



RECORD OF PROCESSING ACTIVITY

Supervisory Quality Assurance reviews (SQAs)

1. Controller(s) of data processing activities

Controller: European Central Bank (ECB)

Contact details:

European Central Bank

Sonnemannstrasse 22

60314 Frankfurt am Main

Germany

E-mail: info@ecb.europa.eu

Organisational unit responsible for the processing activity: Directorate General Secretariat of the Supervisory Board/Supervisory Quality Assurance Division (DGSSB/QA)

Data Protection Officer (DPO): DPO@ecb.europa.eu

2. Who is actually conducting the processing activity?

The data is processed by the ECB itself

The organisational unit conducting the processing activity is:
DGSSB/QA

3. Purpose of the processing

Supervisory Quality Assurance reviews (SQAs) include conducting reviews and carrying out projects on:

- direct supervision, including NCAs' operating units to the extent of their

- involvement in Significant Institutions (SIs) supervision;
- ECB Oversight of the NCAs' supervision of Less Significant Institutions (LSIs);
 - horizontal functions, including NCAs' operating units to the extent of their involvement for SIs' supervision;
 - Common Procedures;
 - authorisations, enforcement and sanctions, including NCAs to the extent of their involvement for SIs' supervision;
 - Other ECB units contributing to ECB banking supervision activities.
- These activities do not typically entail the access and storage of personal data, with the exceptions mentioned in the next section.

4. Description of the categories of data subjects

- Other (please specify): *Individual employees and customers of the supervised entities, e.g.*
- *Assessments of the suitability of board members of supervised entities (e.g. in the context of the Supervisory Review and Evaluation Process (SREP), fit and proper assessments, other authorization procedures)*
 - *Credit file reviews (e.g. review of the creditworthiness of individual (retail) debtors)*

5. Description of the categories of personal data processed

(a) **General personal data:**

The personal data contains:

- Personal details (name, address etc.)
- Education & Training details
- Employment details
- Financial details

6. The categories or recipients to whom the personal data have been or will be disclosed, including the recipients of the data in Member States, third countries or international organisations

- Data subjects themselves
- Designated ECB staff members

Designated NCB or NCA staff members in the ESCB or SSM context

7. Retention time

The data are stored for 15 years.