Type, value of Cash Collateral
Position Date	Shows the Position Date of the Pool Displayed format is: DD/MM/YYYY
Actual Position	Shows the Actual Position Displayed format is: Max. XX characters.
Provisional Position	Shows the Provisional Position Displayed format is: Max. XX characters.
Conservative Position	Shows the Conservative Position Displayed format is: Max. XX characters.
After selecting a record, the following buttons are displayed	
Button	Description
View	This function enables you to access to the detailed information of a Cash Collateral Position. Next screen: <i>Cash Collateral Position View – Details Screen.</i>
Cash Collateral Position View – Details Screen	
Field	Description
Actual Position	Shows the Actual Position Displayed format is: Max. XX characters.
Provisional Position	Shows the Provisional Position Displayed format is: Max. XX characters.
Conservative Position	Shows the Conservative Position Displayed format is: Max. XX characters.
Pool Id	Shows the identifier that uniquely identifies the Pool. Displayed format is: Max. 16 characters.
Position Date	Shows the Position Date of the Pool Displayed format is: DD/MM/YYYY
Position Type	Shows the Position Type. Displayed format is: Max. XX characters.

Validity Date	Shows the Validity Date of the Pool Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
FTD Position Details - Tab	
Field	Description
Pool Id	Shows the identifier that uniquely identifies the Pool. Displayed format is: Max. 16 characters.
Position Type	Shows the Position Type, value of FTD
Position Date	Shows the Position Date of the Pool Displayed format is: DD/MM/YYYY
Actual Position	Shows the Actual Position Displayed format is: Max. XX characters.
Provisional Position	Shows the Provisional Position Displayed format is: Max. XX characters.
Conservative Position	Shows the Conservative Position Displayed format is: Max. XX characters.
After selecting a record, the following buttons are displayed	
Button	Description
View	This function enables you to access to the detailed information of a FTD Position. Next screen: <i>FTD Position View – Details Screen.</i>
FTD Position View – Details Screen	
Field	Description
Actual Position	Shows the Actual Position Displayed format is: Max. XX characters.
Provisional Position	Shows the Provisional Position Displayed format is: Max. XX characters.
Conservative Position	Shows the Conservative Position Displayed format is: Max. XX characters.
Pool Id	Shows the identifier that uniquely identifies the Pool. Displayed format is: Max. 16 characters.
Position Date	Shows the Position Date of the Pool Displayed format is: DD/MM/YYYY
Position Type	Shows the Position Type. Displayed format is: Max. XX characters.
Validity Date	Shows the Validity Date of the Pool Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Credit Claims Position Details - Tab	
Field	Description
Pool Id	Shows the identifier that uniquely identifies the Pool. Displayed format is: Max. 16 characters.

Position Type	Shows the Position Type, value of Credit Claims
Position Date	Shows the Position Date of the Pool Displayed format is: DD/MM/YYYY
Actual Position	Shows the Actual Position Displayed format is: Max. XX characters.
Provisional Position	Shows the Provisional Position Displayed format is: Max. XX characters.
Conservative Position	Shows the Conservative Position Displayed format is: Max. XX characters.
After selecting a record, the following buttons are displayed	
Button	Description
View	This function enables you to access to the detailed information of a Credit Claims Position. Next screen: <i>Credit Claims Position View – Details Screen.</i>
Credit Claims Position View – Details Screen	
Field	Description
Credit Claim Reference	Shows the Reference given by the Counterparty
Credit Claim Id	Shows the Eurosystem reference given by the ECMS
Credit Claim Type	Shows the Type of Credit Claim. Possible values: – CC – ACC
Cross-Border indicator	Shows whether the Credit Claims is Cross-Border. Possible values: Y or N
Counterparty RIAD code	Shows the RIAD code of the Counterparty
Account Identifier	Shows the Identifier of the account in which the CC are managed
Debtor Ref	Reference of the debtor known by the Counterparty
Debtor RIAD Code	RIAD code of the debtor
Debtor Name	Name of the debtor
Guarantor Ref	Reference of the guarantor known by the Counterparty
Maturity Date	Maturity date of the Credit claims
Outstanding Amount	Outstanding amount of the Credit claim
Actual Position	Shows the Actual Position Displayed format is: Max. XX characters.
Provisional Position	Shows the Provisional Position Displayed format is: Max. XX characters.

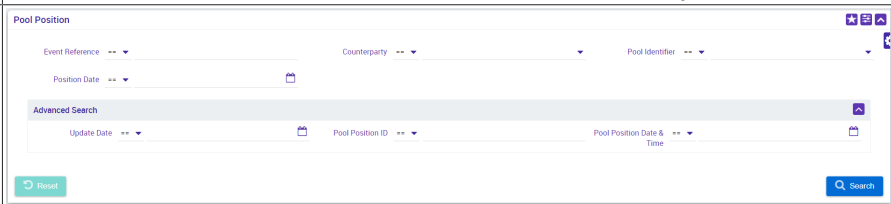
Conservative Position	Shows the Conservative Position Displayed format is: Max. XX characters.
Pool Id	Shows the identifier that uniquely identifies the Pool. Displayed format is: Max. 16 characters.
Position Date	Shows the Position Date of the Pool Displayed format is: DD/MM/YYYY
Position Type	Shows the Position Type. Displayed format is: Max. XX characters.
Validity Date	Shows the Validity Date of the Pool Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Triparty Securities Position Details - Tab	
Field	Description
Triparty Transaction Reference	Shows the Triparty Transaction Reference Displayed format is: Max. XX characters.
Position Date	Shows the Position Date of the Pool Displayed format is: DD/MM/YYYY
Security	Shows the ISIN of the Security Displayed format is: Max. XX characters.
Counterparty RIAD Id	Shows the unique RIAD identifier of the Counterparty Displayed format is: Max. XX characters.
MI Position Owner	Shows the MI Position Owner Displayed format is: Max. XX characters.
Position Nature	Shows the nature of the Position from the possible values: – Initiation – Return
Position Status	Shows the status of the Position. Displayed format is: Max. XX characters.
Position Type	Shows the Position Type, value of Triparty
Quantity	Shows the quantity of the Position. Displayed format is: Max. XX characters.
Value	Shows the value of the Position. Displayed format is: Max. XX characters.
Currency	Shows the currency of the Position. Displayed format is: Max. XX characters.
Triparty Model	Shows the Triparty Model of the Position. Displayed format is: Max. XX characters.
Price	Shows the Price of the Position. Displayed format is: Max. XX characters.
Is Eligible	Shows if the asset is Eligible or not from the possible values: – YES

	- NO
Is Matured	Shows if the asset is matured or not from the possible values: - YES - NO
Is Valuated	Shows if the asset is valuated or not from the possible values: - YES - NO
Validity Date	Shows the Validity Date of the Pool Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
After selecting a record, the following buttons are displayed	
Button	Description
View	This function enables you to access to the detailed information of a Triparty Securities Position. Next screen: <i>Triparty Securities Position View – Details Screen.</i>
Triparty Securities Position View – Details Screen	
Field	Description
Pool Id	Shows the identifier that uniquely identifies the Pool. Displayed format is: Max. 16 characters.
Position Date	Shows the Position Date of the Pool Displayed format is: DD/MM/YYYY
Position Type	Shows the Position Type. Displayed format is: Max. XX characters.
Validity Date	Shows the Validity Date of the Pool Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Credit Line Position Details - Tab	
Field	Description
Transaction Reference	Shows the Transaction Reference. Displayed format is: Max. XX characters.
Counterparty RIAD Id	Shows the unique RIAD identifier of the Counterparty Displayed format is: Max. XX characters.
Pool Id	Shows the identifier that uniquely identifies the Pool. Displayed format is: Max. 16 characters.
Payment Type	Shows the Payment Type, possible values: - MCL - Connected Payment
Payment Amount	Shows the payment amount. Displayed format is: Max. XX characters.
Currency	Shows the currency. Displayed format is: Max. XX characters.

ECMS Reference	Shows the identifier that uniquely identifies the Credit Line Instruction. Displayed format is: Max. XX characters.
ECMS Description	Shows the description that identifies event underlying the Credit Line Instruction. Displayed format is: Max. XX characters.
Status	Shows the status of the Credit Line Instruction. Displayed format is: Max. XX characters.
Update Date	Shows the Update Date of the Credit Line Instruction Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Creation Date	Shows the Creation Date of the Credit Line Instruction Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
After selecting a record, the following buttons are displayed	
Button	Description
View	This function enables you to access to the detailed information of a Credit Line Position. Next screen: <i>Credit Line Position View – Details</i> Screen.
Credit Line Position View – Details Screen	
Field	Description
Transaction Reference	Shows the Transaction Reference. Displayed format is: Max. XX characters.
Pool Id	Shows the identifier that uniquely identifies the Pool. Displayed format is: Max. 16 characters.
Counterparty RIAD Id	Shows the unique RIAD identifier of the Counterparty Displayed format is: Max. XX characters.
Counterparty Cash Account	Shows the Counterparty Cash Account of the Instruction Displayed format is: Max. XX characters.
NCB Cash Account	Shows the NCB Cash Account of the Instruction Displayed format is: Max. XX characters.
Payment Type	Shows the Payment Type, possible values: – MCL – Connected Payment
New Credit Line Amount	Shows the New Credit Line Amount Displayed format is: Max. XX characters.
Credit Line Amount Variation	Shows the Credit Line Amount Variation Displayed format is: Max. XX characters.
Payment Amount	Shows the Payment Amount Displayed format is: Max. XX characters.
Status	Shows the status of the Credit Line Instruction. Displayed format is: Max. XX characters.

ECMS Reference	Shows the identifier that uniquely identifies the Credit Line Instruction. Displayed format is: Max. XX characters.
ECMS Description	Shows the description that identifies event underlying the Credit Line Instruction. Displayed format is: Max. XX characters.
Update Date	Shows the Update Date of the Pool Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Updater User Id	Shows the identification of the user that made the last update.

3.5.1.2 Pool Position History – Search Screen

Context of Usage	This screen contains a number of search fields and shows the latest and stored searches. By inputting the relevant data, you can search for a specific Pool. The search results will be displayed in a list. After selecting an entry, you can proceed further by clicking on the buttons that are shown.
Screen Access	Pool >> Positions >> Pool Position >> Pool Position History
Screenshot	

Pool Position History – Search screen	
Field	Description
Event Reference	Enter the event reference of the Pool Position
Counterparty	Enter/Select the unique RIAD identifier of the Counterparty
Pool Identifier	Enter/Select the unique identifier of the Pool provided by the system Required format is: Max. 16 characters.
Position Date	Enter/Select the Business Date of the Pool Position. Either the current Business Date or a Past Business Date. The calendar icon can also be used. Required format is: DD/MM/YYYY
Update Date	Enter/Select the Update Date of the Pool Position. Either the current Business Date or a Past Business Date. The calendar icon can also be used. Required format is: DD/MM/YYYY hh:mm:ss:sss
Pool Position ID	Enter/Select the unique identification of the Pool Position.
Pool Position Date and Time	Enter/Select the Pool Position Date and Time. Either the current Business Date or a Past Business Date. The calendar icon can also be used. Required format is: DD/MM/YYYY hh:mm:ss:sss

Button	Function
Reset	This function enables you to clear the form.
Search	This function enables you to start a search according to the filled in criteria. The results are displayed in a list on the List Screen. Next screen: <ul style="list-style-type: none"> Pool Position History – List Screen.

3.5.1.2.1 Pool Position History – List screen

Context of Usage	This screen contains the search results of the Pool position history displayed in a list. After selecting an entry, you can proceed further by clicking on the buttons below.
Screen Access	Pool >> Positions >> Pool Position >> Pool Position History >> Click on the <i>search</i> button (after inputting the relevant fields, if needed)
Screenshot	<p>The screenshot shows a search result for 'Pool Position' with a table containing 10 columns and 10 rows of data. The columns are: Pool Position, Counterpart, Position Date, Event Reference, Modification, Pool Variation, Total Collateral, Total Credit, COLLATERAL, Pool In Margin Call, SCL, ECL, RCL, Excess ECL, Update User, and Update Date. The data rows show various pool positions with their respective values and dates.</p>

Pool Position History – List screen	
Field	Description
Pool Position ID	Show the unique identifier of the Pool position
Counterparty	Shows the unique RIAD identifier of the Counterparty
Position Date	Shows the Date of the Position Displayed format is: DD/MM/YYYY
Event Reference	Shows the unique event reference of the Pool Position.
Modification Reason	Shows the modification reason that could be a (Credit Claim Valuation) or a (Valuation Event)
Pool Variation Amount	Shows the variation of the pool amount between different events
Total Collateral Value	Shows the value of the total of the collateral
Total Credit	Shows the total credit value
COLLATERAL EXCESS	Show the Collateral excess value when the pool is in margin call
Pool in Margin Call	Shows whether the Pool is subject to a Margin Call Displayed format is: "YES" or "NO"
SCL	Shows the Suggested Credit Line value
ECL	Shows the Expected Credit Line value
RCL	Shows the Real Credit Line value
Excess ECL	Shows the excess of the Expected Credit Line value

Updater User Id	Shows the Updater User Id
Update Date	Shows the Updated Date of the Position Displayed format is: DD/MM/YYYY hh:mm:ss:µs
After selecting a record, the following buttons are displayed	
Button	Description
View	This function enables you to access to the detailed information of a Pool Position History. Next screen: <i>Pool Position History – Details Screen.</i>

3.5.1.2.1.1 Pool Position History – Details Screen

Context of Usage	This screen displays the details of the Pool Position History for a selected Pool.
Screen Access	– Pool >> Positions >> Pool Position >> Pool Position History >> Click on the search button (after inputting the relevant fields, if needed)
Screenshot	

Pool Position History – Details screen	
Field	Description
Pool Position History Details	
Counterparty	Shows the unique RIAD identifier of the Counterparty
Counterparty BIC	Shows the Counterparty BIC code to whom the Pool belongs

Counterparty Name	Shows the Name of the Counterparty to whom the Pool belongs
Pool Identifier	Shows the unique identifier of the Pool provided by the system Displayed format is: Max. 16 characters.
Pool Reference	Shows the unique identifier of the Pool given by the refinancing NCB. Displayed format is: Max. 255 characters.
Position Date	Shows the Position Date of the Pool Displayed format is: DD/MM/YYYY
Pool Position Date & Time	Shows the Position Date and Time of the Pool Displayed format is: DD/MM/YYYY hh:mm:ss:sss
Collateral Details	
Domestic Collateral	Shows the Domestic Collateral value
Domestic Eligible Marketable Asset BHC	Shows the Domestic Eligible Marketable Asset BHC (Before Haircut) value
Domestic Eligible Marketable Asset Nominal	Shows the Domestic Eligible Marketable Asset Nominal value
CCBM Collateral	Shows the CCBM Collateral value
CCBM Eligible Marketable Asset BHC	Shows the CCBM Eligible Marketable Asset BHC (Before Haircut) value
CCBM Eligible Mktb Asset Nominal	Shows the CCBM Eligible Mktb Asset Nominal value
Emergency foreign collateral	Shows the Emergency foreign collateral value
Emergency Eligible Marketable Asset BHC	Shows the Emergency Eligible Marketable Asset BHC (Before Haircut) value
Emergency Eligible Mktb Asset Nominal	Shows the Emergency Eligible Mktb Asset Nominal value
Cross Border Collateral	Shows the Cross Border Collateral value
Cross Border Collat BHC	Shows the Cross Border Collat BHC (Before Haircut) value
Cross Border Collat Nominal	Shows the Cross Border Collat Nominal value
Total Eligible Marketable Asset	Shows the Total Eligible Marketable Asset value
Total Collateral AMV BHC	Shows the Total Collateral AMV (Asset Marketable Value) BHC (Before Haircut) value
Total Collateral AMV Nominal	Shows the Total Collateral AMV (Asset Marketable Value) Nominal value

Total FTD used as Collateral	Shows the Total FTD used as Collateral value
Total FTD used as Collateral Interest	Shows the Total FTD used as Collateral Interest value
Total Cash Collateral	Shows the Total Cash Collateral value
Credit Claims	Shows the Credit Claims value
Other Collateral	Shows the Other Collateral value
Total Externally Managed Collateral	Shows the Total Externally Managed Collateral value
Domestic Credit Claim	Shows the Domestic Credit Claim value
Domestic Credit Claim BHC	Shows the Domestic Credit Claim BHC (Before Haircut) value
Domestic ACC	Shows the Domestic ACC (Additional Credit Claims) value
Domestic ACC BHC	Shows the Domestic ACC (Additional Credit Claims) BHC (Before Haircut) value
Cross Border Credit Claim	Shows the Cross Border Credit Claim value
Cross Border Credit Claim BHC	Shows the Cross Border Credit Claim BHC (Before Haircut) value
Cross Border ACC	Shows the Cross Border ACC (Additional Credit Claims) value
Cross Border ACC BHC	Shows the Cross Border ACC (Additional Credit Claims) BHC (Before Haircut) value
Total Credit Claim	Shows the Total Credit Claim value
Total Credit Claim BHC	Shows the Total Credit Claim BHC (Before Haircut) value
Total Triparty Collateral	Shows the Total Triparty Collateral value
Total Collateral affected to OMO	Shows the Total Collateral affected to OMO value
Total Collateral Operations	Shows the Total Collateral Operations value
Collateral Ratio	Shows the Collateral Ratio value
Relative Credit Limit Details	
Relative Credit Limit in percentage	Shows the relative credit limit in percentage
Total Collateral Value	Shows the total collateral value
Credit Details	
Total Credit Freezing	Shows the value of the Total Credit Freezing
Total OMO in EURO	Shows the value of the Total OMO in EURO

Total OMO in EURO Margin	Shows the value of the Total OMO in EURO Margin
Total OMO in EURO Interest	Shows the value of the Total OMO in EURO Interest
Total OMO in Currency	Shows the value of the Total OMO in Currency
Total OMO in Currency Margin	Shows the value of the Total OMO in Currency Margin
Total OMO in Currency Interest	Shows the value of the Total OMO in Currency Interest
Total Marginal Lending	Shows the value of the Total Marginal Lending
Total Marginal Lending Interest	Shows the value of the Total Marginal Lending Interest
Total Credit	Shows the value of the Total Credit
Credit Limits	
Counterparty Maximum Credit Line Value	Shows the Counterparty Maximum Credit Line Value
NCB Maximum Credit Line Value	Shows the NCB Maximum Credit Line Value
Amount of Absolute Credit Limit	Shows the Amount of Absolute Credit Limit
Maximum Credit Line value	Shows the Maximum Credit Line value
Credit Line Details	
SCL	Shows the value of the Suggested Credit Line
ECL	Shows the value of the Expected Credit Line
RCL	Shows the value of the Real Credit Line
Result	
Pool in Margin Call	Indicated if the Pool is margin call or not. Format: Yes / No
Margin Call Value	Shows the Margin Call Value

3.5.1.3 Banking Group Pool Position – Search Screen

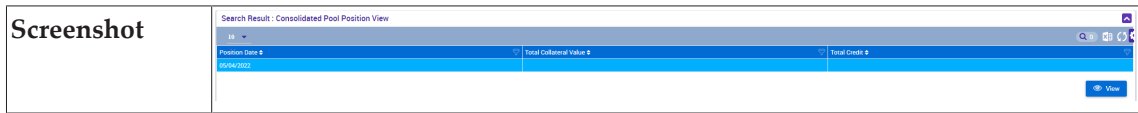
Context of Usage	<p>This screen contains a number of search fields and shows the latest and stored searches. By inputting the relevant data, you can search for a specific Banking Group.</p> <p>The search results will be displayed in a list. After selecting an entry, you can proceed further by clicking on the buttons that are shown.</p> <p>This screen is available in the following cases:</p> <ul style="list-style-type: none"> – The Pool of Accounts can be accessed by the Counterparty designated – as the Manager of the Banking Group and the NCB of the Counterparty – designated as the Manager of the Banking Group;
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	<ul style="list-style-type: none"> - The Single Pool can be accessed by the Counterparty designated as - the Manager of the Banking Group and the NCB of the Counterparty - designated as the Manager of the Banking Group. <p>This function is available only in U2A mode.</p>
Screen Access	Collateral and Credit >> Pool Overview >> Positions >> Pool Position >> Display Consolidated Banking Group Pool Position
Screenshot	

Banking Group Pool Position – Search screen	
Field	Description
Banking Group Name	Enter/Select the Banking Group Name Required format is: Max. XX characters.
Business Date	Enter/Select the Business Date of the Pool Position. Either the current Business Date or a Past Business Date. The calendar icon can also be used. Required format is: DD/MM/YYYY
Button	Function
Reset	This function enables you to clear the form.
Search	This function enables you to start a search according to the filled in criteria. The results are displayed in a list on the List Screen. Next screen: <ul style="list-style-type: none"> • Banking Group Pool Position – List Screen.

3.5.1.3.1 Banking Group Pool Position – List screen

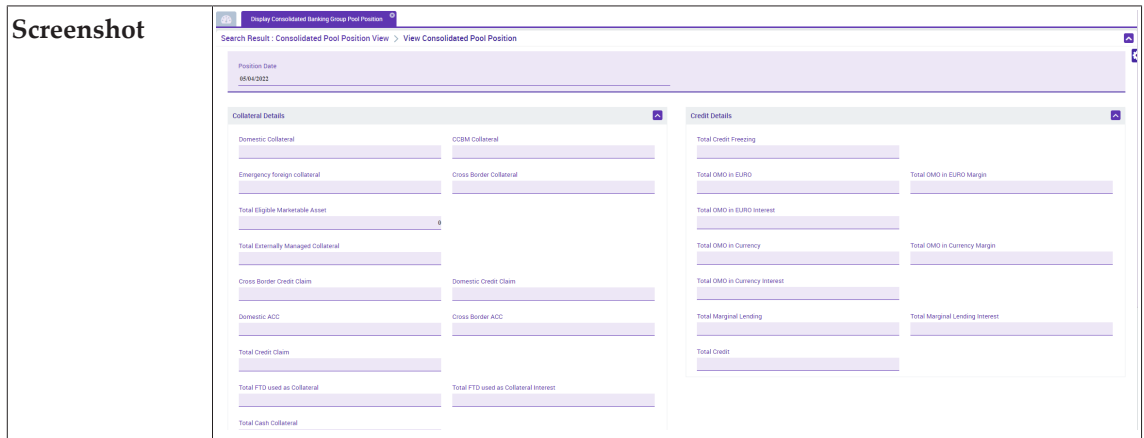
Context of Usage	<p>This screen contains the search results of the Pools belonging to a Banking Group displayed in a list, which is sorted by the values of the 'Pool Id' column in descending order (default setting). After selecting an entry, you can proceed further by clicking on the buttons below.</p> <p>This screen is available in the following cases:</p> <ul style="list-style-type: none"> - The Pool of Accounts can be accessed by the Counterparty designated - as the Manager of the Banking Group and the NCB of the Counterparty - designated as the Manager of the Banking Group; - The Single Pool can be accessed by the Counterparty designated as - the Manager of the Banking Group and the NCB of the Counterparty - designated as the Manager of the Banking Group. <p>This function is available only in U2A mode.</p>
Screen Access	Collateral and Credit >> Pool Overview >> Positions >> Pool Position >> Display Consolidated Banking Group Pool Position >> Click on the <i>search</i> button (after inputting the relevant fields, if needed)



Banking Group Pool Position – List screen	
Field	Description
Banking Group Name	Shows the Banking Group Name Displayed format is: Max. XX characters.
Counterparty RIAD Id	Shows a list of the RIAD code of the Counterparties to whom the Pool belongs Displayed format is: Max. XX characters.
Pool Identifier	Shows the unique identifier of the Pool belonging to the Counterparty Displayed format is: Max. 16 characters.
Total Pool Collateral Value	Shows the value of the Pool Collateral Displayed format is: Max. XX characters.
Total Credit Value	Shows the value of the Credit Displayed format is: Max. XX characters.
After selecting a record, the following buttons are displayed	
Button	Description
View	This function enables you to access to the detailed information of a Banking Group Pool Position. Next screen: <i>Banking Group Pool Position – Details Screen.</i>

3.5.1.3.1.1 Banking Group Pool Position – Details Screen

Context of Usage	<p>This screen displays the consolidated view of the Pool Positions for the Counterparty and Counterparties belonging to a selected Banking Group.</p> <p>This screen is available in the following cases:</p> <ul style="list-style-type: none"> – The Pool of Accounts can be accessed by the Counterparty designated as the Manager of the Banking Group and the NCB of the Counterparty designated as the Manager of the Banking Group; – The Single Pool can be accessed by the Counterparty designated as the Manager of the Banking Group and the NCB of the Counterparty designated as the Manager of the Banking Group. <p>This function is available only in U2A mode.</p>
Screen Access	<ul style="list-style-type: none"> – Collateral and Credit >> Pool Overview >> Positions >> Pool Position >> Display Consolidated Banking Group Pool Position >> Click on the search button (after inputting the relevant fields, if needed) >> Select a Pool >> Click on the View button



Banking Group Pool Position – Details screen	
Field	Description
Banking Group Pool Position Details	
App Reference	Shows the unique Application Reference of the Pool Position.
Main Reference	Shows the Main Reference of the Pool Position History
Counterparty RIAD Id	Shows a list of the RIAD code of the Counterparties to whom the Pool belongs
Counterparty BIC	Shows the Counterparty BIC code to whom the Pool belongs
Counterparty Name	Shows the Name of the Counterparty to whom the Pool belongs
Modification Reason	Shows the reason for Modification
Pool in Margin Call	Flag indicating if Margin Call applied for Pool Either “YES” or “NO”
Collateral Excess	Shows the value of excess Collateral
Position Date	Shows the Position Date of the Pool Displayed format is: DD/MM/YYYY hh:mm:ss:µs
Pool Reference	Shows the unique identifier of the Pool
Absolute Credit Limit	Shows the Absolute Credit Limit
Collateral Details	
Domestic Eligible Marketable Asset	Shows the Domestic Eligible Marketable Asset value.
CCBM Eligible Marketable Asset	Shows the CCBM Eligible Marketable Asset value.
Emergency Eligible Marketable Asset	Shows the Emergency Eligible Marketable Asset value. Displayed format is: Max. XX characters.

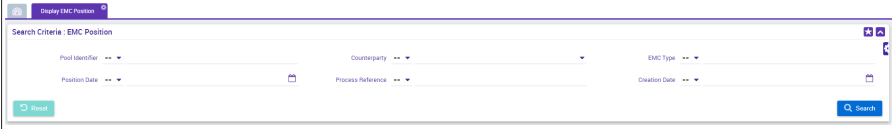
Total Fixed-Term Deposit used as Collateral	Shows the Total Fixed-Term Deposit (FTD) collateral value
Total Interest for Fixed-Term Deposit used as Collateral	Shows the Total Interest Fixed-Term Deposit (FTD) used as collateral value
Total Externally Managed Credit Claims	Shows Total Externally Managed Credit Claims value
Total Externally Managed Collateral apart from Credit Claims	Shows the Total Externally Managed Collateral apart from Credit Claims value
Total Externally Managed Additional Credit Claims	Shows Total Externally Managed Collateral Additional Credit Claims
Total Credit Claims	Shows Total Credit Claims value
Total Triparty Collateral	Shows the Total Triparty Collateral value
Total Cash Collateral	Shows Total mobilised Cash Collateral value
Total Cash Collateral Interest	Shows Total mobilised Cash Collateral Interest value
Relative Credit Limit in percentage (%)	Relative Credit Limit in percentage (%)
Total Collateral Value before Relative Credit Limit	Shows Total Collateral Value before Relative Credit Limit
Total Collateral Value	Shows Total Collateral Value after application of the Relative Credit Limit
Relative Credit Limit	Shows Relative Credit Limit
Credit Details	
Total Credit Freezing	Shows Total Credit Freezing
Total Open Market Credit Operation (Euro)	Shows Total Open Market Credit Operations (OMO) – Euro value Segregated by type of operations and operation

Total Open Market Credit Operation Margin (Euro)	Shows Total Open Market Credit Operations (OMO) Margin – Euro value Segregated by type of operations and operation
Total Open Market Credit Operation Interest (Euro)	Shows Total Open Market Credit Operations (OMO) Interest – Euro value Segregated by type of operations and operation
Total Open Market Credit Operation (non-Euro)	Shows Total Open Market Credit Operations (OMO) – non-Euro value Segregated by type of operations and operation
Total Open Market Credit Operation Margin (non-Euro)	Shows Total Open Market Credit Operations (OMO) Margin – non-Euro value Segregated by type of operations and operation
Total Open Market Credit Operation Interest (non-Euro)	Shows Total Open Market Credit Operations (OMO) Interest – non-Euro value Segregated by type of operations and operation
Total Fixed-Term Deposit not used as Collateral	Shows the Total Fixed-Term Deposit (FTD) not used as Collateral value Displayed format is: Max. XX characters.
Total Interest for Fixed-Term Deposit not used as Collateral	Shows the Total Interest Fixed-Term Deposit (FTD) not used as Collateral value
Total MLOR	Shows Total MLOR
Total MLOR Interest	Shows Total MLOR Interest
Total AML	Shows Total AML
Total AML Interest	Shows Total AML Interest
Total Credit	Shows sum of the Total Credit
Credit Line Details	
Last Modification Type	Shows the last type of Modification for the Pool Position.
Nbr of MCL	Shows the number of Modify Credit Line for the Pool Position.
CP	Shows the number of Connected Payment for the Pool Position.
Suggested Credit Line	Shows Suggested Credit Line (SCL)
Expected Credit Line	Shows Expected Credit Line (ECL)
Real Credit Line	Shows Real Credit Line (RCL)
NCB Maximum Credit Line Value	Shows Optional Maximum Credit line value authorised set by the Refinancing Central Bank

Counterparty Maximum Credit Line Value	Shows Optional Maximum Credit line value set by the Counterparty
Section Button	Description
Credit Freezing Position	Section to view the list of the Credit Freezing Position of the Pool.
Securities Position Details	Section to view the list of the Securities Position of the Pool.
EMC Position	Section to view the list of the EMC Position of the Pool.
Cash Collateral Positions	Section to view the list of the Cash Collateral Position of the Pool.
FTD Positions	Section to view the list of the FTD Positions of the Pool.
Credit Claims Positions	Section to view the list of the Credit Claims Position of the Pool.
Triparty Securities Positions	Section to view the list of the Triparty Securities Position of the Pool.
Credit Line Details	Section to view the list of the Credit Line Details of the Pool.

3.5.1.4 EMC Position

3.5.1.4.1 EMC Position – Search Screen

Context of Usage	<p>This screen contains a number of search fields and shows the latest and stored searches. By inputting the relevant data, you can search for a EMC position.</p> <p>The search results will be displayed in a list. After selecting an entry, you can proceed further by clicking on the buttons that are shown.</p>
Screen Access	Collateral and Credit >> Pool Overview >> Positions >> Display EMC Position
Screenshot	

EMC Position – Search screen	
Field	Description
Pool Identifier	Enter the unique identifier of the Pool provided by the system Required format is: Max. 16 characters.
Counterparty	Enter/Select the unique RIAD identifier of the Counterparty
EMC Type	Enter the EMC Type.
Position Date	Enter/Select the Position Date

	The calendar icon can also be used. Required format is: DD/MM/YYYY
Process Reference	Enter the reference of the Process.
Creation Date	Enter/Select the Creation Date of the EMC Position. The calendar icon can also be used. Required format is: DD/MM/YYYY hh:mm:ss: μs
Button	Function
Reset	This function enables you to clear the form.
Search	This function enables you to start a search according to the filled in criteria. The results are displayed in a list on the List Screen. Next screen: <ul style="list-style-type: none"> • EMC Position – List Screen.

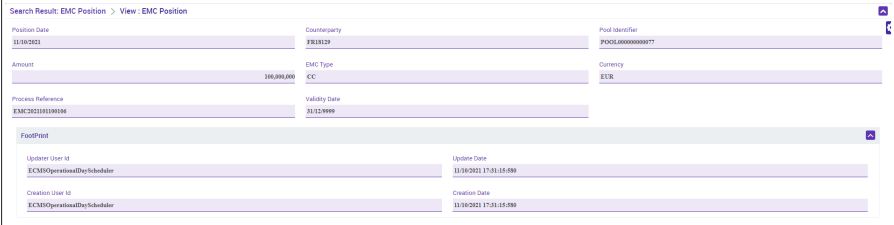
3.5.1.4.2 EMC Position – List screen

Context of Usage	This screen contains the search results of the EMC position displayed in a list. After selecting an entry, you can proceed further by clicking on the buttons below.
Screen Access	Collateral and Credit >> Pool Overview >> Positions >> Display EMC Position >> Click on the <i>search</i> button (after inputting the relevant fields, if needed)
Screenshot	

EMC Position – List screen	
Field	Description
Process Reference	Shows the unique identifier of the Process.
Pool identifier	Shows the unique identifier of the Pool provided by the system Displayed format is: Max. 16 characters.
Counterparty	Shows the unique RIAD identifier of the Counterparty
EMC Type	Shows the EMC Type of the EMC Position.
Amount	Shows the amount of the EMC Position.
Currency	Shows the currency of the EMC Position.
Position Date	Shows the Date of the Position. Displayed format is: DD/MM/YYYY
Validity Date	Shows the Validity Date of the EMC Position. Displayed format is: DD/MM/YYYY
Updater User Id	Shows the Updater User Id.
Update Date	Shows the Updated Date of the EMC Position. Displayed format is: DD/MM/YYYY hh:mm:ss:μs

After selecting a record, the following buttons are displayed	
Button	Description
View	This function enables you to access to the detailed information of a EMC Position. Next screen: <i>EMC Position – Details Screen.</i>

3.5.1.4.3 EMC Position – Details Screen

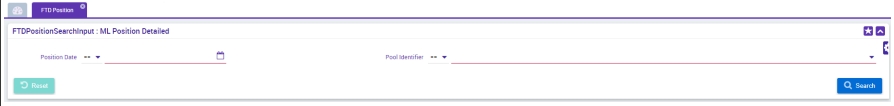
Context of Usage	This screen displays the details of the EMC Position.
Screen Access	– Collateral and Credit >> Pool Overview >> Positions >> Display EMC Position >> Click on the search button (after inputting the relevant fields, if needed) >> Select an EMC Position >> Click on the <i>View</i> button
Screenshot	

EMC Position – Details screen	
Field	Description
Position Date	Shows the Date of the Position. Displayed format is: DD/MM/YYYY
Counterparty	Shows the unique RIAD identifier of the Counterparty.
Pool identifier	Shows the unique identifier of the Pool provided by the system Displayed format is: Max. 16 characters.
Amount	Shows the amount of the EMC Position.
EMC Type	Shows the EMC Type of the EMC Position.
Currency	Shows the currency of the EMC Position.
Process Reference	Shows the unique identifier of the Process.
Validity Date	Shows the Validity Date of the EMC Position. Displayed format is: DD/MM/YYYY
Footprint	
Field	Description
Updater User Id	Show the identification of the user that made the last update.
Update Date	Shows the date and time of the update. Display format is: DD/MM/YYYY hh:mm:ss:µs.
Creation User Id	Shows the identification of the user that created the EMC Position.
Creation Date	Show the date and time of the creation.

	Display format is: DD/MM/YYYY hh:mm:ss:µs.
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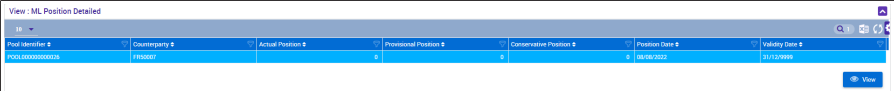
3.5.1.5 FTD Position

3.5.1.5.1 FTD Position – Search Screen

Context of Usage	This screen contains a number of search fields and shows the latest and stored searches. By inputting the relevant data, you can search for a FTD position. The search results will be displayed in a list. After selecting an entry, you can proceed further by clicking on the buttons that are shown.
Screen Access	Collateral and Credit >> Pool Overview >> Positions >> FTD Position
Screenshot	

FTD Position – Search screen	
Field	Description
Position Date	Enter/Select the Position Date The calendar icon can also be used. Required format is: DD/MM/YYYY
Pool Identifier	Enter the unique identifier of the Pool provided by the system Required format is: Max. 16 characters.
Button	Function
Reset	This function enables you to clear the form.
Search	This function enables you to start a search according to the filled in criteria. The results are displayed in a list on the List Screen. Next screen: <ul style="list-style-type: none">• FTD Position – List Screen.

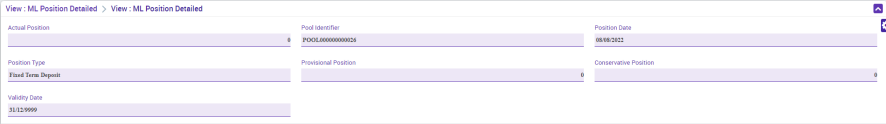
3.5.1.5.2 FTD Position – List screen

Context of Usage	This screen contains the search results of the FTD position displayed in a list. After selecting an entry, you can proceed further by clicking on the buttons below.
Screen Access	Collateral and Credit >> Pool Overview >> Positions >> FTD Position >> Click on the <i>search</i> button (after inputting the relevant fields, if needed)
Screenshot	

FTD Position – List screen	
Field	Description
Pool identifier	Shows the unique identifier of the Pool provided by the system

	Displayed format is: Max. 16 characters.
Counterparty	Shows the unique RIAD identifier of the Counterparty
Actual position	Shows the actual position of the FTD Position.
Provisional Position	Shows the Provisional Position of the FTD Position.
Conservative Position	Shows the Conservative Position of the FTD Position.
Position Date	Shows the Date of the Position. Displayed format is: DD/MM/YYYY
Validity Date	Shows the Validity Date of the FTD Position. Displayed format is: DD/MM/YYYY
Position Type	Shows the Position Type of the FTD Position.
After selecting a record, the following buttons are displayed	
Button	Description
View	This function enables you to access to the detailed information of a FTD Position. Next screen: <i>FTD Position – Details Screen.</i>

3.5.1.5.3 FTD Position – Details Screen

Context of Usage	This screen displays the details of the FTD Position.
Screen Access	– Collateral and Credit >> Pool Overview >> Positions >> FTD Position >> Click on the search button (after inputting the relevant fields, if needed) >> Select an FTD Position >> Click on the <i>View</i> button
Screenshot	

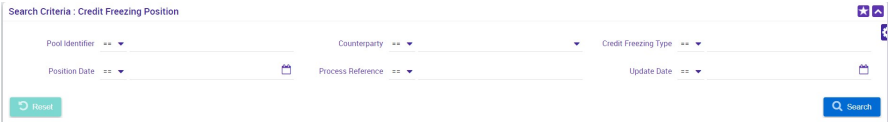
FTD Position – Details screen	
Field	Description
Actual position	Shows the actual position of the FTD Position.
Pool identifier	Shows the unique identifier of the Pool provided by the system Displayed format is: Max. 16 characters.
Position Date	Shows the Date of the Position. Displayed format is: DD/MM/YYYY
Position Type	Shows the Position Type of the FTD Position.
Provisional Position	Shows the Provisional Position of the FTD Position.

Conservative Position	Shows the Conservative Position of the FTD Position.
Validity Date	Shows the Validity Date of the FTD Position. Displayed format is: DD/MM/YYYY

3.5.1.6 Credit Freezing Position

These screens provide information on the Credit Freezing Positions of Pools.

3.5.1.6.1 Credit Freezing Position – Search Screen

Context of Usage	This screen contains a number of search fields and shows the latest and stored searches. By inputting the relevant data, you can search for a Credit Freezing position. The search results will be displayed in a list. After selecting an entry, you can proceed further by clicking on the buttons that are shown.
Screen Access	Collateral and Credit >> Pool Overview >> Positions >> Display Credit Freezing Position
Screenshot	

Credit Freezing Position – Search screen	
Field	Description
Pool Identifier	Enter the unique identifier of the Pool provided by the system Required format is: Max. 16 characters.
Counterparty	Enter/Select the unique RIAD identifier of the Counterparty Required format is: Max. 30 characters.
Credit Freezing Type	Enter the Credit Freezing Type. Required format is: Max. XX characters.
Position Date	Enter/Select the Position Date of the Credit Freezing Position. The calendar icon can also be used. Required format is: DD/MM/YYYY
Process Reference	Enter the reference of the Process. Required format is: Max. XX characters.
Update Date	Enter/Select the Update Date of the Credit Freezing Position. The calendar icon can also be used. Required format is: DD/MM/YYYY hh:mm:ss:µs
Button	Function
Reset	This function enables you to clear the form.
Search	This function enables you to start a search according to the filled in criteria. The results are displayed in a list on the List Screen. Next screen:

- Credit Freezing Position – List Screen.

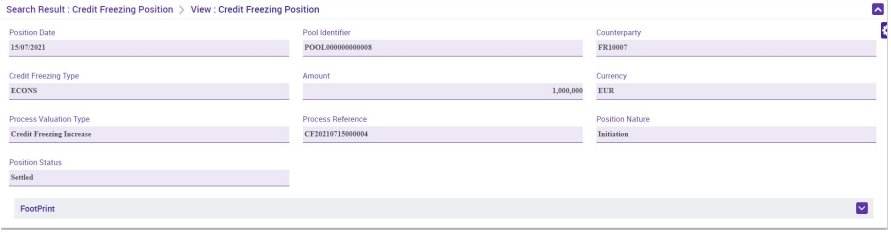
3.5.1.6.2 Credit Freezing Position – List screen

Context of Usage	This screen contains the search results of the Credit Freezing position displayed in a list. After selecting an entry, you can proceed further by clicking on the buttons below.
Screen Access	Collateral and Credit >> Pool Overview >> Positions >> Display Credit Freezing Position >> Click on the <i>search</i> button (after inputting the relevant fields, if needed)
Screenshot	

Credit Freezing Position – List screen	
Field	Description
Position Date	Shows the Date of the Position. Displayed format is: DD/MM/YYYY
Pool identifier	Shows the unique identifier of the Pool provided by the system Displayed format is: Max. 16 characters.
Counterparty	Shows the unique RIAD identifier of the Counterparty Displayed format is: Max. 30 characters.
Credit Freezing Type	Shows the Credit Freezing Type of the Credit Freezing Position. Displayed format is: Max. XX characters.
Amount	Shows the amount of the Credit Freezing Position. Displayed format is: Max. XX characters.
Currency	Shows the currency of the Credit Freezing Position. Displayed format is: Max. XX characters.
Process Reference	Shows the unique identifier of the Process. Displayed format is: Max. XX characters.
Process Valuation Type	Shows the process valuation type of the Credit Freezing Position provided by the system. Displayed format is: Max. XX characters.
Position Date	Shows the Date of the Position. Displayed format is: DD/MM/YYYY
Validity Date	Shows the Validity Date of the Credit Freezing Position. Displayed format is: DD/MM/YYYY
Update Date	Shows the Updated Date of the Credit Freezing Position. Displayed format is: DD/MM/YYYY hh:mm:ss:µs
Updater User Id	Shows the Updater User Id.

After selecting a record, the following buttons are displayed	
Button	Description
View	This function enables you to access to the detailed information of a Credit Freezing Position. Next screen: <i>Credit Freezing Position – Details Screen.</i>

3.5.1.6.3 Credit Freezing Position – Details Screen

Context of Usage	This screen displays the details of the Credit Freezing Position.
Screen Access	– Collateral and Credit >> Pool Overview >> Positions >> Display Credit Freezing Position >> Click on the search button (after inputting the relevant fields, if needed) >> Select an Credit Freezing Position >> Click on the <i>View</i> button
Screenshot	 <p>The screenshot shows a search result for a Credit Freezing Position. The fields displayed are: Position Date (15/07/2021), Pool Identifier (POOL000000000000), Counterparty (FK10007), Credit Freezing Type (ECONS), Amount (1,000,000), Currency (EUR), Process Valuation Type (Credit Freezing Increase), Process Reference (CF20210715000004), and Position Nature (Initiation). The Position Status is Settled. There is a FooterPrint button at the bottom.</p>

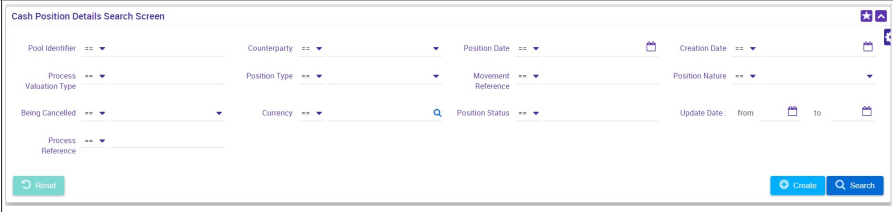
Credit Freezing Position – Details screen	
Field	Description
Position Date	Shows the Date of the Position. Displayed format is: DD/MM/YYYY
Pool identifier	Shows the unique identifier of the Pool provided by the system Displayed format is: Max. 16 characters.
Counterparty	Shows the unique RIAD identifier of the Counterparty. Displayed format is: Max. 30 characters.
Credit Freezing Type	Shows the Credit Freezing Type of the Credit Freezing Position. Displayed format is: Max. XX characters.
Amount	Shows the amount of the Credit Freezing Position.
Currency	Shows the currency of the Credit Freezing Position.
Process Valuation Type	Shows the process valuation type provided by the ECMS of the Credit Freezing Position. Displayed format is: Max. XX characters.
Process Reference	Shows the unique identifier of the Process. Displayed format is: Max. XX characters.
Position Nature	Shows the Position Nature of the Credit Freezing Position. Displayed format is: Max. XX characters.

Position Status	Shows the Position Status of the Credit Freezing Position. Displayed format is: Max. XX characters.
Footprint	
Field	Description
Updater User Id	Show the identification of the user that made the last update.
Update Date	Shows the date and time of the update. Display format is: DD/MM/YYYY hh:mm:ss:µs.
Creation User Id	Shows the identification of the user that created the Credit Freezing Position.
Creation Date	Show the date and time of the creation. Display format is: DD/MM/YYYY hh:mm:ss:µs.

3.5.1.7 Cash Position

These screens provide information on the Cash Positions of Pools.

3.5.1.7.1 Cash Position – Search Screen

Context of Usage	This screen contains a number of search fields and shows the latest and stored searches. By inputting the relevant data, you can search for a Credit Freezing position. The search results will be displayed in a list. After selecting an entry, you can proceed further by clicking on the buttons that are shown.
Screen Access	Collateral and Credit >> Pool Overview >> Positions >> Cash Position >> Cash Position Details
Screenshot	

Cash Position – Search screen	
Field	Description
Pool Identifier	Enter the unique identifier of the Pool provided by the ECMS Required format is: Max. 16 characters.
Counterparty	Enter/Select the unique RIAD identifier of the Counterparty Required format is: Max. 30 characters.
Position Date	Enter/Select the Position Date of the Cash Position. The calendar icon can also be used. Required format is: DD/MM/YYYY
Creation Date	Enter/Select the Creation Date of the Cash Position. The calendar icon can also be used. Required format is: DD/MM/YYYY

Process Valuation Type	Enter/Select the process valuation type provided by the ECMS of the Cash Position. Required format is: Max. XX characters.
Position Type	Enter the Position Type from possible values: <ul style="list-style-type: none"> - Collateral - Main - Interest - Main Standing Facilities - Interest Standing Facilities - Margin - Cash Proceeds - Cash Proceeds Interest. Required format is: Max. XX characters.
Movement Reference	Enter the reference of the movement that affects the Cash Position. Required format is: Max. 30 characters.
Position Nature	Enter / Select the Position Nature from possible values: <ul style="list-style-type: none"> - Initiation - Return Required format is: Max. XX characters.
Being Cancelled	Enter / Select whether the Cash Position is cancelled or not from possible values: <ul style="list-style-type: none"> - Yes - No Required format is: Max. XX characters.
Currency	Enter / Select the currency of the amount that increases / decreases the Cash Position. Required format is: Max. 3 characters.
Position Status	Enter / Select the status of the Cash Position from the possible values: <ul style="list-style-type: none"> - Instructed - Settled Required format is: Max. XX characters.
Update Date from	Enter/Select the Start of the Update Date of the Cash Position. The calendar icon can also be used. Required format is: DD/MM/YYYY hh:mm:ss: μs
Update Date to	Enter/Select the End of the Update Date of the Cash Position. The calendar icon can also be used. Required format is: DD/MM/YYYY hh:mm:ss: μs
Process Reference	Enter the reference of the Process. Required format is: Max. XX characters.
Button	Function
Reset	This function enables you to clear the form.

Search	<p>This function enables you to start a search according to the filled in criteria. The results are displayed in a list on the List Screen.</p> <p>Next screen:</p> <ul style="list-style-type: none"> • Cash Position – List Screen.
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3.5.1.7.2 Cash Position – List screen

Context of Usage	This screen contains the search results of the Cash position displayed in a list. After selecting an entry, you can proceed further by clicking on the buttons below.
Screen Access	Collateral and Credit >> Pool Overview >> Positions >> Cash Position >> Cash Position Details >> Click on the <i>search</i> button (after inputting the relevant fields, if needed)
Screenshot	

Cash Position – List screen	
Field	Description
Movement Reference	Shows the reference of the movement that affects the Cash Position. Displayed format is: Max. 30 characters.
Counterparty	Shows the unique RIAD identifier of the Counterparty Displayed format is: Max. 30 characters.
Pool identifier	Shows the unique identifier of the Pool provided by the system Displayed format is: Max. 16 characters.
MI Position Owner Type	Shows the MI Position Owner Type Displayed format is: Max. XX characters.
Amount	Shows the amount of the Cash Position. Displayed format is: Max. XX characters.
Currency	Shows the currency of the Cash Position. Displayed format is: Max. 3 characters.
Process Valuation Type	Shows the process valuation type provided by the ECMS of the Cash Position. Displayed format is: Max. XX characters.
Position Date	Shows the Date of the Position. Displayed format is: DD/MM/YYYY
Validity Date	Shows the Validity Date of the Cash Position. Displayed format is: DD/MM/YYYY
Position Status	Shows the status of the Position from the possible values: – Instructed

	<ul style="list-style-type: none"> – Settled <p>Displayed format is: Max. XX characters.</p>
Position Type	<p>Shows the Position Type from possible values:</p> <ul style="list-style-type: none"> – Collateral – Main – Interest – Main Standing Facilities – Interest Standing Facilities – Margin – Cash Proceeds – Cash Proceeds Interest. <p>Displayed format is: Max. XX characters.</p>
Position Nature	<p>Shows the nature of the Position from the possible values:</p> <ul style="list-style-type: none"> – Initiation – Return <p>Displayed format is: Max. XX characters.</p>
Being Cancelled	<p>Enter / Select whether the Cash Position is cancelled or not from possible values:</p> <ul style="list-style-type: none"> – Yes – No <p>Required format is: Max. XX characters.</p>
Creation Date	<p>Shows the Creation Date of the Cash Position.</p> <p>Displayed format is: DD/MM/YYYY hh:mm:ss:µs</p>
Update Date	<p>Shows the Updated Date of the Cash Position.</p> <p>Displayed format is: DD/MM/YYYY hh:mm:ss:µs</p>
Updater User Id	Shows the Updater User Id.
Creation User Id	Shows the Creation User Id.
After selecting a record, the following buttons are displayed	
Button	Description
View	<p>This function enables you to access to the detailed information of a Cash Position.</p> <p>Next screen:</p> <p><i>Cash Position – Details Screen.</i></p>

3.5.1.7.3 Cash Position – Details Screen

Context of Usage	This screen displays the details of the Cash Position Position.
Screen Access	<ul style="list-style-type: none"> – Collateral and Credit >> Pool Overview >> Positions >> Cash Position >> Cash Position Details >> Click on the search button (after inputting the relevant fields, if needed) >> Select an Cash Position Position >> Click on the <i>View</i> button

Screenshot

Cash Position – Details screen	
Field	Description
Position Date	Shows the Date of the Position. Displayed format is: DD/MM/YYYY
Cash Position Id	Shows the Cash Position Id of the Cash Position. Displayed format is: Max. XX characters.
Amount	Shows the amount of the Credit Freezing Position. Displayed format is: Max. XX characters.
Being Cancelled	Shows whether the Position is cancelled or not from the possible values: – Yes – No Displayed format is: Max. XX characters.
Pool Identifier	Shows the unique identifier of the Pool provided by the system Displayed format is: Max. 16 characters.
MI Position Owner Type	Shows the MI Position Owner Type Displayed format is: Max. XX characters.
Position Nature	Shows the nature of the Position from the possible values: – Initiation – Return Displayed format is: Max. XX characters.
Position Status	Shows the status of the Position from the possible values:

	<ul style="list-style-type: none"> - Instructed - Settled <p>Displayed format is: Max. XX characters.</p>
Position Type	<p>Shows the Position Type from possible values:</p> <ul style="list-style-type: none"> - Collateral - Main - Interest - Main Standing Facilities - Interest Standing Facilities - Margin - Cash Proceeds - Cash Proceeds Interest. <p>Displayed format is: Max. XX characters.</p>
Process Reference	<p>Shows the unique identifier of the Process.</p> <p>Displayed format is: Max. XX characters.</p>
Additional Hair Cut CC	<p>Shows the Additional Hair Cut for Credit Claims.</p> <p>Displayed format is: Max. XX characters</p>
Adjustment Rate	<p>Shows the Adjustment Rate.</p> <p>Displayed format is: Max. XX characters.</p>
Is Excluded	<p>Shows whether it is excluded or not from possible values:</p> <ul style="list-style-type: none"> - Yes - No <p>Displayed format is: Max. XX characters.</p>
Book Amount	<p>Shows the Book Amount.</p> <p>Displayed format is: Max. XX characters.</p>
Book Currency	<p>Shows the Book currency of the Cash Position.</p> <p>Displayed format is: Max. 3 characters.</p>
Counterparty	<p>Shows the unique RIAD identifier of the Counterparty.</p> <p>Displayed format is: Max. 30 characters.</p>
Close Link Detected	<p>Shows if a forbidden close link has been detected from possible values:</p> <ul style="list-style-type: none"> - Yes - No <p>Displayed format is: Max. XX characters.</p>
Process Valuation Type	<p>Shows the process valuation type provided by the ECMS of the Cash Position.</p> <p>Displayed format is: Max. XX characters.</p>
Convertible	<p>Shows if the Position is convertible from the possible values:</p> <ul style="list-style-type: none"> - Yes - No <p>Displayed format is: Max. XX characters.</p>

Conversion Failure Reason	Shows the Conversion Failure Reason, if exists Displayed format is: Max. XX characters.
CQS	Shows the Credit Quality Step of the CC Displayed format is: Max. XX characters.
Credit Rating Not Eligible	Shows if the Credit Rating is not eligible from the possible values: – Yes – No Displayed format is: Max. XX characters.
Cross Border Account	Show the Cross Border Account Displayed format is: Max. XX characters.
Currency	Shows the Currency of the Cash Position. Displayed format is: Max. 3 characters.
Displayed Validity Date	Shows the Displayed Validity Date of the Position. Displayed format is: DD/MM/YYYY
FTD Identifier	Shows the FTD Identifier. Displayed format is: Max. XX characters.
FX	Shows the FX, if this is the case. Displayed format is: Max. XX characters.
FX Date	Shows the FX Date of the Position, if this is the case. Displayed format is: DD/MM/YYYY
Hair Cut ACC	Shows the Hair Cut for Additional Credit Claims, if this is the case. Displayed format is: Max. XX characters
Hair Cut CC	Shows the Hair Cut for Credit Claims, if this is the case. Displayed format is: Max. XX characters
Internal Account	Shows the Identifier of the Internal account in which the Position is managed Displayed format is: Max. XX characters
Is Converted	Shows if the Position is converted from the possible values: – Yes – No Displayed format is: Max. XX characters
Is Direct FX	Shows if the Position is Direct FX from the possible values: – Yes – No Displayed format is: Max. XX characters
Is Eligible	Shows if the asset is Eligible or not from the possible values: – Yes – No Displayed format is: Max. XX characters
Is Externally Managed Credit Claims	Shows if the asset is Externally Managed Credit Claims or not from the possible values:

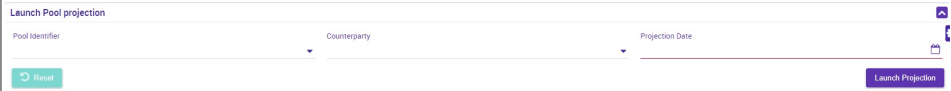
	<ul style="list-style-type: none"> - Yes - No <p>Displayed format is: Max. XX characters</p>
Is Matured	<p>Shows if the asset is matured or not from the possible values:</p> <ul style="list-style-type: none"> - Yes - No <p>Displayed format is: Max. XX characters</p>
Is Residual Mat Breached	<p>Shows if the Residual Maturity is breached or not from the possible values:</p> <ul style="list-style-type: none"> - Yes - No <p>Displayed format is: Max. XX characters</p>
Margin Amount	<p>Shows the Margin Amount.</p> <p>Displayed format is: Max. XX characters</p>
Margin Rate	<p>Shows the Margin Rate</p> <p>Displayed format is: Max. XX characters</p>
Mark Down CC	<p>Shows the Mark Down for Credit Claims</p> <p>Displayed format is: Max. XX characters</p>
Movement Reference	<p>Shows the reference of the movement that affects the Cash Position.</p> <p>Displayed format is: Max. 30 characters.</p>
Original Currency	<p>Shows the Original currency of the movement of the Cash Position.</p> <p>Displayed format is: Max. 3 characters.</p>
Process Valuation Type	<p>Shows the process valuation type provided by the ECMS of the Cash Position.</p> <p>Displayed format is: Max. XX characters.</p>
Search Date	<p>Shows the Search Date of the Cash Position.</p> <p>Displayed format is: DD/MM/YYYY</p>
Simulation Identifier	<p>Shows the Simulation Identifier.</p> <p>Displayed format is: Max. XX characters.</p>
Total Hair Cut CC	<p>Shows the Total Hair Cut for Credit Claims, if this is the case.</p> <p>Displayed format is: Max. XX characters</p>
Validation Failure Reason	<p>Shows the Validation Failure Reason (if the status is Validation Failure Detected)</p> <p>Displayed format is: Max. XX characters</p>
Value Date	<p>Shows the Value Date of the Cash Position</p> <p>Displayed format is: DD/MM/YYYY</p>
Footprint	
Field	Description
Updater User Id	Show the identification of the user that made the last update.
Update Date	Shows the date and time of the update. Display format is: DD/MM/YYYY hh:mm:ss:µs.
Creation User Id	Shows the identification of the user that created the Cash Position.

Creation Date	Show the date and time of the creation. Display format is: DD/MM/YYYY hh:mm:ss:µs.
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3.5.2 Pool Projection

- The ECMS provides the Counterparty and the NCB user with a projection of the Counterparty Pool for a date in the future. The projection is limited to n ECMS business days posterior to the current ECMS business date.
- The ECMS displays the Pool projection based on the selected search criteria chosen by the Counterparty/NCB user. The ECMS provides the Pool projection results for the projected ECMS business date (the maximum business days in the future is set as a system parameter).
- The Pool projection can be viewed via a query, for a specified Business Date from ECMS current Business Date + n, by the Counterparty or the NCB user. First the user launches the query, then the results are available to be viewed.

3.5.2.1 Launch Pool Projection – Search Screen

Context of Usage	This screen contains a number of search fields and shows the latest and stored searches. By inputting the relevant data, you can search for a specific Pool or search for the different Pools available for a Counterparty. The search results will be displayed in a list. After selecting an entry, you can proceed further by clicking on the buttons that are shown. This function is available only in U2A mode.
Screen Access	Collateral and Credit >> Pool Overview >> Pool Projection >> Launch Pool Projection
Screenshot	

Launch Pool Projection – Search screen	
Object	Description
Pool Identifier	Enter/Select the unique identifier of the Pool provided by the system Required format is: Max. 16 characters.
Counterparty RIAD Id	Enter/Select the RIAD identifier of the Counterparty to whom the Pool belongs Required format is: Max. XX characters.
Counterparty Blocked Status	Enter/Select the Blocked Status or Unblocked Status of the Counterparty to whom the Pool belongs Required format is: Max. XX characters.
Projection Date	Enter/Select the Business Date of the Pool Projection. The Business Date can be the current ECMS business date + 1 and is up to n business days maximum as defined by a system parameter. The calendar icon can also be used. Required format is: DD/MM/YYYY
Button	Function
Reset	This function enables you to clear the form.

Search	<p>This function enables you to start a search according to the filled in criteria. The results are displayed in a list on the List Screen.</p> <p>Next screen:</p> <ul style="list-style-type: none"> • Pool Projection Result – Search Screen.
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3.5.2.2 Pool Projection Result – Search Screen

Context of Usage	<p>This screen contains a number of search fields and shows the latest and stored searches. By inputting the relevant data, you can search for a specific Pool or for a specific date.</p> <p>The search results will be displayed in a list. After selecting an entry, you can proceed further by clicking on the buttons that are shown.</p> <p>This function is available only in U2A mode.</p>
Screen Access	Collateral and Credit >> Pool Overview >> Pool Projection >> Pool Projection Result
Screenshot	

Pool Projection Result – Search screen	
Field	Description
Pool Identifier	Shows the unique identifier of the Pool provided by the system Displayed format is: Max. 16 characters.
Counterparty RIAD Id	Enter/Select the RIAD identifier of the Counterparty to whom the Pool belongs Required format is: Max. XX characters.
Counterparty Blocked Status	Enter/Select the Blocked Status or Unblocked Status of the Counterparty to whom the Pool belongs Required format is: Max. XX characters.
Projection Date	Enter/Select the Business Date of the Pool Projection. The Business Date can be the current ECMS business date + 1 and is up to n business days maximum as defined by a system parameter. The calendar icon can also be used. Required format is: DD/MM/YYYY
Process Reference	Enter the Process Reference produced by the Launch Pool Projection. Required format is: Max. XX characters.
Button	Function
Reset	This function enables you to clear the form.
Search	<p>This function enables you to start a search according to the filled in criteria. The results are displayed in a list on the List Screen.</p> <p>Next screen:</p> <ul style="list-style-type: none"> • Pool Projection Result – List Screen.

3.5.2.2.1 Pool Projection Result – List screen

Context of Usage	<p>This screen contains the search results of the Pool Projection displayed in a list, which is sorted by the values of the 'Pool Id' column in descending order (default setting). After selecting an entry, you can proceed further by clicking on the buttons below.</p> <p>This function is available only in U2A mode.</p>
Screen Access	Collateral and Credit >> Pool Overview >> Pool Projection >> Pool Projection Result >> Click on the <i>search</i> button (after inputting the relevant fields, if needed)
Screenshot	

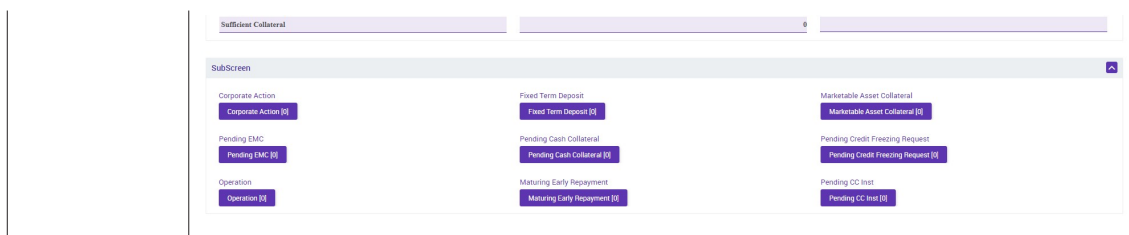
Pool Projection Result – List screen	
Field	Description
Process Reference	Shows the Process Reference produced by the Launch Pool Projection. Displayed format is: Max. XX characters.
Pool Identifier	Shows the unique identifier of the Pool provided by the system Displayed format is: Max. 16 characters.
Counterparty RIAD Id	Shows the RIAD identifier of the Counterparty to whom the Pool belongs Displayed format is: Max. XX characters.
Projection Date and Time	Shows the Business Date and Time of the Pool Projection. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Collateral	
Domestic Eligible Marketable Asset	Shows the Domestic Eligible Marketable Asset value. Displayed format is: Max. XX characters.
CCBM Eligible Marketable Asset	Shows the CCBM Eligible Marketable Asset value. Displayed format is: Max. XX characters.
Emergency Eligible Marketable Asset	Shows the Emergency Eligible Marketable Asset value. Displayed format is: Max. XX characters.
Total Securities Collateral	Shows the Total Securities collateral value Displayed format is: Max. XX characters.
Total Fixed-Term Deposit used as Collateral	Shows the Total Fixed-Term Deposit (FTD) collateral value Displayed format is: Max. XX characters.
Total Interest for Fixed-Term Deposit used as Collateral	Shows the Total Interest Fixed-Term Deposit (FTD) used as collateral value Displayed format is: Max. XX characters.

Total Triparty Collateral	Shows Total Triparty Collateral value Displayed format is: Max. XX characters.
Total Cash Collateral	Shows Total mobilised Cash Collateral value Displayed format is: Max. XX characters.
Total Externally Managed Credit Claims	Shows Total Externally Managed Credit Claims value Displayed format is: Max. XX characters.
Total Externally Managed Additional Collateral	Shows Total Externally Additional Managed Additional Credit Claims Displayed format is: Max. XX characters.
Total Collateral after Relative Credit Limit	Shows Total Collateral after Relative Credit Limit Displayed format is: Max. XX characters.
Credit	
Total Credit Freezing	Shows Total Credit Freezing Displayed format is: Max. XX characters.
Total Market Credit Operations	Shows Total Market Credit Operations Displayed format is: Max. XX characters.
Total Market Credit Operations Interest	Shows Total Market Credit Operations Interest Displayed format is: Max. XX characters.
Total Open Market Credit Operations (non-Euro)	Shows Total Open Market Credit Operations (non-Euro) Displayed format is: Max. XX characters.
Total Open Market Credit Operation (non-Euro) Margin Amount	Shows Total Open Market Credit Operations (non-Euro) Margin Amount Displayed format is: Max. XX characters.
Total Open Market Credit Operations (non-Euro) Interest	Shows Total Open Market Credit Operations (non-Euro)Interest Displayed format is: Max. XX characters.
Total Standing Facilities	Shows Total Standing Facilities Displayed format is: Max. XX characters.
Total Standing Facilities Interest	Shows Total Standing Facilities Interest Displayed format is: Max. XX characters.
Total Credit	Shows Total Credit Displayed format is: Max. XX characters.
Update Date	Shows the Updated Date Displayed format is: DD/MM/YYYY hh:mm:ss:µs
After selecting a record, the following buttons are displayed	
Button	Description
View	This function enables you to access to the detailed information of a Pool Projection. Next screen:

Pool Projection Result – Details Screen.

3.5.2.2.1.1 Pool Projection Result – Details Screen

<p>Context of Usage</p>	<p>This screen displays the details of the Pool Projection for a selected Pool. This function is available only in U2A mode.</p>
<p>Screen Access</p>	<p>– Collateral and Credit >> Pool Overview >> Pool Projection >> Pool Projection Result >> Click on the search button (after inputting the relevant fields, if needed) >> Select a Result >> Click on the View button</p> <p>–</p>
<p>Screenshot</p>	



Pool Projection Result – Details screen

Field	Description
Pool Projection Result Details	
Pool Identifier	Shows the unique identifier of the Pool provided by the system Displayed format is: Max. 16 characters.
Pool Reference	Shows the reference of the Pool given by the refinancing NCB. Displayed format is: Max. 255 characters.
Counterparty RIAD Id	Shows the RIAD identifier of the Counterparty to whom the Pool belongs Displayed format is: Max. XX characters.
Counterparty BIC	Shows the Counterparty BIC code to whom the Pool belongs Displayed format is: Max. XX characters.
Counterparty Name	Shows the Name of the Counterparty to whom the Pool belongs Displayed format is: Max. XX characters.
Counterparty Blocked Status	Shows the Counterparty Blocked Status. In case the Counterparty Blocked Status is “YES” and the Projection Date is between the Blocked Start Date and the Blocked End Date, the field has “Blocked”, otherwise blank. Displayed format is: Max. XX characters
Counterparty Closed Status	Shows the Counterparty Closed Status. In case the Counterparty Closed Status is “YES” and the Projection Date is between the Closed Start Date and the Closed End Date, the field has “Closed”, otherwise blank. Displayed format is: Max. XX characters
Projection Date and Time	Shows the Business Date and Time of the Pool Projection. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Pool Reference	Shows the reference of the pool given by the refinancing NCB Displayed format is: Max. 255 characters.
Pool Identifier	Shows the unique identifier of the Pool Displayed format is: Max. 16 characters.
NCB MaCL	Shows the NCB Maximum Credit Limit Displayed format is: Max. XX characters.
Counterparty MaCL	Shows the Counterparty Maximum Credit Limit Displayed format is: Max. XX characters.
Absolute Credit Limit	Shows the Absolute Credit Limit Displayed format is: Max. XX characters.

Collateral Details	
Domestic Eligible Marketable Asset	Shows the Domestic Eligible Marketable Asset value. Displayed format is: Max. XX characters.
CCBM Eligible Marketable Asset	Shows the CCBM Eligible Marketable Asset value. Displayed format is: Max. XX characters.
Emergency Eligible Marketable Asset	Shows the Emergency Eligible Marketable Asset value. Displayed format is: Max. XX characters.
Total Securities Collateral	Shows the Total Securities value Displayed format is: Max. XX characters.
Total Domestic Externally Managed Credit Claims	Shows the Total Domestic Externally Managed Credit Claims value Displayed format is: Max. XX characters.
Total CCBM Externally Managed Credit Claims	Shows the Total CCBM Externally Managed Credit Claims value Displayed format is: Max. XX characters.
Total Domestic Externally Managed Collateral apart from Credit Claims	Shows the Total Domestic Externally Managed Collateral apart from Credit Claims value Displayed format is: Max. XX characters.
Total CCBM Externally Managed Collateral apart from Credit Claims	Shows the Total CCBM Externally Managed Collateral apart from Credit Claims value Displayed format is: Max. XX characters.
Total Domestic Additional External Credit Claims	Shows the Total Domestic Mobilised Additional External Credit Claims value Displayed format is: Max. XX characters.
Total CCBM Additional External Credit Claims	Shows the Total CCBM Mobilised Additional External Credit Claims value Displayed format is: Max. XX characters.
Total Domestic Credit Claims	Shows the Total Domestic Credit Claims value Displayed format is: Max. XX characters.
Total CCBM Credit Claims	Shows the Total CCBM Credit Claims value Displayed format is: Max. XX characters.
Total Collateral affected to OMO	Shows the Total Collateral affected to OMO value Displayed format is: Max. XX characters.
Total Corporate Actions	Shows the Total Corporate Actions value Displayed format is: Max. XX characters.
Total Credit Claims	Shows Total Credit Claims value Displayed format is: Max. XX characters.
Total Triparty Collateral	Shows the Total Triparty Collateral value Displayed format is: Max. XX characters.
Total Cash Collateral	Shows Total mobilised Cash Collateral value Displayed format is: Max. XX characters.

Total Fixed-Term Deposit used as Collateral	Shows the Total Fixed-Term Deposit (FTD) collateral value Displayed format is: Max. XX characters.
Total Interest for Fixed-Term Deposit used as Collateral	Shows the Total Interest Fixed-Term Deposit (FTD) used as collateral value Displayed format is: Max. XX characters.
Total Collateral before Relative Credit Limit	Shows the sum of the Total collateral value before Relative Credit Limit Displayed format is: Max. XX characters.
Relative Credit Limit in percentage (%)	In case the NCB has set a Relative Credit Limit as a discretionary measure Displayed format is: Max. XX characters.
Total Collateral Value after Relative Credit Limit	Shows the Total Collateral Value after application of the Relative Credit Limit Displayed format is: Max. XX characters.
Collateral Ratio	Shows the Collateral Ratio Displayed format is: Max. XX characters.
Credit Details	
Total Credit Before Projection	Shows the total Credit value before executing the future Projection (equal to Total Collateral for ECMS Current Business Day) Displayed format is: Max. XX characters.
Total Credit Freezing	Shows the Total Credit Freezing Displayed format is: Max. XX characters.
Total Open Market Credit Operation	Shows the Total Open Market Credit Operations (OMO) value Segregated by type of operations and operation Displayed format is: Max. XX characters.
Total Open Market Credit Operation Interest	Shows the Total Open Market Credit Operations (OMO) Interest value Segregated by type of operations and operation Displayed format is: Max. XX characters.
Total Open Market Credit Operations (non-Euro)	Shows the Total Open Market Credit Operations (non-Euro) Segregated by type of operations and operation Displayed format is: Max. XX characters.
Total Open Market Credit Operations (non-Euro) Margin Amount	Shows the Total Open Market Credit Operations (non-Euro) Margin Amount Segregated by type of operations and operation Displayed format is: Max. XX characters.
Total Open Market Credit Operations (non-Euro) Interest	Shows the Total Open Market Credit Operations (non-Euro) Interest Segregated by type of operations and operation Displayed format is: Max. XX characters.

Total Initial margin on outstanding liquidity providing OMO	Shows the Expected Initial margin on outstanding liquidity providing OMO value Displayed format is: Max. XX characters.
Total Fixed-Term Deposit not used as Collateral	Shows the Total Fixed-Term Deposit (FTD) not used as Collateral value Displayed format is: Max. XX characters.
Total Interest for Fixed-Term Deposit not used as Collateral	Shows the Total Interest Fixed-Term Deposit (FTD) not used as Collateral value Displayed format is: Max. XX characters.
Total MLOR	Shows Total MLOR Displayed format is: Max. XX characters.
Total MLOR Interest	Shows Total MLOR Interest Displayed format is: Max. XX characters.
Total AML	Shows Total AML Displayed format is: Max. XX characters.
Total AML Interest	Shows Total AML Interest Displayed format is: Max. XX characters.
Total Credit	Shows sum of the Total Credit Displayed format is: Max. XX characters.
Credit Line Details	
NCB Maximum Credit Line Value	Shows Optional Maximum Credit line value authorised set by the Refinancing Central Bank Displayed format is: Max. XX characters.
Counterparty Maximum Credit Line Value	Shows Optional Maximum Credit line value set by the Counterparty Displayed format is: Max. XX characters.
Pool Projection Result	
Result	Shows Description of the result: "Sufficient collateral" or "Insufficient collateral" Displayed format is: Max. XX characters
Margin Call Value	Value of the margin call
Section Button	Description
Credit Freezing Position	Section to view the list of the Credit Freezing Position of the Pool.
Securities Position Details	Section to view the list of the Securities Position of the Pool.
EMC Position	Section to view the list of the EMC Position of the Pool.
Cash Collateral Positions	Section to view the list of the Cash Collateral Position of the Pool.
FTD Positions	Section to view the list of the FTD Positions of the Pool.
Credit Claims Positions	Section to view the list of the Credit Claims Position of the Pool.
Triparty Securities Positions	Section to view the list of the Triparty Securities Position of the Pool.

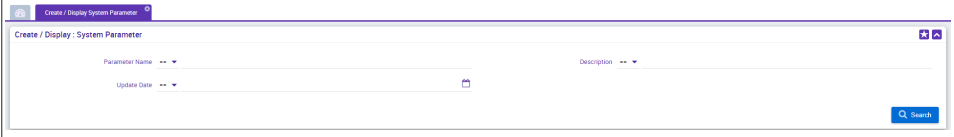
Credit Line Details	Section to view the list of the Credit Line Details of the Pool.
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3.5.3 System Parameters

The System Parameters are configured by the ECMS Operator in the case of System Parameters maintained by the ECMS Operator, or by the NCB Operator in the case of System Parameters (Business Parameters) maintained by the NCB Operator.

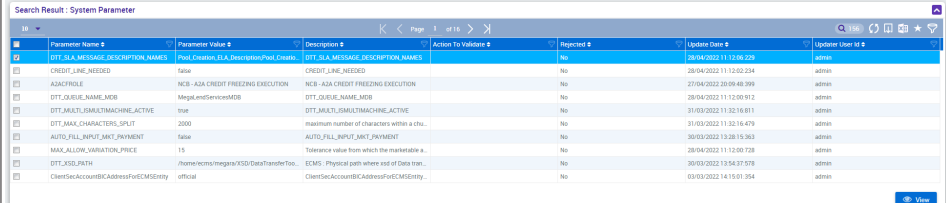
The ECMS Actors can search, list and view the details on the System Parameters.

3.5.3.1 Overview System Parameters – Search Screen

Context of Usage	This screen shows the System Parameters information available to Counterparties and NCB users allowing an overview of the configuration of the ECMS. This function is available only in U2A mode.
Business Case related	<i>Search/List/View the System Parameters</i>
Screen Access	Reference Data >> System Parameters >> Overview System Parameters >> Display
Screenshot	

System Parameters – Search screen	
Field	Description
Parameter Name	Enter part of the Parameter Name. Required format is: Max. XX characters
Description	Enter part of the Parameter Description. Required format is: Max. XX characters.
Maintained By	Enter/Select the value: ECMS Operator, NCB Operator. Required format is: Max. XX characters.
Update Date	Enter/Select the Update Date. Either the current Business Date or a Past Business Date. The calendar icon can also be used. Required format is: YYYYMMDD hh:mm:ss:µs
Button	Function
Reset	This function enables you to clear the form.
Search	This function enables you to start a search according to the filled in criteria. The results are displayed in a list on the List Screen. Next screen: <ul style="list-style-type: none"> System Parameters – List Screen.

3.5.3.1.1 System Parameters – List screen

Context of Usage	<p>This screen contains the search results of the System Parameters displayed in a list. After selecting an entry, you can proceed further by clicking on the buttons below.</p> <p>This function is available only in U2A mode.</p>
Screen Access	Reference Data >> System Parameters >> Display >> Click on the <i>search</i> button (after inputting the relevant fields, if needed)
Screenshot	

System Parameters – List screen	
Field	Description
Parameter Name	Shows the Parameter Name.
Parameter Value	Shows the Parameter Value.
Description	Shows the Parameter Description.
Action to Validate	Shows the outstanding action to validate the System Parameter.
Rejected	Shows the status of the validation (possible values: YES/NO).
Update Date	Shows the Update Date and time: Displayed format is: YYYYMMDD hh:mm:ss:µs
Updater User Id	Shows the user that changed the System Parameter.
Button	Description
View	<p>This function enables you to access to the detailed information of a System Parameter entry.</p> <p>Next screen: <i>System Parameter – Details</i> Screen.</p>
History	<p>This function enables you to access to the historical information.</p> <p>Next screen: <i>System Parameters – History</i> Screen.</p>

3.5.3.1.1.1 System Parameters – History Screen

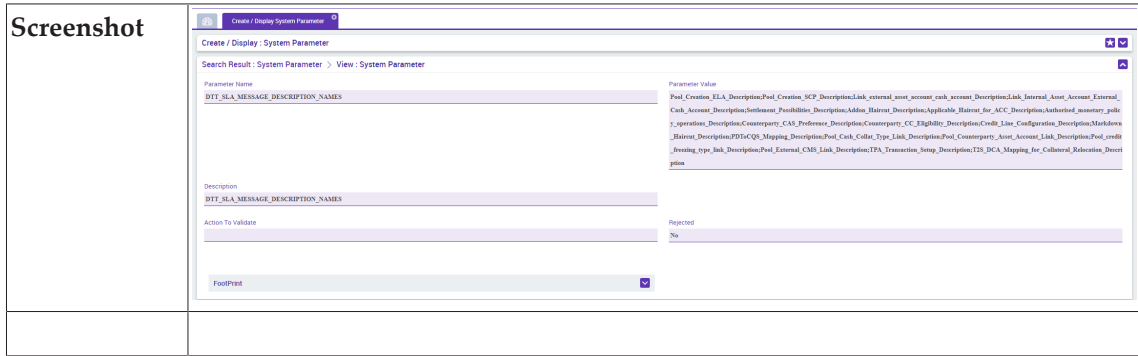
Context of Usage	<p>This screen displays historical information that has changed on the selected System Parameters. You can check the data and proceed further by clicking on the buttons that are shown.</p> <p>This screen is not available for Counterparty users.</p> <p>This function is available only in U2A mode.</p>
Screen Access	– Reference Data >> System Parameters >> Display >> Click on the search button (after inputting the relevant fields, if needed) >> Select an System Parameter >> Click on the <i>History</i> button
Screenshot	

3.5.3.1.1.2 New Node

System Parameters – History screen	
Field	Description
Fields	Shows the fields whose information have been updated since the creation of the selected System Parameter.
Timestamp	Shows the date and time when the fields have been updated. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Button	Description
Compare	Shows the differences between the selected records whose data have been updated.
Expand All	Shows the expanded information of the fields whose information have been updated since the creation of the selected System Parameter.
Show All	Shows the information that existed before each modification of all the fields regarding the System Parameter.
Collapse All	Collapse the expanded information of the fields that have been updated since the creation of the System Parameter.
Show Differences Only	Shows only the fields whose information have been updated since the creation of the selected System Parameter.

3.5.3.1.1.3 System Parameters – Details Screen

Context of Usage	<p>This screen displays the details of a specific System Parameter.</p> <p>This function is available only in U2A mode.</p>
Screen Access	Reference Data >> System Parameters >> Display >> Click on the <i>search</i> button (after inputting the relevant fields, if needed) >> Select an item >> Click on the View button



System Parameters – Details screen	
Field	Description
Parameter Name	Shows the Parameter Name.
Parameter Value	Shows the Parameter Value.
Description	Shows the Parameter Description.
Action to Validate	Shows the outstanding action to validate the System Parameter.
Rejected	Shows the status of the validation (possible values: YES, NO).
Footprint	
Creation Date	Shows the date and time of the creation. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Creation User Id	Shows the identification of the user that performed the creation.
Update Date	Shows the date and time of the update. Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
Updater User Id	Shows the identification of the user that made the last update.

3.5.4 Dashboard

The ECMS provides a dashboard to monitor and retrieve business information during the ECMS business day. The dashboard provides an aggregated view and allows the ECMS Actors to retrieve detailed information via the relevant functional screens.

In case of a list, the dashboard shows the number of instructions/operations. Then the user double-clicks on the item in order to access the relevant functional screen providing the list of instructions/operations. Then the user can perform actions such as consultation, recycling, updates, etc.

The dashboard contains an icon providing the possibility to request a refresh of the data, meaning that the screen is reloaded with updated data. In addition, the dashboard is automatically refreshed based on the number of minutes determined by a system parameter.

The dashboard is configured according to the access rights in order to limit the information available to the user if required.

3.5.4.1 Overview Dashboard – Search Screen

Context of Usage	<p>This screen shows the dashboard information available to Counterparties and NCB users allowing an overview of the workflow in the ECMS.</p> <p>The results will be displayed in a dashboard showing the number of entries per item. After selecting an entry, you can proceed further by clicking on the buttons that are shown.</p> <p>This function is available only in U2A mode.</p>
Business Case related	<p><i>Search/List the Dashboard Screen</i></p> <p><i>View the Transaction Details Screen for the Dashboard</i></p>
Screen Access	Reference Data >> Dashboard >> Overview Dashboard >> Display
Screenshot	

Overview Dashboard – Search screen	
Field	Description
Alerts	
List of Alerts	Shows the number of Alerts grouped by type of alert (File processing, Collateral Sufficiency check, Cash correspondent manual payment, Re-payment processing..).
4-Eyes Validation Pending	
List of Pending 4-Eyes instructions	Shows the number of validation instructions waiting for 4-Eyes approval.
Payments	
List of Pending payments instructions	Shows the number of Regular Payments or Connected Payments instructions sent to CLM with a “Pending” status.
List of Rejected payments instructions	Shows the number of Regular Payments or Connected Payments instructions with a “Rejected” status.
List of Cancelled payments instructions	Shows the number of Regular Payments or Connected Payments instructions with a “Cancelled” status.
List of payments instructions Waiting for NCB Manual Intervention	Shows the number of Regular Payments or Connected Payments instructions with a “Waiting for NCB Manual Intervention” status.
List of Pending Expected payments instructions	Shows the number of Expected Payments instructions sent to CLM with a “Pending” status.
List of Rejected Expected payments instructions	Shows the number of Expected Payments instructions with a “Rejected” status.
List of Expected payments instructions	Shows the number of Expected Payments instructions with a “Cancelled” status.

List of Expected payments instructions Waiting for NCB Manual Intervention	Shows the number of Expected Payments instructions with a “Waiting for NCB Manual Intervention” status.
Credit Line / Credit Position	
List of Pending Modify Credit Line instructions	Shows the number of Modify Credit Line instructions sent to CLM with a “Pending” status.
List of Rejected Modify Credit Line instructions	Shows the number of Modify Credit Line instructions with a “Rejected” status.
List of Modify Credit Line instructions Waiting for NCB Manual Intervention	Shows the number of Modify Credit Line instructions with a “Waiting for NCB Manual Intervention” status.
List of Pending Quick Tender Operations	Shows the number of Quick Tender instructions with a “Pending” status.
List of Rejected Quick Tender Operations	Shows the number of Quick Tender instructions with a “Rejected” status.
List of Quick Tender Operations Waiting for NCB Manual Intervention	Shows the number of Quick Tender instructions with a “Waiting for NCB Manual Intervention” status.
List of Pending OMO Operations	Shows the number of OMO instructions with a “Pending” status.
List of Rejected OMO Operations	Shows the number of OMO instructions with a “Rejected” status.
List of OMO Operations Waiting for NCB Manual Intervention	Shows the number of OMO instructions with a “Waiting for NCB Manual Intervention” status.
List of Pending Marginal Lending instructions	Shows the number of Marginal Lending instructions with a “Pending” status.
List of Rejected Marginal Lending instructions	Shows the number of Marginal Lending instructions with a “Rejected” status.
List of Marginal Lending instructions Waiting for NCB Manual Intervention	Shows the number of Marginal Lending instructions with a “Waiting for NCB Manual Intervention” status.

List of Pending Credit Freezing instructions	Shows the number of Credit Freezing instructions with a “Pending” status.
List of Rejected Credit Freezing instructions	Shows the number of Credit Freezing instructions with a “Rejected” status.
List of Credit Freezing instructions Waiting for NCB Manual Intervention	Shows the number of Credit Freezing instructions with a “Waiting for NCB Manual Intervention” status.
Collateral Position	
List of Rejected marketable assets mobilisation instructions	Shows the number of Marketable assets and non-marketable assets mobilisation instructions with a “Rejected” status.
List of Cancelled marketable assets mobilisation instructions	Shows the number of Marketable assets and non-marketable assets mobilisation instructions with a “Cancelled” status.
List of marketable assets mobilisation instructions Waiting for NCB Manual Intervention	Shows the number of Marketable assets and non-marketable assets mobilisation instructions with a “Waiting for NCB Manual Intervention” status.
List of Rejected demobilisation of marketable assets instructions	Shows the number of Marketable assets and non-marketable assets demobilisation instructions with a “Rejected” status.
List of Cancelled demobilisation of marketable assets instructions	Shows the number of Marketable assets and non-marketable assets demobilisation instructions with a “Cancelled” status.
List of demobilisation of marketable assets instructions Waiting for NCB Manual Intervention	Shows the number of Marketable assets and non-marketable assets demobilisation instructions with a “Waiting for NCB Manual Intervention” status.
List of Rejected revaluation instructions	Shows the number of Revaluation instructions with a “Rejected” status.
List of Cancelled revaluation instructions	Shows the number of Revaluation instructions with a “Cancelled” status.
List of revaluation instructions Waiting for NCB Manual Intervention	Shows the number of Revaluation instructions with a “Waiting for NCB Manual Intervention” status.

List of Rejected Cash as Collateral mobilisation instructions	Shows the number of Cash as Collateral mobilisation instructions with a "Rejected" status.
List of Cancelled Cash as Collateral mobilisation instructions	Shows the number of Cash as Collateral mobilisation instructions with a "Cancelled" status.
List of Cash as Collateral mobilisation instructions Waiting for NCB Manual Intervention	Shows the number of Cash as Collateral mobilisation instructions with a "Waiting for NCB Manual Intervention" status.
List of Rejected Cash as Collateral demobilisation instructions	Shows the number of Cash as Collateral demobilisation instructions with a "Rejected" status.
List of Cancelled Cash as Collateral demobilisation instructions	Shows the number of Cash as Collateral demobilisation instructions with a "Cancelled" status.
List of Cash as Collateral demobilisation instructions Waiting for NCB Manual Intervention	Shows the number of Cash as Collateral demobilisation instructions with a "Waiting for NCB Manual Intervention" status.
List of Rejected T2S Relocation instructions	Shows the number of T2S Relocation instructions with a "Rejected" status.
List of T2S Relocation instructions Waiting for NCB Manual Intervention	Shows the number of T2S Relocation instructions with a "Waiting for NCB Manual Intervention" status.
Credit Claims	
List of Rejected Credit Claims instructions for the instruction types CCR, CCU, CCOAU, RR, RU	Shows the number of Credit Claims instructions for the instruction types CCR, CCU, CCOAU, RR, RU with a "Rejected" status.
List of Cancelled Credit Claims instructions for the instruction types CCR, CCU, CCOAU, RR, RU	Shows the number of Credit Claims instructions for the instruction types CCR, CCU, CCOAU, RR, RU with a "Cancelled" status.

List of Credit Claims instructions for the instruction types CCR, CCU, CCOAU, RR, RU with a Waiting for NCB Manual Intervention	Shows the number of Credit Claims instructions for the instruction types CCR, CCU, CCOAU, RR, RU with a “Waiting for NCB Manual Intervention” status.
List of Rejected Credit Claims mobilisation instructions	Shows the number of Credit Claims mobilisation instructions with a “Rejected” status.
List of Cancelled Credit Claims mobilisation instructions	Shows the number of Credit Claims mobilisation instructions with a “Cancelled” status.
List of Credit Claims mobilisation instructions Waiting for NCB Manual Intervention	Shows the number of Credit Claims mobilisation instructions with a “Waiting for NCB Manual Intervention” status.
List of Rejected Credit Claims demobilisation instructions	Shows the number of Credit Claims demobilisation instructions with a “Rejected” status.
List of Cancelled Credit Claims demobilisation instructions	Shows the number of Credit Claims demobilisation instructions with a “Cancelled” status.
List of Credit Claims demobilisation instructions Waiting for NCB Manual Intervention	Shows the number of Credit Claims demobilisation instructions with a “Waiting for NCB Manual Intervention” status.
List of Collateral insufficiency instructions	Shows the number of Collateral Insufficiency instructions.
List of Margin Calls	Shows the number of Margin Calls.
Corporate Actions	
List of Corporate Actions Events awaiting instructions	Shows the number of Corporate Actions Events awaiting instructions grouped by function (CA Announcement; CA entitlement; CA movement; CA payment).
List of Rejected Corporate Actions payments	Shows the number of Corporate Actions payments with a “Rejected” status grouped by function (CA Announcement; CA entitlement; CA movement; CA payment).

List of Corporate Actions payments on hold for Margin Call	Shows the number of Corporate Actions payments payments on hold for Margin Call grouped by function (CA Announcement; CA entitlement; CA movement; CA payment).
List of Rejected Corporate Actions instructions	Shows the number of Corporate Actions instructions with a "Rejected" status grouped by function (CA Announcement; CA entitlement; CA movement; CA payment).
List of Cancelled Corporate Actions instructions	Shows the number of Corporate Actions instructions with a "Cancelled" status grouped by function (CA Announcement; CA entitlement; CA movement; CA payment).
List of Corporate Actions instructions Waiting for NCB Manual Intervention	Shows the number of Corporate Actions instructions with a "Waiting for NCB Manual Intervention" status grouped by function (CA Announcement; CA entitlement; CA movement; CA payment).
List of reception of reversal of Corporate Actions Advice	Shows the number of reception of reversal of Corporate Actions Advice.
Triparty	
List of Decrease/Closure of the Triparty transactions waiting for NCB validation	Shows the number of Decrease/Closure of the Triparty transactions with a "Waiting for NCB Manual Intervention" status..
List of Cancelled Decrease/Closure of the Triparty transactions	Shows the number of Decrease/Closure of the Triparty transactions with a "Cancelled" status.
List of Rejected Triparty Report	Shows the number of Triparty Report with a "Rejected" status.
List of Triparty Report Waiting for NCB Manual Intervention	Shows the number of Triparty Report with a "Waiting for NCB Manual Intervention" status.
Billing	
List of Invoice Expected	Shows the number of Invoice Expected grouped by sender source (CSD, TPA) in case invoice not received.
Notifications	
List of Files Received in ECMS	Shows the number of Received Files grouped by sender source (CEPH, RIAD, TOP, etc) and status.
List of Files Sent by ECMS	Shows the number of Sent messages grouped by receiver source (CEPH, RIAD, TOP, etc) and status.
List of Messages Received in ECMS	Shows the number of Received messages grouped by sender source (ESMIG, CLM, T2S, etc) and status.
List of Messages Sent by ECMS	Shows the number of Sent messages grouped by receiver source (ESMIG, CLM, T2S, etc) and status.
Recycled	

List of Recycled instructions	Shows the number of Instructions with a “Recycled” status.
Waiting Settlement Date	
List of Waiting Settlement Date instructions	Shows the number of Instructions with a “Waiting Settlement Date” status.
On-Hold or Queued	
List of On-hold or Queued instructions	Shows the number of Instructions with an “On Hold” or “Queued” status.
Button	Description
Search	This function enables you to access the list of instructions relating to the specific item. The results are displayed in a list on the List Screen. Next screen: – <i>Dashboard – List Screen.</i>

3.5.4.1.1 Overview Dashboard – List screen

Context of Usage	This screen contains the search results of a certain item displayed in a list, which is sorted by the values of the ‘Pool Id’ column in descending order (default setting). After selecting an entry, you can proceed further by clicking on the buttons below. Please note that the fields in the list screen specified below are indicative and are not applicable to all the objects listed in the Overview Dashboard screen above. This function is available only in U2A mode.
Screen Access	Reference Data >> Dashboard >> Overview Dashboard >> Display >> Click on the <i>search</i> button (after selecting the relevant item)
Screenshot	

Overview Dashboard – List screen	
Field	Description
Item	
Item Description	Shows the object displayed in the Overview Dashboard.
Application Reference Number	Shows the application reference that uniquely identifies the instruction.
Transaction Reference Number	Shows the reference that uniquely identifies the instruction.
Pool ID	Shows the identifier that uniquely identifies the Pool.
Counterparty ID	Shows the identifier that uniquely identifies the Counterparty.
Counterparty’s MCA	Shows the identifier of the Main Cash Account of the Counterparty

Instruction Type	Shows the Instruction Type
Amount	Shows the amount.
Currency	Shows ISO currency.
Instruction Date	Shows the business date on which the instruction is created. Displayed format is: DD/MM/YYYY.
Intended Settlement Date	Shows the business date on which the instruction will be settled. Either the current business date or current business date + 1. Displayed format is: DD/MM/YYYY.
After selecting a record, the following buttons are displayed	
Button	Description
View	This function enables you to access to the detailed information of an instruction. Next screen: <i>Dashboard – Transaction Details Screen.</i>

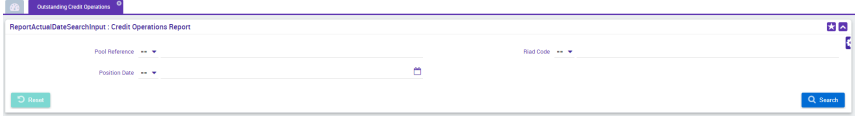
3.5.4.1.1.1 Overview Dashboard – Transaction Details Screen

Context of Usage	This screen displays detailed information of the selected Dashboard transaction. This function is available only in U2A mode.
Screen Access	– Reference Data >> Dashboard >> Overview Dashboard >> Display >> Click on the <i>search</i> button (after selecting the relevant item)>> Click on the <i>view</i> button
Screenshot	
Field/ Button description	See the screens in the relevant sections for the transactions of the Monetary Policy Operations, Marginal Lending, Credit Freezing, Externally Managed Collateral, Cash Collateral, Triparty, Credit Claims.

3.6 Reporting

3.6.1 Outstanding Credit Operations Report

3.6.1.1 Outstanding Credit Operations Report - Search Screen

Context of Usage	This screen is used to enter criteria to retrieve the Outstanding Credit Operations report.
Screen Access	Collateral and Credit >> Reporting >> Credit Operation >> Outstanding Credit Operations
Screenshot	

Outstanding Credit Operations Report – Search screen	
Field	Description

Pool Reference	Enter the Identifier that uniquely identifies the Pool.
Riad Code	Enter the RIAD Code that identifies the counterparty Displayed format is: Max. 30 characters.
Position Date	Enter the position date or use the calendar icon to select one. Displayed format is: DD/MM/YYYY hh:mm:ss:µs
Button	Description
Reset	This function enables you to clear the form.
Search	This function enables you to start a search according to the filled in criteria. The results are displayed in a list on the List Screen.

3.6.1.2 Outstanding Credit Operations Report - List Screen

Context of Usage	<p>This screen contains a list of Settled and Reimbursed Credit Operations Reports.</p> <p>The Settled and Reimbursed Credit Operations Reports listed correspond to the search criteria captured in the Settled and Reimbursed Credit Operations Reports – Search screen.</p> <p>The list displayed can be sorted and filtered by the fields displayed.</p> <p>The list can be exported.</p>
Screen Access	Collateral and Credit >> Reporting >> Credit Operation >> Outstanding Credit Operations >> Click on the <i>Search</i> button (after inputting the relevant fields, if needed)
Screenshot	

Outstanding Credit Operations Report – List screen	
General Information	
Field	Description
Accounting Interest Rate	Shows the Accounting Interest Rate Displayed format is: Max. XX characters.
Allotment Amount	Shows the nominal value of the OMO Displayed format is: Max. XX characters.
Allotment Maturity Date	Shows the date at which the operation will be matured Displayed format is: DD/MM/YYYY.
Allotment Rate	Shows the Allotment Rate Displayed format is: Max. XX characters.
Allotment Status	Shows the Allotment Status Displayed format is: Max. XX characters.
Allotment Value Date	Shows the Allotment Value Date Displayed format is: DD/MM/YYYY.

Amount	Shows the amount Displayed format is: Max. XX characters.
Auction Type	Shows the Auction Type. The possible values are: – FR (for “Fixed Rate Tender”) – VR (for “Variable Rate Tender”) Displayed format is: Max. XX characters.
Counterparty Name	Shows the short name of the Counterparty Displayed format is: Max. XX characters.
Credit Account	Shows the reference of the cash account where the payment is to be paid Displayed format is: Max. XX characters.
Currency	Show the currency of the payment
Debited Account	Shows the reference of the cash account where the payment is debited Displayed format is: Max. XX characters
Duration of Operation	Shows the Duration of Operation
ECMS Internal Reference	Shows the application reference that uniquely identifies the instruction. Displayed format is: Max. XX characters.
Effective Payment Date	Shows the Allotment Value Date Displayed format is: DD/MM/YYYY.
FX Currency	Shows the FX Currency Displayed format is: Max. 3 characters
Initial Margin	Shows the Initial Margin
Interest Currency	Shows the interest currency.
Interest Rate	Shows the applied interest rate for the accrued interest calculation.
Maturity Date	Shows the Maturity Date Displayed format is: DD/MM/YYYY.
MI Type	Shows the MI Type
Netted Payment ID	Shows the Netted Payment Id, if the payment was netted.
Netting Indicator	Shows the Netting Indicator. The possible values are: – Yes – No.
Nominal	Shows the Nominal Amount
Nominal Reimbursed in Currency	Shows the Nominal Reimbursed in Currency
Nominal Reimbursed in Euro	Shows the Nominal Reimbursed in Euro
OMO Reference No	Shows the application reference that uniquely identifies the instruction. Displayed format is: Max. XX characters.
Operation Type	Shows the Operation Type
Outstanding Accrued Interest	Shows the Outstanding Accrued Interest

Outstanding Amount in Currency	Shows the Outstanding Amount in Currency
Outstanding Amount in Euro	Shows the Outstanding Amount in Euro
Paid Accrued Interest	Shows the Paid Accrued Interest
Party Internal Reference	Shows the Party Internal Reference
Payment Currency	Shows the Payment Currency
Payment Status	Shows the Payment Status
Percentage Total Amount	Shows the Percentage Total Amount
Pool Reference	Shows the reference of the cash account where the payment is debited Displayed format is: Max. XX characters
Position Date	Show the date of Position Date.
Principal Interest Indicator	Show the Principal Interest Indicator.
Procedure	Shows the Procedure
Report Time	Shows the Report Date and Time Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
RIAD Code	Shows the RIAD identifier Displayed format is: Max. 30 characters.
Segregated Operation Type	Shows the Segregated Operation Type
Settlement Date	Shows the Settlement date or use the calendar icon. Displayed format is: DD/MM/YYYY
Spread	Shows the Spread
Instruction Status	Shows the Instruction Status
Transaction Reference Number	Shows the Transaction Reference Number
Transaction Type	Shows the Transaction Type
Button	Description
Export Report Csv	This function enables you to export the displayed list of the in a CSV file.
Export Report Excel	This function enables you to export the displayed list of the in an Excel file.
Export Report XML	This function enables you to export the displayed list of the in an XML file.
Delete	This function enables you to delete the <i>Outstanding Credit Operations Report</i> .
Edit	This function enables you to edit the <i>Outstanding Credit Operations Report</i> .
View	This function enables you to access to the detailed information of the <i>Outstanding Credit Operations Report</i> . Next screen:

– Outstanding Credit Operations Report - details screen

3.6.1.3 Outstanding Credit Operations Report - Details Screen

Context of Usage	This screen contains the search results displayed in a list. After selecting an entry, you can proceed further by clicking on the buttons below.
Screen Access	Collateral and Credit >> Reporting >> Credit Operation >> Outstanding Credit Operations >> Click on the <i>Search</i> button (after inputting the relevant fields, if needed) >> Select an Outstanding Credit Operations Report >> Click on the <i>View</i> button
Screenshot	

Outstanding Credit Operations Report – Details screen	
General Information	
Field	Description
Accounting Interest Rate	Shows the Accounting Interest Rate Displayed format is: Max. XX characters.
Allotment Amount	Shows the nominal value of the OMO Displayed format is: Max. XX characters.
Allotment Maturity Date	Shows the date at which the operation will be matured Displayed format is: DD/MM/YYYY.
Allotment Rate	Shows the Allotment Rate Displayed format is: Max. XX characters.
Allotment Status	Shows the Allotment Status Displayed format is: Max. XX characters.
Allotment Value Date	Shows the Allotment Value Date Displayed format is: DD/MM/YYYY.
Amount	Shows the amount

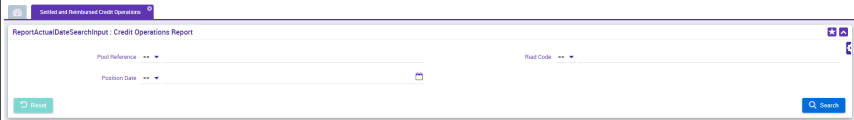
	Displayed format is: Max. XX characters.
Auction Type	Shows the Auction Amount. The possible values are: <ul style="list-style-type: none"> - "Fixed Rate Tender" - "Variable Rate Tender" Displayed format is: Max. XX characters.
Counterparty Name	Shows the short name of the Counterparty Displayed format is: Max. XX characters.
Credit Account	Shows the reference of the cash account where the payment is to be paid Displayed format is: Max. XX characters.
Currency	Show the currency of the payment
Debited Account	Shows the reference of the cash account where the payment is debited Displayed format is: Max. XX characters
Duration of Operation	Shows the Duration of Operation
ECMS Internal Reference	Shows the application reference that uniquely identifies the instruction. Displayed format is: Max. XX characters.
Effective Payment Date	Shows the Effective Payment Date Displayed format is: DD/MM/YYYY.
FX Currency	Shows the FX Currency Displayed format is: Max. 3 characters
Initial Margin	Shows the Initial Margin
Interest Currency	Shows the applied interest rate for the accrued interest calculation
Interest Rate	Shows the applied interest rate for the accrued interest calculation.
Maturity Date	Shows the Maturity Date Displayed format is: DD/MM/YYYY.
MI Type	Shows the MI Type
Netted Payment ID	Shows the Netted Payment Id, if the payment was netted.
Netting Indicator	Shows the Netting Indicator. The possible values are: <ul style="list-style-type: none"> - Yes - No.
Nominal	Shows the Nominal Amount
Nominal Reimbursed in Currency	Shows the Nominal Reimbursed in Currency
Nominal Reimbursed in Euro	Shows the Nominal Reimbursed in Euro
OMO Reference No	Shows the application reference that uniquely identifies the instruction. Displayed format is: Max. XX characters.
Operation Type	Shows the Operation Type
Outstanding Accrued Interest	Shows the Outstanding Accrued Interest
Outstanding Amount in Currency	Shows the Outstanding Amount in Currency

Outstanding Amount in Euro	Shows the Outstanding Amount in Euro
Paid Accrued Interest	Shows the Paid Accrued Interest
Party Internal Reference	Shows the Party Internal Reference
Payment Currency	Shows the Payment Currency
Payment Status	Shows the Payment Status
Percentage Total Amount	Shows the Percentage Total Amount
Pool Reference	Shows the reference of the cash account where the payment is debited Displayed format is: Max. XX characters
Position Date	Show the date of Position Date.
Principal Interest Indicator	Show the Principal Interest Indicator.
Procedure	Shows the Procedure
Report Time	Shows the Report Date and Time Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
RIAD Code	Shows the RIAD identifier Displayed format is: Max. 30 characters.
Segregated Operation Type	Shows the Segregated Operation Type
Settlement Date	Shows the Settlement date or use the calendar icon. Displayed format is: DD/MM/YYYY
Spread	Shows the Spread
Instruction Status	Shows the Instruction Status
Transaction Reference Number	Shows the Transaction Reference Number
Transaction Type	Shows the Transaction Type
Footprint	
Field	Description
Update User Id	Show the identification of the user that made the last update.
Update Date	Shows the date and time of the update. Display format is: DD/MM/YYYY hh:mm:ss:µs.
Creation User Id	Shows the identification of the user that created the report.
Creation Date	Show the date and time of the creation. Display format is: DD/MM/YYYY hh:mm:ss:µs.

3.6.2 Settled and Reimbursed Credit Operations Report

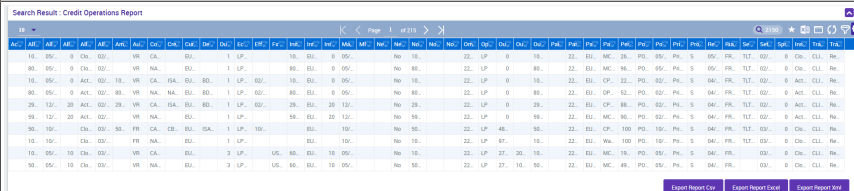
3.6.2.1 Settled and Reimbursed Credit Operations - Search Screen

Context of Usage	This screen is used to enter criteria to retrieve the Settled and Reimbursed Credit Operations report.
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Screen Access	Collateral and Credit >> Reporting >> Credit Operation >> Settled and Reimbursed Credit Operations
Screenshot	

Settled and Reimbursed Credit Operations Report – Search screen	
Field	Description
Pool Reference	Shows the Identifier that uniquely identifies the Pool.
Riad Code	Shows the RIAD Code that identifies the counterparty Displayed format is: Max. 30 characters.
Position Date	Shows the Date of the Cash Collateral Position Displayed format is: DD/MM/YYYY hh:mm:ss:µs
Button	Description
Reset	This function enables you to clear the form.
Search	This function enables you to start a search according to the filled in criteria. The results are displayed in a list on the List Screen.

3.6.2.2 Settled and Reimbursed Credit Operations - List Screen

Context of Usage	This screen contains the search results displayed in a list. After selecting an entry, you can proceed further by clicking on the buttons below.
Screen Access	Collateral and Credit >> Reporting >> Credit Operation >> Settled and Reimbursed Credit Operations >> Click on the <i>Search</i> button (after inputting the relevant fields, if needed)
Screenshot	

Settled and Reimbursed Credit Operations – List screen	
General Information	
Field	Description
Accounting Interest Rate	Shows the Accounting Interest Rate Displayed format is: Max. XX characters.
Allotment Amount	Shows the nominal value of the OMO Displayed format is: Max. XX characters.
Allotment Maturity Date	Shows the date at which the operation will be matured Displayed format is: DD/MM/YYYY.
Allotment Rate	Shows the Allotment Rate Displayed format is: Max. XX characters.
Allotment Status	Shows the Allotment Status

	Displayed format is: Max. XX characters.
Allotment Value Date	Shows the Allotment Value Date Displayed format is: DD/MM/YYYY.
Amount	Shows the amount Displayed format is: Max. XX characters.
Auction Type	Shows the Auction Amount. The possible values are: – “Fixed Rate Tender” – “Variable Rate Tender” Displayed format is: Max. XX characters.
Counterparty Name	Shows the short name of the Counterparty Displayed format is: Max. XX characters.
Credit Account	Shows the reference of the cash account where the payment is to be paid Displayed format is: Max. XX characters.
Currency	Show the currency of the payment
Debited Account	Shows the reference of the cash account where the payment is debited Displayed format is: Max. XX characters
Duration of Operation	Shows the Duration of Operation
ECMS Internal Reference	Shows the application reference that uniquely identifies the instruction. Displayed format is: Max. XX characters.
Effective Payment Date	Shows the Allotment Value Date Displayed format is: DD/MM/YYYY.
FX Currency	Shows the FX Currency Displayed format is: Max. 3 characters
Initial Margin	Shows the Initial Margin
Interest Currency	Shows the applied interest rate for the accrued interest calculation
Interest Rate	Shows the applied interest rate for the accrued interest calculation.
Maturity Date	Shows the Maturity Date Displayed format is: DD/MM/YYYY.
MI Type	Shows the MI Type
Netted Payment ID	Shows the Netted Payment Id, if the payment was netted.
Netting Indicator	Shows the Netting Indicator. The possible values are: – Yes – No.
Nominal	Shows the Nominal Amount
Nominal Reimbursed in Currency	Shows the Nominal Reimbursed in Currency
Nominal Reimbursed in Euro	Shows the Nominal Reimbursed in Euro
OMO Reference No	Shows the application reference that uniquely identifies the instruction. Displayed format is: Max. XX characters.
Operation Type	Shows the Operation Type

Outstanding Accrued Interest	Shows the Outstanding Accrued Interest
Outstanding Amount in Currency	Shows the Outstanding Amount in Currency
Outstanding Amount in Euro	Shows the Outstanding Amount in Euro
Paid Accrued Interest	Shows the Paid Accrued Interest
Party Internal Reference	Shows the Party Internal Reference
Payment Currency	Shows the Payment Currency
Payment Status	Show the Payment Status
Percentage Total Amount	Shows the Percentage Total Amount
Pool Reference	Shows the reference of the cash account where the payment is debited Displayed format is: Max. XX characters
Position Date	Show the date of Position Date.
Principal Interest Indicator	Show the Principal Interest Indicator.
Procedure	Shows the Procedure
Report Time	Shows the Report Date and Time Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
RIAD Code	Shows the RIAD identifier Displayed format is: Max. 30 characters.
Segregated Operation Type	Shows the Segregated Operation Type
Settlement Date	Shows the Settlement date or use the calendar icon. Displayed format is: DD/MM/YYYY
Spread	Shows the Spread
Instruction Status	Shows the Instruction Status
Transaction Reference Number	Shows the Transaction Reference Number
Transaction Type	Shows the Transaction Type
Button	Description
Export Report Csv	This function enables you to export the displayed list of the in a CSV file.
Export Report Excel	This function enables you to export the displayed list of the in an Excel file.
Export Report Xml	This function enables you to export the displayed list of the in an XML file.
Delete	This function enables you to delete the Settled and reimbursed Credit Operations Report.
Edit	This function enables you to edit the Settled and reimbursed Credit Operations Report.
View	This function enables you to access to the detailed information of the Settled and Reimbursed Credit Operations report.

	Next screen: – Settled and Reimbursed Credit Operations - Details details
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3.6.2.3 Settled and Reimbursed Credit Operations - Details Screen

Context of Usage	This screen contains the search results displayed in a list. After selecting an entry, you can proceed further by clicking on the buttons below.
Screen Access	Collateral and Credit >> Reporting >> Credit Operation >> Settled and Reimbursed Credit Operations >> Click on the <i>Search</i> button (after inputting the relevant fields, if needed) >> Select a Settled and Reimbursed Credit Operations Report >> Click on the <i>View</i> button
Screenshot	

Settled and Reimbursed Credit Operations – Details screen	
General Information	
Field	Description
Accounting Interest Rate	Shows the Accounting Interest Rate Displayed format is: Max. XX characters.
Allotment Amount	Shows the nominal value of the OMO Displayed format is: Max. XX characters.
Allotment Maturity Date	Shows the date at which the operation will be matured Displayed format is: DD/MM/YYYY.
Allotment Rate	Shows the Allotment Rate Displayed format is: Max. XX characters.
Allotment Status	Shows the Allotment Status Displayed format is: Max. XX characters.
Allotment Value Date	Shows the Allotment Value Date Displayed format is: DD/MM/YYYY.

Amount	Shows the amount Displayed format is: Max. XX characters.
Auction Type	Shows the Auction Amount. The possible values are: – “Fixed Rate Tender” – “Variable Rate Tender” Displayed format is: Max. XX characters.
Counterparty Name	Shows the short name of the Counterparty Displayed format is: Max. XX characters.
Credit Account	Shows the reference of the cash account where the payment is to be paid Displayed format is: Max. XX characters.
Currency	Show the currency of the payment
Debited Account	Shows the reference of the cash account where the payment is debited Displayed format is: Max. XX characters
Duration of Operation	Shows the Duration of Operation
ECMS Internal Reference	Shows the application reference that uniquely identifies the instruction. Displayed format is: Max. XX characters.
Effective Payment Date	Shows the Allotment Value Date Displayed format is: DD/MM/YYYY.
FX Currency	Shows the FX Currency Displayed format is: Max. 3 characters
Initial Margin	Shows the Initial Margin
Interest Currency	Shows the applied interest rate for the accrued interest calculation
Interest Rate	Shows the applied interest rate for the accrued interest calculation.
Maturity Date	Shows the Maturity Date Displayed format is: DD/MM/YYYY.
MI Type	Shows the MI Type
Netted Payment ID	Shows the Netted Payment Id, if the payment was netted.
Netting Indicator	Shows the Netting Indicator. The possible values are: – Yes – No.
Nominal	Shows the Nominal Amount
Nominal Reimbursed in Currency	Shows the Nominal Reimbursed in Currency
Nominal Reimbursed in Euro	Shows the Nominal Reimbursed in Euro
OMO Reference No	Shows the application reference that uniquely identifies the instruction. Displayed format is: Max. XX characters.
Operation Type	Shows the Operation Type
Outstanding Accrued Interest	Shows the Outstanding Accrued Interest

Outstanding Amount in Currency	Shows the Outstanding Amount in Currency
Outstanding Amount in Euro	Shows the Outstanding Amount in Euro
Paid Accrued Interest	Shows the Paid Accrued Interest
Party Internal Reference	Shows the Party Internal Reference
Payment Currency	Shows the Payment Currency
Payment Status	Shows the Payment Status
Percentage Total Amount	Shows the Percentage Total Amount
Pool Reference	Shows the reference of the cash account where the payment is debited Displayed format is: Max. XX characters
Position Date	Show the date of Position Date.
Principal Interest Indicator	Show the Principal Interest Indicator.
Procedure	Shows the Procedure
Report Time	Shows the Report Date and Time Displayed format is: DD/MM/YYYY hh:mm:ss:µs.
RIAD Code	Shows the RIAD identifier Displayed format is: Max. 30 characters.
Segregated Operation Type	Shows the Segregated Operation Type
Settlement Date	Shows the Settlement date or use the calendar icon. Displayed format is: DD/MM/YYYY
Spread	Shows the Spread
Instruction Status	Shows the Instruction Status
Transaction Reference Number	Shows the Transaction Reference Number
Transaction Type	Shows the Transaction Type
Footprint	
Field	Description
Updater User Id	Show the identification of the user that made the last update.
Update Date	Shows the date and time of the update. Display format is: DD/MM/YYYY hh:mm:ss:µs.
Creation User Id	Shows the identification of the user that created the report.
Creation Date	Show the date and time of the creation. Display format is: DD/MM/YYYY hh:mm:ss:µs.

4 Screen User Guide

4.1 General

4.2 Reference Data

4.2.1 User Management

4.2.1.1 Administration of ECMS Users

Overview	<p>This describes all activities that an NCB can perform for the administration of the users that needs to manage its Parties lifecycle.</p> <p>The Administration of Users has the aim to manage users with appropriate rights:</p> <ul style="list-style-type: none"> – To ensure the access only to the segregated data that this user is allowed to work with. – To access only the functionality that this user is granted. <p>For achieving above goals, four different concepts has to be set in the creation of a user:</p> <ul style="list-style-type: none"> – Groups: when a user is assigned to a group, the user inherit the roles and Properties assigned to this group, making user management easier. – Security Properties: for assigning to the user specific properties. – Security Roles: for assigning to the user specific roles. – User Multi Tenancy: in case of users that are allowed to manage data from different tenants.
Business Scenarios	<ul style="list-style-type: none"> – Create a new User – Edit an existing User

4.2.1.1.1 Create a new User

Context of Usage	<p>This business scenario describes how to create a new User.</p> <p>Before you start, check whether the User has not already been created by someone else.</p>
Reference	<p><i>Users – Create</i> Screen</p> <p><i>Users – Search</i> Screen</p>
Instructions	<ul style="list-style-type: none"> – Go to the Users – Search Screen <p>Reference Data >> Reference Data >> Access Right Reference Data >> Users Create/Display</p> <ul style="list-style-type: none"> – Click on the <i>Create</i> button. <p>The <i>Users – Create</i> Screen is displayed.</p> <p>Enter all mandatory information necessary to determine the potential user.</p> <p>Following fields must be filled in:</p>

	<ul style="list-style-type: none"> - "ECMS System User Reference". To unequivocally identify the user. - "Name". To assign an appropriate name to the user - "Is Active". To set if the user is active or not. <p>Next step is related to assign groups, roles and/or security properties or User Multi Tenancy if needed..</p> <ul style="list-style-type: none"> - "Groups". In this case, all the groups of users in the system will be displayed in order the user (with appropriate rights to create user) can select the needed ones for this user. - "Security Property". In this case, all the security properties in the system will be displayed in order the user (with appropriate rights to create user) can select the needed ones for this user. - "Security Roles". In this case, all the security roles in the system will be displayed in order the user (with appropriate rights to create user) can select the needed ones for this user. - "User Multi Tenancy". This button will be only shown if logged user is an ECMS Operator, then this kind of user could select from the system the different tenants in the system where the "in creation" user will work. <p>Alternatives</p> <ul style="list-style-type: none"> - To set all fields to default value and blank out all optional fields, click on the <i>Reset</i> button. - To cancel the process and return to the previous screen, click on the <i>cancel</i> button.
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4.2.1.1.2 Edit an existing User

Context of Usage	<p>This business scenario describes how to edit the reference data of an existing User. Each NCB is able to update the reference data for its Users.</p> <p>Editing an existing User is optional for the administration of Users.</p>
Reference	<p>Further information on the involved screens can be found in the screen reference part:</p> <ul style="list-style-type: none"> - <i>Users- Create</i> Screen - <i>Users- Search</i> Screen - <i>Users- List</i> Screen - <i>Users- Details</i> Screen
Instructions	<ul style="list-style-type: none"> - Go to the <i>Users- Search</i> screen <p>Reference Data >> Reference Data >> Access Right Reference Data >> Users Create/Display</p> <ul style="list-style-type: none"> - Enter the search criteria known to you about the User you want to edit. - Click on the <i>Search</i> button. The <i>Users - List</i> screen is displayed. A list containing the search results is displayed on the screen. - Select the relevant User from the search results by clicking on an entry in the list. - Click on the <i>Edit</i> button. - The <i>Users - Edit</i> screen is displayed.

	<ul style="list-style-type: none"> - To add or change current values on the User setup, enter the new values in the respective fields. - Before adding or changing the Group, security Role, Security Property or User Multi Tenancy, all mandatory fields of the current screen should be properly populated. <p>Alternatives</p> <ul style="list-style-type: none"> - To cancel the process and return to the previous screen, click on the <i>Cancel</i> button.
	<ul style="list-style-type: none"> - Click on the Group button. - The list of Groups that applies for the current User is displayed. - If adding a new group is needed click on Create button: The <i>Group- Create</i> screen is displayed, select the needed one. Click on the Save button. The added group is stored. - If removing the link to a group is needed. Select the group to be removed from the ones displayed. Click on Delete button The group is not displayed in the list of groups
	<ul style="list-style-type: none"> - Click on the Security Role button. - The list of Roles that applies for the current User is displayed. - If adding a new Role is needed click on Create button: The <i>Role- Create</i> screen is displayed, select the needed one. Click on the Save button. The added Role is stored. - If removing the link to a Role is needed. Select the Role to be removed from the ones displayed. Click on Delete button The Role is not displayed in the list of Roles
	<ul style="list-style-type: none"> - Click on the Security Property button. - The list of Security Properties that applies for the current User is displayed. - If adding a new Security Property is needed click on Create button: The <i>Security Property- Create</i> screen is displayed, select the needed one. Click on the Save button. The added Security Property is stored. - If removing the link to a Security Property is needed. Select the Security Property to be removed from the ones displayed. Click on Delete button The Security Property is not displayed in the list of Security Properties
	<ul style="list-style-type: none"> - Click on the User Multi Tenancy button. - The list of Tenants that applies for the current User is displayed. - If adding a new Tenant is needed click on Create button:

	<p>The <i>User Multi Tenancy- Create</i> screen is displayed, select the needed one.</p> <p>Click on the Save button.</p> <p>The added Tenant is stored.</p> <ul style="list-style-type: none"> - If removing the link to a Tenant is needed. <p>Select the Tenant to be removed from the ones displayed.</p> <p>Click on Delete button</p> <p>The Tenant is not displayed in the list of tenants</p>
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4.3 Monetary Policy Operations

4.3.1 Standing Facilities


4.3.1.1 Marginal Lending on Request

Overview	<p>This section describes the Marginal Lending on Request activities that can be performed by an NCB user or a Counterparty.</p> <p>After the initial creation of a Marginal Lending on Request instruction, the NCB user or the Counterparty can edit it. To manage a Marginal Lending on Request instruction, carry out the following business scenarios in the predefined order:</p>
Business Scenarios	<ol style="list-style-type: none"> 1. Create a new Marginal Lending on Request instruction 2. Edit an existing Marginal Lending on Request instruction

4.3.1.1.1 Create a new Standing Facilities instruction

Context of Usage	This business scenario describes how to create a new Marginal Lending on Request instruction.
Reference	<i>Standing Facilities – Input</i> Screen
Instructions	<ul style="list-style-type: none"> - Through the main menu, go to the Standing Facilities – Input Screen. Click on the Input button. <p>The <i>Standing Facilities – Input</i> Screen is displayed.</p> <p>Enter all fields.</p> <ul style="list-style-type: none"> - Click on the <i>Save</i> button. <p>The new Standing Facilities instruction has been created.</p> <p>Alternatives</p> <ul style="list-style-type: none"> - To set all fields to default value and blank out all optional fields, click on the <i>Reset</i> button. - To cancel the process and return to the previous screen, click on the <i>cancel</i> button.

4.3.1.1.2 Edit an existing Standing Facilities instruction

Context of Usage	<p>This business scenario describes how to edit a Marginal Lending on Request instruction. Each NCB user and Counterparty is able to update its Marginal Lending on Request instructions.</p> <p>Editing an existing Marginal Lending on Request instruction is optional for the management of Marginal Lending on Request instructions.</p>
Reference	<p>Further information on the involved screens can be found in the screen reference part:</p> <ul style="list-style-type: none"> - <i>Standing Facilities – Input</i> Screen - <i>Standing Facilities – Search</i> Screen - <i>Standing Facilities – List</i> Screen - <i>Standing Facilities – Details</i> Screen
Instructions	<ul style="list-style-type: none"> - Through the main menu, go to the <i>Standing Facilities – Search</i> screen <p>Collateral and Credit >> Exposure >> Standing Facilities >> Display</p> <ul style="list-style-type: none"> - Enter the search criteria known to you about the Marginal Lending on Request instruction you want to edit. Enter search fields with the criteria “MLOR” for the Instruction Description. - Click on the <i>Search</i> button. The <i>Standing Facilities – List</i> screen is displayed. A list containing the search results is displayed on the screen. - Select the relevant Marginal Lending on Request instruction from the search results by clicking on an entry in the list. - Click on the <i>Edit</i> button. - The <i>Standing Facilities – Edit</i> screen is displayed. - You can also access to the <i>Standing Facilities – Edit</i> screen: - Click on the <i>View</i> button. The <i>Standing Facilities – Details</i> screen is displayed. - Click on the  icon.
	<ul style="list-style-type: none"> - To add or change current values in fields, enter the new values in the respective fields. <p>Alternatives</p> <ul style="list-style-type: none"> - To cancel the process and return to the previous screen, click on the <i>Cancel</i> button.
	<ul style="list-style-type: none"> - - Click on the <i>Save</i> button. - The edited Marginal Lending on Request instruction is stored. -

4.3.1.2 Standing Facilities Notifications - MLOR Notifications

Overview	<p>This section describes the MLOR Notifications activities that can be performed by an NCB user or a Counterparty.</p>
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	After the initial creation of a MLOR instruction by the ECMS, the NCB user or the Counterparty can view the notifications. To manage a MLOR Notifications, carry out the following business scenarios in the predefined order:
Business Scenarios	<ol style="list-style-type: none"> 1. View a MLOR Notification 2. View the details of a MLOR Notification.

4.3.1.2.1 View a MLOR Notification

Context of Usage	This business scenario describes how to view a MLOR Notification.
Reference	<i>MLOR Notification – Search</i> Screen
Instructions	<ul style="list-style-type: none"> – Through the main menu, go to the MLOR Notification – Search Screen. Click on the button. <p>The <i>MLOR Notification – Search</i> Screen is displayed.</p> <p>Enter search fields.</p> <ul style="list-style-type: none"> – Click on the <i>Search</i> button. <p>The <i>MLOR Notification</i> are displayed.</p> <p>Alternatives</p> <ul style="list-style-type: none"> – To set all fields to default value, click on the <i>Reset</i> button.

4.3.1.3 Standing Facilities Notifications – MLOR Cancellation Notifications

Overview	<p>This section describes the MLOR Cancellation Notifications activities that can be performed by an NCB user or a Counterparty.</p> <p>After the initial creation of a MLOR instruction by the ECMS and then the cancellation, the NCB user or the Counterparty can view the notifications. To manage a MLOR Cancellation Notifications, carry out the following business scenarios in the predefined order:</p>
Business Scenarios	<ol style="list-style-type: none"> 1. View a MLOR Cancellation Notification 2. View the details of a MLOR Cancellation Notification.

4.3.1.3.1 View a MLOR Cancellation Notification

Context of Usage	This business scenario describes how to view a MLOR Cancellation Notification.
Reference	<i>MLOR Cancellation Notification – Search</i> Screen
Instructions	<p>Through the main menu, go to the <i>MLOR Notification – Search</i> Screen. Click on the button.</p> <ul style="list-style-type: none"> – The <i>MLOR Cancellation Notification – Search</i> Screen is displayed. <p>Enter search fields.</p> <ul style="list-style-type: none"> – Click on the <i>Search</i> button.

	<p>The <i>MLOR Cancellation Notification</i> are displayed.</p> <p>Alternatives</p> <ul style="list-style-type: none"> – To set all fields to default value, click on the <i>Reset</i> button.
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4.3.1.4 Standing Facilities Notifications - MLOR Status Notifications

Overview	<p>This section describes the MLOR Status Notifications activities that can be performed by an NCB user or a Counterparty.</p> <p>After the initial creation of a MLOR instruction by the ECMS, the NCB user or the Counterparty can view the status notifications. To manage a MLOR Status Notifications, carry out the following business scenarios in the predefined order:</p>
Business Scenarios	<ol style="list-style-type: none"> 1. View a MLOR Status Notification 2. View the details of a MLOR Status Notification.

4.3.1.4.1 View a MLOR Status Notification

Context of Usage	This business scenario describes how to view a MLOR Status Notification.
Reference	<i>MLOR Status Notification – Search</i> Screen
Instructions	<ul style="list-style-type: none"> – Through the main menu, go to the MLOR Status Notification – Search Screen – Click on the button. <p>The <i>MLOR Status Notification – Search</i> Screen is displayed.</p> <p>Enter search fields.</p> <ul style="list-style-type: none"> – Click on the <i>Search</i> button. <p>The <i>MLOR Status Notification</i> are displayed.</p> <p>Alternatives</p> <ul style="list-style-type: none"> – To set all fields to default value, click on the <i>Reset</i> button.

4.3.1.5 Automatic Marginal Lending

Overview	<p>This section describes the Automatic Marginal Lending activities that can be performed by an NCB user or a Counterparty.</p> <p>After the initial creation of a Automatic Marginal Lending instruction, the NCB user or the Counterparty can view it. To view an Automatic Marginal Lending instruction, carry out the following business scenarios in the predefined order:</p>
Business Scenarios	<ol style="list-style-type: none"> 1. View an Automatic Marginal Lending instruction

4.3.1.5.1 View an Automatic Marginal Lending Instruction

Context of Usage	This business scenario describes how to view an AML Instruction.
Reference	AML Instruction – <i>Search</i> Screen
Instructions	<ul style="list-style-type: none"> – Through the main menu, go to the Standing Facilities – Search Screen – Click on the button. <p>The Standing Facilities – <i>Search</i> Screen is displayed.</p> <p>Enter search fields with the criteria “AML” for the Instruction Description.</p> <ul style="list-style-type: none"> – Click on the <i>Search</i> button. <p>The AML Instructions are displayed.</p> <p>Alternatives</p> <ul style="list-style-type: none"> – To set all fields to default value, click on the <i>Reset</i> button.

4.3.1.6 Standing Facilities Notifications - AML Status Notifications

Overview	<p>This section describes the AML Status Notifications activities that can be performed by an NCB user or a Counterparty.</p> <p>After the initial creation of an AML instruction, the NCB user or the Counterparty can view the status notifications. To manage an AML Status Notifications, carry out the following business scenarios in the predefined order:</p>
Business Scenarios	<ol style="list-style-type: none"> 1. View an AML Status Notification 2. View the details of an AML Status Notification.

4.3.1.6.1 View an AML Status Notification

Context of Usage	This business scenario describes how to view an AML Status Notification.
Reference	AML Status Notification – <i>Search</i> Screen
Instructions	<ul style="list-style-type: none"> – Through the main menu, go to the AML Status Notification – Search Screen – Click on the button. <p>The AML Status Notification – <i>Search</i> Screen is displayed.</p> <p>Enter search fields.</p> <ul style="list-style-type: none"> – Click on the <i>Search</i> button. <p>The AML Status Notification are displayed.</p> <p>Alternatives</p> <ul style="list-style-type: none"> – To set all fields to default value, click on the <i>Reset</i> button.

4.4 Collateral

4.4.1 Marketable Asset Instructions and Positions

4.4.1.1 Marketable Asset Instructions

Overview	<p>This describes the activities that a user can perform to monitor the processing of Marketable Asset Instructions in the ECMS.</p> <p>The monitoring of Marketable Asset Instructions aims at having a detailed view on the Marketable Asset Instructions received by the ECMS and at following their different processing status.</p>
Business Scenarios	<ul style="list-style-type: none"> – Search/List/View the Counterparty Marketable Asset (de)Mobilisation Instructions – Search/List/View the NCB Marketable Asset Instructions – Search/List/View the Marketable Asset Settlement Instructions – Input a Counterparty Marketable Asset (de)Mobilisation Instruction

4.4.1.1.1 Search/List/View Counterparty Marketable Asset (de)Mobilisation Instructions

Context of Usage	<p>This business scenario describes how to Search to List and to View Counterparty Marketable Asset (de)Mobilisation Instructions.</p> <p>Counterparty Marketable Asset (de)Mobilisation Instructions are the instruction received by the ECMS for the mobilisation and the demobilisation of Marketable Asset Collateral on an ECMS Counterparty Asset Account.</p>
Reference	<p><i>Counterparty Marketable Asset Instructions – Search Screen</i></p> <p><i>Counterparty Marketable Asset Instructions – List Screen</i></p> <p><i>Counterparty Marketable Asset Instructions – Details screen</i></p>
Instructions	<ul style="list-style-type: none"> – Through the main menu, go to <i>the Counterparty Marketable Asset Instructions – Search Screen</i> <p><i>Instructions and Positions >> MA Instruction >> Monitor >> Counterparty Instruction</i></p> <ul style="list-style-type: none"> – Capture the criteria corresponding to the search or leaves them blank to display all the Counterparty Marketable Asset (de)Mobilisation Instructions. <p>Click on the “Search” button.</p> <p>The list of all the Counterparty Marketable Asset (de)Mobilisation Instructions answering the captured criteria is displayed in the <i>Counterparty Marketable Asset Instructions– List Screen</i>.</p> <p>Alternatives</p> <p>Alternatively, click on the “Reset” button to clear the search criteria and close the <i>Counterparty Marketable Asset Instructions– List Screen</i>.</p> <ul style="list-style-type: none"> – Once a Counterparty Marketable Asset (de)Mobilisation Instruction has been selected in the list, a “View” button is available.

	<ul style="list-style-type: none"> – Click on the “View” button or double clicking on the selected line to display the <i>Counterparty Marketable Asset Instructions– Details screen</i> with the details of the selected Counterparty Marketable Asset (de)Mobilisation Instruction. <p>Alternatives</p> <p>Alternatively, click on the “Download” button to download the notification message.</p> <ul style="list-style-type: none"> – Click on the Details heading to go back to the list of “Marketable Asset (de)Mobilisation Instructions”
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4.4.1.1.2 Search/List/View Marketable Asset Settlement Instructions

Context of Usage	<p>This business scenario describes how to Search to List and to View Marketable Asset Settlement Instructions.</p> <p>Marketable Asset Settlement Instructions are the instructions sent for the settlement of a Marketable Asset Instruction.</p>
Reference	<p><i>Marketable Asset Settlement Instructions – Search Screen</i></p> <p><i>Marketable Asset Settlement Instructions – List Screen</i></p> <p><i>Marketable Asset Settlement Instructions – Details screen</i></p>
Instructions	<ul style="list-style-type: none"> – Through the main menu, go to the Marketable Asset Settlement Instructions – Search Screen <p><i>Instructions and Positions >> MA Settlement Instruction >> Marketable Asset Settlement Instructions</i></p> <ul style="list-style-type: none"> – Capture the criteria corresponding to the search or leaves them blank to display all the Marketable Asset Settlement Instructions. <p>Click on the “Search” button.</p> <p>The list of all the Marketable Asset Answering Instructions answering the captured criteria is displayed in the <i>Marketable Asset Settlement Instructions– List Screen</i> is displayed.</p> <p>Alternatives</p> <p>Alternatively, click on the “Reset” button to clear the search criteria and close the <i>Marketable Asset Settlement Instructions– List Screen</i>.</p> <ul style="list-style-type: none"> – Once a Marketable Asset Settlement Instruction has been selected in the list, a “View” button is available. – Click on the “View” button or double clicking on the selected line to display the <i>Counterparty Marketable Asset Settlement Instructions– Details screen</i> with the details of the selected Marketable Asset Settlement Instruction. – Click on the Details heading to go back to the list of “Marketable Asset Settlement Instructions”

4.4.1.1.3 Input a Counterparty Marketable Asset (de)Mobilisation Instruction

Context of Usage	<p>This business scenario describes how to input a new Counterparty Marketable Asset (de)Mobilisation Instruction.</p>
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	<p>Counterparty Marketable Asset (de)Mobilisation Instructions are the instruction received by the ECMS for the mobilisation and the demobilisation of Marketable Asset Collateral on an ECMS Counterparty Asset Account.</p> <p>This scenario is applicable for Counterparty or NCB users acting on behalf on the counterparty as long as they have the appropriate user rights.</p>
Reference	<i>Counterparty Marketable Asset (de)Mobilisation Instructions – Input Screen</i>
Instructions	<ul style="list-style-type: none"> – Through the main menu, go to the <i>Counterparty Marketable Asset (de)Mobilisation Instructions – Input Screen</i> <p><i>Instructions and Positions >> MA Instruction >> Input Instruction >> Marketable Asset Instruction</i></p> <ul style="list-style-type: none"> – Capture the General Information fields of the Counterparty Marketable Asset Instruction: <p>Note that</p> <ul style="list-style-type: none"> – If a Counterparty has been selected, only the NCB Participant Asset Account of that counterparty can be captured or selected. – If no Counterparty has been selected, when the NCB Participant Asset Account the field Counterparty will be filled automatically with the Counterparty owning that account. – When the field ISIN is captured with an ISIN valid in the ECMS reference data, the field ISIN description will be automatically displayed. – Capture the Custody Chain fields of the Counterparty Marketable Asset Instruction: <p>Note that when the “CSD of the T2S Securities Account for Regular Collateral” will be filled in, the field External Asset Account and the External Asset Account Owner will be filled in automatically will be automatically displayed using the settlement possibility for mobilisation or the custody chain for demobilization.</p> <ul style="list-style-type: none"> – Capture the Counterparty Details fields of the Counterparty Marketable Asset Instruction: – Click on the “Save” button and the Counterparty Marketable Asset Instruction will be saved. – If the business validation are passed successfully, it will be automatically processed – If the business validation fails, and pop-up screen with the errors will be displayed. The user still have to click on the “ok” button of the error screen to come back to the <i>Counterparty Marketable Asset Instruction – input screen</i> <p>Alternatives</p> <p>Alternatively, click on the “Reset” button to clear the fields captured in the <i>Counterparty Marketable Asset Instructions– Input Screen</i>.</p>

4.4.1.1.4 Recycle/Reject Counterparty Marketable Asset (de)Mobilisation Instructions waiting for NCB Manual Intervention

Context of Usage	This business scenario describes how to recycle or reject Counterparty Marketable Asset (de)Mobilisation Instructions waiting for NCB Manual Intervention.
Reference	<p><i>Counterparty Marketable Asset Instructions – Search Screen</i></p> <p><i>Counterparty Marketable Asset Instructions – List Screen</i></p>

	<i>Counterparty Marketable Asset Instructions – Details screen</i>
Instructions	<ul style="list-style-type: none"> – Through the main menu, go to the <i>Counterparty Marketable Asset Instructions – Search Screen</i> <p><i>Instructions and Positions >> MA Instruction >> Validation / Rejection >> Recycle / Reject MA Instructions waiting for NCB Manual Intervention</i></p> <ul style="list-style-type: none"> – Capture the criteria corresponding to the search or leave them blank to display all the Counterparty Marketable Asset (de)Mobilisation Instructions waiting for NCB Manual Intervention. <p>Click on the “Search” button.</p> <p>The list of all the Counterparty Marketable Asset (de)Mobilisation Instructions waiting for NCB Manual Intervention and answering the captured criteria is displayed in the <i>Counterparty Marketable Asset (de)Mobilisation Instructions– List Screen</i> is displayed.</p> <p>Alternatives</p> <p>Alternatively, click on the “Reset” button to clear the search criteria and close the <i>Counterparty Marketable Asset Instructions– List Screen</i>.</p> <ul style="list-style-type: none"> – Once a Counterparty Marketable Asset (de)Mobilisation Instruction has been selected in the list, a “Recycle” and a “Reject” button are available. – Click on the “Recycle” button to recycle the <i>Counterparty Marketable Asset Instructions– Details screen</i> with the details of the selected Counterparty Marketable Asset (de)Mobilisation Instruction. <p>Alternatives</p> <p>Alternatively, click on the “Reject” button to reject the instruction.</p>

4.4.1.2 Marketable Asset Positions

Overview	<p>This describes the activities that a user can perform to monitor the Marketable Asset Positions in the ECMS.</p> <p>The monitoring of Marketable Asset Positions aims at having a detailed view on the Marketable Asset Positions in the following Accounts :</p> <ul style="list-style-type: none"> – Counterparty Asset Account – Cross NCB Asset Account – T2S Securities Account
Business Scenario	<ul style="list-style-type: none"> – Search/List/View the Client Marketable asset Position per Position Type – Search/List/View the Market Marketable asset Position per Position Type

4.4.1.2.1 Search/List/View Client Marketable Asset Positions per Position Type

Context of Usage	<p>This business scenario describes how to Search to List and to View Client Marketable Asset Positions per Position Type.</p> <p>Client Marketable Asset Position by Position Type represents the quantity of a given marketable asset held</p> <ul style="list-style-type: none"> – On a ECMS Counterparty Asset Account opened in its books by a counterparty of its communities,
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	<ul style="list-style-type: none"> – On a Cross NCB Asset Account opened in its books by a refinancing NCB.
Reference	<p><i>Client Marketable Asset Positions per Position Type – Search Screen</i></p> <p><i>Client Marketable Asset Positions per Position Type – List Screen</i></p> <p><i>Client Marketable Asset Positions per Position Type – Details screen</i></p>
Instructions	<ul style="list-style-type: none"> – Through the main menu, go to the <i>Client Marketable Asset Positions per Position Type – Search Screen</i> <p><i>Instructions and Positions >> Client Marketable Asset Position >> Client Marketable Asset Position by Position Type</i></p> <ul style="list-style-type: none"> – Capture the criteria corresponding to the search. <p>Click on the “Search” button.</p> <p>The list of all the Client Marketable Asset Positions per Position Type answering the captured criteria is displayed in the <i>Client Marketable Asset Positions per Position Type – List</i> screen is displayed.</p> <p>Alternatives</p> <p>Alternatively, click on the “Reset” button to clear the search criteria and close the <i>Client Marketable Asset Positions per Position Type – List</i> screen.</p> <ul style="list-style-type: none"> – Once a Client Marketable Asset Position per Position Type has been selected in the list, a “View” button is available. – Click on the “View” button or double clicking on the selected line to display the <i>Client Marketable Asset Positions per Position Type – Details</i> screen with the details of the selected position. – Click on the Details heading to go back to the list of “Client Marketable Asset Position per Position Type”.

4.4.2 Cash Collateral Instructions and Positions

4.4.2.1 Cash Collateral Instructions

Overview	<p>This section describes the activities that a NCB user can perform to monitor the processing of Cash Collateral Instructions in the ECMS.</p> <p>Also the monitoring of the Payment Notifications send to CLM and the manual input of a Cash Collateral (de)Mobilisation Instruction.</p> <p>The monitoring of Cash Collateral Instructions and Notifications aim at having a detailed view on the Cash Collateral Instructions/Notifications received/sent by the ECMS.</p>
Business Scenarios	<ul style="list-style-type: none"> – Search/List/View the Cash Collateral (de)Mobilisation Instructions – Search/List/View the CCOL Instructions Notifications – Search/List/View the CCOL Instructions Status Notifications – Input a Cash Collateral (de)Mobilisation Instruction – Recycle a Cash Collateral (de)Mobilisation Instruction – Reject a Cash Collateral (de)Mobilisation Instruction

4.4.2.1.1 Search/List/View Cash Collateral (de)Mobilisation Instructions

Context of Usage	<p>This business scenario describes how to Search to List and to View Cash Collateral (de)Mobilisation Instructions.</p> <p>Cash Collateral (de)Mobilisation Instructions are the instruction received by the ECMS for the mobilisation and the demobilisation of Cash as Collateral on the ECMS.</p>
Reference	<p><i>Cash Collateral Instructions – Search Screen</i></p> <p><i>Cash Collateral Instructions – List Screen</i></p> <p><i>Cash Collateral Instructions – Details screen</i></p>
Instructions	<ul style="list-style-type: none"> – Through the main menu, go to the <i>Cash Collateral Instructions – Search Screen</i> <p><i>Collateral and Credit >> Collateral >> Cash Collateral >> Cash Collateral Display</i></p> <ul style="list-style-type: none"> – Capture the criteria corresponding to the search or leave them blank to display all the Cash Collateral Instructions. <p>Click on the “Search” button.</p> <p>The list of all the Cash Collateral Instructions answering the captured criteria is displayed in the <i>Cash Collateral Instructions– List Screen</i>.</p> <p>Alternatives</p> <p>Alternatively, click on the “Reset” button to clear the search criteria and close the <i>Cash Collateral Instructions– List Screen</i>.</p> <ul style="list-style-type: none"> – Once a Cash Collateral Instruction has been selected in the list, a “View” button is available. – Click on the “View” button or double clicking on the selected line to display the <i>Cash Collateral Instructions– Details screen</i> with the details of the selected Cash Collateral Instruction. – Click on the Details heading to go back to the list of “Cash Collateral Instructions”

4.4.2.1.2 Search/List/View CCOL Instructions Notifications

Context of Usage	<p>This business scenario describes how to Search to List and to View CCOL Instructions Notifications.</p> <p>You can also download the message sent to CLM.</p> <p>CCOL Instructions Notifications are the messages sent by the ECMS to CLM to instruct the payment for the (de)mobilisation of Cash as Collateral on the ECMS.</p>
Reference	<p><i>CCOL Instructions – Search Screen</i></p> <p><i>CCOL Instructions – List Screen</i></p>
Instructions	<ul style="list-style-type: none"> – Through the main menu, go to the <i>CCOL Instructions – Search Screen</i>. <p><i>Collateral and Credit >> Notification >> Counterparties Notifications >> Cash Collateral Notifications >> CCOL Instructions</i></p> <ul style="list-style-type: none"> – Capture the criteria corresponding to the search or leave them blank to display all the CCOL Instructions Notifications. <p>Click on the “Search” button.</p>

	<p>The list of all the CCOL Instructions answering the captured criteria is displayed in the <i>CCOL Instructions – List Screen</i>.</p> <p>Alternatives</p> <p>Alternatively, click on the “Reset” button to clear the search criteria and close the <i>CCOL Instructions – List Screen</i>.</p> <ul style="list-style-type: none"> – Once a CCOL Instructions has been selected in the list, the “Download” button is available. – Click on the “Download” button to download the message sent to CLM.
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4.4.2.1.3 Search/List/View CCOL Instructions Status Notifications

Context of Usage	<p>This business scenario describes how to Search to List and to View CCOL Instruction Status Notifications.</p> <p>You can also download the message sent by CLM.</p> <p>CCOL Instruction Status Notifications are the messages sent by CLM to ECMS to confirm the payment for the mobilisation of Cash as Collateral on the ECMS.</p>
Reference	<p><i>CCOL Instruction Status Notifications – Search Screen</i></p> <p><i>CCOL Instruction Status Notifications – List Screen</i></p>
Instructions	<ul style="list-style-type: none"> – Through the main menu, go to the <i>CCOL Instruction Status Notifications – Search Screen</i>. <p><i>Collateral and Credit >> Notification >> Counterparties Notifications >> Cash Collateral Notifications >> CCOL Instructions Status Notifications</i></p> <ul style="list-style-type: none"> – Capture the criteria corresponding to the search or leave them blank to display all the CCOL Instruction Status Notifications. <p>Click on the “Search” button.</p> <p>The list of all the CCOL Instruction Status Notifications answering the captured criteria is displayed in the <i>CCOL Instruction Status Notifications – List Screen</i>.</p> <p>Alternatives</p> <p>Alternatively, click on the “Reset” button to clear the search criteria and close the <i>CCOL Instruction Status Notifications – List Screen</i>.</p> <ul style="list-style-type: none"> – Once a CCOL Instruction Status Notifications has been selected in the list, the “Download” button is available. – Click on the “Download” button to download the message sent to CLM.

4.4.2.1.4 Input a Cash Collateral (de)Mobilisation Instruction

Context of Usage	<p>This business scenario describes how to manually input a new Cash Collateral (de)Mobilisation Instruction.</p> <p>Cash Collateral (de)Mobilisation Instructions are the instructions received by the ECMS for the mobilisation and the demobilisation of Cash Collateral in the ECMS.</p>
Reference	<p><i>Cash Collateral Instructions – Input Screen</i></p>
Instructions	<ul style="list-style-type: none"> – Through the main menu, go to the <i>Cash Collateral Instructions – Input Screen</i>

	<p>Collateral and Credit >> Collateral >> Cash Collateral >> Input Instruction</p> <ul style="list-style-type: none"> – Enter all the required information of the Cash Collateral Instruction: – Click on the “Save” button and the Cash Collateral Instruction will be saved. – If the business validation are passed successfully, it will be automatically processed – If the business validation fails, a pop-up screen with the errors will be displayed. The user will have to click on the “ok” button of the error screen to come back to the <i>Cash Collateral Instruction – input</i> screen <p>Alternatives</p> <p>Alternatively, click on the “Reset” button to clear the fields captured in the <i>Cash Collateral Instructions– Input</i> Screen.</p>
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4.4.2.2 Cash Collateral Aggregated Positions

Overview	<p>This section describes the activities that a NCB user can perform to monitor the Cash Collateral Aggregated Positions in the ECMS.</p> <p>The monitoring of Cash Collateral Positions aims at having a detailed view on the cash (de)mobilised as collateral by Counterparties and the daily calculation of accrued interest on the ECMS Pools.</p>
Business Scenario	<ul style="list-style-type: none"> – Search/List/View the Cash Collateral Position

4.4.2.2.1 Search/List Cash Collateral Aggregated Positions

Context of Usage	<p>This business scenario describes how to Search and to List Cash Collateral Positions aggregated.</p> <p>At a given time the Cash Collateral Position is equal to:</p> <ul style="list-style-type: none"> – The sum of the amount Cash Collateral Mobilisation instruction confirmed, – Plus the sum of the daily accrued interest, – Minus the sum the amount Cash Collateral Demobilisation instruction confirmed.
Reference	<p><i>Cash Collateral Aggregated Positions – Search</i> Screen</p> <p><i>Cash Collateral Aggregated Positions – List</i> Screen</p>
Instructions	<ul style="list-style-type: none"> – Through the main menu, go to the <i>Cash Collateral Aggregated Positions – Search</i> Screen <p>Collateral and Credit >> Pool Overview >> Positions >> Aggregated Positions >> Aggregated Cash Collateral Position</p> <ul style="list-style-type: none"> – Capture the criteria corresponding to the search. <p>Click on the “Search” button.</p> <p>The list of all the Cash Collateral Aggregated Positions answering the captured criteria is displayed in the <i>Cash Collateral Aggregated Positions – List</i> screen is displayed.</p> <p>Alternatives</p> <p>Alternatively, click on the “Reset” button to clear the search criteria and close the <i>Cash Collateral Aggregated Positions – List</i> screen.</p>

4.4.3 Credit Claims

4.4.3.1 Credit Claims Instructions

Overview	<p>This section describes the activities that a NCB user can perform to monitor the processing of Credit Claims Instructions in the ECMS.</p> <p>In addition, the monitoring of the of the credit claim file received from counterparties, the processing report send to the counterparties and the manual input of Credit Claim Instructions</p>
Business Scenarios	<ul style="list-style-type: none"> - Search/List/View the Credit Claims file - Recycle the Credit Claims file - Input a Credit Claim (de)Mobilisation Instruction - Confirm a Credit Claim (de)Mobilisation Instruction - Reject a Credit Claim (de)Mobilisation Instruction - Recycle a Credit Claim (de)Mobilisation Instruction - Input a Credit Claim Registration / update Instruction - Input a Credit Claim Exclusion Instruction - Input an Obligor Exclusion Instruction - Confirm a Credit Claim Registration / Update Instruction - Reject a Credit Claim Registration / Update Instruction - Recycle a Credit Claim Registration / Update Instruction - Input a Credit Claim Rating registration / update Instruction - Confirm a Credit Claim Rating registration / update Instruction - Confirm Reject a Credit Claim Rating registration / update Instruction - Recycle a Credit Claim Rating registration / update Instruction - Search/List/View the Credit Claim registration/update instructions - Search/List/View the Rating registration / Rating update Instructions - Search/List/View the Credit Claim (de)Mobilisation Instructions - Search/List/View the processing report file - Search/List/View the Credit Claims - Create a Guarantee - Search/List/View Guarantee - Edit an existing Guarantee - Create Obligor - Search/List/View/ Obligor - Edit an existing Obligor - Create Creditor - Search/List/View Creditor - Edit an existing Creditor -

4.4.3.1.1 Search/List/View Credit Claims file

4.4.3.1.1.1

Context of Usage	This business scenario describes how to Search , List and View the Credit Claims file received by the ECMS from the counterparties and containing the counterparties credit claims instructions
Reference	<p><i>Credit Claims file – Search Screen</i></p> <p><i>Credit Claims file – List Screen</i></p> <p><i>Credit Claims file – Details screen</i></p>
Instructions	<p>Through the main menu, go to the <i>Credit Claims file – Search Screen</i></p> <p>Collateral and Credit >> Credit Claims >> Credit Claims file Display</p> <ul style="list-style-type: none"> – Capture the criteria corresponding to the search or leave them blank to display all received Credit Claims file. <p>Click on the “Search” button.</p> <p>The list of all the Credit Claims file answering the captured criteria is displayed in the <i>Credit Claims file – List Screen</i>.</p> <p>Alternatives</p> <p>Alternatively, click on the “Reset” button to clear the search criteria and close the <i>Credit Claims file – List Screen</i>.</p> <p>–</p> <ul style="list-style-type: none"> – Once a <i>Credit Claims file</i> has been selected in the list, a “View” button is available. – Click on the “View” button or double clicking on the selected line to display the <i>Credit Claims file – Details screen</i> with the details of the selected Credit Claims file. – Click on the Details heading to go back to the list of “Credit Claims files” <p>Alternatives</p> <p>Alternatively, click on the “History” button to view the history of the changes having affecting the instruction in the <i>Credit Claims file – History Screen</i>.</p>

4.4.3.1.2 Search/List/View Credit Claim (de)Mobilisation Instructions

4.4.3.1.2.1

Context of Usage	<p>This business scenario describes how to Search, List and View Credit Claims (de)Mobilisation Instructions.</p> <p>The Credit Claims (de)Mobilisation are created within reception of the Credit Claims file from counterparties or manually by an authorized user.</p>
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Reference	<p><i>Credit Claim (de)Mobilisation Instruction – Search Screen</i></p> <p><i>Credit Claim (de)Mobilisation Instruction – List Screen</i></p> <p><i>Credit Claim (de)Mobilisation Instruction – Details screen</i></p>
Instructions	<ul style="list-style-type: none"> – Through the main menu, go to the <i>Credit Claim (de)Mobilisation Instruction – Search Screen</i> <p>Collateral and Credit >> Collateral >> Credit Claims >> Credit Claims (de)Mobilisation Instructions Display</p> <ul style="list-style-type: none"> – Capture the criteria corresponding to the search or leave them blank to display all the <i>Credit Claim (de)Mobilisation Instruction</i>. <p>Click on the “Search” button.</p> <p>The list of all the <i>Credit Claim (de)Mobilisation Instructions</i> answering the captured criteria is displayed in the <i>Credit Claim (de)Mobilisation Instruction – List Screen</i>.</p> <p>Alternatives</p> <p>Alternatively, click on the “Reset” button to clear the search criteria and close the <i>Credit Claim (de)Mobilisation Instruction – List Screen</i>.</p> <ul style="list-style-type: none"> – – Once a <i>Credit Claim (de)Mobilisation Instruction</i> has been selected in the list, the “View” button is available. – Click on the “View” button or double clicking on the selected line to display the <i>Credit Claim (de)Mobilisation Instruction – Details screen</i> with the details of the selected <i>Credit Claim (de)Mobilisation Instruction</i>. – Click on the Details heading to go back to the list of “<i>Credit Claim (de)Mobilisation Instructions</i>”

4.4.3.1.3 Search/List/View Credit Rating registration/update Instruction

4.4.3.1.3.1

Context of Usage	<p>This business scenario describes how to Search to List and to View Credit Rating registration/update instruction Instructions.</p> <p>Credit Rating registration/update instruction instructions are created within the reception of the Credit Claim file from counterparties or manually by an authorized user.</p>
Reference	<p><i>Credit Rating registration/update instruction – Search Screen</i></p> <p><i>Credit Rating registration/update instruction – List Screen</i></p> <p><i>Credit Rating registration/update instruction – Details screen</i></p>

Instructions	<ul style="list-style-type: none"> - Through the main menu, go to the Credit Rating registration/update Instructions – Search Screen <p>Collateral and Credit >> Collateral >> Credit Claims >> Credit Rating registration/update Instruction Display</p> <ul style="list-style-type: none"> - Capture the criteria corresponding to the search or leave them blank to display all the Credit Rating registration/update Instruction. <p>Click on the “Search” button.</p> <p>The list of all the Credit Rating registration/update instructions answering the captured criteria is displayed in the <i>Credit Rating registration/update instruction – List Screen</i>.</p> <p>Alternatives</p> <p>Alternatively, click on the “Reset” button to clear the search criteria and close the <i>Credit Rating registration/update instruction – List Screen</i>.</p> <ul style="list-style-type: none"> - - Once a Claims (de)Mobilisation Instruction has been selected in the list, the “View” and History buttons are available. - Click on the “View” button or double clicking on the selected line to display the <i>Credit Rating registration/update instruction – Details screen</i> with the details of the selected Credit Rating registration/update Instruction. - Click on the Details heading to go back to the list of “Credit Rating registration/update instructions”
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4.4.3.1.4 Search/List/View Credit Claims

4.4.3.1.4.1

Context of Usage	<p>This business scenario describes how to Search, to List and to View the detailed information of the Credit Claim Assets.</p> <p>Credit Claims are non-marketable assets that can be mobilised by the counterparties on their collateral pools.</p>
Reference	<p><i>Credit Claims – Search Screen</i></p> <p><i>Credit Claims – List Screen</i></p> <p><i>Credit Claims – Details Screen</i></p>
Instructions	<ul style="list-style-type: none"> - Through the main menu, go to <i>the Monitor Credit Claims – Search Screen</i> <p>Collateral and Credit >> Credit Claims >> Monitor Credit Claims - Display</p> <ul style="list-style-type: none"> - Capture the criteria corresponding to the search or leave them blank to display all the Credit Claims. <p>Click on the “Search” button.</p>

	<p>The list of all the Credit Claims answering the captured criteria is displayed in the <i>Monitor Credit Claims – List Screen</i> is displayed.</p> <p>Alternatives</p> <p>Alternatively, click on the “Reset” button to clear the search criteria and close the <i>Monitor Credit Claims – List Screen</i>.</p> <p>–</p> <ul style="list-style-type: none"> – Once a Credit Claim has been selected in the list, a “View” button is available. – Click on the “View” button or double clicking on the selected line to display the <i>Monitor Credit Claims – Details screen</i> with the details of the selected Credit Claim. – Click on the Details heading to go back to the list of “Credit Claims”.
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4.4.3.2 Credit Claims Positions

Overview	<p>This section describes the activities that a NCB user can perform to monitor the Credit Claim Collateral position in ECMS.</p> <p>The monitoring of Credit Claims Collateral position aims at having a detailed view on the Credit Claims (de)mobilised as collateral by Counterparties.</p>
Business Scenario	<ul style="list-style-type: none"> – Search/List/View the Credit Claims Position

4.4.3.2.1 Search/List/View Cash Collateral Positions

Context of Usage	<p>This business scenario describes how to Search, to List and to View Credit Claim Collateral Positions.</p> <p>The Credit Claims Positions are created within the reception of the Credit Claims file after the business validation checks and eligibility checks are successfully passed.</p> <p>The user can access to the details of the valorized position.</p>
Reference	<p><i>Credit Claims Position – Search Screen</i></p> <p><i>Credit Claims Position – List screen</i></p> <p><i>Credit Claims Position – Detail Screen</i></p>
Instructions	<ul style="list-style-type: none"> – Through the main menu, go to the <i>Credit Claims Positions – Search Screen</i> Collateral and credit >> Collateral >> Credit Claims >> credit Claims Position Display – Capture the criteria corresponding to the search. <p>Click on the “Search” button.</p>

	<p>The list of all the Credit Claims Positions answering the captured criteria is displayed in the <i>Credit Claims Positions – List</i> screen is displayed.</p> <p>Alternatives</p> <p>Alternatively, click on the “Reset” button to clear the search criteria and close the <i>Credit Claims Positions – List</i> screen.</p> <p>–</p> <ul style="list-style-type: none"> – Once a Credit Claims Position has been selected in the list, a “View” button is available. – Click on the “View” button or double clicking on the selected line to display the <i>Credit Claims Positions – Details</i> screen with the details of the selected position. – Click on the Details heading to go back to the list of “Credit Claims Positions”.
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4.4.4 Triparty

Overview	<p>This section describes the activities that an NCB user can perform to monitor the Triparty Transactions in the ECMS.</p> <p>The monitoring of Triparty Transaction aims at having a detailed view on the lifecycle of the transaction from the ECMS point of view.</p>
Business Scenarios	<ol style="list-style-type: none"> 1. Search Triparty Transactions 2. List Triparty Transactions 3. View Triparty Transactions 4. List / View the collateral movements of a Triparty Transactions 5. List / View Securities Allocated to a Triparty Transaction

4.4.4.1 Triparty Transactions

4.4.4.1.1 View Triparty Transaction Related Information

Context of Usage	<p>This business scenario describes how to Search to List and to View the all the information related to a Triparty Transaction.</p>
Reference	<p><i>Triparty Transactions – Search screen</i></p> <p><i>Triparty Transactions – List Screen</i></p> <p><i>Triparty Transactions – Details Screen</i></p> <p><i>Triparty Collateral Movements – List Screen</i></p> <p><i>Triparty Collateral Movements – Details Screen</i></p> <p><i>Triparty Collateral Positions– List Screen</i></p> <p><i>Triparty Collateral Positions– Details Screen</i></p>

	<p>Unilateral Asset Removal – <i>Create Screen</i></p>
<p>Instructions</p>	<ul style="list-style-type: none"> – Through the main menu, go to <i>the Triparty Transactions – Search Screen Collateral and Credit >> Collateral >> Triparty >> Triparty Transaction Create / Display</i> – Capture the criteria corresponding to the search or leaves them blank to display all the Triparty Transactions. <p>Click on the “Search” button.</p> <p>The list of all the Triparty Transactions answering the captured criteria is displayed in the <i>Triparty Transactions – List Screen</i> is displayed.</p> <p>Alternatives</p> <p>Alternatively, click on the “Reset” button to clear the search criteria and close the <i>Triparty Transactions – List Screen</i>.</p> <ul style="list-style-type: none"> – A pop-up screen will be displayed for the user to confirm or not the reinitialisation. Click on the Button “Yes” to confirm or “No” to cancel. – Once a Triparty Transaction has been selected in the list, a “View” button is available. – Click on the “View” button or double clicking on the selected line to display the <i>Triparty Transactions – Details Screen</i> with the details of the selected Transaction. – Click on the Details heading to go back to the list of Triparty Transactions
	<ul style="list-style-type: none"> – Through the main menu, go to <i>the Triparty Collateral Movements – Search Screen Collateral and Credit >> Collateral >> Triparty >> Triparty Collateral Movements</i> – Capture the criteria corresponding to the search or leaves them blank to display all the Triparty Collateral Movements. <p>Click on the “Search” button.</p> <p>The list of all the Triparty Collateral Movements answering the captured criteria is displayed in the <i>Triparty Collateral Movements – List Screen</i> is displayed.</p> <p>Alternatives</p> <p>Alternatively, click on the “Reset” button to clear the search criteria and close the <i>Triparty Collateral Movements – List Screen</i>.</p> <ul style="list-style-type: none"> – A pop-up screen will be displayed for the user to confirm or not the reinitialisation. Click on the Button “Yes” to confirm or “No” to cancel. – Once a Triparty Transaction has been selected in the list, a “View” button is available. – Click on the “View” button or double clicking on the selected line to display the <i>Triparty Collateral Movements – Details Screen</i> with the details of the selected Transaction. – Click on the Details heading to go back to the list of Triparty Collateral Movements.
	<ul style="list-style-type: none"> – Through the main menu, go to <i>the Triparty Collateral Positions – Search Screen Collateral and Credit >> Collateral >> Triparty >> Triparty Collateral Positions</i>

	<ul style="list-style-type: none"> – Capture the criteria corresponding to the search or leaves them blank to display all the Triparty Collateral Positions. <p>Click on the “Search” button.</p> <p>The list of all the Triparty Collateral Positions answering the captured criteria is displayed in the <i>Triparty Collateral Movements – List Screen</i> this allows to list all the securities allocated to the triparty transaction.</p> <p>Alternatives</p> <p>Alternatively, click on the “Reset” button to clear the search criteria and close the <i>Triparty Collateral Positions – List Screen</i>.</p> <ul style="list-style-type: none"> – A pop-up screen will be displayed for the user to confirm or not the reinitialisation. Click on the Button “Yes” to confirm or “No” to cancel.
	<p>From the Unilateral Asset Removal Request – Create Screen a button allows the user to request for a unilateral removal of the asset.</p> <ul style="list-style-type: none"> – Through the main menu, go to <i>the Triparty Collateral Positions – Search Screen Collateral and Credit >> Collateral >> Triparty >> Unilaterla Asset Removal request</i>

4.4.5 Multi-pooling

4.4.5.1 Multi-pooling Same Counterparty for Marketable Assets

Overview	<p>This section describes the Multi-pooling functionalities that can be performed by an NCB user or a Counterparty by transferring marketable assets from one Internal Counterparty Asset Account to another Internal Counterparty Asset Account, or from an External T2S Securities Account for Regular Collateral to another External T2S Securities Account for Regular Collateral of the same Counterparty and same NCB in the same CSD or in a different CSD.</p> <p>After the initial creation of a Multi-pooling instruction, the NCB user or the Counterparty can edit it. To manage a Multi-pooling instruction, carry out the following business scenarios in the predefined order:</p>
Business Scenarios	<ul style="list-style-type: none"> – Create a new Multi-pooling Same Counterparty instruction – Search/List/View Multi-pooling Same Counterparty instruction – Edit an existing Multi-pooling Same Counterparty instruction

4.4.5.1.1 Create a Multi-pooling Same Counterparty Same CSD instruction for Marketable Assets

Context of Usage	This business scenario describes how to create a new Multi-pooling Same Counterparty Same CSD instruction for Marketable Assets.
Reference	<i>Multi-pooling Same Counterparty Same CSD – Input Screen</i>
Instructions	<ul style="list-style-type: none"> – Through the main menu, go to the <i>Multi-pooling Same Counterparty Same CSD – Input Screen</i> – Click on the Input button. <p>The <i>Multi-pooling Same Counterparty Same CSD – Input Screen</i> is displayed.</p> <p>Enter all fields.</p> <ul style="list-style-type: none"> – Click on the <i>Save</i> button.


	<p>The new <i>Multi-pooling Same Counterparty Same CSD</i> instruction has been created.</p> <p>Alternatives</p> <ul style="list-style-type: none"> – To set all fields to default value and blank out all optional fields, click on the <i>Reset</i> button. – To cancel the process and return to the previous screen, click on the <i>cancel</i> button.
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4.4.5.1.2 Search/List/View Multi-pooling Same Counterparty instruction for Marketable Assets

Context of Usage	<p>This business scenario describes how to Search, List and View a Multi-pooling Same Counterparty instruction for Marketable Assets. Each NCB user and Counterparty is able to access its Multi-pooling Same Counterparty instructions for Marketable Assets.</p>
Reference	<p>Further information on the involved screens can be found in the screen reference part:</p> <ul style="list-style-type: none"> – <i>Multi-pooling Same Counterparty – Search</i> Screen – <i>Multi-pooling Same Counterparty – List</i> Screen – <i>Multi-pooling Same Counterparty – Details</i> Screen
Instructions	<ul style="list-style-type: none"> – Through the main menu, go to the <i>Multi-pooling Same Counterparty – Search</i> screen <p>Instructions and Positions >> Multi-pooling >> Same Counterparty >> Display</p> <ul style="list-style-type: none"> – Enter the search criteria known to you about the Multi-pooling Same Counterparty instruction you want to edit. – Click on the <i>Search</i> button. The <i>Multi-pooling Same Counterparty – List</i> screen is displayed. A list containing the search results is displayed on the screen. <p>Alternatives</p> <p>Alternatively, click on the “Reset” button to clear the search criteria and close the <i>Multi-pooling Same Counterparty – List</i> Screen.</p> <ul style="list-style-type: none"> – A pop up screen will be displayed for the user to confirm or not the reinitialisation. Click on the Button “Yes” to confirm or “No” to cancel. – Once a Multi-pooling Same Counterparty Instruction has been selected in the list, a “View” button is available. <p>Click on the “View” button or double clicking on the selected line to display the <i>Multi-pooling Same Counterparty – Details</i> screen with the details of the selected Multi-pooling Same Counterparty Instruction.</p>
	<p>Alternatives</p> <p>Alternatively, click on the “Download” button to download the Multi-pooling Same Counterparty Instruction.</p>

	<ul style="list-style-type: none"> – Alternatively, click on the “View” button to see the Multi-pooling Same Counterparty Instruction.
	<ul style="list-style-type: none"> – –

4.4.5.1.3 Edit an existing Multi-pooling Same Counterparty instruction for Marketable Assets

Context of Usage	<p>This business scenario describes how to edit a Multi-pooling Same Counterparty instruction for Marketable Assets. Each NCB user and Counterparty is able to update its Multi-pooling Same Counterparty instructions for Marketable Assets.</p> <p>Editing an existing Multi-pooling Same Counterparty instruction is optional for the management of Multi-pooling Same Counterparty instructions for Marketable Assets.</p>
Reference	<p>Further information on the involved screens can be found in the screen reference part:</p> <ul style="list-style-type: none"> – <i>Multi-pooling Same Counterparty – Input Screen</i> – <i>Multi-pooling Same Counterparty – Search Screen</i> – <i>Multi-pooling Same Counterparty – List Screen</i> – <i>Multi-pooling Same Counterparty – Details Screen</i>
Instructions	<ul style="list-style-type: none"> – Through the main menu, go to the <i>Multi-pooling Same Counterparty – Search</i> screen <p>Instructions and Positions >> >> Multi-pooling >> Same Counterparty >> Display</p> <ul style="list-style-type: none"> – Enter the search criteria known to you about the Multi-pooling Same Counterparty instruction you want to edit. – Click on the <i>Search</i> button. The <i>Multi-pooling Same Counterparty – List</i> screen is displayed. A list containing the search results is displayed on the screen. – Select the relevant Multi-pooling Same Counterparty instruction from the search results by clicking on an entry in the list. – Click on the <i>Edit</i> button. – The <i>Multi-pooling Same Counterparty – Edit</i> screen is displayed. – You can also access to the <i>Multi-pooling Same Counterparty – Edit</i> screen: – Click on the <i>View</i> button. The <i>Multi-pooling Same Counterparty – Details</i> screen is displayed. – Click on the  icon.
	<ul style="list-style-type: none"> – To add or change current values in fields, enter the new values in the respective fields. <p>Alternatives</p> <ul style="list-style-type: none"> – To set all fields to default value, click on the <i>Reset</i> button.

	<ul style="list-style-type: none"> - To cancel the process and return to the previous screen, click on the <i>Cancel</i> button.
	<ul style="list-style-type: none"> - - Click on the <i>Save</i> button. - The edited Multi-pooling Same Counterparty instruction is stored. -

4.4.5.2 Multi-pooling – Between CPTYS or Between CPTY and NCB for Marketable Assets

Overview	<p>This section describes the Multi-pooling Between CPTYS or Between CPTY and NCB functionalities for Marketable Assets that can be performed by an NCB user.</p> <p>After the initial creation of a Multi-pooling Between CPTYS or Between CPTY and NCB instruction for Marketable Assets, the NCB user can edit it. To manage a Multi-pooling Between CPTYS or Between CPTY and NCB instruction, carry out the following business scenarios in the predefined order:</p>
Business Scenarios	<ul style="list-style-type: none"> - Create a new Multi-pooling Between CPTYS or Between CPTY and NCB instruction - Search/List/View Multi-pooling Between CPTYS or Between CPTY and NCB instruction - Edit an existing Multi-pooling Between CPTYS or Between CPTY and NCB instruction

4.4.5.2.1 Create a Multi-pooling – Between CPTYS or Between CPTY and NCB instruction for Marketable Assets

Context of Usage	This business scenario describes how to create a new Multi-pooling Between CPTYS or Between CPTY and NCB instruction for Marketable Assets.
Reference	<i>Multi-pooling Between CPTYS or Between CPTY and NCB – Input</i> Screen
Instructions	<ul style="list-style-type: none"> - Through the main menu, go to the <i>Multi-pooling Between CPTYS or Between CPTY and NCB – Input</i> Screen - Click on the <i>Input</i> button. <p>The <i>Multi-pooling Between CPTYS or Between CPTY and NCB – Input</i> Screen is displayed.</p> <p>Enter all fields.</p> <ul style="list-style-type: none"> - Click on the <i>Save</i> button. <p>The new <i>Multi-pooling Between CPTYS or Between CPTY and NCB</i> instruction has been created.</p> <p>Alternatives</p> <ul style="list-style-type: none"> - To set all fields to default value and blank out all optional fields, click on the <i>Reset</i> button.


	<ul style="list-style-type: none"> - To cancel the process and return to the previous screen, click on the <i>cancel</i> button.
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4.4.5.2.2 Search/List/View Multi-pooling Between CPTYs or Between CPTY and NCB instruction for Marketable Assets

Context of Usage	This business scenario describes how to Search, List and View a Multi-pooling Between CPTYs or Between CPTY and NCB instruction for Marketable Assets. Each NCB user is able to access its Multi-pooling Between CPTYs or Between CPTY and NCB instructions for Marketable Assets.
Reference	<p>Further information on the involved screens can be found in the screen reference part:</p> <ul style="list-style-type: none"> - <i>Multi-pooling Between CPTYs or Between CPTY and NCB – Search</i> Screen - <i>Multi-pooling Between CPTYs or Between CPTY and NCB – List</i> Screen - <i>Multi-pooling Between CPTYs or Between CPTY and NCB – Details</i> Screen
Instructions	<ul style="list-style-type: none"> - Through the main menu, go to the <i>Multi-pooling Between CPTYs or Between CPTY and NCB – Search</i> screen <p>Instructions and Positions >> Multi-pooling >> Between CPTYs or Between CPTY and NCB >> Display</p> <ul style="list-style-type: none"> - Capture the criteria corresponding to the search or leaves them blank to display all the Multi-pooling Between CPTYs or Between CPTY and NCB instructions. - Click on the <i>Search</i> button. The <i>Multi-pooling Between CPTYs or Between CPTY and NCB – List</i> screen is displayed. A list containing the search results is displayed on the screen. <p>Alternatives</p> <p>Alternatively, click on the “Reset” button to clear the search criteria and close the <i>Multi-pooling Between CPTYs or Between CPTY and NCB – List</i> Screen.</p> <ul style="list-style-type: none"> - A pop up screen will be displayed for the user to confirm or not the reinitialisation. Click on the Button “Yes” to confirm or “No” to cancel. - Once a Multi-pooling Between CPTYs or Between CPTY and NCB Instruction has been selected in the list, a “View” button is available. <p>Click on the “View” button or double clicking on the selected line to display the <i>Multi-pooling Between CPTYs or Between CPTY and NCB – Details</i> screen with the details of the selected Multi-pooling Between CPTYs or Between CPTY and NCB Instruction.</p>
	<p>Alternatives</p> <p>Alternatively, click on the “Download” button to download the Multi-pooling Between CPTYs or Between CPTY and NCB Instruction.</p>

	<ul style="list-style-type: none"> – Alternatively, click on the “View” button to see the Multi-pooling Between CPTYs or Between CPTY and NCB Instruction.
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4.4.5.2.3 Edit a Multi-pooling Between CPTYs or Between CPTY and NCB instruction for Marketable Assets

Context of Usage	<p>This business scenario describes how to edit a Multi-pooling instruction Between CPTYs or Between CPTY and NCB for Marketable Assets. Each NCB user is able to update its Multi-pooling Between CPTYs or Between CPTY and NCB instructions for Marketable Assets.</p> <p>Editing an existing Multi-pooling Between CPTYs or Between CPTY and NCB instruction is optional for the management of Multi-pooling instructions.</p>
Reference	<p>Further information on the involved screens can be found in the screen reference part:</p> <ul style="list-style-type: none"> – <i>Multi-pooling Between CPTYs or Between CPTY and NCB – Input Screen</i> – <i>Multi-pooling Between CPTYs or Between CPTY and NCB – Search Screen</i> – <i>Multi-pooling Between CPTYs or Between CPTY and NCB – List Screen</i> – <i>Multi-pooling Between CPTYs or Between CPTY and NCB – Details Screen</i>
Instructions	<ul style="list-style-type: none"> – Through the main menu, go to the <i>Multi-pooling Between CPTYs or Between CPTY and NCB – Search</i> screen <p>Instructions and Positions >> Multi-pooling >> Between CPTYs or Between CPTY and NCB >> Display</p> <ul style="list-style-type: none"> – Enter the search criteria known to you about the Multi-pooling Between CPTYs or Between CPTY and NCB instruction you want to edit. – Click on the <i>Search</i> button. The <i>Multi-pooling Between CPTYs or Between CPTY and NCB – List</i> screen is displayed. A list containing the search results is displayed on the screen. – Select the relevant Multi-pooling instruction from the search results by clicking on an entry in the list. – Click on the <i>Edit</i> button. – The <i>Multi-pooling Between CPTYs or Between CPTY and NCB – Edit</i> screen is displayed. – You can also access to the <i>Multi-pooling Between CPTYs or Between CPTY and NCB – Edit</i> screen: – Click on the <i>View</i> button. The <i>Multi-pooling Between CPTYs or Between CPTY and NCB – Details</i> screen is displayed. – Click on the  icon.
	<ul style="list-style-type: none"> – To add or change current values in fields, enter the new values in the respective fields. <p>Alternatives</p> <ul style="list-style-type: none"> – To set all fields to default value, click on the <i>Reset</i> button.

	<ul style="list-style-type: none"> - To cancel the process and return to the previous screen, click on the <i>Cancel</i> button.
	<ul style="list-style-type: none"> - - Click on the <i>Save</i> button. - The edited Multi-pooling Between CPTYs or Between CPTY and NCB instruction is stored.

4.5 Global Credit and Collateral Position Management

4.5.1 Global Credit Position Management

4.5.1.1 Credit Freezing

Overview	<p>This section describes the Credit Freezing activities that can be performed by an NCB user or a Counterparty.</p> <p>After the initial creation of a Credit Freezing instruction, the NCB user or the Counterparty can edit it. To manage a Credit Freezing instruction, carry out the following business scenarios in the predefined order:</p>
Business Scenarios	<ol style="list-style-type: none"> 1. Create a new Credit Freezing instruction

4.5.1.1.1 Create a new Credit Freezing instruction

Context of Usage	This business scenario describes how to create a new Credit Freezing instruction.
Reference	<i>Credit Freezing – Create</i> Screen
Instructions	<ul style="list-style-type: none"> - Through the main menu, go to the <i>Credit Freezing – Create</i> Screen. - Click on the <i>Create</i> button. <p>The <i>Credit Freezing – Create</i> Screen is displayed.</p> <p>Enter all fields.</p> <ul style="list-style-type: none"> - Click on the <i>Save</i> button. <p>The new Credit Freezing instruction has been created.</p> <p>Alternatives</p> <ul style="list-style-type: none"> - To set all fields to default value and blank out all optional fields, click on the <i>Reset</i> button. - To cancel the process and return to the previous screen, click on the <i>cancel</i> button.

4.5.1.1.2 Validate a Credit Freezing Instruction

Context of Usage	This business scenario describes how to validate a Credit Freezing Instruction that is incomplete due business validation errors or not yet settled.
Reference	Further information on the involved screens can be found in the screen reference part: <ul style="list-style-type: none"> - <i>Credit Freezing Waiting for Validation – Search</i> screen - <i>Credit Freezing Waiting for Validation – List</i> screen - <i>Credit Freezing Waiting for Validation – Details</i> screen
Instructions	<ul style="list-style-type: none"> - Through the main menu, go to the <i>Credit Freezing – Waiting for Validation</i> Screen. - Enter all search criteria known about the Credit Freezing Instruction. - Click on the <i>Search</i> button. The <i>Credit Freezing Waiting for Validation – List</i> screen is displayed. A list containing the search results is displayed. - Select the relevant Credit Freezing Instruction from the search results by clicking on an entry in the list.
	<ul style="list-style-type: none"> - Click on the <i>Validate</i> button to validate the Credit Freezing Instruction. - The <i>Credit Freezing Instruction</i> status is moved to <i>Validated</i>.

4.5.1.2 Credit Freezing Notifications

Overview	<p>This section describes the Credit Freezing Notifications activities that can be performed by an NCB user or a Counterparty.</p> <p>After the initial creation of a Credit Freezing instruction by the ECMS, the NCB user or the Counterparty can view the notifications. To manage a Credit Freezing Notifications, carry out the following business scenarios in the predefined order:</p>
Business Scenarios	Search/List/View a Credit Freezing Notification

4.5.1.2.1 Search/List/View a Credit Freezing Notification

Context of Usage	This business scenario describes how to search, list and view a Credit Freezing Notification.
Reference	<i>Credit Freezing Notification</i>
Instructions	<ul style="list-style-type: none"> - Through the main menu, go to the <i>Credit Freezing Notifications – Search</i> Screen. <p>Enter the criteria fields.</p> <ul style="list-style-type: none"> - Click on the <i>Search</i> button. <p>The <i>Credit Freezing Notifications –List</i> Screen is displayed.</p> <p>Alternatives</p> <ul style="list-style-type: none"> - To set all fields to default value, click on the <i>Reset</i> button.

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- - Once a Credit Freezing Notification has been selected in the list, the “View” and the “Download” buttons are available.
 - Click on the “View” button or double clicking on the selected line to display the Credit Freezing Notifications – Details screen with the details of the selected Credit Freezing Notification.
- Click on the “Download” button to download a copy of the Credit Freezing Notification.

4.5.1.2.2 Search/List/View Credit Line & Payment Instructions (MCL, CP & RP)

Context of Usage	This business scenario describes how to Search to List and to View Credit Line Instructions.
Reference	<i>Credit Line & Payment Instructions – Search Screen</i> <i>Credit Line & Payment Instructions – List Screen</i> <i>Credit Line & Payment Instructions – Details screen</i>
Instructions	<ul style="list-style-type: none"> - Through the main menu, go to the <i>Credit Line & Payment Instructions – Search Screen</i> <p>Credit Line >> Display CL Instructions</p> <ul style="list-style-type: none"> - Capture the criteria corresponding to the search or leaves them blank to display all the Credit Line Instructions. <p>Click on the “Search” button.</p> <p>The list of all the Credit Line Instructions answering the captured criteria is displayed in the <i>Credit Line & Payment Instructions – List Screen</i> .</p> <p>Alternatives</p> <p>Alternatively, click on the “Reset” button to clear the search criteria and close the <i>Credit Line & Payment Instructions – List Screen</i>.</p> <ul style="list-style-type: none"> - Once a Credit Line Instruction has been selected in the list, a “View” button is available. - Click on the “View” button or double clicking on the selected line to display the <i>Credit Line & Payment Instructions – detail Screen</i> with the details of the selected Credit Line Instruction. - Click on the Details heading to go back to the list of “Credit Line Instructions”

4.5.2 Global Collateral Position Management

4.5.2.1 MaCL for the Counterparty

Overview	This section describes the Counterparty MaCL activities that can be performed by a Counterparty or an NCB user, on behalf of the Counterparty.
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	After the initial creation of a Counterparty MaCL instruction, the Counterparty or the NCB user, on behalf of the Counterparty, can edit it. To manage a Counterparty MaCL instruction, carry out the following business scenarios in the predefined order:
Business Scenarios	<ol style="list-style-type: none"> 1. Create a new Counterparty MaCL instruction 2. Display an existing Counterparty MaCL instruction 3. Recycle an existing Counterparty MaCL instruction 4. Reject an existing Counterparty MaCL instruction

4.5.2.1.1 Create a new Counterparty MaCL instruction

Context of Usage	This business scenario describes how to create a new Counterparty MaCL instruction.
Reference	<i>Input MaCL request Instruction – Input Screen</i>
Instructions	<ul style="list-style-type: none"> – Go to the Input MaCL request Instruction – Input Screen: Credit line >> MaCL Instruction >> Input MaCL Instruction <p>The <i>MaCL request Instruction – Input Screen</i> is displayed.</p> <p>Enter all fields.</p> <ul style="list-style-type: none"> – Click on the <i>Save</i> button. <p>The new Credit Line instruction has been created.</p> <p>Alternatives</p> <ul style="list-style-type: none"> – To set all fields to default value and blank out all optional fields, click on the <i>Reset</i> button. – To cancel the process and return to the previous screen, click on the <i>cancel</i> button.

4.5.2.1.2 Display an existing Counterparty MaCL instruction

Context of Usage	This business scenario describes how to consult a Counterparty MaCL instruction by a Counterparty, or by the NCB user on behalf of the Counterparty.
Reference	<p>Further information on the involved screens can be found in the screen reference part:</p> <ul style="list-style-type: none"> – <i>Credit Line – Create Screen</i> – <i>Credit Line – Search Screen</i> – <i>Credit Line – List Screen</i> – <i>Credit Line –History Screen</i> – <i>Credit Line – Details Screen</i>
Instructions	<ul style="list-style-type: none"> – Go to the Counterparty MaCL Instruction – Display screen Credit Line >> MaCL Instruction >> Display MaCL Instruction

	<ul style="list-style-type: none"> - Enter the search criteria known to you about the Counterparty MaCL instruction you want to consult. - Click on the <i>Search</i> button. The <i>Counterparty MaCL – List</i> screen is displayed. A list containing the search results is displayed on the screen. - Select the relevant Counterparty MaCL instruction from the search results by clicking on an entry in the list. - Click on the View button. - The <i>Counterparty MaCL Instruction – Detail</i> screen is displayed. - You can also access to the <i>Counterparty MaCLInstruction – Detail</i> screen by clicking on right and click on <i>View</i> button. The <i>Counterparty MaCL Instruction – Details</i> screen is displayed.
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4.5.2.1.3 Recycle a MaCL instruction (NCB or Counterparty)

Context of Usage	This business scenario describes how to recycle a MaCL instruction.
Reference	<i>Recycle – Recycle</i> Screen
Instructions	<ul style="list-style-type: none"> - Go to the MaCL – Recycle Screen: Collateral and Credit >> Credit line >> Max Credit Line >> Recycle The <i>MaCL – Search</i> Screen is displayed. - Enter the search criteria known to you about to select the MaCL that the Counterparty or the NCB want to recycle. - Click on the <i>Search</i> button. The <i>MaCL - Search</i> screen is displayed. A list containing the search is displayed on the screen. - Select the relevant MaCL instruction from the search results by clicking on an entry in the list. <p>The MaCL instruction has been selected.</p>

4.5.2.1.4 Reject a MaCL instruction (NCB or Counterparty)

Context of Usage	This business scenario describes how to reject a MaCL instruction.
Reference	<i>Reject – Reject</i> Screen
Instructions	<ul style="list-style-type: none"> - Go to the MaCL – Reject Screen: Collateral and Credit >> Credit line >> Max Credit Line >> Reject The <i>MaCL – Search</i> Screen is displayed. - Enter the search criteria known to you about to select the MaCL that the Counterparty or the NCB want to reject. - Click on the <i>Search</i> button. The <i>MaCL - Search</i> screen is displayed. A list containing the search is displayed on the screen. - Select the relevant MaCL instruction from the search results by clicking on an entry in the list.

	The MaCL instruction has been selected.

4.6 Custody

4.6.1 Corporate Actions

Overview	<p>This section describes the activities that an NCB user can perform to monitor the full lifecycle of Corporate Actions in the ECMS.</p> <p>The monitoring of Corporate Actions aims at having a detailed view on the creation of a CA Event received by the ECMS and the following steps that the NCB user can perform until the Corporate Action is closed.</p>
Business Scenarios	<ol style="list-style-type: none"> 1. Administration of CA Event Management 2. Search / List / View CA Event information 3. Search / List / View CA Eligible Positions 4. Search / List / Download CA Notification (seev.031) 5. Search / List / View Entitlements 6. Search / List / View Movements 7. Search / List / View Cash Payments

4.6.1.1 CA Announcements

4.6.1.1.1 Search / List / View CA Event Information

Context of Usage	<p>This business scenario describes how to Search to List and to View Corporate Action Event Information.</p> <p>Corporate Action Events are created automatically when the ECMS receives a seev.031 message.</p>
Reference	<p><i>CA Event – Search screen</i></p> <p><i>CA Event – List Screen</i></p> <p><i>CA Event – Details screen</i></p>
Instructions	<ul style="list-style-type: none"> – Through the main menu, go to <i>the CA Event – Search Screen</i> <p><i>Corporate Actions >> Announcements >> CA Event Display</i></p> <ul style="list-style-type: none"> – Capture the criteria corresponding to the search or leaves them blank to display all the CA Events. <p>Click on the “Search” button.</p> <p>The list of all the CA Events answering the captured criteria is displayed in the <i>CA Event – List Screen</i> is displayed.</p> <p>Alternatives</p> <p>Alternatively, click on the “Reset” button to clear the search criteria and close the <i>CA Event – List Screen</i>.</p> <ul style="list-style-type: none"> – Once a CA Event has been selected in the list, a “View” button is available.

	<ul style="list-style-type: none"> – Click on the “View” button or double clicking on the selected line to display the <i>CA Event – Details screen</i> with the details of the selected CA Event. – Click on the Details heading to go back to the list of “CA Events”
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4.6.1.2 CA Positions

Overview	<p>This business scenario describes the activities that an NCB user can perform to monitor the CA Positions calculated in the ECMS (Client Eligible Position by CA) and the positions received in the EMCS (Market Eligible Position).</p> <p>The monitoring of CA Positions aims at having a detailed view on the CA Positions received and calculated by the ECMS.</p>
Business Scenarios	<ul style="list-style-type: none"> – Client Eligible Position by CA. – Market Eligible Position by CA.

4.6.1.2.1 Search / List Client Eligible Position by CA

Context of Usage	<p>This business scenario describes how to Search and to List Client Eligible Position by CA.</p> <p>Client Eligible Positions by CA are calculated by the ECMS when a CA Event is created.</p>
Reference	<p><i>Client Eligible Position by CA – Search screen</i></p> <p><i>Client Eligible Position by CA – List Screen</i></p>
Instructions	<ul style="list-style-type: none"> – Through the main menu, go to <i>the Client Eligible Position by CA – Search Screen</i> <p><i>Corporate Actions >> CA Position >> Monitor Client Eligible Position by CA</i></p> <ul style="list-style-type: none"> – Capture the criteria corresponding to the search or leaves them blank (at least the CA ECMS Event Ref. is needed) to display all the Client Eligible Positions by CA for the selected CA Event. <p>Click on the “Search” button.</p> <p>The list of all the Client Eligible Positions by CA answering the captured criteria is displayed in the <i>Client Eligible Position by CA – List Screen</i> is displayed.</p> <p>Alternatives</p> <p>Alternatively, click on the “Reset” button to clear the search criteria and close the <i>Client Eligible Position by CA – List Screen</i>.</p>

4.6.1.3 CA Instructions

Overview	<p>This business scenario describes the activities that an NCB user can perform to input an instruction as well as to monitor the CA Instructions received from the Counterparty in the ECMS and sent to the CSD.</p> <p>The monitoring of CA Instructions aims at having a detailed view on the CA Instructions received and sent by the ECMS or to download a copy of the messages.</p>
Business Scenarios	<ul style="list-style-type: none"> – Input a new CA Instruction – Search / List / View CA Client Instructions – Search / List / View Monitor Uninstructed Balance

	– Search / List / View CA Market Instructions
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4.6.1.3.1 Input a new CA Instruction

Context of Usage	This business scenario describes how to input a new CA Instruction. This scenario is applicable for Counterparty or NCB users acting on behalf on the counterparty as long as they have the appropriate user rights.
Reference	<i>CA Client Instructions – Input screen</i>
Instructions	<ul style="list-style-type: none"> – Go to the <i>CA Client Instructions – Input screen</i> <p><i>Corporate Actions >> Instructions >> CA Client Instructions >> Input Instructions >> Input Client Instructions</i></p> <ul style="list-style-type: none"> – The <i>CA Client Instructions – Input</i> screen is displayed. – Enter all mandatory information. – Click on the <i>Save</i> button. – The new CA Client Instruction has been created. <p>Alternatives</p> <ul style="list-style-type: none"> – To set all fields to default value and blank out all optional fields, click on the <i>Reset</i> button. – To cancel the process and return to the previous screen, click on the <i>Cancel</i> button.

4.6.1.3.2 Search / List / View CA Client Instructions

Context of Usage	This business scenario describes how to Search, to List and to View CA Instructions (seev.033). NCB users can view the details of the CA Instructions received or to download a copy of the message seev.033.
Reference	<i>CA Client Instructions – Search screen</i> <i>CA Client Instructions – List Screen</i> <i>CA Client Instructions – Details Screen</i>
Instructions	<ul style="list-style-type: none"> – Through the main menu, go to <i>the CA Instructions – Search Screen</i> <p><i>Corporate Actions >> Instructions >> CA Client Instructions >> Monitor >> Monitor Client Instructions</i></p> <ul style="list-style-type: none"> – Capture the criteria corresponding to the search or leave them blank to display all the CA Instructions. <p>Click on the “Search” button.</p> <p>The list of all the CA Instructions received answering the captured criteria is displayed in the <i>CA Instructions – List Screen</i>.</p> <p>Alternatives</p> <p>Alternatively, click on the “Reset” button to clear the search criteria and close the <i>CA Instructions – List Screen</i>.</p> <ul style="list-style-type: none"> – Once a CA Instruction has been selected in the list, the “View” button is available.

	<ul style="list-style-type: none"> – Click on the “View” button or double clicking on the selected line to display the Monitor Client Instructions – Details screen with the details of the selected Client Instruction. – Click on the Details heading to go back to the list of “CA Instructions”.
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4.6.1.3.3 Search / List / View Monitor Uninstructed Balance

Context of Usage	<p>This business scenario describes how to Search, to List and to View the Uninstructed balance on the Counterparties side.</p> <p>NCB users can view the details of the Uninstructed balance of the Counterparties for an specific CA Event.</p>
Reference	<p><i>Monitor Uninstructed Balance – Search screen</i></p> <p><i>Monitor Uninstructed Balance – List Screen</i></p> <p><i>Monitor Uninstructed Balance – Details screen</i></p>
Instructions	<ul style="list-style-type: none"> – Through the main menu, go to <i>the Monitor Uninstructed Balance – Search Screen</i> <p><i>Corporate Actions >> Instructions >> CA Client Instructions >> Monitor >> Monitor Uninstructed Balance</i></p> <ul style="list-style-type: none"> – Capture the criteria corresponding to the search or leave them blank to display all the Uninstructed balance. <p>Click on the “Search” button.</p> <p>The list of all the Uninstructed balance answering the captured criteria is displayed in the <i>Monitor Uninstructed Balance – List Screen</i> is displayed.</p> <p>Alternatives</p> <p>Alternatively, click on the “Reset” button to clear the search criteria and close the <i>Monitor Uninstructed Balance – List Screen</i>.</p> <ul style="list-style-type: none"> – Once an Uninstructed balance has been selected in the list, the “View” button is displayed. – Click on the “View” button or double clicking on the selected line to display the Monitor Uninstructed Balance – Details screen with the details of the selected Uninstructed balance. – Click on the Details heading to go back to the list of “Monitor Uninstructed Balance”.

4.6.1.4 CA Notifications

Overview	<p>This business scenario describes the activities that an NCB user can perform to monitor the CA received and sent by the ECMS.</p> <p>The monitoring of CA Notifications aims at having a detailed view on the CA Notifications received and by the ECMS or to download a copy of the messages.</p>
Business Scenarios	<ul style="list-style-type: none"> – Search / List / View CA Notifications received – Search / List / View CA Notifications sent.

4.6.1.4.1 Search / List / View CA Notifications received

Context of Usage	This business scenario describes how to Search, to List and to View CA notifications received (seev.031). NCB users can view the details of the CA Notifications received or to download a copy of the message seev.031.
Reference	CA Notifications – Search screen CA Notifications – List Screen CA Notifications – Details Screen
Instructions	<ul style="list-style-type: none"> – Through the main menu, go to <i>the CA Notifications – Search Screen</i> Corporate Actions >> Messages Monitoring >> Incoming Messages >> Monitor CA Notifications Received (seev.031) – Capture the criteria corresponding to the search or leaves them blank to display all the CA Notifications received. <p>Click on the “Search” button.</p> <p>The list of all the CA Notifications received answering the captured criteria is displayed in the <i>CA Notifications – List Screen</i> is displayed.</p> <p>Alternatives</p> <p>Alternatively, click on the “Reset” button to clear the search criteria and close the <i>CA Notifications – List Screen</i>.</p> <ul style="list-style-type: none"> – – Once a CA Notifications received has been selected in the list, the “View” and the “Download” buttons are available. – Click on the “View” button or double clicking on the selected line to display the CA Notifications – Details screen with the details of the selected CA Notifications. – Click on the “Download” button to download a copy of the seev.031 received. – Click on the Details heading to go back to the list of “CA Notifications received”.

4.6.1.4.2 Search / List / View CA Notifications sent

Context of Usage	This business scenario describes how to Search, to List and to View CA notifications sent to the Counterparties (seev.031). NCB users can view the details of the CA Notifications sent or to download a copy of the message seev.031.
Reference	CA Notifications – Search screen CA Notifications – List Screen CA Notifications – Details screen
Instructions	<ul style="list-style-type: none"> – Through the main menu, go to <i>the CA Notifications – Search Screen</i>

	<p>Corporate Actions >> Messages Monitoring >> Outgoing Messages >> Monitor CA Notifications Sent (seev.031)</p> <ul style="list-style-type: none"> - Capture the criteria corresponding to the search or leaves them blank to display all the CA Notifications sent. <p>Click on the "Search" button.</p> <p>The list of all the CA Notifications sent answering the captured criteria is displayed in the <i>CA Notifications – List Screen</i> is displayed.</p> <p>Alternatives</p> <p>Alternatively, click on the "Reset" button to clear the search criteria and close the <i>CA Notifications – List Screen</i>.</p> <ul style="list-style-type: none"> - - Once a CA Notifications sent has been selected in the list, the "View" and the "Download" buttons are available. - Click on the "View" button or double clicking on the selected line to display the CA Notifications – Details screen with the details of the selected CA Notifications. - Click on the "Download" button to download a copy of the seev.031sent. - Click on the Details heading to go back to the list of "CA Notifications sent".
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4.6.1.5 CA Entitlements

4.6.1.5.1 Search / List / View CA Client Entitlements

Context of Usage	<p>This business scenario describes how to Search to List and to View CA Client Entitlements.</p> <p>CA Client Entitlements are computed by the ECMS when a CA Movement Preliminary Advice is received or when the record date of a CA event is reached (same as for the CA Market Entitlements Calculated).</p>
Reference	<p><i>CA Entitlements – Search screen</i></p> <p><i>CA Entitlements – List Screen</i></p> <p><i>CA Entitlements – Details screen</i></p>
Instructions	<ul style="list-style-type: none"> - Follow the same instructions as for Market Entitlements Received scenario but starting with the Client Entitlement– Search Screen <p><i>Corporate Actions >> CA Entitlements >> Client Entitlements >> Monitor Client Entitlement</i></p>

4.7 Payments

4.7.1 Regular and Connected Payments

The Payments Notifications cover several types of payments:

Cash Transfer Notifications cover messages to the account which is credited. So Cash Transfer Notifications are for Cash Credit messages, for example, the pacs.009 Financial Institution Credit Transfer.

Cash Debit Notifications cover messages to the account which is debited. So Cash Debit Notifications are for Cash Debit messages, for example, the pacs.010 Financial Institution Direct Debit.

Payment Status Notifications cover the CLM payment status of the messages (pacs.009 and pacs.010) that are exchanged with CLM, for example, the pacs.002 Payment Status Report.

In addition, the notifications for an instruction can be consulted from the Details screen of an instruction.

4.7.1.1 CLM Notifications - Cash Transfer Notifications

Overview	This section describes the Cash Transfer Notifications activities that can be performed by an NCB user or a Counterparty. After the initial creation of a Payment instruction by the ECMS and then the transfer to the CLM, the NCB user or the Counterparty can view the notifications. To manage a Cash Transfer Notifications, carry out the following business scenarios in the predefined order:
Business Scenarios	<ol style="list-style-type: none"> 1. View a Cash Transfer Notification 2. View the details of a Cash Transfer Notification.

4.7.1.1.1 View a Cash Transfer Notification

Context of Usage	This business scenario describes how to view a Cash Transfer Notification.
Reference	<i>Cash Transfer Notification – Display Screen</i>
Instructions	<ul style="list-style-type: none"> – Through the main menu, go to the <i>Cash Transfer Notification – Search Screen</i> – Click on the button. <p>The <i>Cash Transfer Notification – Display Screen</i> is displayed.</p> <p>Enter search fields.</p> <ul style="list-style-type: none"> – Click on the <i>Search</i> button. <p>The <i>Cash Transfer Notification</i> are displayed.</p> <p>Alternatives</p> <ul style="list-style-type: none"> – To set all fields to default value, click on the <i>Reset</i> button.

4.7.1.2 CLM Notifications - Cash Debit Notifications

Overview	<p>This section describes the Cash Debit Notifications activities that can be performed by an NCB user or a Counterparty.</p> <p>After the initial creation of a Payment instruction by the ECMS and then the transfer to the CLM, the NCB user or the Counterparty can view the notifications. To manage a Cash Debit Notifications, carry out the following business scenarios in the predefined order:</p>
Business Scenarios	<ol style="list-style-type: none"> 1. View a Cash Debit Notification 2. View the details of a Cash Debit Notification.

4.7.1.2.1 View a Cash Debit Notification

Context of Usage	This business scenario describes how to view a Cash Debit Notification.
Reference	<i>Cash Debit Notification – Search Screen</i>
Instructions	<ul style="list-style-type: none"> – Through the main menu, go to the <i>Cash Debit Notification – Search Screen</i> – Click on the button. <p>The <i>Cash Debit Notification – Search Screen</i> is displayed.</p> <p>Enter search fields.</p> <ul style="list-style-type: none"> – Click on the <i>Search</i> button. <p>The <i>Cash Debit Notification</i> is displayed.</p> <p>Alternatives</p> <ul style="list-style-type: none"> – To set all fields to default value, click on the <i>Reset</i> button.

4.7.1.3 CLM Notifications - Payment Status Notifications

Overview	<p>This section describes the Payment Status Notifications activities that can be performed by an NCB user or a Counterparty.</p> <p>After the initial creation of a Payment instruction by the ECMS and then the transfer to the CLM, the NCB user or the Counterparty can view the notifications. To manage a Payment Status Notifications, carry out the following business scenarios in the predefined order:</p>
Business Scenarios	<ol style="list-style-type: none"> 1. View a Payment Status Notification 2. View the details of a Payment Status Notification.

4.7.1.3.1 View a Payment Status Notification

Context of Usage	This business scenario describes how to view a Payment Status Notification.
Reference	<i>Payment Status Notification – Search Screen</i>

Instructions	<ul style="list-style-type: none"> – Through the main menu, go to the <i>Payment Status Notification – Search</i> Screen – Click on the button. <p>The <i>Payment Status Notification – Search</i> Screen is displayed.</p> <p>Enter search fields.</p> <ul style="list-style-type: none"> – Click on the <i>Search</i> button. <p>The <i>Payment Status Notification</i> is displayed.</p> <p>Alternatives</p> <ul style="list-style-type: none"> – To set all fields to default value, click on the <i>Reset</i> button.
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4.8 Support Functionalities

4.8.1 Pool Position

Overview	<p>This section describes the Pool Position functionalities that can be performed by an NCB user or a Counterparty.</p> <p>The monitoring of a Pool aims at having a detailed view on the movements impacting a Pool. To view a Pool Position, carry out the following business scenario:</p>
Business Scenarios	<ol style="list-style-type: none"> 1. Search/List/View an Overview Pool Position 2. Search/List/View a Consolidated Pool Position 3. Search/List/View a Banking Group Pool Position

4.8.1.1 Search/List/View a Pool Position History

Context of Usage	This business scenario describes how to Search, List and View a Pool Position History.
Reference	<p><i>Pool Position History – Search</i> Screen</p> <p><i>Pool Position History – List</i> Screen</p> <p><i>Pool Position History – Details</i> screen</p>
Instructions	<ul style="list-style-type: none"> – Through the main menu, go to the <i>Pool Position History – Search</i> Screen – Enter the criteria about the Pool you wish to view. Either the current Business Date can be used, or a past ECMS Business Date can be entered. – Click on the Search button. <p>The <i>Pool Position History – List</i> Screen is displayed.</p> <ul style="list-style-type: none"> – Select the Pool you wish to view by clicking the “View” button or by clicking on an entry in the list.

	<p>Alternatives</p> <ul style="list-style-type: none"> - To set all fields to default value and blank out all optional fields, click on the <i>reset</i> button. -
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4.8.1.2 Search/List/View a Banking Group Pool Position

Context of Usage	This business scenario describes how to Search, List and View a Banking Group Pool Position.
Reference	<p><i>Banking Group Pool Position – Search Screen</i></p> <p><i>Banking Group Pool Position – List Screen</i></p> <p><i>Banking Group Pool Position – Details screen</i></p>
Instructions	<ul style="list-style-type: none"> - Through the main menu, go to the <i>Banking Group Pool Position – Search Screen</i> - Enter the criteria about the Pool for which you wish to view the Banking Group view. Either the current Business Date can be used, or a past ECMS Business Date can be entered. - Click on the Search button. <p>The <i>Banking Group Pool Position – List Screen</i> is displayed.</p> <ul style="list-style-type: none"> - Select the Pool you wish to view by clicking the “View” button or by clicking on an entry in the list. <p>Alternatives</p> <ul style="list-style-type: none"> - To set all fields to default value and blank out all optional fields, click on the <i>Reset</i> button. -

4.8.2 Pool Projection

Overview	<p>This section describes the Pool Projection functionalities that can be performed by an NCB user or a Counterparty.</p> <p>The monitoring of a Pool aims at having a detailed view on the movements impacting a Pool. To view a Pool Position, carry out the following business scenario:</p>
Business Scenarios	1. Search/List/View a Pool Position.

4.8.2.1 Launch a Pool Projection

Context of Usage	This business scenario describes how to launch a Pool Projection.
Reference	<i>Pool Projection – Launch</i> Screen
Instructions	<ul style="list-style-type: none"> – Through the main menu, go to the <i>Pool Projection – Search</i> Screen – Enter the criteria about the Pool for which you wish to view the projection. A future Business Date is used. – Click on the Search button. <p>A pop-up screen is displayed with the information that the Pool Projection has been launched for the input criteria.</p> <p>Alternatives</p> <ul style="list-style-type: none"> – To set all fields to default value and blank out all optional fields, click on the <i>reset</i> button. –

4.8.2.2 Search/List/View a Pool Projection

Context of Usage	This business scenario describes how to Search, List and View a Pool Projection.
Reference	<i>Pool Projection – Search</i> Screen <i>Pool Projection – List</i> Screen <i>Pool Projection – Details</i> screen
Instructions	<ul style="list-style-type: none"> – Through the main menu, go to the <i>Pool Projection – Search</i> Screen – Enter the criteria about the Pool for which you wish to view the projection. A future Business Date is used. – Click on the Search button. <p>The <i>Overview Pool Projection – List</i> Screen is displayed.</p> <ul style="list-style-type: none"> – Select the Pool for which you wish to view the projection by clicking on an entry in the list. <p>Alternatives</p> <ul style="list-style-type: none"> – To set all fields to default value and blank out all optional fields, click on the <i>Reset</i> button. –

4.8.3 Dashboard

Overview	<p>This section describes the dashboard functionalities that can be performed by an NCB user or a Counterparty.</p> <p>The monitoring of the Dashboard aims at providing a global overview of the different instructions/operations. To view the dashboard, carry out the following business scenario:</p>
Business Scenarios	<ol style="list-style-type: none"> 1. Search/List/View the Transaction Details for the Dashboard

4.8.3.1 Search/List/Transaction Details for the Dashboard

Context of Usage	This business scenario describes how to Display, List and View the Transaction Details for the Dashboard.
Reference	<p><i>Search /List Dashboard Screen</i></p> <p><i>View the Transaction Details Screen for the dashboard</i></p>
Instructions	<ul style="list-style-type: none"> - Through the main menu, go to the <i>Dashboard – Search Screen</i> - Click on the <i>Dashboard</i> option. - The <i>Overview Dashboard – Search Screen</i> is displayed. - Select an object, such as “Number of Pending payments instructions”, you wish to view by clicking the “Search” button or by clicking on an object on the screen. - The <i>Overview Dashboard – List Screen</i> is displayed with the list of items for that object. - Select an instruction/operation in the list, for which you wish to view the transaction details, by selecting the "View" button or by clicking on an object on the screen. - The <i>Overview Dashboard – Transaction Details Screen</i> is displayed with the list of items for that object.

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4.8.4 System Parameters

Overview	<p>This section describes the functionalities that can be performed by an NCB user or Counterparty.</p> <p>The monitoring of the System Parameters allows the user to check the configuration of the System Parameters for processes and data. To check the System Parameters, carry out the following business scenario:</p>
Business Scenarios	<ol style="list-style-type: none"> 1. Search/List/View the System Parameters.

4.8.4.1 Search/List/View the System Parameters

Context of Usage	This business scenario describes how to Search, List and View the System Parameters.
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Reference	<p><i>System Parameters – Search Screen</i></p> <p><i>System Parameters – List Screen</i></p> <p><i>System Parameters – Details screen</i></p>
Instructions	<ul style="list-style-type: none"> – Through the main menu, go to the <i>System Parameters – Search Screen</i> – Enter the criteria about the System Parameters or leave them blank to display all the records. – Click on the Search button. <p>The <i>System Parameters – List Screen</i> is displayed.</p> <ul style="list-style-type: none"> – Select the specific record you wish to view by clicking the “View” button or by clicking on an entry in the list. <p>Alternatives</p> <ul style="list-style-type: none"> – To set all fields to default value and blank out all optional fields, click on the <i>Reset</i> button. –

